1   **Tumor-educated Gr1+CD11b+ cells instigate breast cancer metastasis by twisting**

2   **cancer cells plasticity via OSM/IL6–JAK signaling**

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33   **Running title:** OMS/IL6 twist breast cancer plasticity to promote metastasis

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36   M, IL6, JAK, plasticity, heterogeneity

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Abstract

Cancer cell plasticity contributes to tumor therapy resistance and metastasis formation, which represent the main causes of cancer-related death for most cancers, including breast cancer. The tumor microenvironment drives cancer cell plasticity and metastasis and unravelling the underlying cues may provide novel effective strategies to manage metastatic disease. Here we show that stem cell antigen-1 positive (Sca-1$^+$) murine breast cancer cells enriched during tumor progression and metastasis have higher *in vitro* cancer stem cell-like properties, enhanced *in vivo* metastatic ability, and initiate primary tumors rich in Gr1^{high}CD11b$^+$Ly6C^{low} cells. In turn, tumor-educated Gr1$^+$CD11b$^+$ (Tu-Gr1$^+$CD11b$^+$) cells rapidly and transiently convert low metastatic 4T1-Sca-1$^-$ cells into highly metastatic 4T1-Sca-1$^+$ cells via secreted OSM and IL6. Moreover, chemotherapy-resistant and highly metastatic 4T1-derived cells maintain high Sca-1$^+$ frequency through cell autonomous IL6 production. Inhibition of OSM, IL6 or JAK suppressed Tu-Gr1$^+$CD11b$^+$-induced Sca-1$^+$ population enrichment *in vitro*, while JAK inhibition abrogated metastasis of chemotherapy-enriched Sca-1$^+$ cells *in vivo*. Importantly, Tu-Gr1$^+$CD11b$^+$ cells invoked a gene signature in tumor cells predicting shorter OS and RFS in breast cancer patients. Collectively, our data identified OSM/IL6-JAK as a clinically relevant paracrine/autocrine axis instigating breast cancer cell plasticity triggering metastasis.

Introduction

57

58   Metastasis accounts for over 90% of cancer-related death, calling for new strategies

59   to prevent cancer cell dissemination and metastasis formation [1]. Recent studies using

60   single-cell lineage tracing and single-cell RNA sequencing (scRNA-seq) technologies have

61   provided detailed information about intratumor heterogeneity [2,3], whereby genetically,

62   epigenetically and functionally diverse subpopulations of cancer cells exist within the tumor

63   mass, spatially and temporally [4]. Intratumor heterogeneity may arise by modulating cancer

64   cell plasticity, especially of cancer stem cells (CSCs), through cell-intrinsic and -extrinsic

65   mechanisms [5,6].

66   Multiple CSC subpopulations appear to co-exist within the primary tumor mass

67   resulting in high degree of tumor cell heterogeneity and increased aggressiveness [7]

68   including in breast cancer [4,8–11]. In particular, CSCs can acquire metastasis initiating

69   capacities [12] and resistance to therapy, resulting in cancer relapse [4]. Moreover, non-CSCs

70   within the tumor bulk may acquire CSC properties to repopulate the tumor[4]. While CSC are

71   defined rather functionally by their ability to initiate tumors and metastasis in low number

72   *in vivo*, several cell surface markers associated with CSC features have been reported,

73   including CD44, CD24, Sca-1, CD61, CD49f were used to identify breast CSCs [13,14].

74   The interaction of tumor cells with the tumor microenvironment (TME) contributes to

75   tumor cell plasticity and tumor heterogeneity [15,16]. Cells of the TME also promote tumor

76   escape and metastasis through multiple mechanisms, including promotion of

77   angiogenesis, cell survival, invasion, epithelial-mesenchymal transition (EMT), and

78   immunosuppression [17–22]. Recently, they have been reported to instigate expansion of

79   CSC with metastatic ability (also known as metastasis-initiating cells) in different cancers

80   [17,23,24]. Thus, the TME dynamics is a key driver of cancer cell plasticity and heterogeneity

81   promoting tumor growth, progression and metastasis [4]. Accurate characterization of the

82   regulation of tumor plasticity and heterogeneity by the TME may reveal novel opportunities

83   for developing effective anti-metastatic therapies [8,14].

84   TME-derived Oncostatin M (OSM) has been shown to mediate tumor progression

85   and CSC stemness by activating its receptor OSMR [25]. OSM belongs to the IL6 family of

86   cytokines (including IL6 itself, IL11 and LIF) [26,27], whose members bind to dimeric receptors

87   sharing a common subunit (gp130 or IL6ST) and activate JAK-STAT, RAS-MAPK and

88   PI3K-AKT pathways [28,29]. Increased OSM or IL6 expression correlates with reduced

89   survival in breast cancer patients [30,31]. OSM was shown to drive breast cancer progression

90   and metastasis through direct effects on cancer cells, such as suppression of estrogen

91   receptor (ER) expression [31] and promotion of EMT [25,32], and indirect effects via TME cells,

92   in particular the reprogramming of tumor associated macrophages and fibroblasts [33–36].

93   Here, by assessing the metastatic evolution of murine triple-negative breast cancer

94   (TNBC) models *in silico* and *in vivo*, we observed that the Sca-1$^+$ tumor cell subpopulation

95   is enriched during tumor progression. We show that tumor-educated Gr1$^+$CD11b$^+$ cells

96   (Tu-Gr1$^+$CD11b$^+$), but not naïve Gr1$^+$CD11b$^+$ cells from spleen (Spl-Gr1$^+$CD11b$^+$) or bone

97   marrow (BM-Gr1$^+$CD11b$^+$) in tumor-bearing mice, modulate tumor plasticity via OSM/IL6-

98   JAK signaling by rapidly and transiently converting 4T1-Sca-1$^-$ cells into 4T1-Sca-1$^+$ cells

99   with high metastatic capacity. Prolonged exposure of 4T1 cells to chemotherapy stably

100   enriched for metastatic Sca-1$^+$ cells via an autocrine IL6-JAK signaling loop. A short *in vitro*

101   treatment of these chemo-resistant cells with the JAK inhibitor Ruxolitinib, suppressed their

102   metastatic capacity. Importantly, Tu-Gr1$^+$CD11b$^+$ invoked a gene expression signature in

103   4T1 cells that predicted shorter overall survival (OS) and relapse-free survival (RFS) in

104   breast cancer patients, reinforcing the clinical relevance of these findings.

105   Our results reveal a novel mechanism modulating tumor plasticity and triggering the

106   emergence of cancer cells with enhanced metastatic capacity, through paracrine (Tu-

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107 Gr1⁺CD11b⁺-mediated) and cell autonomous (chemotherapy-induced) OSM/IL6-JAK

108 dependent signaling. The OSM/IL6-JAK axis may be considered as a candidate of

109 actionable clinical targets to impinge on metastatic progression and therapy resistance.

110

111 **Results**

112 **The Sca-1⁺ population is enriched during tumor progression and has higher *in vivo***

113 **metastatic capacity**

114   To investigate tumor cell heterogeneity during tumor progression, we first examined

115 the expression of the previously reported breast CSC markers CD24, CD44, CD61, Sca-1

116 and CD49f [13] in the publicly available RNA sequencing (RNAseq) dataset from Ross *et al.*

117 encompassing several murine breast cancer models [37]. This dataset includes data from

118 cultured cancer cells (In_Culture), orthotopic primary tumors (OT_PT), spontaneous lung

119 metastases (OT_LuM), and experimental lung metastases after tail vein injection

120 (TV_LuM) (Fig. 1a and Supplementary Fig. 1a). *Sca-1* expression was elevated in lung

121 metastasis in 4T1, 6DT1, Mvt1 and Met1 models compared with the respective primary

122 tumors. Interestingly, in 4T1, 6DT1 and Mvt1 models, *Sca-1* expression was also elevated

123 in experimental lung metastases compared with cultured cells. However, the expression of

124 *Cd24*, *Cd44*, *Cd61* and *Cd49f* were not altered, or their expression pattern was inconsistent

125 during progression (Supplementary Fig. 1a). Thus, increased *Sca-1* expression during

126 metastasis is consistently observed in different preclinical breast cancer models.

127   To investigate whether the increased *Sca-1* expression within the tumor mass was

128 due to an increased gene expression in all cancer cells or to the enrichment of a Sca-1⁺

129 population, we orthotopically injected 4T1 tumor cells and determined the frequency of

130 different cell populations present in the primary tumor and lung metastases 30 days later

131 by flow cytometry (Fig. 1b). We observed that the frequency of both Sca-1⁺ and CD61⁺

132 populations increased in lung metastases compared to primary tumors (Fig. 1c). In

133 contrast, the CD24$^+$, CD44$^+$ and CD49f$^+$ populations were not significantly altered.

134 The enrichment of the Sca-1$^+$ population in lung metastases prompted us to ask

135 whether Sca-1$^+$ cells actively contribute to the metastasis. To this end, we isolated 4T1-

136 Sca-1$^+$ and 4T1-Sca-1$^-$ cells by magnetic activated cell sorting (MACS) from parental 4T1

137 cells, which contains low frequency of Sca-1$^+$ population (10-15%) (Supplementary Fig.

138 1b, c), and examined their metastatic ability *in vivo*. In the orthotopic injection model, 4T1-

139 Sca-1$^+$ cells formed significantly more lung metastases than 4T1-Sca-1$^-$ cells, while there

140 was no significant difference in primary tumor growth (Fig. 1d-f). Upon tail vein injection,

141 4T1-Sca-1$^+$ cells displayed significantly greater lung colonization ability compared to 4T1-

142 Sca-1$^-$ cells and a non-significant increase compared to parental 4T1 cells (Fig. 1g-i). In

143 addition, 4T1-Sca-1$^+$ cells showed significantly higher *in vitro* mammosphere forming

144 efficiency than 4T1-Sca-1$^-$ cells (Supplementary Fig. 2a), while *in vitro* cell growth,

145 anchorage-independent survival, and cell motility were comparable (Supplementary Fig.

146 2b-d).

147 These results suggest that 4T1-Sca-1$^+$ and 4T1-Sca-1$^-$ cells have similar tumorigenic

148 potential, while 4T1-Sca-1$^+$ cells have higher metastasis-initiating capacity.

149

150 **The Sca-1$^+$ population is plastic *in vitro* and *in vivo***

151 Growing evidence indicates that cancer cells possess plastic features, which can be

152 modulated by both cell-intrinsic factors and microenvironmental cues [37,38]. To characterize

153 the observed plasticity of 4T1-Sca-1$^+$ cells, we first investigated isolated 4T1-Sca-1$^+$ and

154 4T1-Sca-1$^-$ cells *in vitro*. The abundance of Sca-1$^+$ population in 4T1-Sca-1$^+$ cells enriched

155 by MACS sorting (> 75%) gradually decreased to 50% after 4 days of culture

156 (Supplementary Fig. 2e, upper panel), while the Sca-1$^-$ cells (negatively enriched by

157 MACS) regenerated a Sca-1$^+$ population (from less that 1% to 19%) (Supplementary Fig.

158 2e, lower panel). Consistently, after orthotopic injection of 4T1-Sca-1$^+$ cells, the abundance

159 of the Sca-1$^+$ population in the derived tumors decreased from 75% to about 40% after 23

160 days of growth, while in tumors generated from 4T1-Sca-1$^-$ cells it increased from less than

161 1% to 15% (Supplementary Fig. 2f), similar to the frequency of Sca-1$^+$ population in tumors

162 derived from parental 4T1 cells (Fig. 1c). In addition, when tumor cells derived from primary

163 tumors and lung metastases of parental 4T1-injected mice were cultured *ex vivo*, the

164 abundance of the Sca-1$^+$ population significantly decreased from 20% to 4.5% and 60% to

165 19%, respectively (Supplementary Fig. 2g).

166   From these observations we conclude that both Sca-1$^+$ and Sca-1$^-$ populations are

167 highly plastic and this plasticity appears to be modulated *in vivo*.

168

169 **Tumor-educated Gr1$^+$CD11b$^+$ cells expand the metastatic Sca-1$^+$ population**

170   Immune cells in the TME are critical determinants of tumor cells functions and

171 behaviors, including metastatic capacity [39]. To collect evidence for a potential correlation

172 between immune cells and the Sca-1$^+$ population, we characterized the inflammatory cells

173 infiltrating the orthotopic primary tumors. We observed a significant increase of the

174 Gr1^{high}CD11b$^+$Ly6C^{low} population and a significant decrease of the Gr1^{low}CD11b$^+$Ly6C^{high}

175 population in tumors derived from the 4T1-Sca-1$^+$ cells, compared to tumors derived from

176 the 4T1-Sca-1$^-$ cells (Fig. 2a). Gr1^{high}CD11b$^+$Ly6C^{low} cells are immature myeloid

177 progenitors mobilized from the bone marrow by tumor-derived signals capable of

178 establishing an immunosuppressive environment facilitating tumor progression and

179 metastasis [40,41]. Gr1$^+$CD11b$^+$ cells form a homogenous population in the circulation

180 (Supplementary Fig. 3a), consistent with the literature [42], while in the TME they

181 differentiated into two distinct subpopulations, Gr1^{high} and Gr1^{low}. To examine the direct

8

182   contribution of the tumor-educated Gr1⁺CD11b⁺ cells in promoting the enrichment of the

183   Sca-1⁺ population, we isolated Gr1⁺ cells from primary tumors, bone marrow and spleen of

184   4T1 tumor-bearing BALB/c mice by MACS, and co-cultured them *in vitro* with parental 4T1

185   cells (Fig. 2b). Gr1⁺ cells isolated from tumors (Tu-Gr1⁺CD11b⁺), but not from spleen (Spl-

186   Gr1⁺CD11b⁺) or bone marrow (BM-Gr1⁺CD11b⁺), significantly induced the expansion of a

187   Sca-1⁺ population in 4T1 cells (from 12.5% to 81%, p<0.001) in 48 hours (Fig. 2c). To test

188   whether the expansion of a Sca-1⁺ population from 4T1 cells required direct contact with

189   Tu-Gr1⁺CD11b⁺ or was mediated by soluble factors, we compared the induction in two

190   different co-culture setups, either in standard wells (cell contact) or in Transwells, where

191   Tu-Gr1⁺CD11b⁺ and 4T1 cells were separated by a filter with 0.4 μm pores (Fig. 2d). We

192   did not observe any significant difference in the induction efficiency of Sca-1⁺ populations

193   (measured by flow cytometry) between the two conditions and increasing the 4T1:Tu-

194   Gr1⁺CD11b⁺ cell ratio from 1:1 to 1:3 did not further expand the Sca-1⁺ population (Fig.

195   2e). Furthermore, conditioned medium from co-cultured Tu-Gr1⁺CD11b⁺ and 4T1 cells was

196   also capable of subsequently expanding the Sca-1⁺ population from 4T1 cells alone

197   (Supplementary Fig. 3b). Interestingly, the Tu-Gr1⁺CD11b⁺ induced Sca-1⁺ population

198   appeared more stable in time compared to the isolated 4T1-Sca-1⁺ cells (Supplementary

199   Fig. 3c and Supplementary Fig. 2e). More importantly, when Gr1⁺CD11b⁺ cells-primed 4T1

200   cells were injected into the tail vein, Tu-Gr1⁺CD11b⁺ primed ones showed higher lung

201   colonization capacity compared to Spl-Gr1⁺CD11b⁺ primed one (Fig. 2f-h).

202   These results imply that tumor-educated Gr1⁺CD11b⁺ cells induce the emergence of

203   a highly metastatic Sca-1⁺ population through secreted factors.

204

205   **Tu-Gr1⁺CD11b⁺-induced and tumor-inherent Sca-1⁺ populations display distinct**

206   **gene expression profiles**

207   To unravel the molecular basis for the metastatic capacity of the inherent 4T1- Sca-1$^+$

208   population and the Tu-Gr1$^+$CD11b$^+$ induced Sca-1$^+$ population, we first performed

209   transcriptomic profiling of 4T1-Sca-1$^+$ and 4T1-Sca-1$^-$ cells isolated from the parental 4T1

210   line. Pathway enrichment analysis showed that 4T1-Sca-1$^+$ and 4T1-Sca-1$^-$ cells

211   expressed different genes associated with distinct signaling pathways (Fig. 3a). The top

212   200 significantly upregulated and downregulated genes were extracted as Sca1 Positive

213   and Sca1 Negative signatures, respectively (Supplementary Table 1). Next, we performed

214   transcriptomic profiling of Tu-Gr1$^+$CD11b$^+$-primed 4T1, Spl-Gr1$^+$CD11b$^+$-primed 4T1 and

215   parental 4T1 cells. Pathway enrichment analysis revealed that Tu-Gr1$^+$CD11b$^+$ and Spl-

216   Gr1$^+$CD11b$^+$ priming induced distinct transcriptomic alterations in 4T1 cells (Fig. 3b). To

217   focus on the transcriptomic alternations related to the Sca-1$^+$ population conversion, we

218   compared Tu-Gr1$^+$CD11b$^+$-primed vs Spl-Gr1$^+$CD11b$^+$-primed cells. Interestingly, Tu-

219   Gr1$^+$CD11b$^+$-primed 4T1 cells expressed both Sca-1 Positive and Sca-1 Negative

220   signatures (Fig. 3c), suggesting that Tu-Gr1$^+$CD11b$^+$ may twist tumor plasticity by

221   converting the 4T1-Sca-1$^-$ cells into 4T1-Sca-1$^+$ cells, rather than expanding the pre-

222   existing 4T1-Sca-1$^+$ population.

