1	Effect of host-switching on the eco-evolutionary patterns of parasites
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14	SHORT RUNNING TITLE: Host-switching influences parasite patterns
15	
16	Abstract
17	Increasing empirical evidence has revealed that host-switching are common in the history of
18	parasites. Still, few have explored how the evolutionary histories of hosts might influence
19	such switches and then the evolution of parasites. Here, we investigated how the intensity of
20	host-switching, assumed to depend on the phylogenetic distance between hosts, affects the
21	ecological and evolutionary patterns of parasite species. We developed an individual-based
22	model where parasites can explore and colonise hosts under variable host-switching intensity
23	and have evolution driven by mutation, genetic drift, and mating restriction. We hypothesised
24	that our model can reproduce ecological and evolutionary patterns of empirical communities,

25 characterised by turnover among host species and tree imbalance, respectively. We found an 26 optimum range of host-switching intensity that can predict similar patterns as those observed 27 in the empirical studies, validating our hypothesis. Our results showed that the turnover 28 decreased as the host-switching intensity increased with low variation among the model 29 replications. On the other hand, the tree imbalance had not a monotonic tendency but a wide 30 variation. These results revealed that while the tree imbalance is a sensitive metric to 31 stochastic events, the turnover may be a proxy for host switching. Furthermore, local 32 empirical studies corresponded to higher host-switching intensity when compared to regional 33 studies, highlighting that spatial scale is probably the crucial limitation of host-switching. 34 KEYWORDS: dispersal of parasites, opportunity and compatibility of interaction, phylogenetic 35 conservatism, and structure of the community of parasites.

36

37 INTRODUCTION

The dispersal of parasite individuals followed by colonisation of a new host lineage, known as host-switching, is a common event observed during the evolutionary trajectory of many parasite lineages (De Vienne et al. 2013). Initially, host-switching results in the increase of the host repertoire of a parasite (Braga et al. 2021). The colonisation of the new hosts can result in reproductive isolation, and consequently in speciation of parasite lineages, characterising the dynamics of the Oscillation Hypothesis (Nylin and Soren 2018). Empirical

44 examples showing high levels of host-switching include symbiotic interactions ranging from

45 host-parasite (Meinilä et al. 2004; Agosta et al. 2010; Müller et al. 2018, Fecchio et al. 2019;

46 Boyd et al. 2022) and plant-insect systems to microbial pathogens (Woolhouse et al. 2005),

47 brood parasitism (Habermannová et al. 2013; Dominguez et al. 2015), plant-feeding insects,

48 and parasitic plants (Nylin et al. 2014). Consequently, understanding the factors influencing

49 the success of host-switching and subsequent speciation events is critical for understanding50 parasites diversification.

51	A general framework that has been used to understand infectious disease, the Stockholm
52	Paradigm, explores the evolutionary dynamics of host-parasite associations (Brooks et al.
53	2014; Brooks et al. 2019). This framework suggests that parasites perform host-switching by
54	ecological fitting hypothesis (Agosta and Klemmens 2008; Agosta and Brooks 2020).
55	Ecological fitting explains how the process whereby organisms colonise and persist in novel
56	environments, use novel resources, or form novel associations with other species through a set
57	of traits/capabilities they already possess (see Agosta and Klemmens 2008; Brooks et al.
58	2014; Brooks et al. 2019; Agosta and Brooks 2020). The expression of these unexplored
59	capabilities is mediated by the opportunity of interaction (temporal and spatial) and
60	determines the possibility of encounters between hosts and unfamiliar parasites. After the
61	encounter, and if the interaction is compatible, it is followed by the resolution of subsequent
62	conflicts that emerge from the basic dynamics of "living together", which should result in co-
63	accommodation (Brooks and McLennan 2002; Araujo et al. 2015).
64	Ecological and life-history traits also influence the chances of parasites dispersing from
65	one host species to another. Characteristics of all organisms within the interaction system,
66	such as niche similarity among host species, modes of transmission of parasites, dietary
67	preferences of the vector (if there is one), and also ecosystemic characteristics as the host
68	community composition and shared phylogenetic history are relevant factors that define the
69	chances of host-switching (Bush et al. 2006; Jaramillo and Rivera-Parra 2018). Niche
70	similarity among host species is one fundamental element constraining the incorporation of
71	new host species by ecological fitting. This is because the capacity of a parasite species to use
72	new resources is related to the phylogenetic conservatism of the resource provided by the host

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73 species. Phylogenetic distance between the original and new host species can represent an 74 adequate proxy for the nature of the resource, which is tracked by the parasite lineage 75 (Charleston and Robertson 2002; Agosta and Klemmens 2008; Engelstädter and Fortuna 76 2019). Consequently, the host phylogenetic conservatism can define the arena of possibilities 77 for host-switching. 78 Several studies have indicated the ubiquity of host-switching in nature (see Cuthill and 79 Charleston 2013; De Vienne et al. 2013; Engelstädter and Fortuna 2019; Fecchio et al. 2019; 80 Hayward et al. 2021), but (or yet) few studies have explored to which extent the switches are 81 constrained by inherited possibilities and limitations across hosts evolutionary histories. 82 Among many potential factors determining host-switching, it seems that host phylogeny and 83 geographic distributions are two major players (Sanaei et al. 2021). Moreover, the relation 84 between host-switching and the opportunity for parasite dispersal, as well as their capacity to

