# Choice seeking is motivated by the intrinsic need for personal control

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17 <u>Keywords:</u> decision-making; reward; reinforcement learning; human; agency

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- 19
- 20 <u>Abstract:</u>

21 When deciding between options that do or do not lead to future choices, humans often choose to 22 choose. We studied choice seeking by asking subjects to decide between a choice opportunity or 23 performing a computer-selected action. Subjects preferred choice when these options were equally 24 rewarded, even deterministically, and were willing to trade extrinsic rewards for the opportunity to 25 choose. We explained individual variability in choice seeking using reinforcement learning models 26 incorporating risk sensitivity and overvaluation of rewards obtained through choice. Degrading 27 perceived controllability diminished choice preference, although willingness to repeat selection of 28 choice opportunities remained unchanged. In choices following these repeats, subjects were 29 sensitive to rewards following freely chosen actions, but ignored environmental information in a 30 manner consistent with a desire to maintain personal control. Choice seeking appears to reflect the 31 intrinsic need for personal control, which competes with extrinsic reward properties and external 32 information to motivate behavior.

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- 34 <u>Author summary:</u>
- 35 Human decisions can often be explained by the balancing of potential rewards and punishments.
- 36 However, some research suggests that humans also prefer opportunities to choose, even when
- 37 these have no impact on future rewards or punishments. Thus, opportunities to choose may be
- 38 intrinsically motivating, although this has never been experimentally tested against alternative
- 39 explanations such as cognitive dissonance or exploration. We conducted behavioral experiments
- 40 and used computational modelling to provide compelling evidence that choice opportunities are
- 41 indeed intrinsically rewarding. Moreover, we found that human choice preference varied
- 42 according to individual risk attitudes, and expressed a need for personal control that competes
- 43 with maximizing reward intake.
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45 Preference for choice has been observed in humans(1-6) as well as other animals including rats(7), 46 pigeons(8) and monkeys(9,10). This free-choice premium can be behaviorally measured by having 47 subjects perform trials in two stages: a decision is first made between the opportunity to choose 48 from two terminal actions (free) or to perform a mandatory terminal action (forced) in the second 49 stage(7). Although food or fluid rewards follow terminal actions in non-human studies, choice 50 preference in humans can be elicited using hypothetical outcomes that are never obtained (3,11). 51 Thus, choice opportunities appear to possess or acquire value in and of themselves. It may be that 52 choice has value because it represents an opportunity to exercise control, which is itself intrinsically 53 rewarding(1,4,12). Personal control is central in numerous psychological theories, where 54 constructs such as autonomy (13, 14), controllability (15, 16), personal causation (17), effectance (18), 55 perceived behavioral control(19) or self-efficacy(15) are key for motivating behaviors that are not 56 economically rational or easily explained as satisfying basic drives such as hunger, thirst, sex, or 57 pain avoidance(20).

58 There are alternative explanations for choice seeking. For example, subjects may prefer 59 choice because they are curious and seek information(21,22), or they wish to explore potential 60 outcomes to eventually exploit their options(23), or because they seek variety to perhaps reduce 61 boredom(24) or keep their options open(3). By these accounts, however, the expression of personal 62 control is not itself the ends, but rather a means for achieving an objective that once satisfied 63 reduces choice preference. For example, choice preference should decline when there is no further 64 information to discover in the environment, or after uncertainty about reward contingencies have 65 been satisfactorily resolved.

66 Choice seeking may also arise due to selection itself altering outcome representations. 67 Contexts signaling choice opportunities may acquire distorted value through choice-induced 68 preference change(25). By this account, deciding between equally valued terminal actions

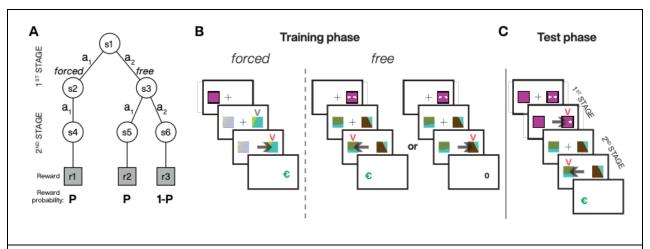
69 generates cognitive dissonance that is resolved by post-choice revaluation favoring the chosen 70 action(25,26). This renders the free option more valuable than the forced option since revaluation 71 only occurs for self-determined actions(27,28). Alternatively, subjects may develop distorted 72 outcome representations through a process related to the winner's or optimizer's curse(29). 73 whereby optimization-based selection upwardly biases value estimates for the chosen action. One 74 algorithm subject to this bias is O-learning(30), where action values are updated using the 75 maximum value to approximate the maximum expected value. In a two-stage task, the free action 76 value is biased upwards due to considering only the best of two possible future actions, while the 77 forced action value remains unbiased since there is only one possible outcome(31). Again, the 78 expression of personal control is not itself the ends for these selection-based accounts, and both 79 predict that choice preference should be reduced when terminal rewards associated with the free 80 option are clearly different.

81 Data from prior studies does not arbitrate between competing explanations for choice-82 seeking. Here, we used behavioral manipulations and computational modelling to explore the 83 factors governing human preference for choice. In the first experiment, we altered the reward 84 contingencies associated with terminal actions in order to rule out curiosity, exploration, variety-85 seeking, and selection-based explanations for choice seeking. In the second experiment, we used a 86 titration procedure to measure the value of choice relative to an extrinsic reward available in the 87 environment (i.e., money). We then used reinforcement learning models to show that optimistic 88 learning (considering the best possible future outcome) was insufficient to explain individual 89 variability in choice seeking. Rather, subjects adopted different decision attitudes, the desire to 90 make or avoid decisions independent of the outcomes(11), which were balanced against differing 91 levels of risk sensitivity. Finally, in the third experiment, we sought to test whether choice 92 preference was motivated by personal control beliefs. We manipulated the perceived controllability

93 of the task and found that subjects' willingness to repeat a free choice was not affected by the lack 94 of objective controllability over reward outcome. Importantly, subjects were sensitive to past 95 rewards only in trials where state outcomes could be attributed to self-determined choice, and 96 ignored rewards on trials where there was an apparent loss of control. Together, our results support 97 the hypothesis that human preference for choice opportunities derives from the intrinsic motivation 98 for personal control.

# 99 **Results:**

100 Subjects performed repeated trials with a two-stage structure (Fig. 1). In each trial, subjects made 101 a 1<sup>st</sup>-stage choice between two options defining the 2<sup>nd</sup>-stage: the opportunity to choose between 102 two fractal targets (free) or performing an obligatory selection of another fractal target (forced). 103 Extrinsic rewards (€) were delivered only for terminal (i.e., 2<sup>nd</sup>-stage) actions. If subjects chose the 104 forced option, the computer always selected the same fractal target for the subjects. If subjects 105 chose the *free* option, they had to choose between two fractal targets associated with two different 106 terminal states. We fixed reward contingencies in blocks of trials, and used unique fractal targets 107 for each block. We divided each block into an initial training phase (Fig. 1B) followed by a test 108 phase (Fig. 1C) to ensure that the subjects learned the associations between the different fractal 109 targets and extrinsic reward probabilities.