223   To test whether, despite the diverse transcriptional profiles across different Sca-1$^+$

224   populations, there could be a common molecular mechanism underlying their induction

225   and metastatic capacity, we compared the significantly differentially expressed genes

226   between 4T1-Sca-1$^+$ versus 4T1-Sca-1$^-$ and Tu-Gr1$^+$CD11b$^+$ primed 4T1 versus Spl-

227   Gr1$^+$CD11b$^+$ primed 4T1 cells. Strikingly, among a total of 1118 up- and 423 down-

228   regulated genes found when comparing the two conditions, only 56 up- and one down-

229   regulated genes were shared (Fig. 3d and Supplementary Table 1). This observation was

230   consistent with the notion that the Sca-1$^+$ population in Tu-Gr1$^+$CD11b$^+$ primed 4T1 cells

231   was different from the inherent 4T1-Sca-1$^+$ cells. Nonetheless, the fact that the 4T1-Sca-

232   1[+] cells and Tu-Gr1[+]CD11b[+] primed 4T1 cells possessed similar *in vivo* metastatic capacity

233   suggested that among these common pathways some were relevant for 4T1 metastases

234   formation. To this end, we analyzed the publicly available scRNA-seq dataset from 4T1

235   primary tumors of Sebastian et al. [43]. In this dataset, several cell types, including cancer

236   cells, epithelial cells, fibroblasts, distinct subpopulations of myeloid cells were identified [43].

237   To determine significant ligand-receptor interactions from the scRNA-seq data, we

238   performed cell-cell interaction analysis with CellPhoneDB [44] by focusing on the interactions

239   between epithelial/cancer cells and myeloid cells (Fig. 3e, f). The analysis identified 160

240   ligand-receptor interaction pairs (Supplementary Table 2). Among those pairs, OSM

241   receptor (OSMR) and Pyrimidinergic receptor P2Y6 (P2RY6) were the only ones present

242   inside the 56 common genes shown in Fig. 3d. However, P2RY6 interacts with COPA

243   (Coatomer Complex Subunit Alpha), a membrane protein involved in membrane traffic

244   between endoplasmic reticulum and Golgi [45] and, thus, unlikely to mediate cell-cell contact-

245   independent induction of Sca-1[+] population. As the IL6-JAK-STAT3 signaling pathway was

246   upregulated both in the 4T1-Sca-1[+] population and Tu-Gr1[+]CD11b[+]-primed 4T1 cells (Fig.

247   3a, b), we next examined the expression of *Osm*, *Osmr*, *Il6st*, *Il6*, and *Il6* receptor (*Il6ra*) in

248   the Sebastian dataset (Fig. 3g). The expression of *Il6* and *Osm* was restricted to myeloid

249   cells, with *Osm* expression being more prominent, similar to a previous report [33]. *Osmr* was

250   predominantly expressed in tumor cells, while *Il6st* and *Il6ra* were homogenously

251   expressed in all cell types.

252   We then explored their expression in the 4T1-Sca-1[+] cells and Tu-Gr1[+]CD11b[+]

253   primed 4T1 cells. *Osm* and *Il6* expression were very low in all samples (normalized count

254   number less than 7 on average) (Fig. 3h, i), consistent with data in the Sebastian dataset

255   (Fig. 3g). *Osmr* and *Il6ra*, however, were highly expressed in 4T1-Sca-1[+] cells compared

256   with 4T1-Sca-1[-] cells, while the expression of *Il6st* was abundant in both populations,

257   though higher in 4T1-Sca-1$^+$ cells (Fig. 3h). On the other hand, only *Osmr* was significantly

258   upregulated in Tu-Gr1$^+$CD11b$^+$ primed 4T1 compared with Spl-Gr1$^+$CD11b$^+$ primed 4T1

259   (Fig. 3i).

260   Taken together, these results suggest that OSM/OMSR and IL6/IL6R signaling

261   pathways may be involved in the Tu-Gr1$^+$CD11b$^+$-mediated expansion of 4T1-Sca-1$^+$ cells.

262

263   **Tu-Gr1$^+$CD11b$^+$ cells promote Sca-1$^-$ to Sca-1$^+$ population conversion**

264   The above results strongly implied that Tu-Gr1$^+$CD11b$^+$ cells convert Sca-1$^-$

265   population into the Sca-1$^+$ one. To further test this hypothesis, we compared the cell

266   population dynamics in cultured cells and the orthotopic primary tumor by analyzing

267   publicly available scRNA-seq datasets. By integrating scRNA-seq data from 3D cultured

268   4T1 cells (GSM4812003)[46] and tumor cells isolated from orthotopically fat pad-injected 4T1

269   primary tumor (PT) (GSM3502134)[47] (Fig. 4a, b), we observed 5 clusters. Clusters 0, 1, 2,

270   4 were predominant in cultured tumor cells, while cluster 3 was predominant in primary

271   tumors. Single-cell trajectories analysis confirmed that cluster 3 was at the end of the

272   transformation process (Fig. 4c, d). The population dynamics also showed that the fraction

273   of cells in clusters 1, 2 and 4 decreased during the transformation, the one in cluster 0 only

274   minimally increased, while the fraction in cluster 3 massively increased (Fig. 4e).

275   Importantly, very few cultured 4T1 cells expressed *Sca-1* while it was abundantly

276   expressed in the majority of cells in the primary tumor (Supplementary Fig. 4a, upper

277   panel). Consistently, the fraction of cells expressing *Osmr* was higher in the primary tumor

278   compared to cultured cells (Supplementary Fig. 4a, lower panel). Similar observations

279   were obtained when analyzing scRNA-seq data from ER$^+$ human breast cancer model

280   MCF-7. After integrating data from cultured MCF-7 cells (GSM4681765) and tumor cells

281   that were isolated from MCF-7 intraductal injected mammary gland (GSM5904917)[48], 6

282 clusters were identified (Fig. 4f), with clusters 1 and 3 predominant in the cultured MCF-7

283 cells, while clusters 2 and 4 were predominant in the primary tumor (Fig. 4g). Further

284 analysis showed that clusters 2 and 4 expanded during *in vitro* to *in vivo* tumor cell

285 transformation and represented nearly 50% of the *in vivo* primary tumor cells (Fig. 4h-j).

286 Although there is no human homolog of *Sca1* gene, *OSMR* expressing cells were

287 increased upon tumor implantation, especially in clusters 2 and 1 (Supplementary Fig.4b).

288 To further investigate the signals involved in this transformation, we performed

289 GSAE analysis for cluster 3 in 4T1 cells and clusters 2 and 4 in MCF-7 cells, respectively

290 (Fig. 4k-n). By comparing the Hallmark gene signatures, IL6-JAK-STAT3 signature was

291 significantly upregulated in both cell populations (Fig. 4k, m). Interestingly, Sca1 Positive

292 and Sca1 Negative signatures were both upregulated (Fig. 4l, n), which is consistent with

293 our *ex vivo* induction experiment (Fig. 3c). To validate the involvement of Tu-Gr1⁺CD11b⁺

294 during the cell population transformation, we extracted the top 50 upregulated genes

295 (Supplementary Table 3) identified by comparing the Tu-Gr1⁺CD11b⁺ with the Sp-

296 Gr1⁺CD11b⁺-stimulated 4T1 cells as Tu-Gr1⁺CD11b⁺ induced signature. Both cell

297 populations predominant in the primary tumor in both 4T1 and MCF-7 models, upregulated

298 the Tu-Gr1⁺CD11b⁺ induced signature (Fig. 4l, n).

299 These data, together with our *in vivo* observations (Fig. 1a-c) and *ex vivo* coculture

300 experiments (Fig. 2b-e and Fig. 3c), indicate that Tu-Gr1⁺CD11b⁺ convert the Sca-1⁻

301 population to Sca-1⁺ population, likely, via OSM/IL6 signaling pathway.

302

303 **OSM/IL6-JAK pathway mediates Tu-Gr1⁺CD11b⁺-induced Sca-1⁺ population**

304 **enrichment**

305 To experimentally interrogate the role of OSM/IL6 in modulating the Sca-1⁺

306 population, we first measured *Osm* and *Il6* mRNA expression in Spl-Gr1⁺CD11b⁺ and Tu-

13

307 Gr1$^+$CD11b$^+$. Indeed, both *Osm* and *Il6* mRNA levels were significantly elevated in Tu-

308 Gr1$^+$CD11b$^+$ (Fig. 5a). To functionally validate the role of OSM/IL6 in the generation of

309 Sca-1$^+$ population, we treated 4T1 cells directly with recombinant OSM and IL6 proteins *in*

310 *vitro* and measured the effect on the Sca-1$^+$ population. After 2 days of treatment, both

311 OSM and IL6 significantly increased the frequency of Sca-1$^+$ cells from 16% to 38.8% and

312 26.5%, respectively (Fig. 5b). Conversely, blocking OSM and IL6 activities using anti- OSM

313 or -IL6 neutralizing antibodies significantly reduced the emergence of Sca-1$^+$ population

314 induced by Tu-Gr1$^+$CD11b$^+$ conditioned medium (Fig. 5c). The combination of anti-OSM

315 and -IL6 antibodies did not have additive effects suggesting that OSM and IL6 both

316 contribute in promoting Sca-1$^+$ population by sharing the same signaling cascades.

317   OSMR and IL6R signal by activating the intracellular Janus tyrosine kinase (JAK)

318 [49]. To explore the involvement of the JAK pathway in the emergence of the Sca-1$^+$

319 population, we treated 4T1 cells with the JAK inhibitor (Ruxolitinib) during exposure to

320 recombinant OSM and IL6. Ruxolitinib treatment prevented the emergence of the Sca-1$^+$

321 population in response to recombinant OSM and IL6 (Fig. 5d).

322   From these results, we conclude that OSM/IL6-JAK pathway mediates Tu-

323 Gr1$^+$CD11b$^+$ -induced Sca-1$^+$ population enrichment.

324

325 **Tu-Gr1$^+$CD11b$^+$-induced Sca-1$^+$ population and 4T1-inherent Sca-1$^+$ population have**

326 **distinct CSC and EMT gene expression profiles**

327   OSM/IL6-JAK signaling has been reported to support tumor progression by promoting

328 a CSC phenotype and epithelial-mesenchymal plasticity [25,36,50]. To further characterize

329 CSC and EMT features in 4T1-Sca-1$^+$ cells and Tu-Gr1$^+$CD11b$^+$ induced Sca-1$^+$

330 population, we used our RNAseq data to explore the expression of 17 stem cell and EMT

331 markers (Supplementary Fig. 5). The stem cell markers *Oct4* (*Pou5f1*), *Sox2* and *Nanog*

14

were undetectable or very low in all or some samples. 4T1-Sca-1$^+$ cells had higher expression of *Aldh1a1*, *Aldh3a1* and *Podxl* but lower expression of *Klf4* and *Sox9* compared to 4T1-Sca-1$^-$ cells. There was no difference in the expression of *Abcg2* and *Has2*. Tu-Gr1$^+$CD11b$^+$ primed 4T1 cells had higher expression of *Klf4* and *Has2*, lower expression of *Aldh1a1*, *Aldh3a1* and *Sox9*, and similar expression of *Podxl* when compared with Spl-Gr1$^+$CD11b$^+$ primed 4T1. Among them, only *Has2* expression was specifically elevated in Tu-Gr1$^+$CD11b$^+$ primed 4T1 compared with control 4T1 and Spl-Gr1$^+$CD11b$^+$-primed 4T1 (Supplementary Fig. 5a). On the other hand, 4T1-Sca-1$^+$ cells had lower expression of *Cdh1* and higher expression of *Snail1*, *Twist1*, *Vim* and *Foxc1* which support an EMT status, although *Zeb1* expression was reduced (Supplementary Fig. 5b). Globally, the expression of most of the EMT genes were similar between Tu-Gr1$^+$CD11b$^+$ and Spl-Gr1$^+$CD11b$^+$ primed 4T1 cells, except for *Snail2* and *Vim*, whose expression was suppressed in Tu-Gr1$^+$CD11b$^+$ primed 4T1 (Supplementary Fig. 5b).

Taken together, these results indicate that the Tu-Gr1$^+$CD11b$^+$-induced Sca-1$^+$ population and inherent Sca-1$^+$ population have different CSC and EMT transcriptional profiles, reinforcing the notion that, Tu-Gr1$^+$CD11b$^+$-induced Sca-1$^+$ population are not just enriched tumor-inherent Sca-1$^+$ population

Chemotherapy enriches a Sca-1$^+$ population with CSC features

CSC and cancer cell plasticity contribute to drug resistance in various tumor types, including breast cancer [51–55]. The above results, including the significantly elevated expression of *Aldh3a1* (a marker of drug resistance) in the 4T1-Sca-1$^+$ population prompted us to investigate the resistance of this population to chemotherapy. To this end, we treated 4T1 cells for 48 hours *in vitro* with methotrexate (MTX) and doxorubicin (Dox), two widely used chemotherapy drugs, including in breast cancer. The 48 hours treatment

15

357   of either drug increased the frequency of Sca-1$^+$ population in 4T1 cells (Fig. 6a). Next, we

358   mimicked a clinically relevant situation of cancer cells escaping chemotherapy by exposing

359   4T1 cells to 28 nM MTX, which is slightly higher than the IC$_{50}$ concentration of the drug

360   (REF!!), for up to 3 weeks and recovered the surviving cells by switching to normal medium

361   (Fig. 6b). The selected cell line, named MR13, was highly enriched in Sca-1$^+$ cells (>60%)

362   (Fig. 6c). Compared to parental 4T1 tumor cells, MR13 cells exhibited a higher

363   mammosphere forming efficiency (Fig. 6d), lower *in vitro* proliferative capacity (Fig. 6e),

364   increased survival under non-adhesive conditions (Fig. 6f) and increased cell mobility (Fig.

365   6g), which were consistent with CSC-like properties. When tested in a 48-hours cytotoxicity

366   assay, MR13 cells were more resistant against MTX compared to parental 4T1

367   (Supplementary Fig. 6a).

368

369   **MR13-derived tumors are highly metastatic and rich in Gr1^{high}CD11b$^+$ Ly6C^{low} cells**

370   To characterize the *in vivo* behavior of MR13 cells, we orthotopically implanted them

371   into BALB/c mice and monitored the progression. MR13 cells formed smaller primary

372   tumors relative to parental 4T1 cells, that were more metastatic to the lung (Fig. 6h-j) and

373   enriched in Gr1^{high}CD11b$^+$ Ly6C^{low} cells compared to 4T1 tumors (Fig. 6k), similarly to 4T1-

374   Sca-1$^+$-derived tumors (Fig. 2a). Strikingly, we observed metastases in the heart

375   (Supplementary Fig. 6b), which we never observed with the parental 4T1 cells. MR13 cells

376   retained a large fraction of the Sca-1$^+$ population *in vitro*, even when cultured in the

377   absence of MTX (Fig. 6c) and upon *in vivo* expansion (Fig. 6l). Such stability of Sca-1

378   population contrasted with 4T1-Sca-1$^+$ cells and Tu-Gr1$^+$CD11b$^+$-primed 4T1 cells

379   (Supplementary Fig. 2e, g) that, upon isolation or induction reverted to a Sca-1$^-$ phenotype,

380   suggesting that MR13 line was capable of self-sustain its own Sca-1$^+$ population.

381   Taken together, chemotherapy-selected MR13 cells share some similar *in vivo*

382   characteristics as 4T1-Sca-1$^+$ cells, while they are capable of self-sustaining high Sca-1$^+$

383   abundancy both *in vitro* and *in vivo.*

384

385   **IL6/IL6R-JAK autocrine signaling maintains Sca-1 positivity and metastatic capacity**

386   **in MR13 cells**

387   To better understand the chemotherapy-induced alterations in MR13 cells, we

388   performed transcriptomic analyses comparing MR13 and parental 4T1 cells. Pathway

389   enrichment analysis showed that the IL6-JAK-STAT3 signature was also elevated in MR13

390   cells (Fig. 7a). Importantly, MR13 gene expression significantly positively correlated with

391   the Sca1 Positive signature. At the same time, it negatively correlated with the Sca1

392   Negative signature (Fig. 7b). This observation suggested that chemotherapy enriched for

393   the inherent 4T1-Sca-1$^+$ population, rather than converting plastic Sca-1$^-$ cells, as observed

394   upon Tu-Gr1$^+$CD11b$^+$-stimulation. In addition, *Osmr*, *Il6*, *Il6ra* and *Il6st* were all

395   overexpressed in MR13 cells compared to parental 4T1 cells, while the *Osm* expression

396   was not altered (Fig. 7c). To functionally validate the IL6-JAK signaling pathway in Sca-1$^+$

397   population maintenance, we treated MR13 cells with Ruxolitinib *in vitro* for 48 hours. The

398   treatment significantly decreased the fraction of Sca-1$^+$ cells (Fig. 7d). Importantly, *in vitro*

399   treatment of MR13 cells with Ruxolitinib for 3 days nearly completely abolished their lung

400   metastatic capacity upon tail vein injection (Fig. 7e-f).

401   Altogether, these data suggest that MR13 cells sustain the metastatic Sca-1$^+$

402   population through cell-autonomous activation of the IL6-JAK signaling pathway.

403

404   **Tu-Gr1$^+$CD11b$^+$ invoked tumor cell signature predicts shorter overall and relapse-**

405   **free survival in breast cancer patients**

17

406　　　To evaluate the clinical relevance of the crosstalk between Tu-Gr1$^+$CD11b$^+$ and tumor

407　　cells, we tested whether the Tu-Gr1$^+$CD11b$^+$-induced 4T1 signature could predict cancer

408　　progression in patients. To this end, we interrogated the METABRIC dataset [56] with the

409　　Tu-Gr1$^+$CD11b$^+$-induced signature. Thirty-two human orthologue genes (Supplementary

410　　Table 3) in the murine 50 genes signature were present in the METABRIC dataset. Patients

411　　with the higher expression level of the signature had shorter overall survival (OS; p =

412　　0.0056) and relapse-free survival (RFS; p = 0.032) (Fig. 8a, b). Notably, OSM expression

413　　positively correlated with the signature in all patients (Supplementary Fig. 7a), suggesting

414　　OSM do contribute to altered signature expression in patients. Of the thirty-two genes, five

415　　(*MX1, IRF7, OAS1, CMPK2, ISG15*) were commonly discriminant for a shorter OS and

416　　RFS (Fig. 8c, d), and taken together, they further enhanced the predictive power (OS:

417　　p=0.00055; RFS: p=0.00069) (Fig. 8e, f).