85 explore new hosts, is mostly unexplored (Brooks et al. 2019). Here, we aim to fill these

86 unexplored gaps by proposing a novel approach to investigate how the intensity of host-

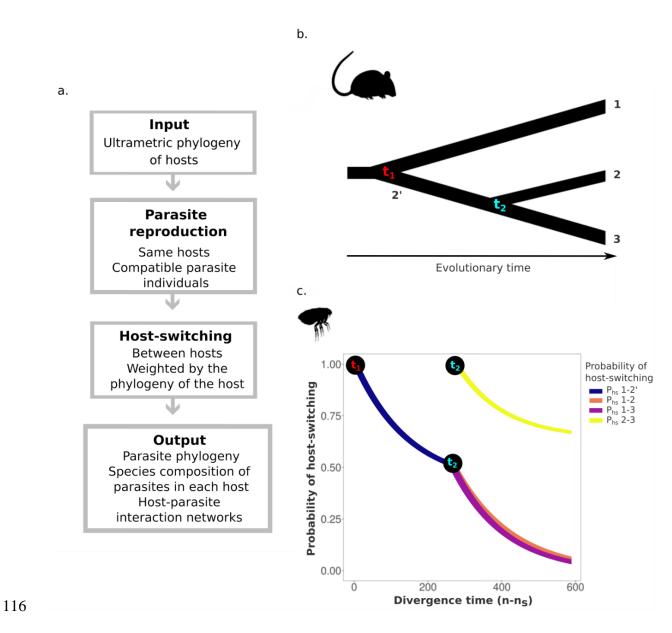
switching affects the ecology and phylogenetic history of the parasites. For this, we assume
that compatibility and the opportunity for interaction (spatial and temporal) may be expressed

through the evolutionary histories of the hosts, and this can influence the host-switchingevents.

In this study, we propose a theoretical model based on parasite individuals that can switch among host species and speciate over time. Host-switching is mediated by phylogenetic conservatism; that is, the probability of parasites switching hosts decreases with increasing divergence in the evolutionary time of the hosts. The overall intensity of hostswitching is a controlled parameter of the model. Under the absence of host-switching, the model is adjusted to parasites speciate due to limitation of host use, resulting in a pattern of

97	cophylogeny and in paired specialised interaction (each parasite species interacting with one
98	exclusive host species). We then investigate the eco-evolutionary patterns under different
99	host-switching intensities, hypothesising that there is an optimum range of host-switching
100	intensity that can result in the same eco-evolutionary patterns observed in the empirical
101	studies. These patterns were characterised by species interaction turnover and tree imbalance,
102	respectively. The model predictions were compared to nine empirical communities, validating
103	our hypothesis.
104	
105	MATERIAL AND METHODS
106	The model
107	We performed simulations of eco-evolutionary trajectories of parasites influenced by
108	their host evolutionary history and host-switching events using an individual-based model
109	(IBM). We assumed that the evolutionary history of the host can represent a proxy for the
110	resources for parasite species (Agosta et al. 2010; Imrie et al. 2021), and also assumed that the
111	probability of host-switching decreases as the phylogenetic distance between the species of
112	host involved in the event (original and new host species) increases (Araujo et al. 2015;
113	Engelstädter and Fortuna 2019). The model assumes that parasite evolution occurs at the same
114	evolutionary time scale as the host, which increases possibilities for host-switching as host
115	speciation occurs (Fig. 1a-c).

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117 FIGURE 1. Schematic representation of the model. **a**. The general sequence of the model

118 dynamics. **b**. Hypothetical host phylogeny. **c**. Probability of host-switching (P_{hs}) over time.

Each parasite individual can host-switch after the first speciation event (t_1). One host is drawn for each parasite individual, and the probability of a successful host-switching depends on the divergent time between the two involved hosts. At t_1 the first speciation event occurs, and the probability of host-switching is maximum. As time goes on, this probability decreases. At t_2 another speciation event occurs, increasing the number of migration possibilities. At this time the two younger host species (2 and 3) have the maximum probability of switching hosts (P_{hs}

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2-3), but the probability of host-switching between 1 and 2 or between 1 and 3 keeps
decreasing. The colors highlight the 2', 1, 2, and 3 host lineages presented in **b**.

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128 Parasite individuals are explicitly described by biallelic sequences of infinite sites, a simplified form to represent their genomes and heritable trait. Individuals are monoic and 129 130 engage in sexual reproduction, with non-overlapping generations, following the model 131 proposed by Higgs and Derrida (1991) and Manzo and Peliti (1994). Population evolution is 132 driven by mutation, genetic drift, and restriction to mating in the absence of natural selection. 133 With a certain set of parameters, parasite speciation occurs. Each parasite individual is also 134 characterised by the host species that it interacts. The host species are modelled as resources 135 that impose a carrying capacity of K parasite individuals, analogous to islands in the Manzo-Pelit model (Manzo and Peliti 1994), but, in our model, the islands (hosts species in our case) 136 137 emerge (as a new host species that speciate) according to a predetermined host diversification 138 time (i.e. based on ultrametric empirical phylogenies - an ultrametric tree is a kind of additive 139 tree in which the tips of the trees are all equidistant from the root of the tree). Thus, the 140 overall carrying capacity increases by K individuals at each new host speciation. The model 141 does not consider the selection pressure imposed by parasites on the evolution of the resource 142 (host). Therefore, we are not modelling a process of reciprocal evolution, or co-evolution.