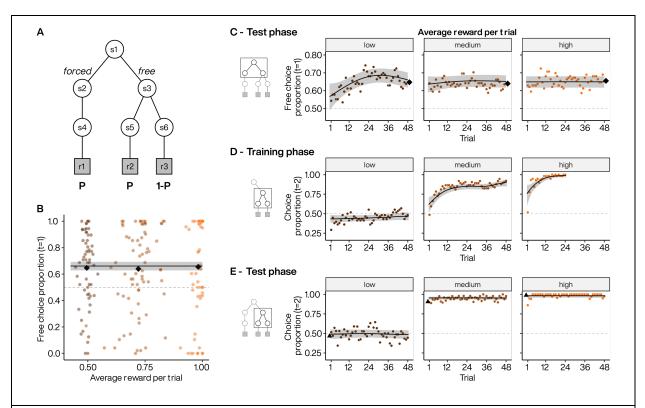
**Figure 1.** Two-stage task structure. **A.** State diagram illustrating the 6 possible states (s), actions (a) and associated extrinsic reward probabilities (e.g., P = 0.5, 0.75 or 1 for blocks 1 to 3, respectively); s2 and s3 were represented by two different 1<sup>st</sup>-stage targets (e.g., colored squares with or without arrows for *free* and *forced* trials, respectively) and s4 to s6 were associated to three different 2<sup>nd</sup>-stage targets (fractals). **B.** Sequence of events during the training phase where the subjects learned the contingencies between the fractal targets and their reward probabilities (P) associated with the *forced* (no choice) and *free* (choice available) options. When training the reward contingencies associated with the *forced* option, subjects' actions in the 2<sup>nd</sup>-stage had to match the target indicated by a grey V-shape and was always the same (s4). When training the reward contingencies associated with the *free* option, no mandatory target is present at the 2<sup>nd</sup>-stage (s5 or s6 can be chosen) but one of the targets is more rewarded when P > 0.5. Black arrows represent the selection of the target by the subject. **C.** Sequence of events during the test phase: subjects first decided between the *free* or *forced* option and then experienced the associated 2<sup>nd</sup>-stage. Rewards, when delivered, were represented by a large green euro symbol (€).

### 110 Free choice preference across different extrinsic reward probabilities

In experiment 1, we varied the overall expected value by varying the probability of extrinsic reward delivery (P) across different blocks of trials. These probabilities ranged from 0.5 to 1 across the blocks (i.e., low to high), and the programmed probabilities in *free* and *forced*  $2^{nd}$ -stage rewards were equal (Fig. 2A). For example, in high probability blocks, we set the probabilities of the *forced* terminal action and of one of the *free* terminal actions (a1) to 1, and set the probability of the second *free* terminal action (a2) to 0. Therefore, the maximum expected value was equal for the *free* and *forced* options.

118 Subjects chose to choose more frequently, selecting the *free* option in 64% (n=58) of test 119 trials on average (Fig. 2B). The level of preference did not differ significantly across blocks (p =120 0.857, low = 65%, medium = 64%, high = 66%). We found that subjects immediately expressed 121 above chance preference for the *free* option (Fig. 2C) despite never having actualized 1<sup>st</sup>-stage 122 choices during training. Looking within a block, we found that subjects' preference remained 123 constant across trials in medium and high reward probability blocks (p = 0.22 and 0.6823 for 124 nonlinear smooth by trial deviating from a flat line, respectively; Fig. 2C, middle and right panels). 125 In low probability blocks, subjects started with a lower choice preference that gradually increased 126 to match that observed in the medium and high probability blocks (p = 0.0014 for nonlinear smooth 127 by trial; Fig. 2C left panel). The lower reward probability may have prevented subjects from 128 developing accurate reward representations by the end of the training phase, which may have led 129 to additional sampling of the three 2<sup>nd</sup>-stage targets (two in *free* and one in *forced*) in the beginning 130 of the test phase.

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**Figure 2.** Choice preference across different absolute extrinsic reward probabilities. **A.** Experiment 1 task design where maximal extrinsic reward probabilities increased equally across *free* and *forced* options. **B.** Subject preference for *free* option during 1<sup>st</sup>-stage. Colored points indicate individual subject mean choice preference per block, plotted against the obtained average reward. Black diamonds indicate the average of subject means per block. Line indicates the estimated choice preference from a GLMM, with 95% Cl. **C.** Dynamics of *free* option preference across test phase blocks for low (left), medium (middle) and high (right) absolute extrinsic reward probabilities. Each point represents the average *free* option preference as a function of trial within a block. Diamonds: as in B. Lines indicate the estimated choice preference from a GAMM, with 95% Cl. **D** to **E.** Dynamics of the selection of the most rewarded 2<sup>nd</sup>-stage targets in *free* option for low (left), medium (middle) and high (right) during the training (D) and test (E) phases. Note that in left panels, the probability of extrinsic rewards is equal for two 2<sup>nd</sup>-stage targets (P=0.5). We labelled the best choice as 1 when P > 0.5. Triangles represents the final average selection at the end of the training phases. Lines: as in C.

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### 133 Second-stage performance following *free* selection

134 We investigated participants' 2<sup>nd</sup>-stage choices following *free* selection to exclude the possibility

135 that choice preference arose because reward contingencies had not been learned. During the

- training phase, when P>0.5, participants quickly learned to choose the most rewarded fractal targets
- 137 (at P=0.5, all fractal targets were equally rewarded) (Fig. 2D). During the test phase, participants

138 continued to select the same targets (Fig. 2E), confirming stable application of learned 139 contingencies (p > 0.1 for nonlinear smooth by trial deviating from a flat line for all blocks).

140 Choice preference was not explained by subjects obtaining more extrinsic rewards 141 following selection of *free* compared to *forced* options. Obtained reward proportions were not 142 significantly different in the low (following selection of *free* vs. *forced*, 0.516 vs. 0.536, p = 0.276) 143 or medium (0.746 vs. 0.762, p = 0.322) probability blocks. In contrast, in high probability blocks, 144 subjects received significantly fewer rewards on average after *free* selection than after *forced* 145 selection (0.989 vs. 1, p = 0.0016). In this block, reward was fully deterministic, and forced selection always led to a reward, whereas *free* selections could lead to missed rewards if subjects 146 147 chose the incorrect target.

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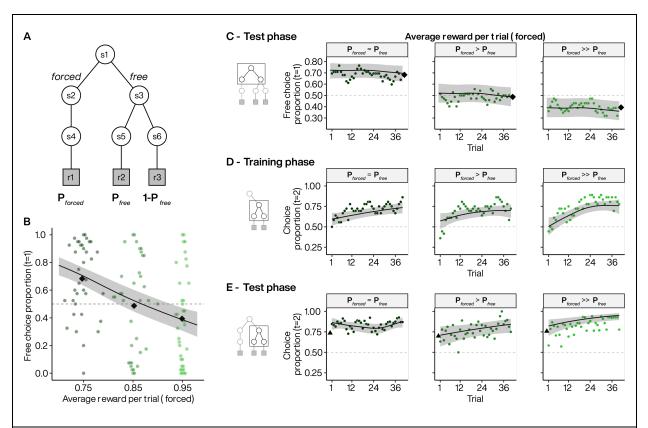
### 149 Trading extrinsic rewards for choice opportunities

150 Since manipulating the overall expected reward did not alter choice seeking behavior at the group-151 level, we investigated the effect of changing the relative expected reward between 1<sup>st</sup>-stage options. 152 In experiment 2, we tested a new group of 36 subjects for whom we decreased the objective value 153 of the *free* versus *forced* options. This allowed us to assess the point at which these options were 154 equally valued and potentially reversed to favor the initially non-preferred (forced) option (Fig. 155 3A). Thus, we titrated the value of choice opportunity by increasing the reward probabilities 156 following *forced* selection (block 1:  $P_{forced} = 0.75$ ; block 2:  $P_{forced} = 0.85$ ; block 3:  $P_{forced} = 0.95$ ), 157 while keeping the reward probabilities following *free* selection fixed ( $P_{free}|a1 = 0.75$ ,  $P_{free}|a2 = 0.25$ ) 158 for all blocks).