418　　　These data indicate that a small set of genes issued from the murine tumor cell

419　　signature invoked by Tu-Gr1$^+$CD11b$^+$ cells can predict a shorter OS and RFS in breast

420　　cancer patients, thereby reinforcing the clinical significance of the proposed model.

421

422　Discussion (1470 words -> 1689 NOW … OMG)

423　　　Metastatic disease and therapy resistance are the leading causes of breast cancer

424　　mortality, calling for novel approaches to effectively prevent and cure for metastasis and

425　　therapy resistance. This is particularly relevant for TNBC, where in spite of the recent

426　　encouraging results with targeted therapies, such as PARP inhibitors for tumors with

427　　germline BRCA mutations, or checkpoint inhibitors for PD-L1$^+$ tumors, management of

428　　metastatic disease remains challenging [57–60]. It has been proposed that CSCs present in

429　　the primary tumor are responsible for tumor persistence, metastasis, and therapy

430　　resistance [52,61]. Enrichment and differentiation of CSC contribute to tumor heterogeneity

18

431   [17,19–22]. Importantly, CSC features can be intrinsic or plastic and be modulated by cues from

432   the TME [62–64].

433   Here, we have interrogated the contribution of the Sca-1$^+$ population to breast

434   cancer metastasis and its modulation by the TME. We reported that tumor-educated

435   Gr1$^+$CD11b$^+$ cells (Tu-Gr1$^+$CD11b$^+$) instigate cancer metastasis by twisting cancer cell

436   plasticity and enriching for a Sca-1$^+$ population, with enhanced metastatic capacity. We

437   identified OSM/IL6-JAK as a paracrine communication axis between Tu-Gr1$^+$CD11b$^+$ and

438   breast cancer cells and as an autocrine loop in chemotherapy-resistant tumor cells,

439   promoting tumor heterogeneity, CSC features and metastatic capacity. Importantly, breast

440   cancer patients expressing high levels of the human orthologues of the gene expression

441   signatures invoked by Tu-Gr1$^+$CD11b$^+$ have significantly shorter OS and RFS, reinforcing

442   the clinical significance of our findings. While some of these elements have been reported

443   individually before, our results extend these observations by providing an integrative view

444   of paracrine (Tu-Gr1$^+$CD11b$^+$-induced) and autocrine (chemotherapy-induced)

445   communication in regulating tumor heterogeneity, cancer cell plasticity, metastasis, and

446   resistance to chemotherapy.

447   A main observation stemming from this study is that Sca-1$^+$ population exists under

448   three different conditions: as inherent 4T1-Sca-1$^+$ population, upon exposure to Tu-

449   Gr1$^+$CD11b$^+$ cells and upon chemotherapy treatment (MR13). All these populations had

450   higher metastatic ability compared to their counterpart controls. GSEA analysis

451   suggested that the Tu-Gr1$^+$CD11b$^+$-induced Sca-1$^+$ population was likely to convert from

452   the Sca-1$^-$ population (Fig. 3c and Fig. 4), while the Sca-1$^+$ population surviving

453   chemotherapy (MR13) appeared to be enriched from an inherent Sca-1$^+$ population (Fig.

454   7b). In addition, the different gene expression signature of Tu-Gr1$^+$CD11b$^+$-induced Sca-

455   1$^+$ population relative to the inherent Sca-1$^+$ cells suggest a remarkable functional

19

plasticity of these cells. This plasticity is further supported by comparing single cell gene expression of murine 4T1 and human MCF-7 breast cancer cells, using publicly available scRNA-seq datasets, before and after *in vivo* growth (Fig. 4). *In vivo*, tumor cells undergo a transformation enriching for Sca-1 (in the mouse), OSMR expressing cells, Sca1 Positive, Sca1 Negative and Tu-Gr1$^+$CD11b$^+$-induced signatures, and IL6-JAK signaling pathway from precursor cells (Sca-1$^-$ in the mouse) (Fig. 4). Gong *et al.* have reported that sorted Sca-1$^-$ 4T1 cells could be transiently transformed into a Sca-1$^+$ population by radiotherapy [65]. An analogous observation was reported in colorectal cancer, where selective ablation of LGR5$^+$ CSCs in organoids leads to initial tumor regression, followed by regrowth driven by LGR5$^+$ CSCs reemerging from the LGR5$^-$ population [66]. Taken together, our observations further consolidate the notion that cancer consists of a heterogenous and plastic tumor mass, including highly metastatic cell populations, by demonstrating that tumor recruited and educated Gr1$^+$CD11b$^+$ cells contribute to such plasticity by inducing the conversion of low metastatic Sca-1$^-$ population into a highly metastatic Sca-1$^+$ population. Dedicated time course scRNA-seq analyses together with lineage tracing experiments may help to further characterize the detailed origin, development, fate, and function of these Sca-1$^+$ populations during cancer progression.

Recruitment and accumulation of Gr1$^+$CD11b$^+$ cells in the TME, particularly through the chemokines CCL2, CXCL1 and CXCL2, or IL-33, is considered a critical step for their contribution to tumor progression and metastasis [67–70]. Consistent with these observations, tumors derived from sorted 4T1-Sca-1$^+$ cells, or MR13 cells that are intrinsically enriched for Sca-1$^+$ cells, have a higher content of Gr1^{high}CD11b$^+$Ly6C^{low} cells compared to tumors derived from 4T1-Sca-1$^-$ or parental 4T1 cells, respectively (Fig. 2a and 5k). Beyond recruitment, tumor-mediated education of Gr1$^+$CD11b$^+$ cells appears to be necessary to gain higher metastatic activity: Only Gr1$^+$CD11b$^+$ cells recovered from primary tumors (but

481 not from spleen or bone marrow) induced Sca-1 positivity and enhanced metastatic ability

482 in 4T1 cells (Fig. 2c, e). The role of Gr1$^+$CD11b$^+$ cells in promoting metastasis has been

483 mainly attributed to promotion of angiogenesis, EMT and immunosuppression [17,68]. Peng

484 *et al.*, reported the ability of those cells to endow CSC-like features to breast cancer cells

485 but their metastatic capacity was not interrogated [17]. Our observations suggest a self-

486 sustaining positive feedback mechanism between highly metastatic cancer cells (Sca-1$^+$

487 population) and Gr1$^+$CD11b$^+$ cells: inherent Sca1$^+$ CSC-like, metastatic, cells promote

488 recruitment and local education of Gr1$^+$CD11b$^+$ cells, which in turn promote tumor

489 heterogeneity, cancer cell plasticity and metastatic capacity by converting low-metastatic

490 Sca1$^-$ cells into additional high-metastatic Sca1$^+$ cells (Fig. 9).. Recently, it was reported

491 that neutrophils escorting blood circulating tumor cells (CTCs) expands the metastatic

492 potential of CTCs [71]. While this effect was attributed to the promotion of cell cycle

493 progression of CTCs through direct contact with the neutrophils, in light of our findings, one

494 may also consider the possibility that clustered neutrophils may also promote the

495 expansion of a CSCs-like phenotype with higher metastatic capacity. Interestingly, OSM

496 was reported to be expressed by neutrophils cocultured with breast cancer cells [36] and to

497 promote phenotypic changes associated with mesenchymal and stem cell-like

498 differentiation in breast cancer [36,72]. Together with our observation, these findings further

499 reinforce the notion that boosting the *Osm* expression in Gr1$^+$CD11b$^+$ cells is part of their

500 educating program prompted by the tumor. One outstanding question raised by these

501 observations is by which mechanisms and pathways cancer cells, in particular Sca-1$^+$

502 ones, educate Gr1$^+$CD11b$^+$ cells to acquire cancer plasticity-promoting activity.

503   Besides directly activating tumor cells, OSM has also been shown to remodel

504 macrophages and fibroblasts of the TME [33,36]. Araujo *et al.* recently reported that OSM

505 derived from tumor-infiltrating myeloid cells reprogram fibroblasts to secrete VEGF and the

21

506   chemokines CXCL1 and CXCL16, resulting in enhanced myeloid cell recruitment and

507   breast cancer progression [33]. Here we extend these observations by demonstrating that

508   tumor-educated Gr1+CD11b+-derived OSM/IL6 twist cancer cell plasticity by promoting a

509   rapid but reversible conversion of Sca-1- cells into more metastatic Sca-1+ cells (Fig. 5b).

510   We further broaden the implications of the OSM/IL6-JAK axis, by demonstrating that MR13

511   cells that have escaped chemotherapy hijacked this paracrine mechanism in a cell

512   autonomous manner by elevating the *Il6* expression (Fig. 9). Significantly, a short *in vitro*

513   treatment with Ruxolitinib effectively abrogated their metastatic capacity (Fig. 7e, f).

514   While OSM/OSMR is the only interaction pair identified by the cell-cell interaction

515   analysis (Fig. 3f, g), it is possible that other molecular mechanisms may also play roles in

516   modulating Sca-1+ cell plasticity and metastasis. One candidate is the IL6/IL6R

517   communication axis, which was less prominent in the cell-cell communication analysis (Fig.

518   3f, g), but was highly expressed in our experimental data in Tu-Gr1+CD11b+ compared with

519   Spl-Gr1+CD11b+ (Fig. 5a), and in chemotherapy-resistant MR13 cells compared with 4T1

520   (Fig. 7c). Moreover, the neutralization of IL6 also suppressed the Tu-Gr1+CD11b+

521   conditioned medium induced Sca-1+ cell enrichment, much alike OSM inhibition (Fig. 5c).

522   Importantly, we demonstrated that a human orthologue signature of the 4T1 gene

523   expression signature invoked by Tu-Gr1+CD11b+ can predict a significantly shorter OS and

524   RFS in breast cancer patients (Fig. 8). This finding strengthens the clinical significance of

525   the observed crosstalk between Tu-Gr1+CD11b+ and tumor cells. Strikingly, the five genes

526   that significantly contribute to the discriminatory power of the signature are genes related

527   to native or viral immunity or regulated by interferon. While expression of interferons and

528   interferon response genes in breast cancer has been mainly associated with tumor

529   suppression and improved survival [73] , there is evidence also correlating interferon

530   responses with tumor promotion, therapy resistance and reduced survival [74]. As

22

531   JAKs/STATS are activated by both IFN and OSM/IL6 receptors, it is conceivable that

532   OSM/IL6 only activates a subset of the IFN-induced genes with tumor-promoting activity,

533   as the case for Mx1 [75]. Consistent with our findings, JAK/STAT signaling has recently been

534   shown to initiate the lineage plasticity in prostate cancer as well as to promote lineage

535   plasticity-driven targeted therapy resistance in a stem-like subpopulation of prostate cancer

536   [76,77]. On the other hand, Aouad *et al.* showed that epithelial-mesenchymal plasticity is

537   essential for the generation of a dormant cell state of ER$^+$ breast cancer during progression,

538   and the activation of IL6-JAK-STAT signaling triggers tumor cell awakening and recurrence

539   [48].

540   One crucial question raised by these results is whether the OSM/IL6-JAK pathway is

541   a potential actionable clinical target to impinge on metastatic progression and therapy

542   resistance. In particular, the observation that a short *in vitro* treatment of the highly

543   metastatic MR13 cells profoundly suppressed their metastatic capacity *in vivo* (Fig. 7e, f),

544   suggests potential long-lasting effects consistent with an adjuvant effect. JAK inhibitors are

545   being tested in clinical trials in breast cancer. A phase I study combining Ruxolitinib with

546   paclitaxel in HER2-negative metastatic breast cancer showed good tolerability and

547   evidence of activity [78]. A phase I/II trial of Ruxolitinib in combination with trastuzumab in

548   metastatic HER2 positive breast cancer and a phase II study combining Ruxolitinib with

549   capecitabine in advanced HER2$^-$ breast cancer, however, did not improve progression-free

550   survival [79,80]. The absence of benefits in these studies in advanced breast cancer and our

551   reported mechanistic observations on metastatic progression, raise the question of

552   whether the JAK inhibitors should be considered in adjuvant setting in high-risk patients,

553   to prevent progression to metastases, rather than treating patients already bearing

554   metastases.

555   In conclusion, we reported here that a subpopulation of tumor cells within the tumor

556   mass educates requited Gr1$^+$CD11b$^+$ cells to convert a low metastatic subpopulation into

557   highly metastatic one, through the OSM/IL6-JAK signaling axis (Fig. 9). The clinical

558   relevance of this observation is supported by human transcriptomic data. This process is

559   hijacked by tumor cells that survived chemotherapy and evolved toward a highly metastatic

560   phenotype via cell autonomous IL6-JAK signaling. Importantly, a short *in vitro* treatment

561   with a clinically approved JAK inhibitor, Ruxolitinib, suppresses their metastatic capacity

562   these cells *in vivo.* These results should stimulate considering testing JAK inhibitors in the

563   adjuvant setting in TNBC breast cancer patients at high-risk for metastatic progression.

564

565

566   **Methods**

567   **Cell culture.** The 4T1 murine breast cancer cell line was kindly provided by Dr Fred R.

568   Miller (Michigan Cancer Foundation, Detroit, MI, USA). 4T1 cells were cultured in high

569   glucose DMEM supplemented with 10% heat-inactivated FBS, 1% penicillin–streptomycin

570   (P/S, from Gibco) and 1% Non-Essential Amino Acid (Gibco).

571

572   **Tumor models.** 4T1, MR13, sorted 4T1-Sca-1$^+$ and 4T1-Sca-1$^-$ (5×10^4 cells in 50µl

573   PBS/10% of 8.1 mg/ml Matrigel Matrix, were injected in the fourth right mammary gland of

574   mice. Prior to surgery, ketamine (1.5 mg/kg) and xylazine (150 mg/kg) (both from Graeub)

575   were injected intra-peritoneally to anesthetize the animals. Immune cell populations were

576   analyzed at different time points post-tumor cell injection. Tumor length and width were

577   measured twice a week with caliper and used to calculate tumor volume by the following

578   equation: volume = (length x width^2) x π/6. Tumors were collected and weighted at

579   necropsy. For the intravenous injections, 2×10^5 sorted 4T1-Sca-1$^+$ and 4T1- Sca-1$^-$ tumor

580   cells resuspended in a volume of 50 µl of PBS were injected into the mice tail vein. Lung

581   metastases were quantified 10 days post-injection. At each indicated time point, mice were

582   sacrificed according to defined ethical criteria and were killed by CO_2 inhalation followed

583   by neck dislocation or terminal bleeding. All animal procedures were performed in

584   accordance with the Swiss legislations on animal experimentation and approved by the

585   Cantonal Veterinary Service of the Cantons Vaud and Fribourg for experiments in

586   Lausanne and Fribourg (VD_1486.2; 2017_34_FR; 2017_34_FR, 2014_58_FR; 2011-33-

587   FR).

588

589   **Reagents and chemicals.** Growth factor reduced Matrigel Matrix (MG) was obtained from

590   Becton Dickinson (BD Biosciences). Collagenase I was purchased from Worthington and

591   DNAse I from Roche. Bovine serum albumin (BSA), crystal violet (CV) and

592   paraformaldehyde (PFA) were obtained from Sigma-Aldrich. Drugs, inhibitors and

593   cytokines: Doxorubicin and Methotrexate (generously provided by the Department of

594   Oncology, University Hospital, University of Lausanne, Lausanne, Switzerland),

595   Ruxolitinib (JAK inhibitor, Cat N°7064, Biotechne), anti-mouse Oncostatin M (R&D

596   systems), anti-mouse IL-6 (BioxCell), recombinant mouse Oncostatin M and IL-6

597   (Biolegend, Cat #: 762802 & 575702 respectively).

598

599   **Antibodies.** The following anti-mouse antibodies were used following manufacturer's

600   instructions: anti-CD16/CD32 Fc blocking antibody (BD Biosciences), anti-CD24-FITC

601   (clone M1/69, eBioscience), anti-CD29-PE and-PE-Cy5 (clone HMβ1-1, BioLegend), anti-

602   Sca-1-APC (clone D7, eBioscience), anti-CD61-Alexa 647 (clone 2C9.62(HMβ3-1),

603   BioLegend, anti-CD45-PE (clone 30-F11, BD Biosciences), anti-Gr1-eFlour450 (clone

604   RB6-8C5,eBioscience), anti-Ly6C FITC (clone HK1.4, BioLegend), anti-Ly6G-APC (clone

605   1A8-Ly6g,eBioscience), anti-F4/80 PerCP/Cy5 (clone BM8, eBioscience), anti-CD11b-PE-

25

606   Cy7 (clone M1/70,eBioscience), anti-CD11c-APC-eFluor780 (clone N418, eBioscience),

607   anti-CD4-FITC (clone 6K1.5, eBioscience), anti-CD8-PE (clone 53-6.7, eBioscience), anti-

608   B220-APC (clone RA3-6B2, eBioscience), anti-CD49b-eFlour450 (clone DX5, BioLegend),

609   Annexin V-APC (clone B217656, BioLegend), Propidium Iodide-PerCP (Clone V13245,

610   Life technologies).

611

612   **Magnetic cell sorting (MACS).** MACS separators were used for positive and negative cell

613   selections based on manufacturer's instructions. Briefly, cells were counted and resuspend

614   in 500 µl of MACS buffer with 10 µl of fluorescent coupled antibody of interest (APC-

615   conjugated anti-Sca-1 and PE-conjugated anti-Gr1) per 10^7 cells added. Cells were

616   incubated for 30 minutes in the dark at 4°C and then washed with MACS buffer. After

617   centrifugation and resuspension in 80 µl of MACS buffer per 10^7 cells, 20 µl of anti- APC-

618   conjugated magnetic beads (Miltenyi Biotec) per 10^7 cells was added. After a 20 minutes

619   incubation in the dark at 4°C, cells were washed with MACS buffer. After the centrifugation

620   they were resuspended in MACS buffer and the magnetic separation was performed using

621   LS MACS column (maximum 10^8 labeled cells) for positive selection and LD MACS column

622   (maximum 10^8 labeled cells) for negative selection (Miltenyi Biotec). The purity of positive

623   subpopulation was >70% and <99% for the negative subpopulation.