143

144 *Reproduction of parasites*

145 Reproduction is sexual and occurs between parasite individuals that are in the same host 146 and that have a minimum genetic similarity, q_{min} , measured based on the Hamming distance 147 between genomes. In each host species, at each generation, *K* offspring individuals replace the 148 parental population, with no generation overlapping. We establish a maximum of *K* random

149	trials with reposition to find one compatible partner. The offspring is generated by <i>locus</i>
150	recombination of the parents and each <i>locus</i> has a probability of mutation (μ). We set q_{min} =
151	$0.5q_0$, where q_0 is the expected mean similarity within one population in equilibrium: $q_0 =$
152	$\frac{1}{1+4\mu K}$. For a detailed demonstration of the above equation see SI1. The restriction $q_{min} =$
153	$0.5q_0$ is arbitrary and only assures that no parasite speciation occurs when using a unique host
154	(i.e., avoids sympatric speciation in the context of Higgs and Derrida (1991)). Consequently,
155	parasite speciation only happens when more than one host species is used.

156

157 *Temporal scaling*

158 The empirical studies have evolutionary times in the order of millions of years, and to 159 maintain this time scale in the model would demand a high computational cost. As proposed 160 by Costa et al. (2019), in our approach we adopted a high value of mutation rate (μ =0.025) in 161 order to decrease the number of iterations (time steps or generations) necessary for speciation 162 to occur. Furthermore, we assumed that, due to the shorter life cycle of parasites, they have a 163 faster speciation rate when compared to their hosts (Dowton and Austin 1995; Light and 164 Hafner 2007). To satisfy these conditions, we rescaled the whole host phylogeny assuming 165 that the smaller branch length consists of the minimal time for parasites to speciate due to 166 isolation by host use (see the demonstration in SM1):

167
$$\tau_a = \frac{1}{4\mu} \log(\frac{1}{q_{min}}).$$
(2)

168 The minimal time for speciation decreases with q_{min} . Therefore, making the reproduction 169 more restricted (i.e., increasing q_{min}) facilitates the formation of parasite species in a shorter 170 time. The simulation starts with a clonal parasite population using a unique host species. Also, 171 the first host speciation occurred only after τ_a generations for the parasite populations to 172 accumulate genetic diversity before the first splitting event.

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174 *Host-switching events*

175 After the first host speciation, parasite individuals in a host species may switch to 176 another host. For each parasite individual, we randomly selected a host species, including the 177 one in use. If the selected host species is not the original host (donor), we follow a probability 178 function for the host-switching event. This probability of host-switching events (P_{hs}) 179 decreases over time, representing the product of opportunity for contact and compatibility of 180 the interaction of parasites associated with the evolutionary history of hosts (Fig. 1c). Then, 181 we are assuming that compatibility, the opportunity of interaction are expressed through the 182 evolutionary history of hosts. The probability of a parasite individual successfully migrates 183 (host-switching) from one host to another host species, in a given generation *n*, is defined as:

(3)

184
$$P_{hs}(n) = exp[-r * (n - n_s)].$$

where r is a positive parameter that controls the decay of the host-switching probability, and 185 186 n_s is the generation that the common host ancestor had speciated (then, n- n_s is how long the 187 two host species had diverged). If r = 0, these probabilities are equal to 1 regardless of the 188 host divergence time, meaning that there is no restriction to host-switching. As a 189 consequence, parasite gene flow is continuous and speciation does not occur. At the other 190 extreme, for sufficiently large r values ($P_{hs}\sim 0$), host-switching is absent and cospeciation 191 between hosts and parasites is expected. For intermediary r value, some parasite individuals 192 can eventually switch hosts (Fig. 2 and Fig. S1). This will increase the host repertoire of the 193 parasitic species, and also enable speciation by isolation (by host use), similar to the speciation by founder's effect (Mayr 1999; Gavrilets and Hastings 1996). The effect of the 194 195 overall host-switching in a community does not depend only on r, but also on the 196 particularities of each host phylogeny that is used as input for the calculation of the host-

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197 switching probability. Therefore, to better interpret the effect of parameter r on the trajectories 198 and compare the results between the communities, we do not present our results in terms of r, 199 but how much it changes the overall host-switching events. To obtain this overall metric, we

- 200 calculated the mean percentage of parasite individuals that switch hosts over the entire
- simulation and we call it *host-switching intensity* (Fig. 2).