As in experiment 1, we found that subjects preferred choice when the extrinsic reward probabilities of the *free* and *forced* options were equal (block 1: 68% 1<sup>st</sup>-stage choice in favor of *free*; Fig. 3B, dark green). Increasing the reward probability associated with the *forced* option 162 significantly reduced choice preference (p = 0.00344, Fig. 3B) to 49% (block 2) and 39% (block 163 3). We estimated the population preference reversal point at  $P_{forced} = 0.88$ , indicating that 164 indifference was obtained on average when the value of the *forced* option was 17% greater than 165 that of the *free*. We found that subjects' preference remained constant across trials when reward 166 probabilities were equal (p = 0.875 for nonlinear smooth by trial; Fig. 3C, left panel). Although 167 reduced overall, the selection of the *free* option also did not vary across trials in blocks 2 and 3 (p 168 = 0.737 and 0.078 for nonlinear smooth by trial, respectively). Furthermore, as in experiment 1, 169 subjects acquired preference for the most rewarded 2<sup>nd</sup>-stage targets during the learning phase 170 (Fig.3D) and continued to express this preference during the test phase in all three blocks (Fig. 3E). 171 Thus, the decrease in choice preference was not related to failure to learn the reward contingencies 172 during the training phase.

173 Although decreasing the relative value of the *free* option reduced choice preference, most 174 subjects did not switch exclusively to the *forced* option. Even in block 3, where the *forced* option 175 was set to be rewarded most frequently ( $P_{forced} = 0.95$  versus  $P_{free} = 0.75$ ), 32/36 subjects selected 176 the *free* option in a non-zero proportion of trials. Since exclusive selection of the *forced* option 177 would maximize extrinsic reward intake, continued *free* selection indicates a persistent appetency 178 for choice opportunities despite their diminished relative extrinsic value.

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**Figure 3.** Choice preference across different relative extrinsic reward probabilities. **A.** Experiment 2 task design where extrinsic reward probably is always at P = 0.75 for the highly rewarded target in *free* options but vary from 0.75 to 0.95 across 3 blocks for *forced* options. **B.** Subject preference for *free* option during 1<sup>st</sup>-stage. Colored points indicate individual subject mean choice preference per block, plotted against the average reward in *forced* option. Black diamonds indicate the average of subject means per block. Line indicates the estimated choice preference from a GLMM, with 95% CI. **C.** Dynamics of *free* option preferences across test phase blocks when extrinsic reward probabilities of *forced* options were set at 0.75 (left), 0.85 (middle) and 0.95 (right). Each point represents the average *free* option preference as a function of trial within a block. Diamonds: as in B. Lines indicate the most rewarded 2<sup>nd</sup>-stage targets in *free* option when extrinsic reward probabilities of *forced* option when extrinsic reward probabilities of *forced* options were set at 0.75 (left), 0.85 (middle) and 0.95 (right) during the training (D) and test (E) phases. Triangles represents the final average selection at the end of the training phases. Lines: as in C.

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### 181 Reinforcement-learning model of choice seeking

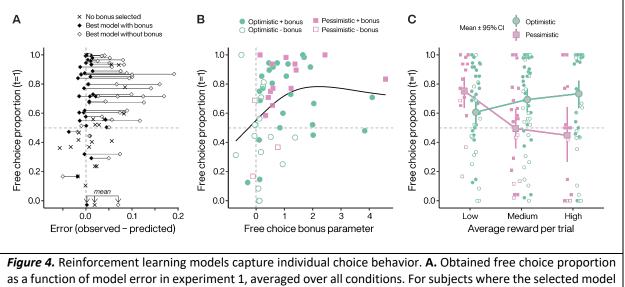
182	We next sought to explain individual variability in choice behavior using a value-based decision-
183	making framework. We first used mixed logistic regression to examine whether rewards obtained
184	from 2 <sup>nd</sup> -stage actions influenced 1 <sup>st</sup> -stage choices. We found that obtaining a reward on the
185	previous trial significantly increased the odds that subjects repeated the 1st-stage selection that
186	ultimately led to that reward ( $p < 0.0001$ , odds ratio rewarded/unrewarded on previous trial: 1.92

 $\pm 95\%$  CI [1.40, 2.60]). This suggest that subjects continued to update their extrinsic reward expectation based on experience during the test phase. We therefore leveraged the framework of temporal-difference reinforcement learning (TDRL) to provide a model-based characterization of the emergence of choice preference.

191 We fitted TDRL models to individual data using two distinct features to capture individual 192 variability across different extrinsic reward contingencies. The first feature was a free choice bonus 193 added to self-determined actions as an intrinsic reward. This can lead to overvaluation of the free 194 option via standard TD learning. The second feature modifies the form of the future value estimate 195 used in the TD value iteration, which in common TDRL variants is, or approximates, the best future 196 action value (O-learning or SARSA with softmax behavioral policy, respectively). We treated both 197 Q-learning and SARSA together as optimistic algorithms since they are not highly discriminable 198 with our data (Supplementary Fig. 1). We compared this optimism with another TDRL variant that 199 explicitly weights the best and worst future action values (Gaskett's  $\beta$ -pessimistic model(32)), 200 which could capture avoidance of choice opportunities through increased weighting of the worst 201 possible future outcome (pessimistic risk attitude). For example, risk is maximal in the high reward 202 probability block in experiment 1 since selection of one 2<sup>nd</sup>-stage target led to a guaranteed reward 203 (best possible outcome) whereas selection of the other target led to guaranteed non-reward (worst 204 possible outcome).

We found that it was necessary to incorporate the overvaluation of rewards obtained from *free* actions to predict choice preference in experiment 1 (Fig. 4A). Moreover, the magnitude of the bonus was significantly associated with increasing choice preference during the 1<sup>st</sup>-stage of the trials (p = 0.0005 for nonlinear smooth, Fig. 4B). Therefore, optimistic or pessimistic targets alone were insufficient to explain individual choice preference across different extrinsic reward contingencies. We found that a pessimistic target best fitted about 28% (16 of 58) of the subjects 211 in experiment 1. Moreover, most pessimistic subjects (13 of 16) were best fitted with a model 212 including a free choice bonus to balance risk and decision attitudes across reward contingencies. 213 In experiment 1, we introduced risk by varying the difference in extrinsic reward probability for 214 the best and worst outcome following *free* selection. The majority of so-called 'pessimistic 215 subjects' preferred choice when extrinsic reward probabilities were low, but their weighting of the 216 worst possible outcome decreased this preference as risk increased (Fig. 4C, pink). Thus, 217 pessimistic subjects avoided the *free* option despite rarely or never selecting the more poorly 218 rewarded 2<sup>nd</sup>-stage target during the test phase.

We also fitted the TDRL variants to individual data from experiment 2, and found that a free choice bonus was also necessary to explain choice preference across extrinsic reward contingencies in that experiment. Four subjects (of 36) were best fitted using the  $\beta$ -pessimistic target (see Supplementary Fig. 2) although this may be a conservative estimate since we did not vary risk in experiment 2.