624

625   **Co-culture of Gr1$^+$CD11b$^+$ cells sorted from tumors or spleen of 4T1 tumor bearing**

626   **mice**. Gr1$^+$ cells were sorted from tumors or spleen at day 23 post-injection (see below

627   Magnetic beads cell sorting section). Afterwards, 1.5×10^5 4T1 cells were co-cultured in 6

628   wells plates using Transwell plates (0.4 µm, Nunc, ThermoFisher Scientific) with

629   Gr1$^+$CD11b$^+$ sorted cells (top) and 4T1 cells (bottom) at different 4T1: Gr1$^+$CD11b$^+$ ratios

630   (1:1, 1:3 and 1:5). After 48 hours of co-culture, cells were analyzed for Sca-1 expression

631   and gene expression by flow cytometry and semi-quantitative real-time qPCR as indicated.

632

633   **Flow cytometry analysis on tissue samples.** Mice were sacrificed at different time points

634   for blood and tumors collection. Tumors were cut in small pieces with scissors, washed,

635   and digested in serum free medium supplemented with Collagenase I and DNAse I

636   (Roche). The mixture was incubated at 37 °C for 45 minutes on a shaking platform.

637   Subsequently, serum-supplemented medium was added to neutralize the enzymatic

638   reaction and the tissue suspensions were filtered through a 100 µm and a 70 µm sterile

639   nylon gauzes. Upon centrifugation (5 minutes at 1400 rpm), pellets were recovered and

640   red blood cells lysed with ACK buffer (Biolegend). The staining procedure and the flow

641   cytometry acquisition are as described previously [81]. Data acquisition was performed using

642   the FACSCalibur (BD Biosciences) or MACSQuant flow cytometer from Miltenyi Biotec and

643   data analyzed by FlowJo v10.0.7 (tree Stat Inc.).

644

645   ***In vitro* cell proliferation assay**. Cells were collected and seeded in tissue culture 96-

646   wells-plates (Costar) at 3'000 cells/well. Cells were grown in complete medium for 24, 36,

647   72 and 96 hours. At each time point cells were washed once with PBS, then fixed with 4%

648   PFA and stained with 0.5% crystal violet solution for 0.5 hours. The stained cells were

649   gently washed with deionized water to remove the extra dye and air-dried overnight at

650   room temperature. After solubilizing the dye with crystal violet eluting buffer (70% ethanol

651   and 1% acetic acid), cell viability was assessed by reading the absorbance at 595 nm

652   wavelength in a multiwell plate reader (Modulus II microplate reader, Turner Biosystems).

653   Results were analyzed by Prism (Graph pad software, Inc.) expressed as mean values of

654   optical density (OD) of octuplet determinations ± SEM.

27

655

656 *In vitro* **cytotoxic assay.** Tumor cells were plated at a concentration of 3'000 cell/well into

657 96-wells plates. The following day, a series of concentrations of the different drugs were

658 supplemented to the culture medium. Untreated control cells were kept in normal culture

659 medium. Cell viability of each well was assessed with crystal violet staining 48 hours after

660 treatment, as described above. Results were analyzed by Prism software by a non-linear

661 regression analysis and expressed as relative cell viability compared with non-treated

662 control. The 50% maximum inhibition concentrations (IC_{50}) were used to determine the

663 drug-resistant ability of treated cells.

664

665 **Real-time reverse transcription qPCR and primers.** Changes in mRNA expression

666 levels were determined by semi-quantitative real-time qPCR. RNA samples were obtained

667 from adherent cells using RNeasy kit from QIAGEN according to manufacturer's

668 instructions. From each sample, 1 µg RNA was retro-transcribed using SuperScript II

669 Reverse Transcriptase kit (Life Technologies – Invitrogen), according to manufacturer's

670 instructions. The reactions were performed in a StepOnePlus™ thermocycler (Applied

671 Biosystems, Life Technologies – Invitrogen) using the KapaSYBR® FAST SYBR Green

672 Master Mix (Kapa Biosystems). Each reaction was performed in triplicates and values were

673 normalized to murine 36B4 housekeeping gene. The comparative C_t method was used to

674 calculate the difference of gene expression between samples. The following murine-

675 specific primers (Microsynth AG) were used:

676 Sca-1 (F:5'-TCAGGAGGCAGCAGTTATTGTG-3',R: 5'-TGGCAACAGGAAGTCTTCACG-

677 3'), 36B4(F:5'-GTGTGTCTGCAGATCGGGTAC-3',R:5'-CAGATGGATCAGCCAGGAAG-

678 3'), OSM (F: 5'- ATGCAGACACGGCTTCTAAGA-3', R: 5'- TTGGAGCAGCCACGATTG

679 G-3'), OSMR: (F: 5'- CATCCCGAAGCGAAGTCTTGG-3', R: 5'-

680 GGCTGGGACAGTCCATTC TAAA-3'), IL-6: (F: 5'- TACCACTTCACAAGTCGGAGGC-3',

681 R: 5'- CTGCAAGTGCATCAT CGTTGTTC-3').

682

683 **Histopathology.** Tumors and lungs were harvested at the end of the experiments, fixed in

684 formalin and embedded in paraffin. 5 µm thick serial sections were cut from the tissue

685 blocks. 3-4 sections taken at 100 µm distance were stained with hematoxylin and eosin

686 (H&E) and used to assess tumor morphology and quantify lung metastasis. Slides were

687 scanned by Nanozoomer (Hamamatsu Photonics) and metastasis were counted manually

688 using NDP.viewer2 software (Hamamatsu Photonics). Metastatic index was calculated by

689 normalizing the metastasis number with the volume of primary tumor.

690

691 **Anoikis assay**. A 6 wells plate was coated with 500 µl of 3% Poly (2-hydroxyethyl

692 methacrylate) (poly-HEMA) (Sigma-Aldrich) dissolved in 95% ethanol overnight. Wells

693 without poly-HEMA served as control. The day after, 2×10^5 cells resuspended in DMEM

694 medium were seeded. The plate was incubated at 37°C for 24 hours. Cells were collected,

695 centrifuged and resuspended in 100 µl of 1x -binding buffer (1 ml of 5x annexin-binding

696 buffer (Life Technologies, Invitrogen) in 4 ml of deionized water). 3 µl APC annexin V

697 (BioLegend) and 1 µl of 100 µg/ml Propidium Iodide (PI) working solution (5 µl of 1mg/ml

698 PI stock, Life Technologies, Invitrogen) in 45 µl of 1x annexin-binding buffer) were added

699 to each 100 µl cell suspension. Cells were incubated for 15 minutes at room temperature

700 protected from light. 200 µl of 1x annexin V-binding buffer was added to the cells and

701 samples were kept on ice. Apoptotic (Annexin V^{pos} and PI^{neg}) and dead (PI^{pos}) cells were

702 analyzed by flow cytometry. Results were expressed as percentage of dead cells ± SEM.

703

704   **Mammosphere-forming assay** 5'000 cells/well were seeded in non-adhesive U bottom

705   96-wells plate in a semi-solid MEGM medium supplemented with 20 ng/ml EGF, and 20

706   ng/ml bFGF and heparin. Medium was gently replaced every 3 to 4 days. After 11 to 14

707   days culture, mammospheres of 50 to 150 µm diameter were detected under the

708   microscope (bright field) and counted to quantify the sphere formation efficiency (SFE) as

709   percentage of the initial number of seeded cells per well.

710

711   **Bulk RNA sequencing and data analysis.** Four independent sorts of 4T1-Sca-1$^+$ and

712   4T1-Sca-1$^-$ cells (as described in the magnetic cell sorting section) or four independent 4T1

713   cells primed with Tu- Gr1$^+$CD11b$^+$ or Spl-Gr1$^+$CD11b$^+$ were prepared. RNAs of these cells

714   were isolated using the NucleoSpin RNA protocol of Macherey-Nagel (as described in real-

715   time (RT) qPCR and primers section). Samples were normalized for 1 µg RNA in a volume

716   of 20 µl, sequenced on the NextSeq500 sequencer using the NextSeq 500/550 HT reagent

717   v2 kit (Illumina) at the Swiss Integrative Center for Human Health (SICHH) in Fribourg, or

718   the Lausanne Genomics Technologies Facility (GTF, UNIL) in Lausanne, Switzerland. For

719   data analysis, all sequencing reads were processed for quality control, removal of low

720   quality reads, adaptor sequence and ribosomal RNA by fastqc(0.11.8) [82], multiqc (1.9) [83],

721   Trimmomatic (0.39) [84] and SortMeRNA(2.1) [85] accordingly. The filtered reads were mapped

722   to the reference genome (mm10) using htseq-count (0.6.1) [86] or Salmon (0.99.0) [87]. The

723   normalization of the read counts and the analysis of the differential expression between

724   the groups of samples were performed with in R(v4.1.3), a free software environment

725   available at https://www.r-project.org/ using packages DESeq2 (v3.15) [89]. Pathway

726   enrichment analysis was performed using packages GSVA(1.42.0) [90] and

727   GSEABase(1.56.0) [91] with the input of DESeq2 normalized count numbers using ssgsea

728   method comparing the Hallmark genesets from MSigDB (v7.4.1) [92] with default settings.

729   The significant altered pathways were determined by computing moderated t-statistics and

730   false discovery rates with the limma(3.50.3)[93] for pair-wised comparison. The heatmaps

731   were produced with R package pheatmap(1.0.12)[94] with default settings while pathway

732   hierarchy clustering was performed by similarity based on Euclidean distance and the ward

733   aggregation algorithm. The Sca1 Positive and Sca1 Negative signatures were extracted

734   from the top 200 most upregulated or downregulated genes in 4T1-Sca-1[+] and 4T1-Sca-1[-]

735   RNAseq data, respectively, with the threshold of adjusted p-value <0.05, fold change >1.5

736   or <-1.5 and average normalized count number >20. For Venn diagram, the genes fulfilling

737   the threshold of adjusted p-value < 0.05, fold change > 1.5 or < -1.5 and average

738   normalized count number >20 are compared. The figures were produced with R package

739   venn (1.10) [95] . Further analysis and figures generation were performed in R using

740   packages tidyverse(1.3.1)[96], ggplot2(3.3.6) [97], circlize(0.4.15) [98], biomaRt(2.50.3) [99,100],

741   RColorBrewer (1.1-3) [101], clusterProfiler (4.2.2) [102,103], enrichplot (1.14.2) [104], ggpubr(

742   v0.4.0)[105] ggbreak (0.1.0) [105].

743

744   **Microarray hybridization and data analysis** Experiments were performed as previously

745   described [106]. Briefly, triplicates wells of cultured 4T1 and MR13 cells were used for RNA

746   extraction using RNeasy kit (QIAGEN). Probe synthesis and GeneChip Mouse Gene Exon

747   1.0 ST Array (Affymetrix Ltd) hybridization were performed at the GTF, UNIL, Lausanne,

748   Switzerland. Microarray analyses were carried out with R. After quantification of gene

749   expression with robust multi-array normalization [100] using the BioConductor package Affy,

750   (http://www.bioconductor.org/) significance of differential gene expression was determined

751   by computing moderated t-statistics and false discovery rates with the limma package [93].

752   Annotation was based on the genome version NCBI Build 36 (Feb. 2006). The obtained p-

753   values were corrected for multiple testing by calculating estimated false discovery rates

754 (FDR) using the method of Benjamini-Hochberg. Heatmaps were produced by color-coding

755 gene-wise standardized log gene expression levels (mean zero standard deviation one).

756 Probe-sets were shown hierarchically clustered by similarity based on Euclidean distance

757 and the ward aggregation algorithm.

758

759 **Public RNAseq data analysis** The RPKM normalized gene expression data in Ross

760 dataset (GSE150928) from multiple murine models of breast cancer metastasis was

761 obtained from Gene Expression Omnibus (GEO) in the NCBI data repository. The data

762 were analyzed and plot with R package tidyverse(1.3.1)[96], ggplot2 (3.3.6) [97] and ggpubr

763 (v0.4.0) [107]. The single-cell RNAseq data in Sebastian dataset [43] was obtained from Dryad

764 data repository (https://doi.org/10.6071/M3238R). The data were analyzed with R package

765 Seurat (3.0) [108]. The tumor and different myeloid cell populations were extracted for cell-

766 cell interaction analysis using CellPhondDB (2.0) [44]. The identified interaction pairs were

767 extracted and plot using circlize (0.4.15) [98] and ComplexHeatmap (2.11.2) [109]. The ligands

768 and receptors annotated in the circular plot were compiled from databases in

769 CellTalkDB[110], SingleCellSignalR [111]. To investigate the tumor cell dynamics, datasets for

770 4T1 (GSE158844 and GSM3502134) and MCF-7 (GSM4681765 and GSM5904917) were

771 obtained from GEO. The data were filtered and normalized separately before merging with

772 IntegrateData function included in Seurat. Cell cycle regression was performed according

773 to the standard protocol of Seurat. For MCF-7, due the huge difference of the sample size,

774 2000 cells were randomly selected from GSM4681765 data, and then merged with

775 GSM5904917. Single-cell trajectories analysis was performed with monocle 3 [112–115] with

776 default settings and the root and start point were selected manually for pseudotime

777 caculation. The GSEA analysis of selected clusters was performed with R package

778 fgsea[116] and the gene rank was calculated with Wilcoxon rank sum test and auROC

779 analysis using wilcoxauc function included in presto package. The Tu-Gr1$^+$CD11b$^+$-

780 induced signature was extracted by comparing gene expression between Tu-Gr1$^+$CD11b$^+$

781 and Spl-Gr1$^+$CD11b$^+$-educated 4T1 cells with adjusted p-value <0.05, fold change >2, and

782 the top 50 genes were selected.

783

784 **Clinical data analysis.** To validate our finding in clinical data, the human orthologs of

785 murine Tu-Gr1$^+$CD11b$^+$-induced signature genes were used. Conversion from murine to

786 human gene symbols and Entrez IDs was performed with the biomaRt package (2.46.3)

787 [99,100], using the reference mart https://dec2021.archive.ensembl.org. Molecular Taxonomy

788 of Breast Cancer International Consortium (METABRIC) breast cancer data was

789 downloaded from cBioPortal [117–119] in August 2022, and expression data was log2

790 transformed. Expression values were stratified in two groups by median values. Survival

791 curves were generated using the ggsurvplot function from the survminer package

792 (0.4.9)[107], and were compared between groups using a log-rank test. Survival curves were

793 created using the survfit function from the survival package (3.2.11) [120]. Cox proportional

794 hazard regression model was performed through the coxph function of the same package.

795 **Statistical analyses.** Unless specified, the data were presented as mean ± SEM from at

796 least 3 independent experiments, unless otherwise indicated. Statistical comparisons

797 were performed by an unpaired Student's t test with a two-tailed distribution or one-way

798 ANOVA analysis of variance with Bonferroni post-test, using Prism 7.0 GraphPad

799 Software, Inc.

800

801 **Graphic illustrations**. Illustrative schemes were created with BioRender.com.

802

803 **Data and code availability**

804   The raw and processed bulk RNAseq data used to generate figures in Fig. 3 and

805   Supplementary Fig. 5 and microarray data used to generate figures in Fig. 7 have been

806   deposited in the GEO database under the access code GSEXXXXXX.

807   The code used for the analyses is open-source and available through with R packages

808   described in methods.

809

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1088

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1099   **Author contributions**

1100   Conceptualization, S.P., Q.L., C.R.; Methodology, S.P., N.F., Q.L., C.R.; Software,

1101   A.K., N.F., Q.L.; Validation, S.P., M.B., A.K., Q.L.; Formal analysis, S.P., M.B., A.K., Q.L.;

1102   Investigation, S.P., M.B., A.K., O.C., Y-T.H., N.D., L.G., Q.L.; Resources, S.P., M.B., A.K.,

1103   O.C., N.D., L.G., G.L.; Data Curation, S.P., M.B., A.K., N.D., Q.L., C.R.; Writing – original

1104   draft preparation, S.P., Q.L., C.R.; Writing – review and editing, S.P., M.B., A.K., Y-T.H.,

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1106   C.R.; Project administration, Q.L., C.R.; Funding acquisition, C.R.

1107

1108   **Competing interests**

1109   The authors have no conflicts of interest to declare.

a

Sca1 (Ross dataset)

4T1

RPKM
400
200
0
In_Culture OT_PT OT_LuM TV_LuM
*
**
**

6DT1

750
500
250
0
In_Culture OT_PT OT_LuM TV_LuM
*
****
***
***

Mvt1

600
400
200
0
In_Culture OT_PT OT_LuM TV_LuM
*
***
**
**

Met1

500
400
300
200
100
0
In_Culture OT_PT OT_LuM
ns
*
*

b

Lung

30 days →

Primary tumor

c

% in Lin⁻
100
80
60
40
20
0
CD24   CD44   Sca-1   CD61   CD49f

□ Primary Tumor
■ Lung

ns   ns   ****   *   ns

d

MACS

4T1-Sca-1⁻   4T1-Sca-1⁺

e

Tumor volume (mm³)
800
600
400
200
0
0 4 8 12 16 20 24
Days post injection

— 4T1-Sca-1⁺
— 4T1-Sca-1⁻
ns

f

Metastasis index
10
8
6
4
2
0
4T1-Sca-1⁺   4T1-Sca-1⁻
*

g

MACS

4T1   4T1-Sca-1⁻   4T1-Sca-1⁺

h

Lung Metastasis (per section)
60
40
20
0
4T1   4T1-Sca-1⁺   4T1-Sca-1⁻
ns
*
*

i

4T1

4T1-Sca-1⁺

4T1-Sca-1⁻

1mm

Figure 1

Figure 1. Sca-1+ population is enriched during *in vivo* metastasis across multiple breast cancer models

(a) Sca-1 mRNA expression in the metastatic murine breast cancer models 4T1, 6DT1, Mvt1 and Met1, extracted from the Ross dataset. Analyzed samples consist of cultured cells (In_Culture), orthotopic injected primary tumors (OP_PT), spontaneous lung metastases (OP_LuM) and lung metastases induced by tail-vain injection (TV_LuM). Data are presented as mean of Reads Per Kilobase of transcript per Million mapped reads (RPKM) ± SD (unpaired two-tailed student's t test with Bonferroni correction).