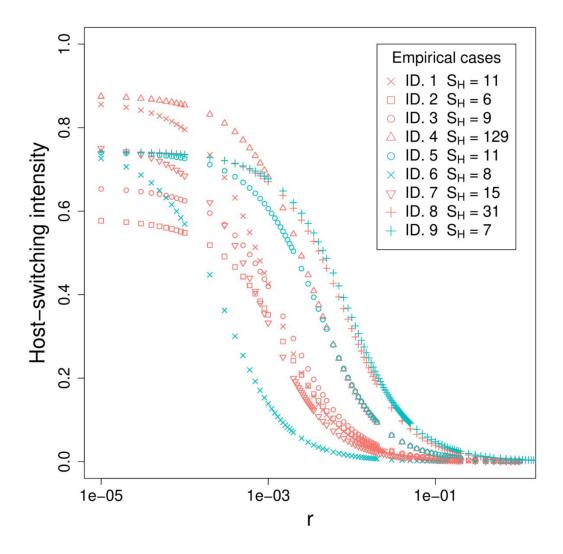




FIGURE 2. Relation between r (a parameter that defines the host-switching decay, Eq. 3) and the intensity of host-switching for each simulated community. Each ID represents empirical studies and S_H represents the host richness. ID. 1 - Birds and feather mites. ID. 2 - Mammals and lice. ID. 3 - Wildlife and ectoparasites. ID. 4 and 5 - Rodents and fleas. ID. 6 - Fish and Monogeneans (Gyrodactylidae). ID. 7 - Frogs and monogeneans (Polystomatidae). ID. 8 -

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Frogs and lungworms (*Rhabdias* spp.). ID. 9 - Frogs and gut worms (*Oswaldocruzia* spp.).
Regional spatial scale studies are represented by salmon colour and local spatial scale studies
by blue.

211

212 Parameters of the model

213 For the results presented here we fixed the population size per host (K = 250) and 214 mutation probability per locus ($\mu = 0.025$). With these parameters, we can observe species 215 formation with reasonable computational time. Since the empirical studies varied in the 216 number of host species and branch size, the total number of iterations also varied (Table S1). 217 The parameter r varied $(0 \le r \le 1)$ for each empirical study. A total of 50 replicates were 218 performed for each parameter combination. We have also analysed the model predictions 219 under other values of population size ($K = \{50, 500, 1000\}$) and mutation rate ($\mu = 0.001$) 220 (Table S1). Our qualitative conclusions did not change under these parameter variations (Fig. 221 S2-S4).

222

223 Validation with empirical data

The development of a new method to assess the host-switching intensity allowed us to 224 225 compare the results of our simulations with empirical data from different groups of parasites 226 and their respective hosts. This method uses information on the evolutionary history of the host species as a proxy for resource similarity. We used nine studies from empirical studies of 227 228 parasite-host associations (Table 1) for comparative purposes. The selection criteria was that, 229 in addition to information on species interaction, these empirical studies essentially needed to 230 have phylogenies for hosts and parasites (see the details in Fig. S5-S13). We separated these 231 empirical studies according to the spatial scale (Table 1). Spatial scale refers to the spatial

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extent of ecological processes and the spatial interpretation of the data. In this study, we
assumed that studies in the local spatial scale are essentially in a geographic radius less than
or equal to 35km, while on a regional scale they were collected essentially in a geographic
radius greater than 35km, in the original article respectively.

- 236
- 237 TABLE 1. Description of the host sample size and parasite richness for each empirical study, of
- which host phylogenies were used as model parameters and host-parasite association to

239	validate the simulations of the model	. Legend: ID = Empirical study.
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ID	Host group	Host	Parasites	Parasite	Spatial scale	Reference
		richness	group	richness		
1	Bird	11	Feather mites	11	regional	Donã et al. 2017
			(Trouessartia spp.)			
2	Mammals	6	Lice	7	regional	Reed et al. 2007
			(Pediculus spp. and			
			Pthirus spp.)			
3	Wildlife	9	Arthropods*	8	regional	Becker et al. 2018
4	Rodents	129	Fleas*	202	regional	Krasnov et al.
						2016
5	Rodents	11	Fleas*	19	local	Krasnov et al.
						2016
6	Fish	7	Monogeneans	16	local	Patella et al. 2017
			(Gyrodactylidae)			

7	Frogs	15	Monogeneans	13	regional	Badets et al. 2011
8	Frogs	31	Lungworms	18	regional	Müller et al. 2018
			(Rhabdias spp.)			
9	Frogs	7	Gut worms	5	local	Willkens et al.
			(Oswaldocruzia spp.)			2021

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Note: *Include different parasite groups

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241 Characterization of the ecological and evolutionary patterns of parasites

242 We compared both the structure of turnover of parasite species (ecological pattern) and 243 the imbalance of parasite phylogenies (evolutionary pattern) in the empirical studies with 244 those resulting from the simulations. To characterise the composition of parasite species we 245 used the metric that gives information about the beta diversity of multiple-site dissimilarities 246 $(\beta_{SOR}$ - Baselga 2010; 2013a, b). The beta diversity may reflect two different phenomena: 247 turnover (β_{SIM}) and nestedness (β_{NES}) (Baselga et al. 2007; Baselga 2010; 2013a, b). Here, we 248 choose only to work with the Simpson-based multiple-site dissimilarity, that is turnover 249 (β_{SIM}) , since it is non-dependent on species richness (Baselga et al. 2007; Baselga 2010). This 250 refers to the replacement of some species by others as a consequence of environmental sorting 251 or spatial and historical constraints. In our case, we compared the variation in parasite species 252 composition between host species. The Simpson-based multiple-site dissimilarity is then:

253
$$\beta_{\text{SIM}} = \frac{\left[\sum_{i < j} \min(b_{ij}, b_{ji})\right]}{2\left[\sum_{i} s_i - S_T\right] + \left[\sum_{i < j} \min(b_{ij}, b_{ji})\right]},$$
(4)

where S_i is the total number of species in site *i*, S_T is the total number of species in all sites (hosts in our case) and min(b_{ij} , b_{ji}) is the minimal number of species exclusive to sites *i* and *j* in pairwise comparison (Baselga 2010).