**Figure 4.** Reinforcement learning models capture individual choice behavior. **A.** Obtained free choice proportion as a function of model error in experiment 1, averaged over all conditions. For subjects where the selected model did not include a free choice bonus, only one symbol (X) is plotted. For subjects where the selected model included a free choice bonus, two symbols are plotted. Filled symbol represents the fit error with the selected model, and the open symbol represents the next best model that did not include a free choice bonus. Lines connect individual subjects. **B.** Bonus coefficients increase as a function of subjects' preference for *free* options irrespectively of the target policy they used when performing the task. Choice preference from low probability blocks (P=0.5). Filled circles indicate that the best model included a free choice bonus parameter. Line illustrates a generalized additive

model smooth. **C.** Pessimistic subjects significantly decrease their *free* option preference as a function of extrinsic reward probabilities. Symbol legend from B applies to the small points representing individual means in C. Error bars for 95% CI.

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### 225 Influence of action-outcome coherence on choice seeking behavior

226 We next asked whether choice preference was related to personal control beliefs. To do so, we 227 manipulated the coherence between an action and its consequence over the environment. In 228 experiment 3, we tested the relationship between preference for choice opportunity and the physical 229 coherence of the terminal action by directly manipulating the perceived controllability of 2<sup>nd</sup>-stage 230 actions. We modified the two-stage task to introduce a mismatch between the subject's selection 231 of the 2<sup>nd</sup>-stage target and the target ultimately displayed on the screen by the computer (Fig. 5A). 232 We did this by manipulating the probability that a 2<sup>nd</sup>-stage target selected by a subject would be 233 swapped for the 2<sup>nd</sup>-stage target that had not been selected. That is, on coherent trials, a subject 234 selecting the fractal on the right side of the screen would receive visual feedback indicating that 235 the right target had been selected. On incoherent trials, a subject selecting the fractal on the right 236 side would receive feedback that the opposite fractal target had been selected (i.e., the left target).

237 To ensure that all other factors were equalized between the two 1<sup>st</sup>-stage choices, we 238 implemented target swaps following both *free* and *forced* selections by adding an additional state 239 to our task (Fig. 5A). In one block of trials, the incoherence was set to 0 and every subject action 240 in the 2<sup>nd</sup>-stage led to a coherent selection of the second target. In the other blocks, we set 241 incoherence to 0.15 or 0.3, resulting in lower perceived controllability between target choice and 242 target selection (e.g., 85% of the time, pressing the left key will select the left target, and in 15% 243 the right target). We set all of the extrinsic reward probabilities associated with the different fractal targets to P = 0.75. Since all  $2^{nd}$  -stage actions had the same expected value, the experiment was 244 245 objectively uncontrollable because the probability of reward was independent of all actions(16). Moreover, equal reward probabilities ensured that outcome diversity(33,34), outcome entropy(35), and instrumental divergence(36) did not contribute to choice preference since these were all equal between the *forced* and *free* options.

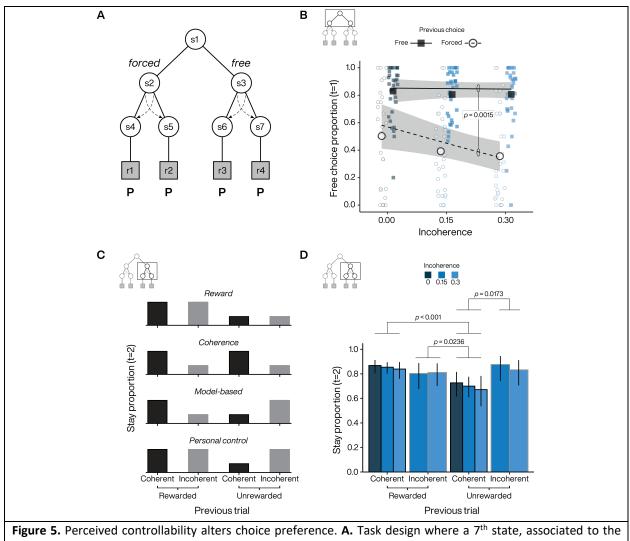
249 The same group of participants who performed experiment 2 also performed experiment 3 250 (n=36). Choice preference was high (70%) in block 1 when coherence was not altered, similar to 251 block 1 from experiment 2 where extrinsic reward was equal between *free* and *forced* options. The 252 only difference between these two blocks was that choosing the *forced* option resulted in the 253 obligatory selection of the same fractal (experiment 2) or one of two fractals randomly selected by 254 the computer (experiment 3), which indicates that subjects' choice preference was not related to 255 action variability per se following *forced* selection. Moreover, we found that choice preference was 256 significantly correlated (r = 0.358, p = 0.03175) between block 1 of experiments 2 and 3, 257 highlighting a within-subject consistency in choice preference.

Increasing the incoherence of the 2<sup>nd</sup>-stage actions progressively reduced choice preference 258 259 (block 2 and 3: 67% and 64% in favor of *free* respectively). As in experiments 1 and 2, choice 260 preference was expressed immediately after the training phase and remained constant throughout 261 the different blocks (Supplementary Fig. 3). We found that the decline in choice preference 262 depended on the 1<sup>st</sup>-stage choice on the previous trial. Specifically, following coherent trials, we 263 found that there was a significant interaction between the previous 1<sup>st</sup>-stage choice (*free* or *forced*) 264 and the degree of incoherence (p = 0.0015, Fig. 5B). The difference in slopes was due to decreasing 265 propensity to choose the *free* option following *forced* selection on the previous trial (p = 0.0111), 266 with no change in the propensity to choose the *free* option following *free* selection on the previous 267 trial (p = 0.8706). Thus, as incoherence increased, subjects tended to stay more with the *forced* 268 option, while maintaining a preference to repeat *free* selections.

269 The sustained repetition of *free* selections across the different levels of incoherence 270 suggests that subjects may have been seeking to regain control of the environment through self-271 determined 2<sup>nd</sup>-stage choices. Although the task was objectively uncontrollable since all terminal 272 action-target sequences were associated with the same reward probability, subjects may have 273 developed structure beliefs based on local reward history and target swaps, which could be reflected 274 in 2<sup>nd</sup>-stage patterns of choice. Thus, subjects may have followed a strategy based on reward 275 feedback by repeating only actions associated with a previous reward (illusory maximization of 276 reward intake; Fig.5C, first panel). Alternatively, they could have followed a strategy based on 277 action-outcome incoherence feedback and thus avoided trials associated with a previous target 278 swap (illusory minimization of incoherent states; Fig. 5C, second panel). However, subjects may 279 have also employed another classic strategy known as "model-based" where agents use their (here 280 illusory) understanding of the task structure built from all the information provided by the 281 environment (Fig.5C, third panel)(37). Under this strategy, subjects try to integrate both the reward 282 and target-swap feedback to select the next target in order to maximize reward. For example, an 283 incoherent but rewarded trial would lead to a behavioral switch because the subject has integrated 284 the information provided by the environment (i.e., the target swap induced by the computer), 285 signaling that the other target is actually rewarded (see second bar on third panel of Fig. 5C). 286 Finally, an alternative strategy could rely on maximizing personal (i.e., internal) control, where the 287 subject is the (illusory) agent of the entire sequence of events (i.e., action-state-reward) and would 288 therefore ignore reward outcomes when they are not associated with the selected action-state 289 (Fig.5C, fourth panel).