(b) Experimental set up for *in vivo* experimental validation. The 4T1 tumor cells were orthotopically injected into the 4th mammary fat pad. Thirty days later, cells from primary tumors and lungs were isolated to examine CSC marker expression by flow cytometry.

(c) Frequency of CSC marker expression in primary tumors and lung metastases. Results give the percentage of CD24, CD44, Sca-1, CD61 and CD49f positive cells gated in lineage negative cells (CD45$^-$CD31$^-$TER119$^-$).

(d-f) Experimental set up **(d)** of the *in vivo* experiment to assess tumor growth **(e)** and lung metastatic ability (metastatic index) **(f)** of 4T1-Sca-1+ and 4T1-Sca-1- populations isolated from parental 4T1 cells orthotopically injected into the 4th mammary fat pad. Metastases are assessed 21 days after tumor cell injection. n=8/group, 3 independent experiments.

(g-i) Experimental set up **(g)** of the *in vivo* experiment to assess lung colonization capacity of sorted parental 4T1, 4T1-Sca-1+ and 4T1-Sca-1- cells by tail-vain injection. Lung metastatic nodule numbers **(h)** and representative images **(i)** of lungs from mice 10 days post injection (n=5-6, 2 independent experiments). Scale bar=1 mm. Data are

represented as mean values ± SEM (unpaired two-tailed student's t test). P values: *, p<0.05; **, p< 0.01; ***, p< 0.001; ****, p< 0.0001; ns, non-signifiant.
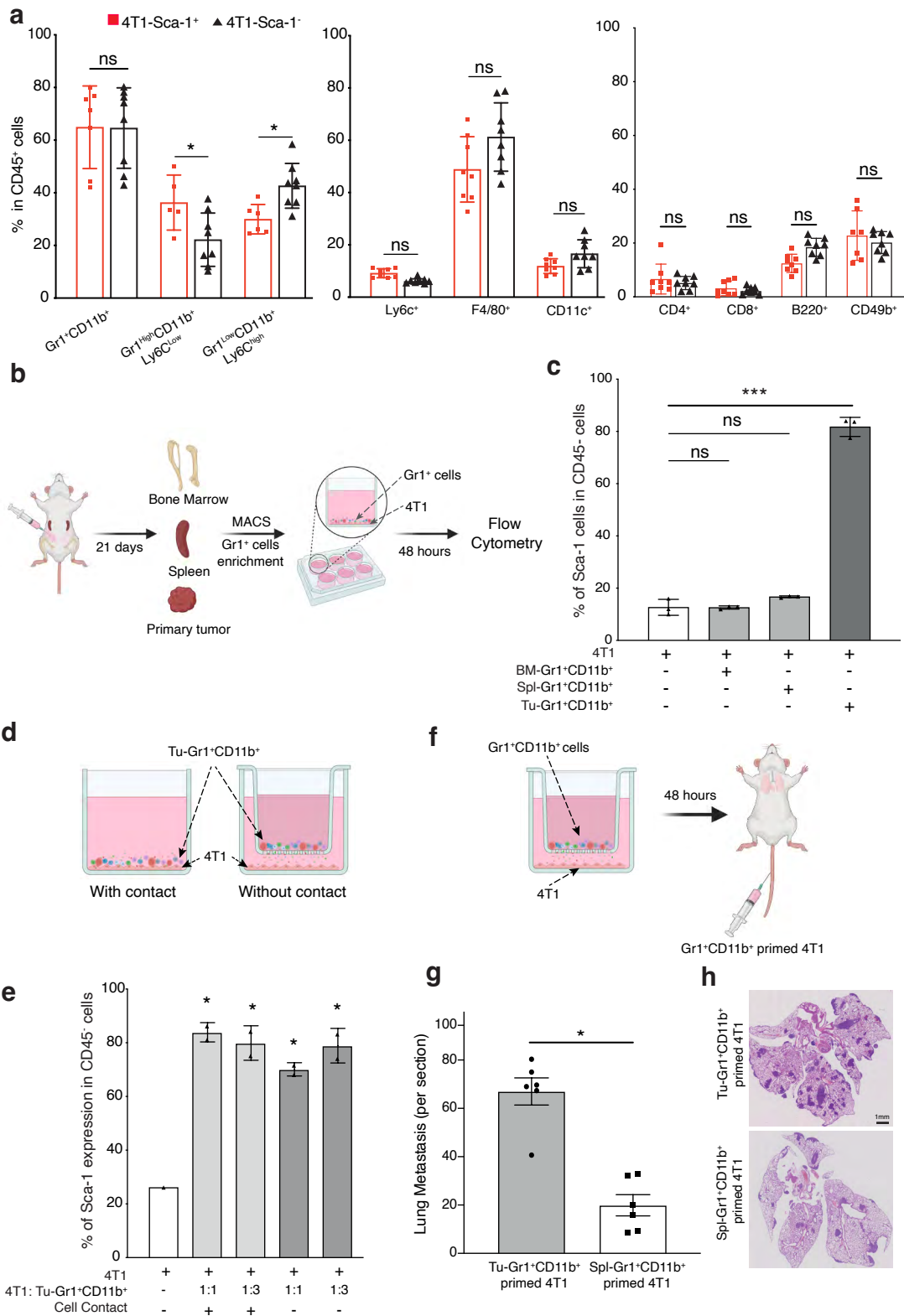
Figure 2

Figure 2. Sca-1 expression is modulated by the tumor microenvironment

(a) Frequency of different immune cell populations in primary tumors of mice orthotopically injected with 4T1-Sca-1$^+$ and 4T1-Sca-1$^-$ 21 days post injection. Populations are determined in CD45 negative, viable cells.

(b-c) Illustrative scheme **(b)** showing the experimental design for isolating Gr1$^+$ cells from different sites of tumor-bearing mice. Twenty-one days after tumor implantation, Gr1$^+$ cells were isolated from bone marrow (BM-Gr1$^+$CD11b$^+$), spleen (Spl-Gr1$^+$CD11b$^+$) or primary tumor (Tu-Gr1$^+$CD11b$^+$) and co-cultured for 48 hours with parental 4T1 cells *in vitro.* Sca-1 expression in tumor cells was examined by flow cytometry **(c).** Co-cultures conditions are indicated in the bar graph.

(d) Illustrative scheme of the experimental co-culture setup.

(e) MACS-enriched Gr1$^+$ cells were cocultured with 4T1 cells with or without Transwell inserts of 0.4 µm pore size. The 4T1 cells were seeded in the bottom well and Gr1$^+$CD11b$^+$ cells in the upper part of the insert. After 48 hours, 4T1 cells were examined for Sca1 expression by FACS. Co-cultures conditions are indicated in the bar graph. The ratio of tumor cells and Tu-Gr1$^+$CD11b$^+$ is varied from 1:1 to 1:3. Significant enrichment of Sca-1$^+$ population were observed in all conditions.

(f) Illustrative scheme of the experimental metastasis setup.

(g-h) Evaluation of the metastatic capacity of Gr1$^+$CD11b$^+$-educated 4T1 cells *in vivo*. The 4T1 tumor cells were primed with Tu-Gr1$^+$CD11b$^+$ or Spl-Gr1$^+$CD11b$^+$ *in vitro* without cell-cell contact for 48 hours and injected into the tail vein of mice. Lung metastases were quantified 10 days after injection **(g)**, and representative images of lung sections are showed **(h).** n=7, H&E staining, Scale bar=1mm.

Data are represented as mean values ± SEM. P values: *, p<0.05; ***, p< 0.001 (unpaired two-tailed student's t test, and only significant data has been labeled).
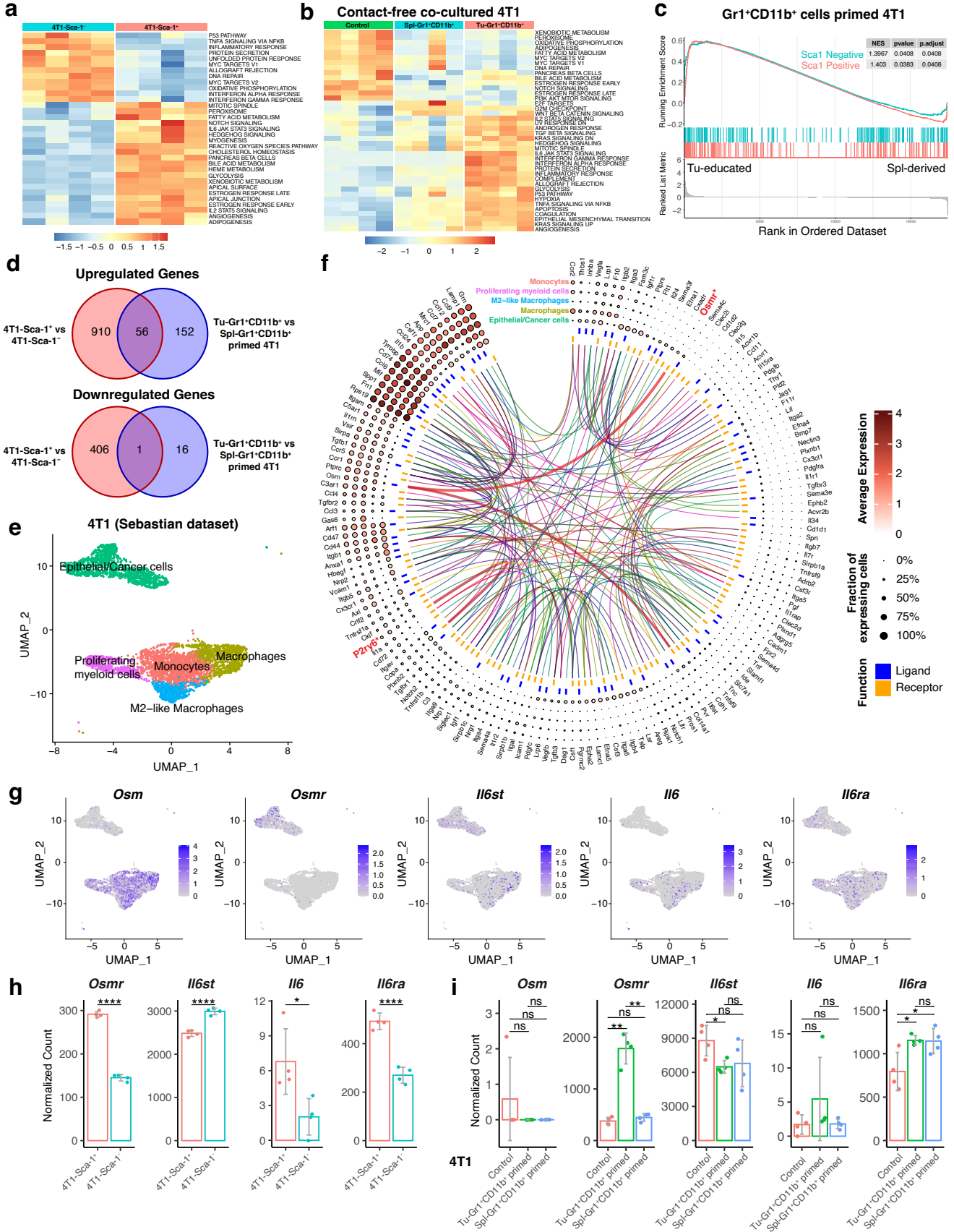
Figure 3

Figure 3. Transcriptomic analysis of Sca-1⁺ tumor cells

(a) Heatmap showing the signature score of the hallmark pathways analysis in 4T1-Sca-1⁺ and 4T1-Sca-1⁻ population sorted from parental 4T1 cells. The colors code the expression levels relative to average levels as indicate at the bottom.

(b) Heatmap showing the signature score of the hallmarks pathway analysis in parental 4T1 (4T1), Spl-Gr1⁺CD11b⁺ primed 4T1 and Tu-Gr1⁺CD11b⁺ primed 4T1 cells. The colors code the expression levels relative to average levels as indicate at the bottom.

(c) Gene set enrichment analysis (GSEA) comparing the Tu-Gr1⁺CD11b⁺ and Spl-Gr1⁺CD11b⁺ primed 4T1 cells. GSEA shows positive correlations of both Sca1 Positive and Sca1 Negative signatures. NES, normalized enrichment score.

(d) Venn diagrams showing that 56 upregulated genes, and 1 downregulated gene are shared between endogenous and Tu-Gr1⁺CD11b⁺ induced Sca-1⁺ population in 4T1 tumor cells.

(e) UMAP plot showing clusters of cancer cells and myeloid cell populations in orthotopically growing 4T1-derived primary tumors extracted from the Sebastian dataset (see Materials and Methods for details).

(f) Circos diagram showing the potential interactions between cancer cells and different myeloid cell populations determined by CellPhoneDB (see Materials and Methods for details) based on the Sebastian dataset. Only OSMR and P2RY6 are shared with the common 56 gene list showed in panel **d**.

(g) UMAP plot showing the gene expression pattern of *Osm*, *Osmr*, *Il6st*, *Il6* and *Il6ra* in different cell populations in the Sebastian dataset.

(h-i) mRNA expression of *Osm*, *Osmr*, *Il6st*, *Il6* and *Il6ra* based on RNAseq data used to generate the heatmaps shown in **a** & **b**, respectively. *Osm* expression is not detected in sorted 4T1 cells. Data are presented as mean of normalized count ± SD. P values:

*, $p<0.05$; **, $p< 0.01$; ***, $p< 0.001$; ****, $p< 0.0001$; ns, non-significant (unpaired two-tailed student's t test, and with Bonferroni correction for $Gr1^+CD11b^+$ cells-educated 4T1 cells).
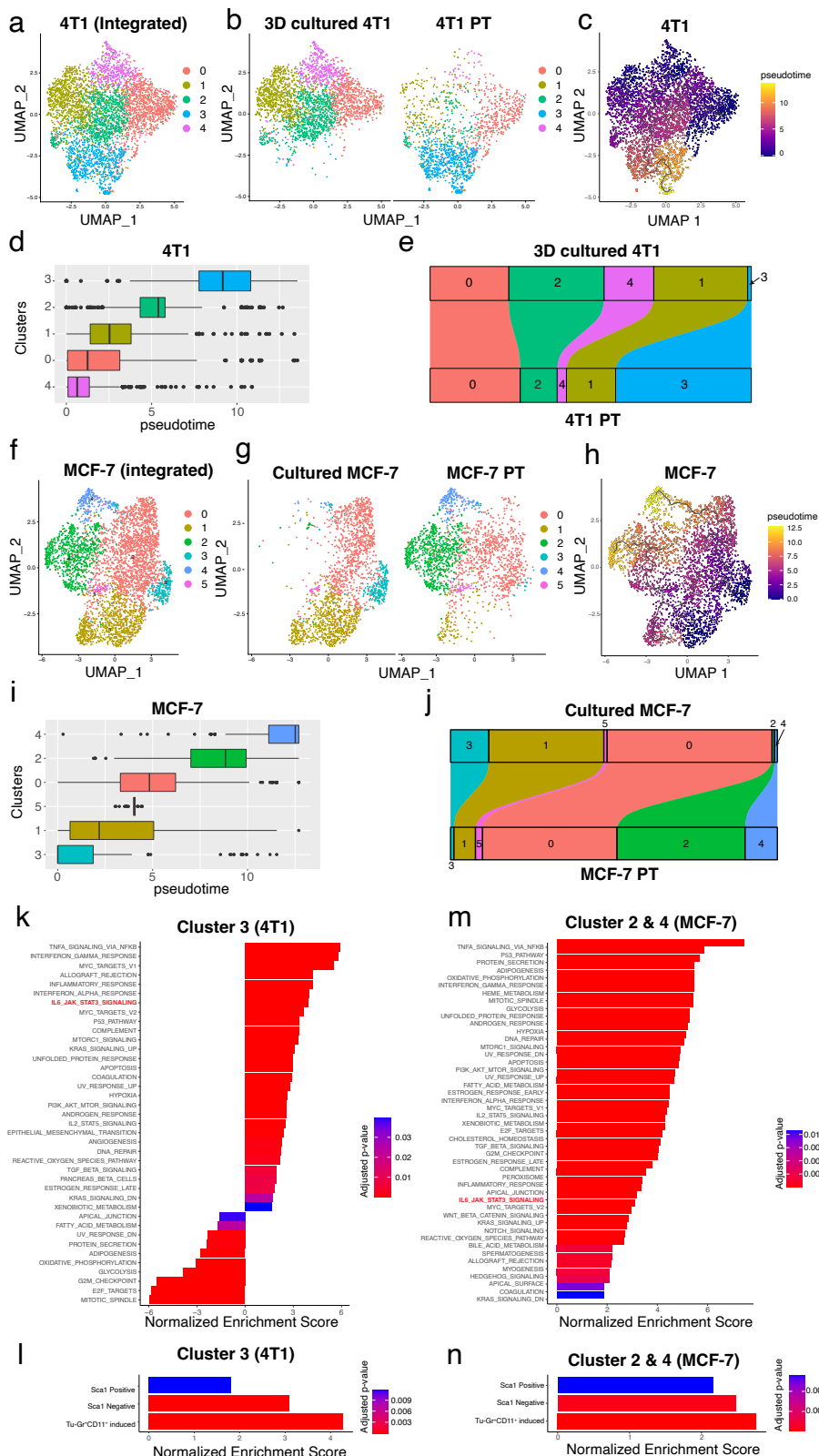
Figure 4

Figure 4. Transformation dynamics of tumor cell populations induced by the tumor microenvironment

(a) UMAP plots showing 4T1 clusters based on integrated scRNA-seq data from 4T1 cells in 3D culture or in primary tumor.

(b) Distribution of specific clusters in 4T1 cells in 3D culture or in primary tumor (PT).

(**c-d**) UMAP plot **(c)** and boxplot **(d)** showing the clusters in pseudo-time during the transformation of 4T1 cells from *ex vivo* culture to *in vivo.*

(e) Sankey diagram showing the dynamic of each cluster during the transformation of 4T1 cells from *ex vivo* culture to *in vivo*. Cluster 3 was largely expanded *in vivo*.