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257 To characterise the structure of the phylogenetic trees we used the metric that gives 258 information about the tree imbalance. Tree imbalance is one of the most common 259 phylogenetic structural patterns and measures asymmetries between the numbers of species on 260 each side of the tree's branches (Marquitti et al. 2020). Tree imbalance is widely measured 261 using the Sackin index (I) (Sackin 1972; Blum and François 2005; Frost and Volz 2013; 262 Dearlove and Frost 2015). The I has a dependence on the number of leaves, making it 263 unsuitable for comparing trees with different numbers of species. To make this comparison 264 possible, we use the normalised Sackin index (I_n) given by:

265
$$I_n(R) = \frac{I(R) - E[I(R)]}{\sqrt{\sigma_R^2}},$$
 (5)

where tree imbalance is the I(R), and $E(I_n)$ and σ_R^2 the expected and variance of trees generated by the Yule model which have the same number of leaves (species) as the observed tree (Cardona et al. 2013; Marquitti et al. 2020). Although I_n(R) would be close to zero for trees generated with the Yule model, independent of the species richness R, different modes of speciation may introduce important deviations from the behaviour of the Yule model (Marquitti et al. 2020).

272 As each empirical study represents particular ecological and evolutionary processes, we 273 analysed whether there was an optimal range of host-switching intensity in our simulated 274 cases that retrieves information about turnover (β_{SIM}) and normalised Sackin index (I_n) of each 275 study. We considered that simulations that reproduced both the β_{SIM} and the I_n metrics 276 simultaneously (within a $\pm 5\%$ confidence interval) were the best fit to the empirical 277 examples. Then we compared the best fitting of host-switching intensity among the empirical studies to understand how it varied for different evolutionary histories. Although species 278 279 extinctions occur in the model, this aspect was not included in the analyses since we do not 280 have information about extinctions in the empirical studies. These analyses were performed

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using 'ape' (Paradis and Schliep 2019), 'betapart' (Baselga et al. 2018) 'picante' (Kembel et
al. 2010), 'phytools' (Revell 2012), and 'vegan' (Oksanen et al. 2013) R packages. See the
details in SI3.

284

285 *Statistical analysis*

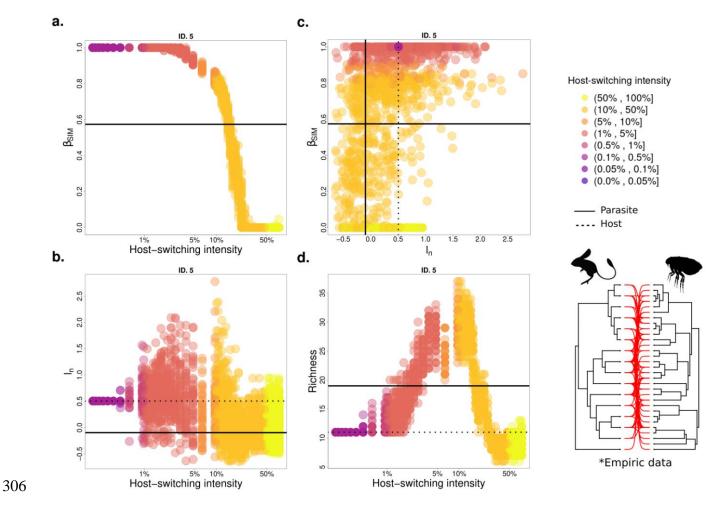
286 To test whether the spatial scale modulates the best fitting host-switching intensities, a 287 linear mixed-effects model (LMM) was performed using the *lmer* function from the 'lme4' 288 package (Bates et al. 2015). We assumed the host-switching intensity as the response variable, 289 the spatial scale as a fixed variable, and empirical studies were treated as random variables 290 (intensity~ scale+(1|study)). After performing the LMM analysis, an analysis of variance 291 (ANOVA) was used to determine significant differences (p-value < 0.01) using the Anova 292 function in the 'car' package (Fox and Weisberg 2019). All statistical analyses were 293 performed in R v.4.0.0 (R Core Team 2020) and Rstudio v.1.3.959 (RStudio Team 2020). 294

295 Results

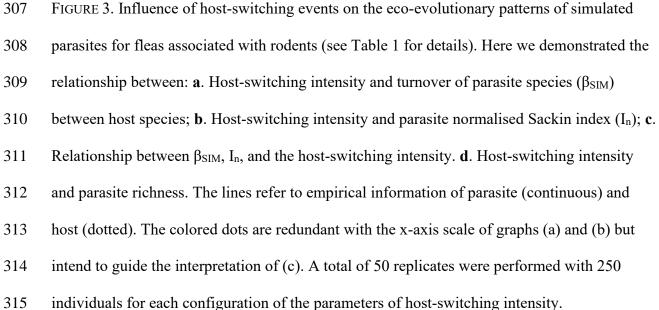
The turnover and normalised Sackin index of parasites varied according to the mean 296 297 percentage of parasite individuals that switch hosts during the entire history of the host 298 community (the host-switching intensity). To illustrate the turnover and normalised Sackin 299 index according to the host-switching intensity, we present an example of a model application 300 with fleas associated with rodents (ID. 5, Fig. 3a-c). As expected, turnover decreases as host-301 switching intensity increases (Fig. 3a and Fig. S14). This occurs because the increase of host-302 switching promotes the interaction of different host species with the same parasite species. 303 Additionally, for each value of host-switching intensity, there is a small variation in the

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304 turnover (Fig. 3a and Fig. S14). The only exception was ID. 4, which resulted in a wide



305 variation in turnover under high host-switching intensity (Fig. S14).