Results of the stay behavior during 2<sup>nd</sup>-stage choice following *free* selection suggests that subjects seek personal control when choosing between the different fractal targets (Fig.5D). Indeed, when their action was consistent with the state they were choosing (i.e., the coherent fractal target

feedback), they took the reward outcome into account to adjust their behavior on the next trial, either by staying on the same target when the trial was rewarded or by switching to the other one when no reward was delivered. However, subjects were insensitive to the reward outcome during incoherent trials as they maintained the same strategy (staying) during subsequent trials, regardless of whether they were previously rewarded or not. This strategy reflects an attempt to regain personal control over the environment at the expense of the task goal of maximizing reward intake.



*figure 5.* Perceived controllability alters choice preference. **A.** Task design where a  $7^{\text{m}}$  state, associated to the *forced* options, has been added to manipulate the incoherence in both *free* and *forced* options. At incoherence = 0, the visual feedback presented to the subject matches their selected target. Extrinsic reward probabilities set at P=0.75 for all the  $2^{\text{nd}}$ -stage targets. **B.** First-stage probabilities to stay or switch in free options after a *free* and *forced* trial respectively, as a function of the different incoherence blocks. **C.** Second-stage stay probabilities for

the different action-state-reward trial type. Each sub-panels represent a putative strategy followed by the subject. **D.** Estimated 2<sup>nd</sup>-stage stay probabilities. Error bars for 95% CI. P-values are displayed for significant pairwise comparisons and adjusted for multiple comparisons.

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## 302 Discussion

303 Animals prefer situations that offer more choice to those that offer less. Although this behavior can 304 be reliably measured using the two-stage task design popularized by Voss and Homzie(7), their 305 conclusion that choice has intrinsic value is open to debate. To rule out alternative explanations for 306 choice-seeking, we performed three experiments in which we clearly separated learning of reward 307 contingencies from testing of choice preference. Our experiments point to a sustained preference 308 for choice opportunities that express an intrinsic need for personal control. Moreover, this need 309 may compete with potentially valuable information for maximizing outcomes or even extrinsic 310 rewards per se.

311 In the first and second experiments, we varied the reward probabilities associated with 312 terminal actions following *free* and *forced* selection. Consistent with previous studies, subjects 313 preferred the opportunity to make a choice when expected rewards were equal between terminal 314 actions (P = 0.5). Surprisingly, subjects also preferred choice when we increased the value 315 difference between terminal actions in the *free* option, while keeping the *maximum* expected reward 316 equal in the free and forced options (P > 0.5). This sustained preference for choice is potentially 317 economically suboptimal since making a free choice carries the risk of making an error leading to 318 lowered reward intake. The persistence of this preference for free choice even when reward 319 delivery was deterministic (P = 1), makes it unlikely that this preference was due to an 320 underestimation of forced trials due to poor learning of reward contingencies.

Subjects appeared to have understood the reward contingencies as evidenced by their consistent preference for the highest-rewarded  $2^{nd}$ -stage fractal, which was acquired during the training phase and expressed during the test phase. This stable  $2^{nd}$ -stage fractal selection, together with the immediate expression and maintenance of  $1^{st}$ -stage choice preference, renders unlikely

accounts based on curiosity, exploration or variety seeking since varying the probability of rewards
did not modulate choice preference about two third of the subjects (i.e., optimistic subjects).

327 Selection-based accounts also have trouble explaining the pattern of results we observed. 328 The idea that post-choice revaluation specifically inflates expected outcomes after choosing the 329 free option can explain choice-seeking when all terminal reward probabilities are equal. However, 330 post-choice revaluation cannot explain choice preference when the terminal reward probabilities 331 in the *free* option clearly differ from one another, since revaluation appears to occur only after 332 choosing between closely valued options(28,38). That is, there is no cognitive dissonance to resolve 333 when reward contingencies are easy to discriminate, and no preference for choice should be 334 observed when the choice is between a surely (i.e., deterministically) rewarded action and a never 335 rewarded action. The existence of choice preference in the deterministic condition (P = 1) also 336 cannot be explained by an optimistic algorithm such as Q-learning, since the maximum action value 337 is equal to the maximum expected value, and the value of the free option is not biased upwards 338 under repeated sampling(31).

339 Although standard Q-learning could not capture variability across different terminal reward 340 probabilities, we found that combining two novel modifications to TDRL models was able to do 341 so. The first feature was a free choice bonus—a fixed value added to all extrinsic rewards obtained 342 through free actions—that can lead to overvaluation of the free option via standard TD learning. 343 This bonus implements Beattie and colleagues' concept of *decision attitude*, the desire to make or 344 avoid decisions independent of the outcomes(11). The second feature modifies the form of the 345 future value estimate in the TD value iteration. Zorowitz and colleagues(31) showed that replacing 346 the future value estimate in Q-learning with a weighted mixture of the best and worst future action 347 values(32) can generate behavior ranging from aversion to preference for choice. The mixing 348 coefficient determines how optimism (maximum of future action values, total risk indifference) is

349 tempered by pessimism (minimum of future action values, total risk aversion). In experiment 1, we 350 found that 28% of subjects were best fitted with a model incorporating pessimism, which captured 351 a downturn in choice preference with increasing relative value difference between the terminal 352 actions in the *free* option. Importantly however, individual variability in the TD future value 353 estimates alone did not explain the pattern of choice preference across target reward probabilities, 354 and a free choice bonus was still necessary for most subjects. Thus, the combination of both a free 355 choice bonus (decision attitude) and pessimism (risk attitude) was key for explaining why some 356 individuals shift from seeking to avoiding choice. This was unexpected because the average choice 357 preference in experiment 1 was not significantly different across reward manipulations, 358 highlighting the importance of examining behavior at the individual level. Here, we examined risk 359 using the difference between the best and worst outcomes as well as relative value using probability 360 (see(39)). It may be the case that variability is also observed in how individuals balance the intrinsic 361 rewards with other extrinsic reward properties that can influence choice preference, such as reward 362 magnitude(39).

363 Why are choice opportunities highly valued? It may be that choice opportunities have 364 acquired intrinsic value because they are particularly advantageous in the context of the natural 365 environment in which the learning system has evolved. Thus, choice opportunities might be 366 intrinsically rewarding because they promote the search for states that minimize uncertainty and 367 variability, which could be used by an agent to improve their control over the environment and 368 increase extrinsic reward intake in the long run(40,41). Developments in reinforcement learning 369 and robotics support the idea that both extrinsic and intrinsic rewards are important for maximizing 370 an agent's survival(42–44). Building intrinsic motivation into RL agents can promote the search 371 for uncertain states and facilitate the acquisition of skills that generalize better across different 372 environments, an essential feature for maximizing an agent's ability to survive and reproduce over

its lifetime, i.e. its evolutionary fitness(42).