(f) UMAP plots showing MCF-7 clusters based on integrated scRNA-seq data from MCF-7 cells in culture or in primary tumor.

(g) Distribution of the specific clusters in cultured MCF7 cells or in MCF7 primary tumors (PT).

(**h-i**) UMAP plot **(h)** and boxplot **(i)** showing the clusters in pseudo-time during the transformation of MCF-7 cells from *ex vivo* culture to *in vivo.*

(e) Sankey diagram showing the dynamic of each cluster during the transformation of MCF-7 cells from *ex vivo* culture to *in vivo*. Cluster 2 and 4 were largely expanded *in vivo*.

(k-l) GESA analysis of Hallmark gene sets **(k)** and Sca1 Positive signature, Sca1 Negative Signature and Tu-Gr1$^+$CD11b$^+$ induced signature **(l)** of cluster 3 in 4T1 data. Only the signatures with adjusted p-value <0.05 were shown.

(m-n) GESA analysis of Hallmark gene sets **(m)** and Sca1 Positive signature, Sca1 Negative Signature and Tu-Gr1$^+$CD11b$^+$ induced signature **(n)** of cells in cluster 2 or cluster 4 in MCF-7 data. Only the signatures with adjusted p-value <0.05 were shown.

Analyses are based on publicly available data (4T1: GSM4812003 and GSM3502134; MCF-7: GSM4681765 and GSM5904917).
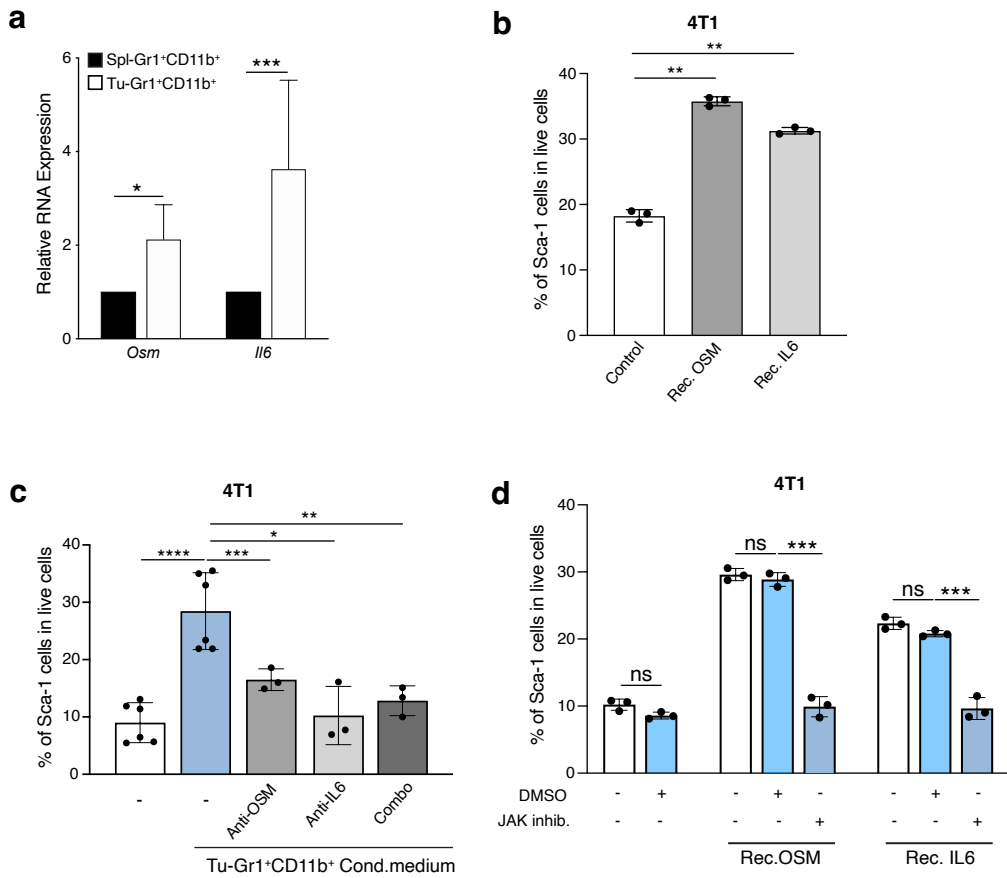
a

Relative RNA Expression

■ Spl-Gr1⁺CD11b⁺
□ Tu-Gr1⁺CD11b⁺

Osm *   *Il6* ***

b 4T1

% of Sca-1 cells in live cells

Control   Rec. OSM **   Rec. IL6 **

c 4T1

% of Sca-1 cells in live cells

****   ***   *   **

-   -   Anti-OSM   Anti-IL6   Combo

Tu-Gr1⁺CD11b⁺ Cond.medium

d 4T1

% of Sca-1 cells in live cells

ns   ns   ***   ns   ***

| DMSO | - | + | - | - | + | - | - | + | - |
| JAK inhib. | - | - | + | - | - | + | - | - | + |

Rec.OSM   Rec. IL6

Figure 5

Figure 5. Sca-1$^+$ population is modulated by the OSM/IL6-JAK pathway

(a) Quantitative PCR analysis of *Osm* and *Il6* mRNA expression in Tu-Gr1$^+$CD11b$^+$ and Spl- Gr1$^+$CD11b$^+$. Tu-Gr1$^+$CD11b$^+$ express significantly higher *Osm* and *Il6* levels compared with Spl-Gr1$^+$CD11b$^+$.

(b) Fraction of 4T1-Sca1$^+$ cells upon exposure to recombinant Il6 or Osm protein (1 μg/ml for 48 hours) as determined by flow cytometry. Both cytokines induced the Sca-1$^+$ population in cultured 4T1 tumor cells.

(c) Inhibition of OSM and IL6 from Tu-Gr1$^+$CD11b$^+$ conditioned medium with anti- OSM or anti-IL6 neutralizing antibody as indicated. Treatment with either antibody significantly suppressed the Sca-1$^+$ population enrichment.

(d) Treatment with the JAK inhibitor Ruxolitinib (5 μM) of cultured 4T1 cells stimulated with recombinant IL6 or OSM protein (1 μg/ml, 48 hours exposure) as indicated. Ruxolitinib inhibited Sca-1$^+$ population enrichment induced by recombinant IL6 or OSM protein.

Data are represented as mean ± SEM. *, $p<0.05$; **, $p< 0.01$; ***, $p< 0.001$; ****, $p< 0.0001$; ns, non-significant (unpaired two-tailed student's t test, and only significant data has been labeled).
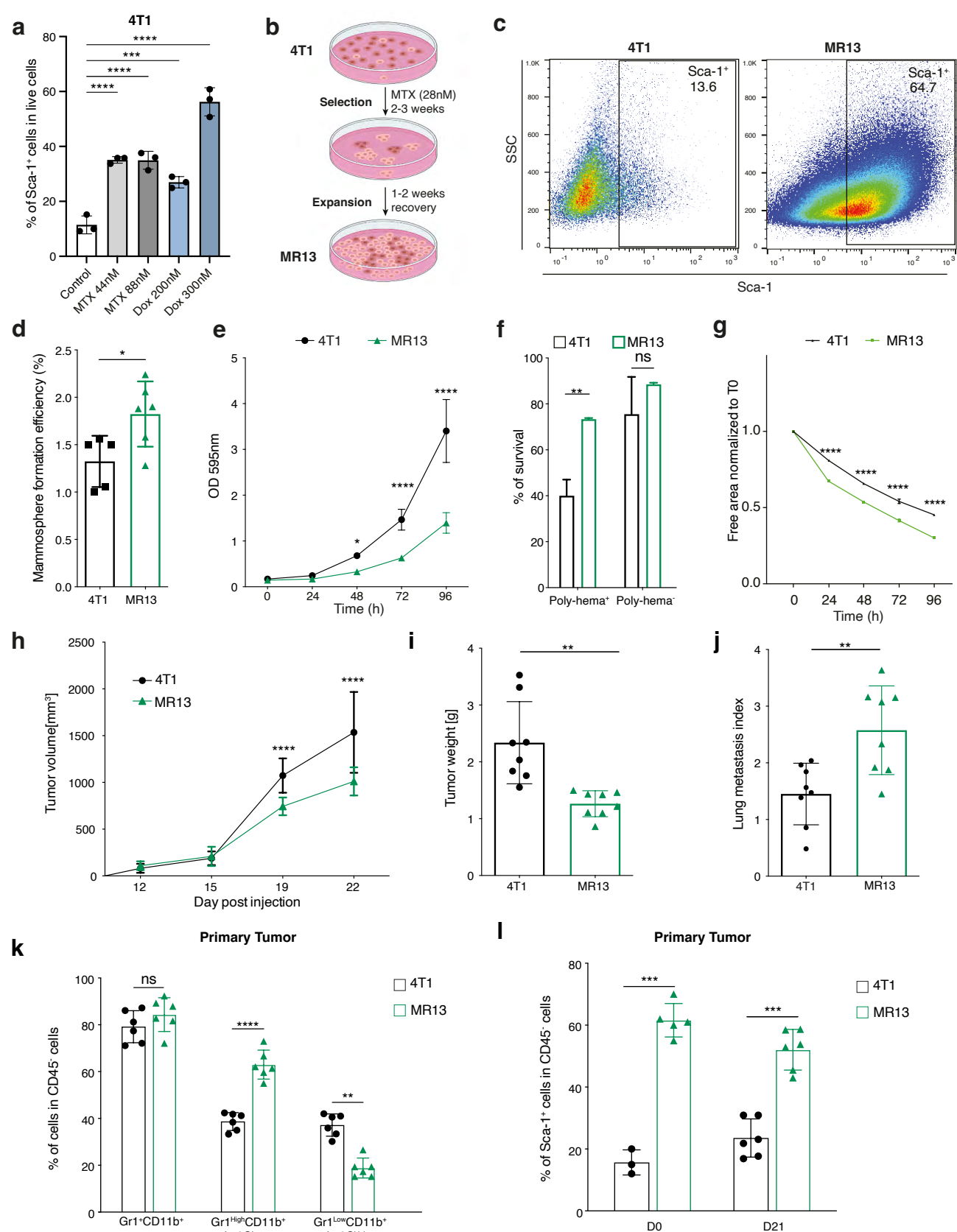
Figure 6

Figure 6. Long term chemotherapy treatment of 4T1 cells induces a stable Sca-1$^+$ population (MR13) with higher metastatic capacity and CSC features

(a) Fraction of 4T1-Sca1$^+$ cells upon short-term (48 hours) Methotrexate (44 and 88 nM) and Doxorubicin (200 and 300 nM) treatments. All treatments induced enrichment of Sca-1$^+$ population.

(b) Illustrative scheme of the experimental design to obtain chemotherapy resistant MR13 cells from 4T1.

(c) Dot plots representing Sca-1 expression vs SSC determined by flow cytometry in MR13 chemotherapy resistant cells vs parental 4T1 tumor cells *in vitro.*

(d) Quantification of the mammosphere forming efficiency of 4T1 and MR13 tumor cells.

(e) Cell proliferation curve of 4T1 and MR13 tumor cells *in vitro* determined by crystal violet assay. The results are presented as mean of optical density (OD).

(f) Anchorage free survival of 4T1 and MR13 tumor cells given in % of surviving cells.

(g) Cell motility of 4T1 and MR13 tumor cells determined by a scratch wound healing assay. n=5-6/group. Results are presented as cell-free area relative to the initial wound area from 3 independent experiments.

(h) Growth curves of primary tumors in BALB/c mice orthotopically injected with 4T1 and MR13 tumor cells (n=10-11/group).

(i) Tumor weight of 4T1 and MR13 tumors recovered from BALB/c mice at day 22 post injection (n=8-9/group).

(j) Lung metastasis index 23 days post injection. The number of metastatic nodules is determined by H&E staining and normalized based on the primary tumor weight (n=8-9/group).

(k) Frequency of different CD11b$^+$ myeloid cells subpopulations in primary tumors from MR13 and 4T1 injected mice determined by flow cytometry 21-days post injection (n= 6). Subpopulations are determined in CD45 positive, viable cell population.

(l) Percentage of Sca-1$^+$ tumor cells at time of injections (D0) of 4T1 and MR13 cells and in primary tumors recovered at day 21 (D21). Sca-1 expression is determined in CD45 negative, viable cell population.

Data are represented as mean values ± SEM. *, p<0.05; **, p<0.005; ***, p< 0.001, ****, p< 0.0001 unpaired two-tailed student's t test, and only significant data has been labeled. In e, g, h, results were analyzed by two-way ANOVA with Tukey's multiple comparison test.
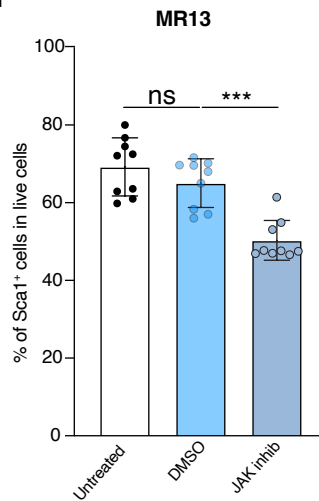
a

4T1   MR13

APICAL JUNCTION
CHOLESTEROL HOMEOSTASIS
ESTROGEN RESPONSE LATE
OXIDATIVE PHOSPHORYLATION
DNA REPAIR
MTORC1 SIGNALING
MYC TARGETS V2
KRAS SIGNALING UP
MYC TARGETS V1
UNFOLDED PROTEIN RESPONSE
E2F TARGETS
G2M CHECKPOINT
MITOTIC SPINDLE
UV RESPONSE DN
ANGIOGENESIS
HEDGEHOG SIGNALING
TGF BETA SIGNALING
MYOGENESIS
NOTCH SIGNALING
BILE ACID METABOLISM
ADIPOGENESIS
FATTY ACID METABOLISM
ANDROGEN RESPONSE
GLYCOLYSIS
IL2 STAT5 SIGNALING
HEME METABOLISM
PANCREAS BETA CELLS
COAGULATION
HYPOXIA
EPITHELIAL MESENCHYMAL TRANSITION
PROTEIN SECRETION
PI3K AKT MTOR SIGNALING
XENOBIOTIC METABOLISM
REACTIVE OXYGEN SPECIES PATHWAY
ALLOGRAFT REJECTION
INTERFERON ALPHA RESPONSE
TNFA SIGNALING VIA NFKB
IL6 JAK STAT3 SIGNALING
COMPLEMENT
INFLAMMATORY RESPONSE
INTERFERON GAMMA RESPONSE
APOPTOSIS

−1.5 −1 −0.5 0 0.5 1 1.5

b

MR13 vs 4T1

	NES	pvalue	p.adjust
Sca1 Negative	−2.0279	0	0
Sca1 Positive	2.5286	0	0

Running Enrichment Score

Ranked List Metric

MR13   4T1

Rank in Ordered Dataset

c

MR13 vs 4T1

Downregulated   NS   Upregulated

$-\log 10$(adjusted p-value)

Osmr
Il6st
Il6ra
Il6
Osm

log2(Fold Change)

d

MR13

% of Sca1$^+$ cells in live cells

ns   ***

Untreated   DMSO   JAK inhib

e

JAK inhibitor or
Diluent (DMSO)

3 days

MR13

f

MR13

Lung Metastasis (per section)

***

DMSO   JAK inhib.

Figure 7

Figure 7. IL6-JAK pathway promotes Sca-1+ persistence and metastatic capacity in chemotherapy resistant MR13 tumor cells

(a-b) Gene expression analysis of parental 4T1 and chemotherapy resistant MR13 cells. Heat map represents the signature score of the hallmark pathways analysis. Results from 3 biological replicates are shown **(a)**. GSEA results showing that MR13 cells are positively enriched with for the Sca-1 Positive signature and negatively with for the Sca-1 Negative signature **(b)**.

(c) Volcano plot showing the differential expression of *Osm*, *Osmr*, *Il6st*, *Il6* and *Il6ra* mRNA in MR13 vs 4T1 tumor cells.

(d) Fraction of Sca-1+ population in MR13 tumor cells treated for 48 hours with Ruxolitinib (5 µM) relative to vehicle control (DMSO) treatment.

(e) Illustrative scheme of the experimental design for testing the effect of Ruxolitinib on MR13 metastatic capacity shown in **f**. MR13 tumor cells were treated with Ruxolitinib or DMSO *in vitro* for 72 hours and then injected into the mice tail vein. Lungs were examined for metastasis 10 days after tumor cell injection.

(f) Number of metastatic nodules in the lungs from mice injected with MR13 treated *in vitro* with Ruxolitinib or DMSO and indicated (n=8).