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As imposed by the model, the parasite richness ends the same as hosts in the absence of host-switching (Fig. S16). But, for intermediary values of host-switching, parasites can colonise the new host and then speciate, resulting in an overwhelming increase in parasite speciation (see the dynamics in the movie available in S17, Fig. S18, and Fig. 3d).

321 When host-switching intensity is low (below 1%), the normalised Sackin index (I_n) for 322 the simulated parasite phylogenies results in the exactly same value as the one obtained from 323 the empirical phylogeny of the host (note the dashed line in Fig. 3b and also Fig. S15). This is 324 because the low host-switching intensity does not allow the establishment of the parasite in a 325 new host and, as a consequence, the simulated parasite phylogenies have the same normalised 326 Sackin index of the empirical host phylogeny. Colonisation followed by speciation is more 327 likely to occur under a higher host-switching intensity, in which the normalised Sackin index 328 varies over simulations even when they are under the same host-switching intensity (Fig. 3b 329 and Fig. S15). The wide variation in the normalised Sackin index for a given host-switching 330 intensity reveals that stochastic host-switching events, even if host-switching is more likely to 331 occur between closely related species, can change the structure of the resulting phylogenetic 332 tree. Despite not having a monotonic tendency, the normalised Sackin index tends towards 333 zero (balanced tree) as host-switching intensity goes to one, regardless of the community (Fig. 334 S15), resembling a neutral speciation scenario Yule model (Yule 1924; Aldous 2001). 335 For all empirical studies analysed, there is a range of host-switching intensity that 336 simultaneously reproduces the observed turnover and the parasite normalised Sackin index 337 (Fig. 4). As mentioned, both metrics are sensitive to host-switching intensity but each one 338 varies independently of the other (see in Fig. 4). Generally, the turnover and the parasite 339 normalised Sackin index obtained under high host-switching intensity (greater than 50%) are

- 340 far from the empirical pattern (see Fig. 4, the yellow dots rarely approach the intersection of
- 341 the solid lines).

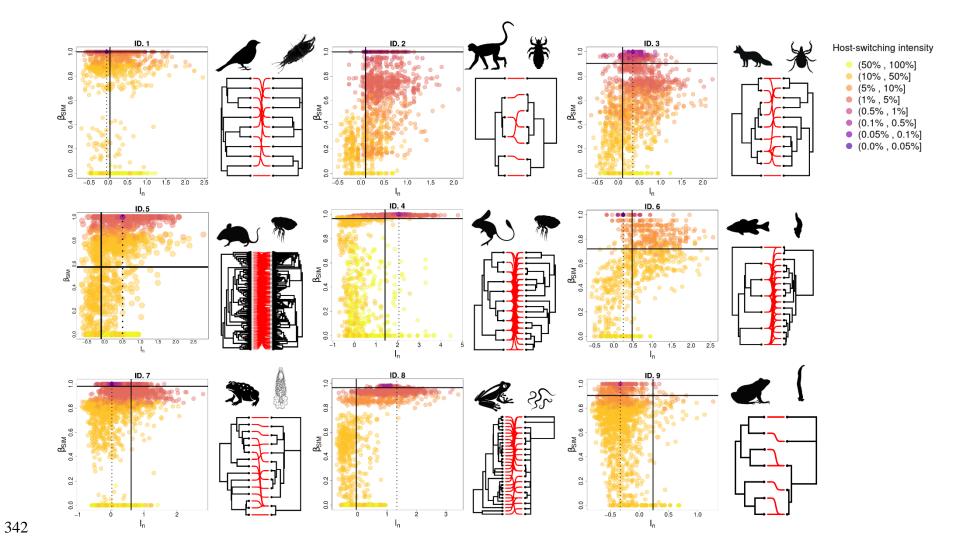
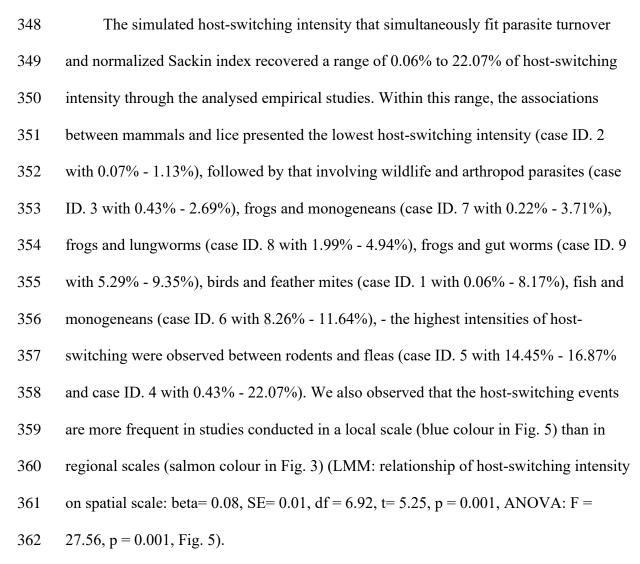
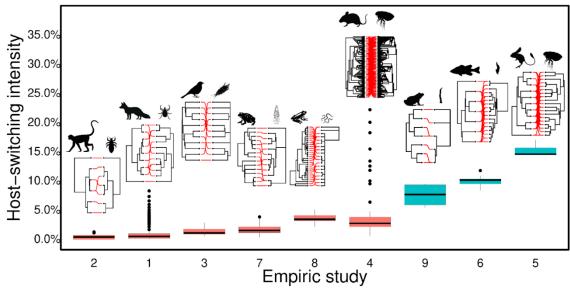