374 The intrinsic reward of choice may be a specific instance of more general motivational 375 constructs such as autonomy(13,14), personal causation(17), effectance(18), learned 376 helplessness(45), perceived behavioral control(19) or self-efficacy(15), which are key for 377 motivating behaviors that are not easily explained as satisfying basic drives such as hunger, thirst, 378 sex, or pain avoidance(20). Common across these theoretical constructs is that control is 379 intrinsically motivating only when the potential exists for agents to determine their own behavior, 380 which when realized can give rise to a sense of agency and, in turn, strengthens the belief in the 381 ability to exercise control over one's life(46). Thus, individuals with an *internal* locus of control 382 tend to believe that they, as opposed to external factors such as chance or other agents, control the 383 events that affect their lives. Crucially, the notion of locus of control makes specific predictions 384 about the relationship between preference for choice—choice being an opportunity to exercise 385 control—and the environment: individuals should express a weaker preference for choice when the 386 environment is adverse, stressful or unpredictable(47). This prediction is consistent with what is 387 known about the influence of environmental adversity on control externalization: individuals 388 exposed to greater environmental instabilities tend to believe that external and uncontrollable 389 forces are the primary causes of events that affect their lives, as opposed to themselves (48). In other 390 words, one would expect belief in one's ability to control events, and thus preference for choice, to 391 decline as the environment is perceived as increasingly unpredictable.

In our third experiment, we sought to test whether it was specifically a belief in personal control that motivated subjects, by altering the perceived controllability of the task environment. To do so, we introduced a novel change to the two-stage task where in a fraction of trials subjects experienced random swapping of the terminal states (fractals). Thus, subjects were subjected to trials where the terminal state was incoherent with their choice, and thus experienced alterations in

397 their ability to predict the state of the environment following their action. Incoherence occurred 398 with equal probability following free and forced actions in order to equate for any value associated 399 with swapping itself. We found a significant reduction in the propensity to switch from forced to 400 free choice following action-target incoherence, suggesting that altering the perceived 401 controllability of the task causes choice to lose its attractiveness. This reduction in choice 402 preference following incoherent trials is reminiscent of a form of locus externalization, and is 403 consistent with the notion that choice preference is driven by a belief in one's personal control. In 404 this experiment, we focused on the value of personal control, and therefore held other decision 405 variables such as outcome diversity (33, 34), outcome entropy (35), and instrumental divergence 406 (36,49). Further experiments are needed to understand how these variables interact with personal 407 control in the acquisition of potential control over the environment.

408 Interestingly, when subjects selected the *free* option, the subsequent choice was sensitive 409 to the past reward when the terminal state (the selected target) was coherent and the reward could 410 therefore be attributed to the subject's action. In contrast, subjects' choices were insensitive to past 411 reward when the terminal state was incoherent. Furthermore, the probability of sticking with the 412 previous 2<sup>nd</sup>-stage choice following incoherent trials, whether rewarded or not, was not different from the probability of sticking with the previously rewarded 2nd-stage choice following coherent 413 414 trials. Thus, subjects appeared to ignore information about action-state-reward contingencies that 415 was externally derived, and instead appeared to double down by repeating their past choice as if 416 they sought to maintain or regain personal control. This behavior is consistent with many 417 observations suggesting that when individuals experience situations that threaten or reduce their 418 personal control, they implement compensatory strategies to restore their perceived control to its 419 baseline level(50,51).

22

420 Computationally, however, this compensatory strategy is at odds with a pure model-based 421 strategy(37), where an agent could exploit information about action-state-reward contingencies 422 whether it derived from their own choices (internal control) or from the environment (external 423 control). Rather, it is consistent with work showing that choice-seeking could emerge when self-424 determined actions amplify subsequent positive reward prediction errors(5,52), and more generally 425 with the notion that events are processed differently depending on individuals' beliefs about their 426 own control abilities. Thus, positive events are amplified only when they are believed to be within 427 one's personal control, whereas they are treated impartially when they are not(52), or when they 428 come from an uncontrollable environment(53).

429 Together, our results suggest that choice seeking may represent one critical facet of intrinsic 430 motivation and is associated with the desire of personal control. They also suggest that the need for 431 personal control can compete with maximization of extrinsic reward provided by externally driven 432 actions. Indeed, subjects favor positive outcomes associated to internally driven action even if 433 reward rate is lower than for action performed under the instruction of an external agent. In general, 434 the perception of being in personal control could then account for several aspects of our daily life 435 such as enjoyment during game(54) or motivation to perform demanding task(55). Since our results 436 shown inter-individual difference, it would be nonetheless important in the future to phenotype 437 subject behaviors during choice-making to investigate how these individual traits can explain 438 attitude difference when facing decision and their consequences, as exemplified by the variety of 439 attribution and explanation styles of individuals in the general population(56,57).

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# 444 Materials and Methods:

**Participants.** Ninety-four healthy individuals (mean age =  $30 \pm SD 7.32$  years, 64 females) responded to posted advertisements and were recruited to participate in this study. Relevant inclusion criteria for all participants were being fluent in French, not treated for neuropsychiatric disorders, having no color vision deficiency and being aged between 18 and 45 years old. Out of these 94 subjects, 58 participated to experiment 1 and 36 to experiments 2-3. We gave subjects 40 euros for participating. The sample size was chosen based on previous studies that used similar two-alternative decision making tasks(52,58,59).

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<u>Ethics statement.</u> The local ethics committee (Comité d'Evaluation Éthique de l'Inserm) approved
the study (2019-CER2-MR-004). Participants gave written informed consent during inclusion in
the study, which was carried out in accordance with the declaration of Helsinki (1964; revised
2013).

457

458 General procedure. The paradigm was written in Matlab, using the Psychophysics Toolbox 459 extensions(60,61). It was presented on a 24 inches screen (1920 x 1080 pixels, aspect ratio 16:9). 460 Subjects seat ~57 cm from the center of the monitor. Our behavioral task design was designed as a 461 value-based decision paradigm. All participants received written and oral instructions. They were 462 told that the goal of the task was to gain the maximum number of rewards (a large green euro). 463 They were informed about the differences between the different trial types and that the extrinsic 464 reward contingencies experienced during the training phases remained identical during the test 465 phases. After instructions, participants received a pre-training session of a dozen trials (pre-train 466 and pre-test phases) in order to familiarize them with the task design and the keys they would have

to press.

468 In our experiments, subjects performed repeated trials with a two-stage structure. In the 1<sup>st</sup>-469 stage they made an initial decision about what could occur in the 2nd-stage. Selecting the free 470 option led to a subsequent opportunity to choose and selecting the *forced* option led to an obligatory computer-selected action. In the 2<sup>nd</sup>-stage, we presented subjects with two fractal images, one of 471 472 them being more rewarded following *free* selection in experiment 1 (except for P=0.5) and 473 experiment 2. In experiments 1 and 2, the computer always selected the same fractal target 474 following *forced* selection. Experiment 3 all fractal targets were equally rewarded and the computer 475 randomly selected one of the two fractal targets following *forced* selection (50%). Following *forced* 476 selection, the target to select with a key press was indicated by a grey V-shape above the target. 477 Pressing the other key on this trial type did nothing and the computer waited for the correct key press to proceed further in the trial sequence. Either at the 1<sup>st</sup>- or 2<sup>nd</sup>-stage, after the subject's 478 479 selection of the target, a red V-shape appears immediately after above the target to indicate the one 480 they had selected (in experiment 3 blocks this red V-shape remains 250ms on the screen and 481 eventually jumped with the target, see below).