Data are represented as mean values ± SEM. P values: ns, non-significant, ***< 0.001, (unpaired two-tailed student's t test, and with Bonferroni correction for d)
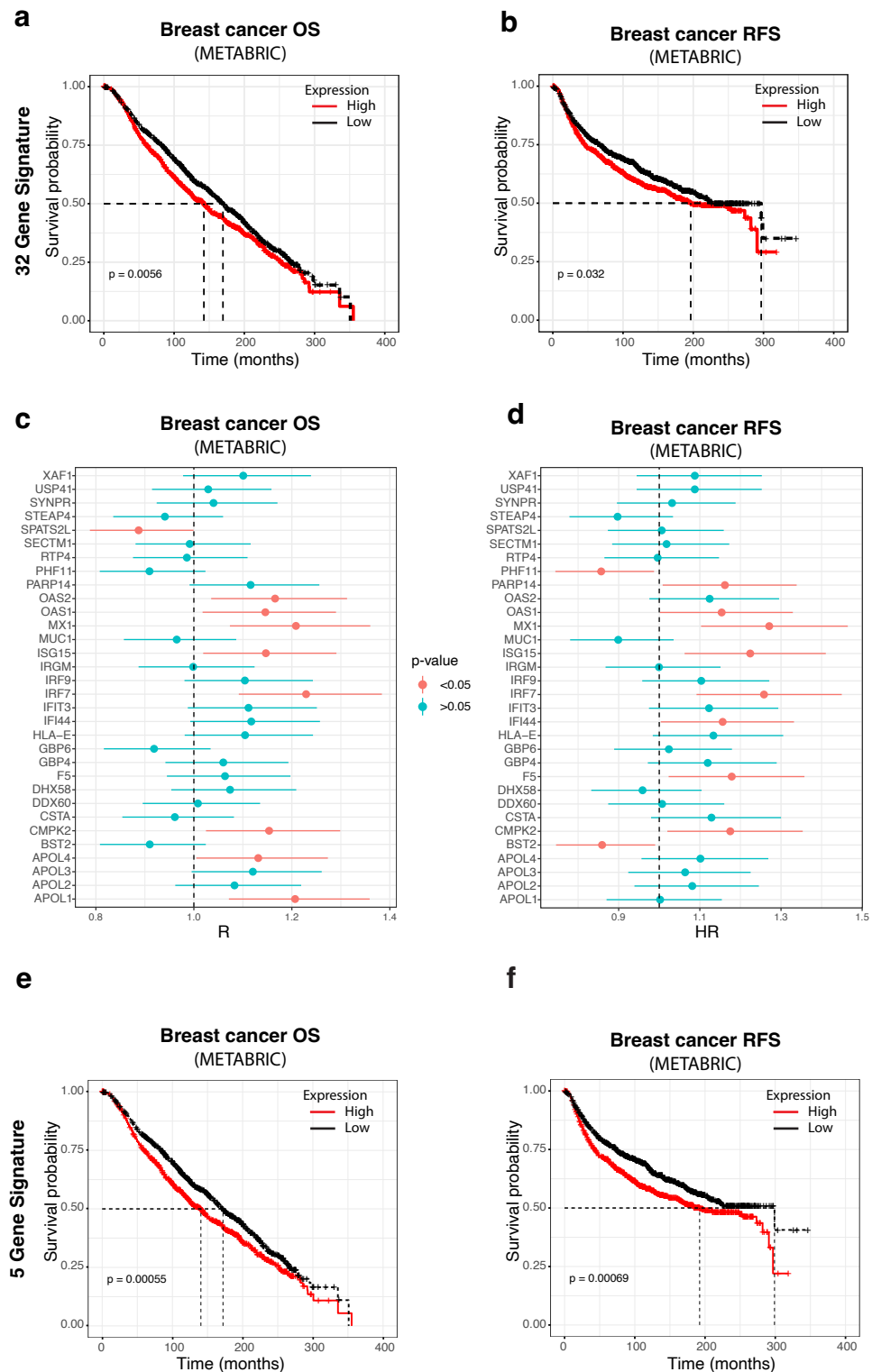
Figure 8

Figure 8. Tu-Gr1+CD11b+-induced tumor cell signature predicts worse outcome in breast cancer patients

(a-b) Kaplan-Meier curves showing overall survival (OS) **(a)** or relapse-free survival (RFS) **(b)** for breast cancer patients according to high or low expression of an orthologue 32 gene signature, based on the Tu-Gr1+CD11b+ -induced 4T1 cell signature, in the METABRIC datasets. The p-value was calculated using the log-rank test and high and low expression levels were stratified by median values.

(c-d) Forest plots showing the Cox proportional hazard regression (HR) for OS **(c)** and RFS **(d)** of the individual 32 orthologues of the Tu-Gr1+CD11b+-induced signature, based on gene expression in tumor samples from METABRIC dataset.

(e-f) Kaplan-Meier curves showing OS **(e)** and RFS **(f)** according to the reduced 5 orthologue gene signature. expression in the METABRIC datasets.

Tumor

Primed-Tumor

OSM/IL6

JAK↑ JAK↑

JAK↑

OSM/IL6   OSM/IL6

Ruxolitinib

Tumor-derived
cytokines

OSM

IL6

Naïve
Gr1⁺CD11b⁺ cells

Tumor-educate
Gr1⁺CD11b⁺ cells

Sca-1⁺ tumor cells

Sca-1⁻ tumor cells

CTX

IL6   JAK↑   JAK↑

JAK↑

IL6

MR13

Metastasis
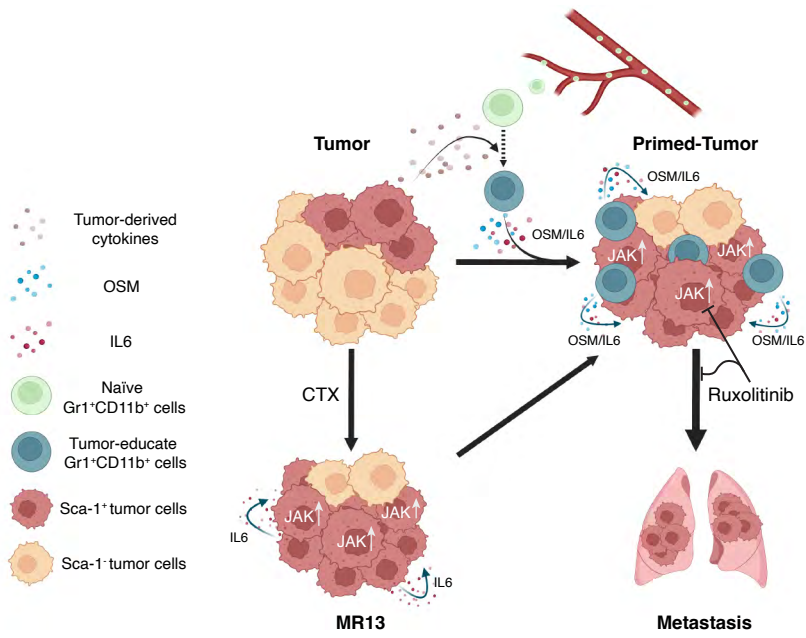
Figure 9

Figure 9 Illustrative scheme of the proposed model for cancer cell plasticity modulated by OSM/IL6 during tumor progression and chemotherapy

Parental tumor cells maintain a small portion of highly metastatic Sca-1$^+$ population. During tumor progression, naïve Gr1$^+$CD11b$^+$ are recruited to the TME and educated into Tu-Gr1$^+$CD11b$^+$ by tumor-derived factors. In turn, Tu-Gr1$^+$CD11b$^+$ secrete OSM and IL6 to convert Sca-1$^-$ population into a highly metastatic Sca-1$^+$ population. Chemotherapy (CTX) enriched for Sca-1$^+$ population due to its intrinsic resistance against cytotoxic treatment. Resistant cells express IL6 to maintain the high portion of Sca-1$^+$ population with high metastatic ability. JAK inhibitor Ruxolitinib suppresses the conversion to Sca-1$^+$ population and metastasis. Inhibition of OSM, IL6 and the activated downstream kinase JAK are candidate therapeutic targets to impinge on metastatic breast cancer progression during natural evolution and following therapy-resistance.
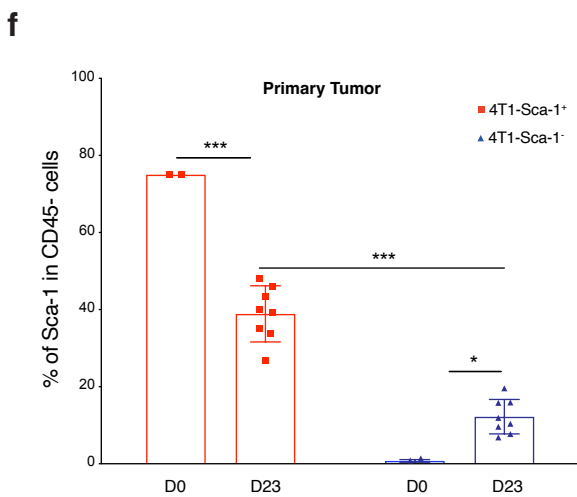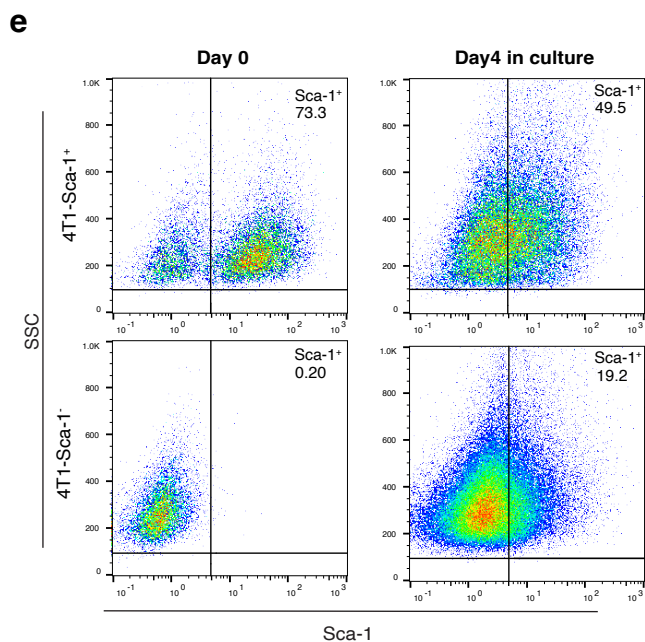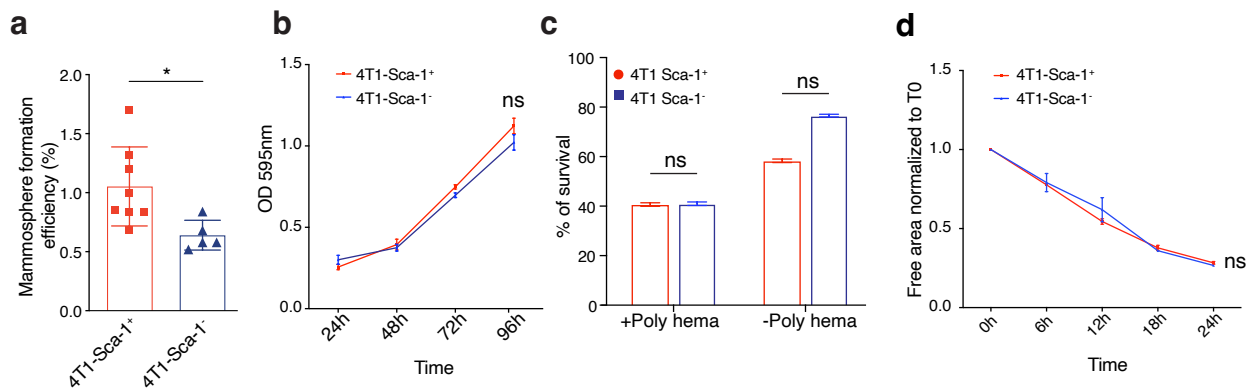
Supplementary Fig.1
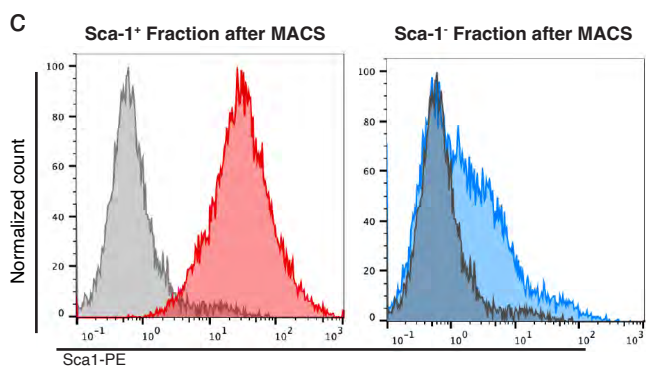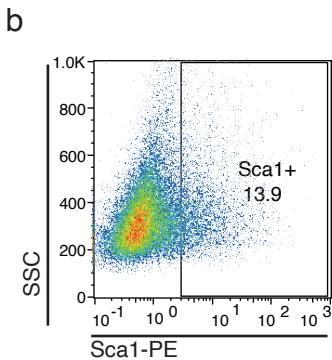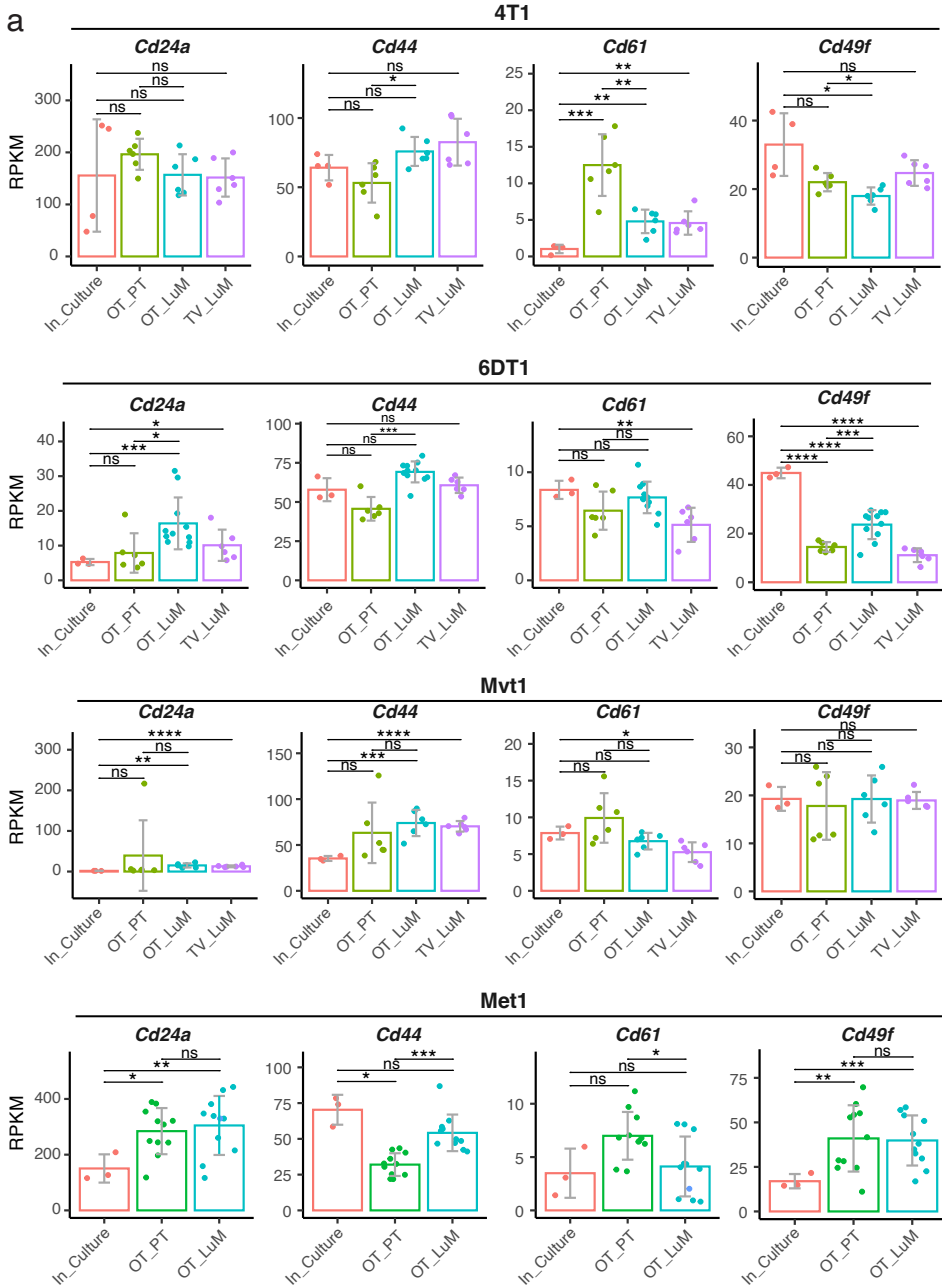
Supplementary Fig 1. Stem cell markers expression in multiple metastatic breast cancer models

(a) Stem cell marker *Cd24a*, *Cd44*, *Cd61* and *Cd49e* mRNA expression in 4T1, 6DT1, Mvt1 and Met1 metastatic murine breast cancer models extracted from Ross dataset. Data are presented as mean values of RPKM ± SD. *, $p<0.05$; **, $p< 0.01$; ***, $p< 0.001$; ****, $p< 0.0001$; ns, non-significant (unpaired two-tailed student's t test).

(b) Dot plot representation of Sca-1 expression in 4T1 parental cell line determined by flow cytometry.

(c) Histogram of Sca-1 expression distribution on MACS positively selected Sca-1$^+$ cells (red histogram) and MACS negatively selected Sca-1$^-$ cell (blue histogram). Gray: histogram of fluorescence of unstained cells.

Supplementary Fig. 2
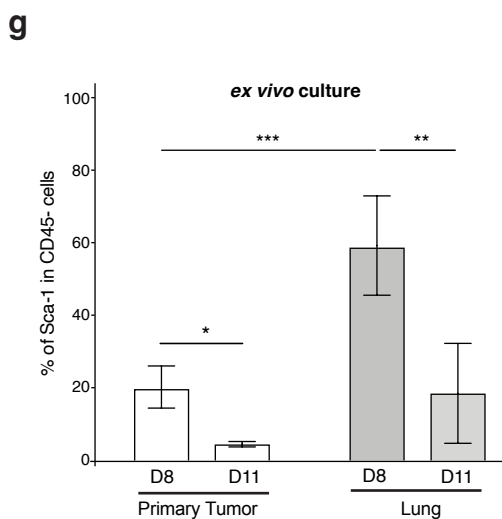
Supplementary Fig 2. Sca-1$^+$ tumor cells have stem cell-like features *in vitro* and show plasticity *in vivo* and *in vitro*

(a) Quantification of the mammosphere forming efficiency of 4T1-Sca-1$^+$ and 4T1-Sca-1$^-$ populations.

(b) Cell proliferation curve of 4T1-Sca-1$^+$ and 4T1-Sca-1$^-$ *in vitro* MACS isolated tumor cells determined by crystal violet assay. The results represent optical density (OD) of the wells.