FIGURE 4. The relationship between variation in the turnover of parasite species, normalised Sackin index of parasite species, and hostswitching intensity for nine empirical studies: the parasites' turnover, measured by the metric turnover(β_{SIM}) on the y-axis and the

- 346 (continuous) and host (dotted). Colour scales represent each percentage interval of host-switching intensity. A total of 50 runs were
- 347 performed with 250 individuals of carrying capacity, for each configuration of the parameters of host-switching intensity.





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FIGURE 5. Calculated host-switching intensity among empirical studies. The boxplotsshow the distributions of simulated host switching intensities for each empirical study.

366	Regional spatial scale studies are represented by salmon, and local scale studies are in
367	blue. The number in axis x represents the empirical studies: ID. 1 - Birds and feather
368	mites. ID. 2 - Mammals and lice. ID. 3 - Wildlife and ectoparasites. ID. 4 and 5 -
369	Rodents and fleas. ID. 6 - Fish and Monogeneans (Gyrodactylidae). ID. 7 - Frogs and
370	monogeneans (Polystomatidae). ID. 8 - Frogs and lungworms (Rhabdias spp.). ID. 9 -
371	Frogs and gut worms (Oswaldocruzia spp.).
372	
373	DISCUSSION
374	In this study, we developed a novel methodological framework to understand how
375	the intensity of host-switching shapes some aspects of ecological and evolutionary
376	patterns of parasites, here characterised by species interaction turnover and tree
377	imbalance, respectively. Our three main results are 1) We found an optimum range of
378	host-switching intensity that can predict similar patterns as those observed in the
379	empirical studies, which validates our model; 2) The model showed that the increase of
380	host-switching intensity promoted an increase in turnover, but the tree imbalance did
381	not follow any monotonic tendency. Moreover, for a specific host-switching intensity,
382	we observed a small variation in the turnover and a wide variation in the tree imbalance;
383	3) The predicted values of host-switching intensity varied among the empirical studies
384	and those at a local spatial scale resulted in values higher than the ones at the regional
385	scale.
386	The fact that our model rebuilt the eco-evolutionary patterns of all empirical
387	studies supports the idea that host-switching mediated by host evolutionary proximity is
388	a good predictor of parasite associations. According to the framework of the Stockholm
389	Paradigm (Brooks et al. 2019), parasites can colonise new host species due to pre-
390	existing compatibility, which is expressed when there is an opportunity for contact.

391 Compatibility emerges greatly from the ancestral capacity in which both hosts and 392 parasites must be physiologically compatible to establish a long-term association 393 (Brooks and McLennan 2002; Kolbe et al. 2004; Brooks et al. 2019). Hence, for a given 394 lineage of the parasite, the closer (phylogenetically) the original and the new host 395 species, the greater the possibility that the adequate resource is conserved or is at least 396 similar. In fact, phylogenetic proximity has been widely recognized as a potential 397 criterion to anticipate new associations (Streicker et al. 2010; Damas et al. 2020; Filion 398 et al. 2022).

399 Another element of the Stockholm Paradigm (Brooks et al. 2019) that we 400 observed over the temporal dynamics of our model is the Oscillation Hypothesis (Janz 401 and Nylin 2008): parasites first increase their host repertoire (generalise) and then 402 speciate (specialise). In our model, at each time step, a parasite individual can switch 403 hosts, promoting the increase of host repertoire for the parasite species. However, as we 404 assume that the probability of host-switching decreases as hosts diverge, given time, the 405 probability of individuals from the same parasite species maintaining the gene flow 406 between those host species decreases, and parasite speciation is likely to occur (see the 407 dynamics in the movie available in S17 and S18). Consequently, in our model, host-408 switches promote host repertoire oscillation, as hypothesised by Janz and Nylin (2008), 409 and favour parasites to speciate at a greater rate than their host, which is empirically 410 evidenced (Poulin and Morand 2000). Although the mean argument behind the 411 difference in speciation rate between host and parasite is the parasite's shorter life cycle, 412 we support the idea that frequent host exploitation is another important mechanism to 413 parasite diversification (Hay et al. 2020, Boeger et al. 2022). 414 The tree imbalance did not have a monotonic tendency and showed a wide

415 variation for a given host-switching intensity. This reveals that stochastic events can