482

483 Experimental conditions. In experiment 1, fifty-eight subjects performed trials where the 484 maximal reward probabilities were matched following *free* and *forced* selection. We varied the 485 overall expected value across different blocks of trials, each of them being associated to different 486 programmed extrinsic reward probabilities (P). Forty-eight subjects performed a version with 3 487 blocks (experiment 1a) with different extrinsic reward probabilities ranging from 0.5 to 1 (block 1: 488  $P_{forced} = P_{free} = 0.5$ ; block 2:  $P_{forced} = 0.75$ ,  $P_{free}|a1 = 0.75$ ,  $P_{free}|a2 = 0.25$ ; block 3:  $P_{forced} = 1$ ,  $P_{free}|a1 = 0.75$ ,  $P_{free}|a2 = 0.25$ ; block 3:  $P_{forced} = 1$ ,  $P_{free}|a1 = 0.75$ ,  $P_{free}|a2 = 0.25$ ; block 3:  $P_{forced} = 1$ ,  $P_{free}|a1 = 0.75$ ,  $P_{free}|a2 = 0.25$ ; block 3:  $P_{forced} = 1$ ,  $P_{free}|a1 = 0.75$ ,  $P_{free}|a2 = 0.25$ ; block 3:  $P_{forced} = 1$ ,  $P_{free}|a1 = 0.75$ ,  $P_{free}|a2 = 0.25$ ; block 3:  $P_{forced} = 1$ ,  $P_{free}|a1 = 0.75$ ,  $P_{free}|a2 = 0.25$ ; block 3:  $P_{forced} = 1$ ,  $P_{free}|a2 = 0.25$ ; block 3:  $P_{forced} = 1$ ,  $P_{free}|a2 = 0.25$ ; block 3:  $P_{forced} = 1$ ,  $P_{free}|a2 = 0.25$ ; block 3:  $P_{forced} = 1$ ,  $P_{free}|a2 = 0.25$ ; block 3:  $P_{forced} = 1$ ,  $P_{free}|a2 = 0.25$ ; block 3:  $P_{forced} = 1$ ,  $P_{free}|a2 = 0.25$ ; block 3:  $P_{forced} = 1$ ,  $P_{free}|a2 = 0.25$ ; block 3:  $P_{forced} = 1$ ,  $P_{free}|a2 = 0.25$ ; block 3:  $P_{forced} = 1$ ,  $P_{free}|a2 = 0.25$ ; block 3:  $P_{forced} = 1$ ,  $P_{free}|a2 = 0.25$ ; block 3:  $P_{forced} = 1$ ,  $P_{free}|a2 = 0.25$ ; block 3:  $P_{forced} = 1$ ,  $P_{free}|a2 = 0.25$ ; block 3:  $P_{forced} = 1$ ,  $P_{free}|a2 = 0.25$ ; block 3:  $P_{forced} = 1$ ,  $P_{free}|a2 = 0.25$ ; block 3:  $P_{forced} = 1$ ,  $P_{forced} = 1$ ,  $P_{free}|a2 = 0.25$ ; block 3:  $P_{forced} = 1$ ,  $P_{forced} =$ a1 = 1,  $P_{free}|a2 = 0$ ; where a1 and a2 represent the two possible key presses associated with the 489 490 fractal targets). Ten additional subjects performed the same task with 4 different blocks

491 (experiment 1b) associated to extrinsic reward probabilities also ranging from 0.5 to 1 (P = 0.5 or 492 0.67 or 0.83 or 1 from block 1 to 4 respectively.) We did not observe any substantial difference 493 between these two subject groups, and pooled them for analyses.

494 Experiment 2 was similar to experiment 1 (six states) except programmed extrinsic reward 495 associated with the *forced* option were higher than than the *free* option in two out of three blocks 496 ( $P_{forced} = 0.75$ , 0.85 or 0.95). Reward probabilities following *free* selection did not change across 497 the three blocks ( $P_{free}|a1 = 0.75$ ,  $P_{free}|a2 = 0.25$ )

Experiment 3 consisted of a 7-state version of the two-stage task. Here, we manipulated the coherence between the subject selection of a  $2^{nd}$ -stage (fractal) target and the target ultimately displayed on the screen by the computer. Irrespectively of the target finally selected by the computer or the subjects, the extrinsic reward probability associated to all the  $2^{nd}$ -stage targets in *free* and *forced* trials was set at P=0.75. Importantly, adding the 7<sup>th</sup> state in this last task version allowed the computer to swap the fractal  $2^{nd}$ -stage targets following both *free* and *forced* selection. Thus, subjects did not perceive the weak coherence as a feature specific to the *free* condition.

We associated unique fractal targets with each action in the 2<sup>nd</sup>-stage, and a new set was used for each block in all experiments. Colors of the 1<sup>st</sup>-stage targets were different between experiments. Positive or negative reward feedback, as well as the side of the 1<sup>st</sup>-stage and 2<sup>nd</sup>-stage target positions, were pseudo-randomly interleaved on the right or left of screen center. Feedback was represented by the presentation (reward) or not (non-reward) of a large green euro image.

In experiment 1, when P<1, participants performed a minimum of 48 trials per block in the training phases (*forced* and *free*) and the test phases. For P=1, participants performed a minimum 24 trials for training phases (*forced* and *free*) and 48 trials for test phase. The order of the blocks were randomly interleaved. In experiments 2 and 3 they performed a minimum of 40 trials for each block. Here, subjects started by performing experiment 3 followed by experiment 2. This was to

ensure that the value of *free* trials was not devalued by experiment 2 (titration) when performing experiment 3. In experiment 3, subjects always started by the block with no target swaps (incoherence = 0), and in experiment 2 by the block with equal extrinsic reward probability (equivalent to the block P=0.75 of experiment 1). All the other blocks were randomly interleaved.

519

520 **Trial structure.** During the training phase, for each trial, a first fixation point appeared in the 521 center of the screen for 500ms, followed by the one of the first two targets of the different trial 522 types for an additional 750ms, either (*forced* or *free*) to the left or right of the fixation point (~11° 523 from the center of the screen on the horizontal axis, 3° wide). Immediately after, the first target 524 was turned off and two fractal targets appeared at the same eccentricity than the first target to the 525 left and right of the fixation point. The subjects could then choose by themselves or had to match 526 the target (depending on the trial type) using a key press (left or right arrow keys for left and right 527 targets, respectively). After their selection, a red V-shape appeared for about 1000ms above the 528 selected target (trace epoch). Note that in experiment 3, the V-shape was initially light red and 529 turned on for 250ms above the actual fractal target selected by the subjects. It was then turn in dark 530 red for 750ms. If the trial was incoherent, after 250ms, the red V-shape jumped and thus reappeared 531 simultaneously with the other target on the other side of the screen also for 750ms. Finally, the 532 fixation point was turned-off and the outcome was displayed during 750ms before the next trial. 533 For the test phase, the timing was equivalent except for the decision epoch related to the first stage 534 where participants could choose their favorite trial type (free and forced targets positioned 535 randomly, left or right) after 500ms of fixation point presentation. When a selection was made, the 536 first target remained for 500ms, associated to a red V-shape over the selected 1st-stage target -537 indicating their choice. The second stage started with a 500ms epoch where only the fixation point 538 was presented on the screen, followed by the fractal target presentation. During the first and second action epochs, no time pressure was imposed on subjects to make their choice, but if they pressed one of the keys during the first 100ms after target presentation ('early press'), a large red cross was displayed in the center of the screen for 500ms and the trial was repeated.