(c) Anchorage-independent survival of 4T1-Sca-1$^+$ and 4T1-Sca-1$^-$ MACS isolated tumor cells determined with an anoikis assay. Cells were cultured in control or poly-hema coated wells (to avoid adhesion) and viability measured by annexin/PI staining and FACS analysis. The results are shown as % of viable cells identified as Annexin V negative and PI negative cells.
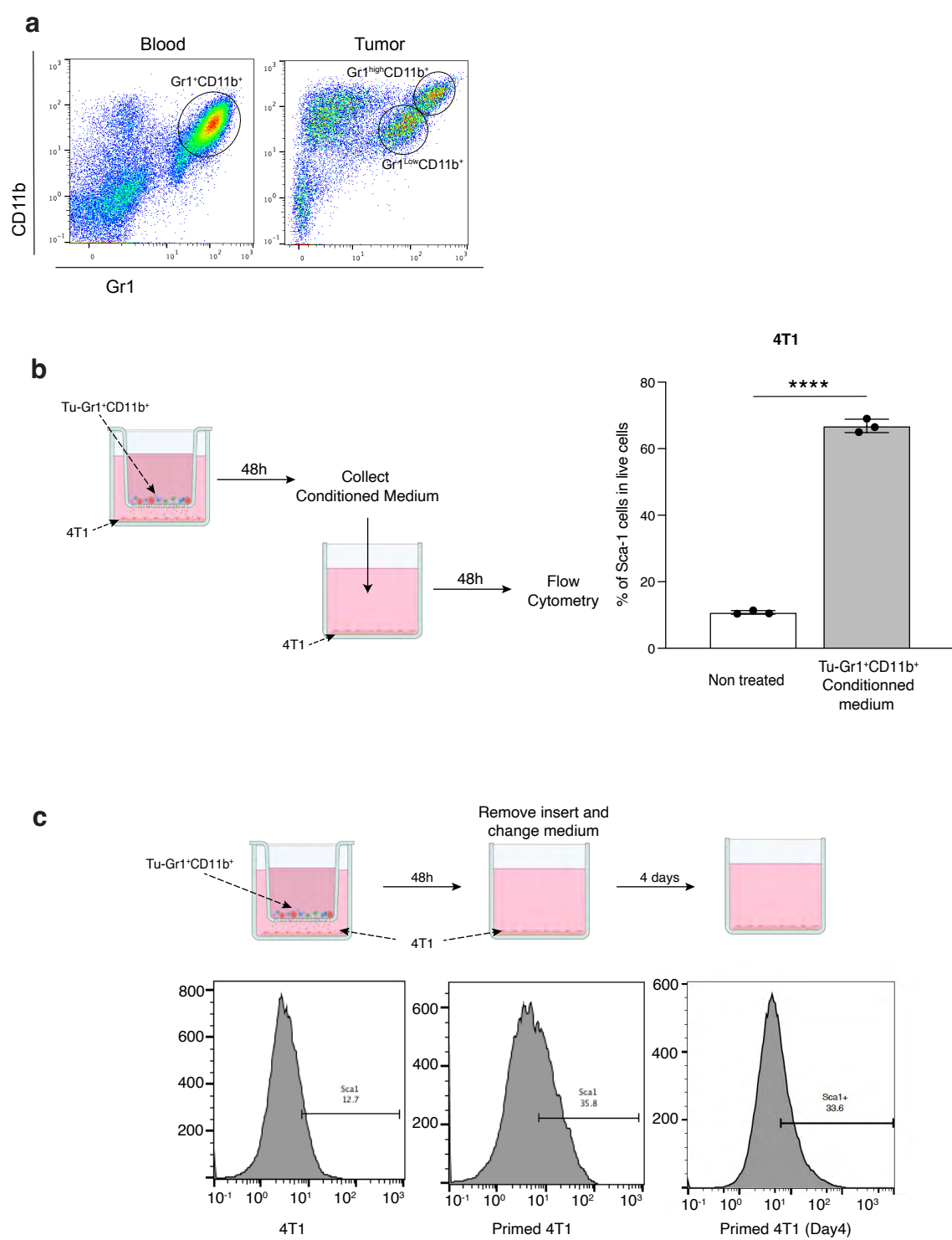
(d) Cell motility of 4T1-Sca-1$^+$ and 4T1-Sca-1$^-$ sorted tumor cells determined by a scratch wound healing assay (n=5-6/group). Results are given cell-free area relative to the initial wound area.

(e) The abundance of Sca-1$^+$ population after MACS isolation from parental 4T1 cells. Day 0: immediately after positive sorting, the Sca-1$^+$ population to accounts for 73.3% of total cells (upper panel). After 4 days of *in vitro* culture the abundance of the Sca-1$^+$ population decreased to 48.9%. Negatively sorted Sca-1$^-$ population accounts for >99 % of total cells (lower panel). After 4 days of *in vitro* culture 19.2% of the initially Sca-1$^+$ cells were Sca-1$^+$.

(f) Abundance of Sca-1$^+$ population at the time of orthotopic injection of MACS isolated 4T1-Sca-1$^+$ and 4T1-Sca-1$^-$ cells into the mammary fat pad of BALB/C mice (D0) and in the derived primary tumors 23 days post injection (D23) as indicated. n=3-8/group.

(g) Abundancy of the Sca-1$^+$ population in tumor cells recovered from primary tumors and lung metastases 21 days post orthotropic injection and further cultured for 8- and 11-days *ex vivo* as indicated.

Data represent mean values ± SEM from 3 independent experiments. *, $p<0.05$; **, $p< 0.01$; ***, $p< 0.001$; (unpaired two-tailed student's t test).

a

Blood   Tumor

Gr1$^+$CD11b$^+$

Gr1^{high}CD11b$^+$

Gr1^{Low}CD11b$^+$

CD11b

Gr1

b

Tu-Gr1$^+$CD11b$^+$

4T1

48h → Collect Conditioned Medium

48h → Flow Cytometry

4T1

4T1

% of Sca-1 cells in live cells

****

Non treated   Tu-Gr1$^+$CD11b$^+$ Conditionned medium

c

Tu-Gr1$^+$CD11b$^+$

Remove insert and change medium

48h →

4 days →

4T1

Sca1 12.7

Sca1 35.8

Sca1+ 33.6

4T1   Primed 4T1   Primed 4T1 (Day4)

Supplementary Fig. 3

Supplementary Fig 3. Tu-Gr1$^+$CD11b$^+$-derived secreted factors promote the enrichment of Sca-1$^+$ population

(a) Dot plots presenting Gr1$^+$CD11b$^+$ subpopulations in Blood and Tumor site of 4T1 tumor-bearing mice.

(b) Abundance of the Sca-1$^+$ population in tumor cells cultured for 48 hours in the presence of medium collected from 4T1/Tu-Gr1$^+$CD11b$^+$ cocultures (48 hours). Sca-1 expression on 4T1 cells was determined by flow cytometry.

(c) Sca-1 expression in parental 4T1 cells (left panel), 4T1 primed for 2 days with Gr1$^+$CD11b$^+$ cells (middle panel) and cultured for 4 additional days in the absence of Gr1$^+$CD11b$^+$ (right panel). Sca-1 expression was determined by flow cytometry.

a

3D cultured 4T1　　　**4T1 PT**

Sca-1

Osmr

b

Cultured MCF-7　　　**MCF-7 PT**
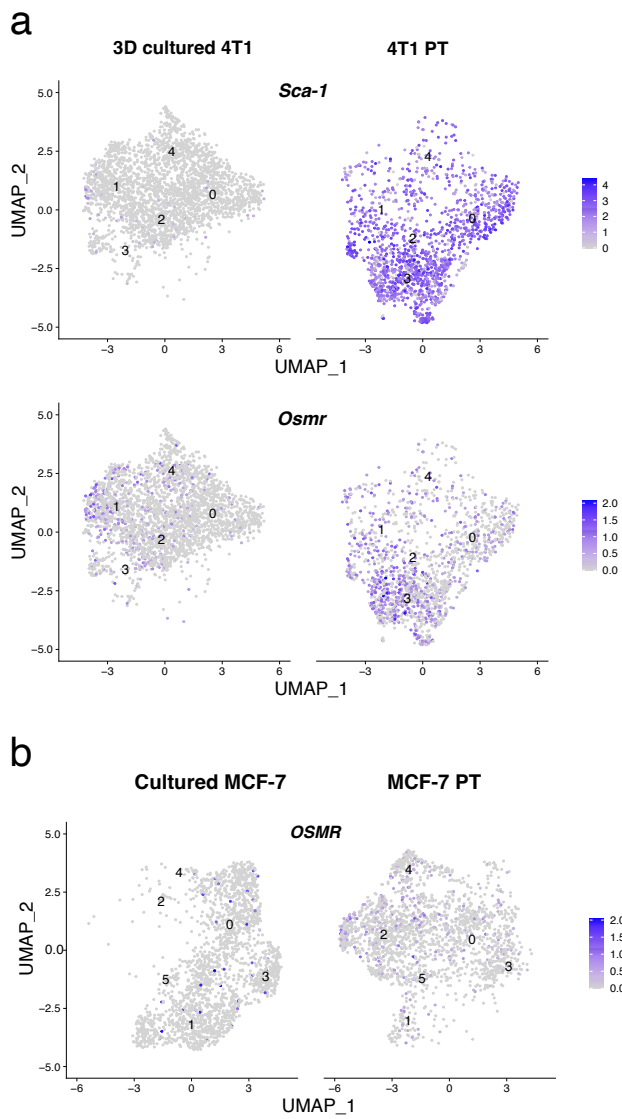
OSMR

Supplementary Fig. 4

Supplementary Fig 4. TME expands the Sca1[+] population during *in vivo* tumor progression

(a) UMAP plots showing the expression of *Sca-1* and *Osmr* in 4T1 tumor cells in 3D culture or primary tumors (PT). Analysis based on publicly available data (GSM4812003 and GSM3502134)

(b) UMAP plot showing the expression of *OSMR* in MCF-7 tumor cells in culture or primary tumors (PT). Analysis based on publicly available data (GSM4681765 and GSM5904917).
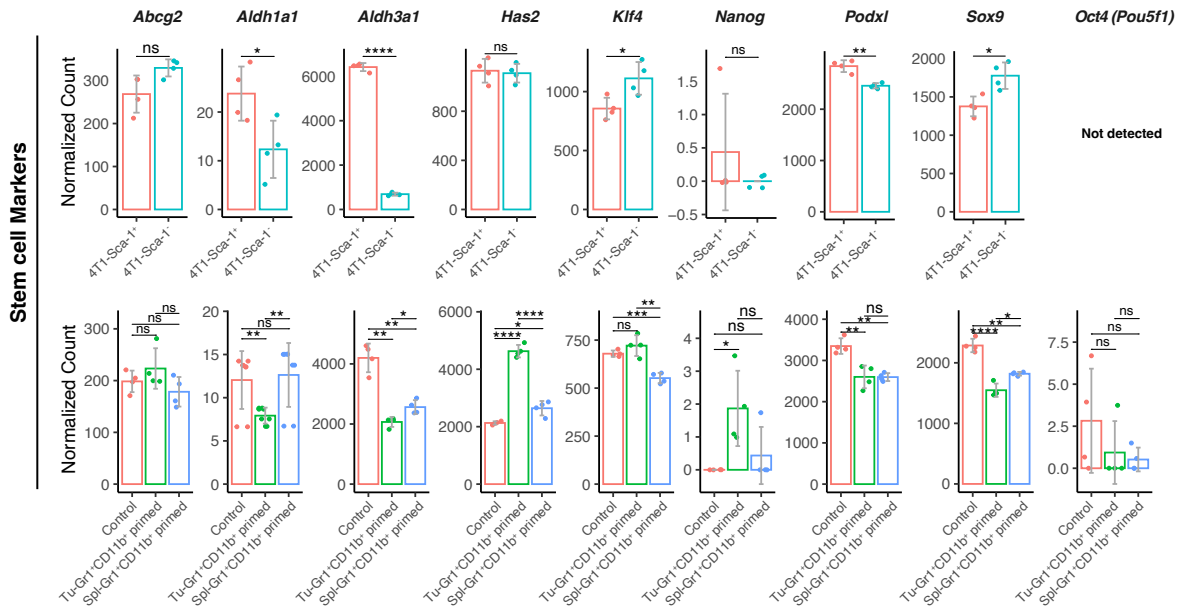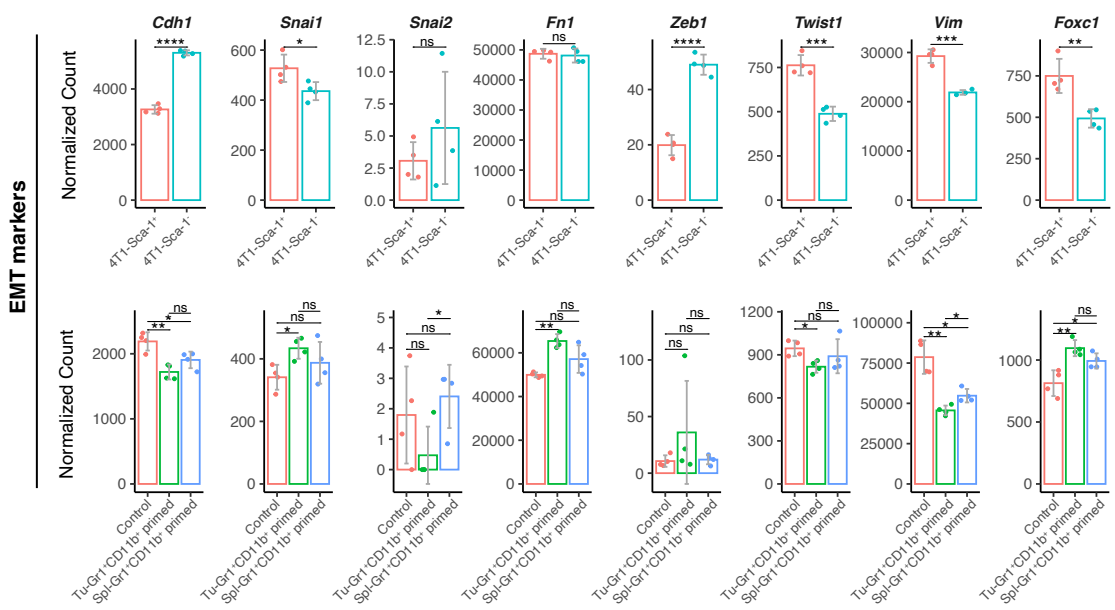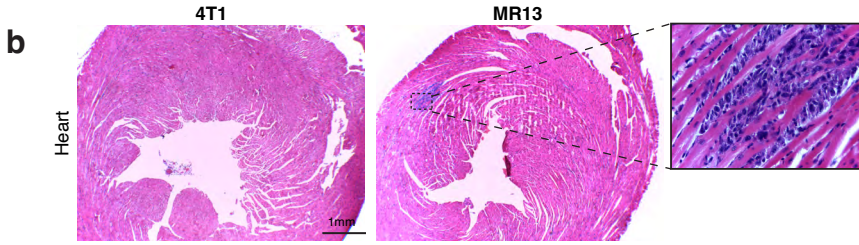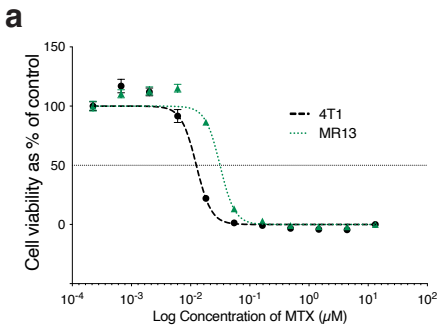
Supplementary Fig. 5

Supplementary Fig 5. Expression of selected stem cell/CSC and EMT genes in 4T1-Sca-1$^+$, 4T1-Sca-1$^-$ and differently primed 4T1 cells

Expression of stem cell markers (**a**) and EMT markers (**b**) in 4T1-Sca-1$^+$ cells, 4T1-Sca-1$^-$ cells, and parental, Tu-Gr1$^+$CD11b$^+$ -educated, Spl-Gr1$^+$CD11b$^+$ -educated 4T1 cells, as indicated.

Data represent mean values of RPKM ± SD. *, $p<0.05$; **, $p< 0.01$; ***, $p< 0.001$; ****, $p< 0.0001$ (unpaired two-tailed student's t test, and with Bonferroni correction for MDSC-educated 4T1 cells).

**a**

b

Supplementary Fig. 6

Supplementary Fig 6. Sca-1$^+$ enriched 4T1 cells and MR13 cells are more resistant to chemotherapy drugs

(a) Dose dependent effect of 48 hours treatment with MTX on 4T1 and MR13 cells' viability. IC$_{50}$ of MTX for 4T1 and MR13 are 12.5 nM and 31.4 nM respectively.

(b) Illustrative H&E stained heart sections of BALB/c mice 22 days post orthotopic injection with 4T1 cells (left) or MR13 cells (right and insert) (n=8-9/group).

a

R = 0.21
p< 2.2x10⁻¹⁶

Mean Signature Expression (y-axis)
OSM Expression (x-axis)
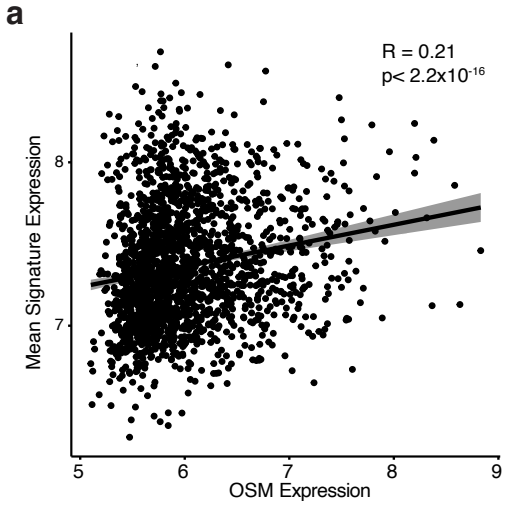
Supplementary Fig. 7

Supplementary Fig 7. Genes of the Tu-Gr1⁺CD11b⁺-induced signature and patients' outcome

(a) Correlation of the orthologue 32 gene signature, based on the Tu-Gr1⁺CD11b⁺ -induced signature, with *OSM* expression in the METABRIC datasets. Spearman's correlation coefficients and p-values are shown.