416 change the evolutionary trajectory of parasites. Although our model assumed that host-417 switching most likely occurs between closely related species, eventually, a parasite can 418 switch to a phylogenetically distant host, changing the diversification history 419 completely. This distant host-switching was observed in most of the empirical studies 420 presented here, where the parasites were able to colonise hosts from different genera (ID 421 2 and 6), families (IDs 1, 4, 5, 7, 8, and 9), and even order (ID 3). For example, in study 422 ID8 the *Rhabdias* lung-worm anuran parasites occurred mostly in Bufonidae hosts and 423 only one species in the Hylidae host (Müller et al. 2018). Species extinction is another 424 class of stochastic event present in our model that could contribute to the varied outputs 425 on parasite evolution. As we use data only of extant species, when a species goes extinct 426 all its history is lost, also impacting the imbalance of the tree (Costa et al. 2019, 427 Marquitti 2020). 428 Unlike the tree imbalance, our results showed that the turnover has a monotonic 429 tendency: it decreased as the host-switching intensity increased. This pattern was 430 expected since the model imposes that as host-switching intensity increases, the 431 limitation to use a new host decrease (Fig. 2). Moreover, we did not observe a wide variation in the turnover for a given host-switching intensity over the model 432 433 replications. This reveals that those stochastics events mentioned before cannot produce 434 significant changes in the turnover. It probably occurs because when host-switching 435 occurs, it produces a decrease in the turnover no matter what parasite species switched 436 to what host species. In other words, the identities of the species are not relevant since 437 turnover emerges not from a given species characteristic, but from the similarities 438 between species, or even spatial and temporal amplitudes (Fallon et al. 2004, Baselga et 439 al. 2007, Baselga, et al. 2022). This reinforces the idea that species turnover is a robust

440 metric to compare species assemblages (Baselga, et al. 2022) and may also be a good
441 proxy for host-switching intensity.

442 The host-switching intensity varied across empirical studies and we observed that 443 it is higher in empirical studies of local spatial scale than regional spatial scale. This 444 evidence shows that the amplitude of the spatial scale is a fundamental factor in 445 determining the extent of host-switching. The opportunity for interaction is larger in 446 host empirical studies at a local scale, as this reduces the likelihood that barriers exist, 447 hampering the encounter of potential actors. This is evident when comparing rodent and 448 flea associations at regional (ID. 4) and local spatial scales (ID. 5). Similarly, since the 449 association of *Rhabdias* spp. and frogs (ID. 8) are defined geographically (and not by 450 host taxa) it was assumed that host-switching by ecological fitting was evolutionarily 451 more important than association with particular host taxa (Kuzmin et al. 2014; Müller et 452 al. 2018). Different intensities of host-switching observed in our results may also be 453 influenced by biological variations of the species that make up the empirical studies 454 analysed. For instance, these studies include a great diversity of organisms (fleas, lice, 455 feather mites, helminths, platyhelminthes), with profound differences in their biological 456 characteristics. Expanding analyses to a broader sample of empirical studies, including 457 variations in the type of parasitism (e.g., mono vs. heteroxenic, ecto vs. endoparasite) 458 and host attributes can provide important insights into key features related to the process 459 of incorporation of new hosts.

In nature, host-parasite systems are more complex than those modelled here.
Although the model can reconstruct eco-evolutionary patterns of empirical studies, our
model has some limitations. For example, the carrying capacity of all host species is the
same and the host's body size, their abundances, and spatial distribution were not
explicitly considered. The selective pressure is not explicitly modelled, contrary to what

26

465 we observe in nature (Krasnov et al. 2005, Krasnov et al. 2021). Furthermore, all 466 parasite individuals and species are equivalent, and may compete for the same 467 resources. Except for resource competition, our model didn't consider intra and 468 interspecific interactions among parasites. Finally, the phylogenies are still scarce, 469 especially for parasite species, which limited the number of tests with the model. 470 Phylogenetic data on parasites is extremely important to clarify the role of host-471 switching in the ecological and evolutionary patterns of parasite lineages. Still, we 472 recovered compatible eco-evolutionary patterns for modelled parasites and their 473 respective hosts. Our model has important implications for predicting host switching, 474 especially in scenarios of anthropogenic change. With anthropogenic changes constantly 475 modifying natural environments and altering the geographic distribution of parasites, 476 many species that were once restricted to specific areas are now expanding their range 477 into new geographic locations and changing the composition of communities (see 478 Brooks et al. 2014). As we showed, parasites can follow different evolutionary paths, 479 and eventually can switch to non-related hosts, ultimately, determining the migration of 480 a parasite to other species (and clades), in some cases, including humans. To conclude, 481 we show that a model in which host-switching mediated by evolutionary proximity 482 between hosts is a predictor for parasitic associations over evolutionary time, as well as 483 for the origins of parasite diversity. We see this as an important step in our 484 understanding of parasite diversification processes. 485 486 SUPPLEMENTARY MATERIAL 487 Data SI 488

489 DATA AVAILABILITY

490	The model, phylogenies, and interactions of all analysed studies are available at
491	https://github.com/elviradbastiani/host_switching_model.
492	
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508	AUTHORS CONTRIBUTION
509	Conceived and designed the experiments: EDB, SBLA and DP
510	Performed the experiments and analysed the data: EDB, SBLA and DP
511	Wrote the paper: EDB, SLB, KMC, DP, WB, FMDM
512	Other contributions: EDB, DP, FMDM, WB, KMC and SLBA
513	
514	CONFLICT OF INTEREST

515	The authors	declare	that they	have no	conflict of interest.
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