542

543 <u>Computational modelling.</u> We fitted individual subject data with variants of temporal-difference 544 reinforcement learning (TDRL) models. All models maintained a look-up table of state-action 545 value estimates (Q(s, a)) for each unique target and each action across all conditions within a 546 particular experiment. State-action values were updated at each stage ( $t \in \{1,2\}$ ) within a trial 547 according to the prediction error measuring the discrepancy between obtained and expected 548 outcomes:

549 
$$\delta_t = r_{t+1} + Z(s_{t+1}, a_{t+1}) - Q(s_t, a_t)$$

where  $r_{t+1} \in \{0,1\}$  indicates whether the subject received an extrinsic reward, and  $Z(s_{t+1}, a_{t+1})$ represents the current estimate of the state-action value. The latter could take three possible forms:

552 
$$Z(s_{t+1}, a_{t+1}) = \begin{cases} Q(s_{t+1}, a_{t+1}) & \text{SARSA} \\ \max_{a'} Q(s_{t+1}, a') & \text{Q-learning} \\ \beta \cdot \max_{a'} Q(s_{t+1}, a') + (1 - \beta) \cdot \min_{a'} Q(s_{t+1}, a') & \beta \text{-pessimistic} \end{cases}$$

553 Although Q-learning and SARSA variants differ in whether they learn off- or on-policy, 554 respectively, we treated both of these algorithms as optimistic. Q-learning is strictly optimistic by 555 considering only the best future state-action value, whereas SARSA can be more or less optimistic 556 depending on the sensitivity of the mapping from state-action value differences to behavioral 557 policy. We compared Q-learning and SARSA variants with a third state-action value estimator that 558 incorporates risk attitude through a weighted mixture of the best and worst future action values 559 (Gaskett's  $\beta$ -pessimistic model(32)). As  $\beta \rightarrow 1$  the pessimistic estimate of the current state-action 560 value converges to Q-learning.

561 The prediction error was then used to update all state-action values according to:

562 
$$Q(s_{t+1}, a_{t+1}) \leftarrow Q(s_{t+1}, a_{t+1}) + \alpha \cdot \delta_{t}$$

563 where  $\alpha \in [0,1]$  represents the learning rate.

564 We tested whether a free choice bonus could explain choice preference by modifying the 565 obtained reward as follows:

$$r_{t+1} = r_{t+1}^{\text{extrinsic}} + \rho$$

567 where  $\rho \in (-\inf, +\inf)$  is a scalar parameter added to any extrinsic reward following any action 568 taken following selection of the free option.

569 Free actions at each stage were generated using a softmax policy as follows:

570 
$$\pi(s, a^1) = \frac{\exp(Q(s, a^1)/\tau)}{\exp(Q(s, a^1)/\tau) + \exp(Q(s, a^2)/\tau)}$$

where increasing the temperature,  $\tau \in [0, +inf)$ , produces a softer probability distribution over actions. The forced option, on the other hand, always led to the same fixed action. We used a softmax behavioral policy for all TDRL variants, and in the context of our task, the Q-learning and SARSA algorithms were often similar in explaining subject data, so we treated them together in the main text (Supplementary Fig. 1).

576 We also tested the possibility that subjects exhibited tendencies to alternate or perseverate 577 following free or forced actions. We implemented this using a stickiness parameter that modified 578 the policy as follows:

579 
$$\pi(s, a^{1}) = \frac{\exp\left[(Q(s, a^{1}) + \kappa \cdot C_{t}(s, a^{1}))/\tau\right]}{\exp\left[(Q(s, a^{1}) + \kappa \cdot C_{t}(s, a^{1}))/\tau\right] + \exp\left[(Q(s, a^{2}) + \kappa \cdot C_{t}(s, a^{2}))/\tau\right]}$$

580 where the  $\kappa \in (-\inf, +\inf)$  parameter represents the subject's tendance to perseverate, and  $C_t(s, a)$ 581 is a binary indicator for which fractal and action was chosen on the previous trial.

582 We independently combined the free parameters to produce a family of model fits for each

subject. We allowed the learning rate ( $\alpha$ ) and softmax temperature ( $\tau$ ) to differ for each of the two stages in a trial. We therefore fitted a total of 48 models (3 estimates of current state-action value [SARSA, Q,  $\beta$ -pessimistic] × presence or absence of free choice bonus [ $\rho$ ] × 2- vs 1-learning rate [ $\alpha$ ] × 2- vs 1-temperature [ $\tau$ ] × presence or absence of stickiness [ $\kappa$ ]).

587

588 Parameter estimation and model comparison. We fitted model parameters using maximum a
 589 posteriori (MAP) estimation using the following priors:

590  $\alpha \sim \text{beta}(\text{shape1}=1.1, \text{shape2}=1.1)$ 

- 591  $1/\tau \sim \text{gamma(shape=1.2, scale=5)}$
- 592  $\beta \sim \text{beta}(\text{shape1}=1.1, \text{shape2}=1.1)$
- 593  $\rho \sim \text{norm}(\text{mean}=0, \text{sd}=1)$
- 594  $\kappa \sim \text{norm}(\text{mean}=0, \text{sd}=1).$

We based hyperparameters for  $\alpha$  and  $1/\tau$  on Daw and colleagues (37). We used the same priors and hyperparameters for all models containing a particular parameter. We used limited-memory quasi-Newton algorithm (L-BFGS-B) to numerically compute MAP estimates, with  $\alpha$  and  $\beta$ bounded between 0 and 1 and  $1/\tau$  bounded below at 0. For each model, we selected the best MAP estimate from 10 random parameter initializations.

For each model for each subject, we fitted a single set of parameters to both training and test data across conditions. We initialized state-action values to zero at the beginning of the training phase for each condition. Data from the training phase consisted of 2<sup>nd</sup>-stage actions and rewards, but we also presented subjects with the 1<sup>st</sup>-stage cues corresponding to the condition being trained (forced or free). Therefore, we fitted the TDRL models assuming that the state-action values associated with the 1<sup>st</sup>-stage fractals also underwent learning during the training phase, and that 606 these backups continued into the test phase, where subjects actually made 1<sup>st</sup>-stage decisions. That 607 is, we initialized the state-action values during the test phase with the final state-action values 608 during the training phase.

We used Schwarz weights to compare models, which provides a measure of the strength of evidence in favor of one model over others and can be interpreted as the probability that a model is best in the Bayesian Information Criterion (BIC) sense(62). We calculated weights for each model as:

613 
$$w_i(\text{BIC}) = \frac{\exp\left(-\Delta_i(\text{BIC})/2\right)}{\sum_{k=1}^{K} \exp\left(-\Delta_k(\text{BIC})/2\right)}$$

so that  $\sum w_i(BIC) = 1$ . We selected the model with the maximal Schwarz weight for each subject. In order to verify that we could discriminate different state-action value estimates and how accurately we could estimate parameters, we performed model and parameter recovery analyses on simulated datasets (Supplementary Fig. 1).

618

619 Statistical analyses. We used generalized linear mixed models (GLMM) to examine differences 620 in choice behavior. When the model did not include trial-specific information (e.g., reward on the 621 previous trial), we aggregated data to the block level. Otherwise, we used choice data at the trial 622 level. We included random effects by subject for all models (random intercepts and random slopes 623 for the variable manipulated in each experiment; maximal expected value, relative expected value, 624 or incoherence for experiments 1, 2, and 3, respectively). We performed GLMM significance 625 testing using likelihood-ratio tests, and we corrected for multiple comparisons in post-hoc tests 626 using Tukey's method. We used generalized additive mixed models (GAMM) to examine choice 627 behavior as a function of trial within a block. We obtained smooth estimates of choice behavior 628 using penalized regression splines, with penalization that allowed smooths to be reduced to zero

- 629 effect(63). We included separate smooths by block. We performed GAMM significance testing
- 630 using approximate Wald-like tests(64).
- 631
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