

1 **Limited emergence of resistance to Integrase strand transfer inhibitors (INSTIs) in HIV-experienced**  
2 **patients failing dolutegravir-based antiretroviral therapy: Cross-sectional analysis from a**  
3 **Northeast Nigerian cohort**

4

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36 **Abstract**

37 **Background:**

38 Owing to high levels of resistance to previous first-line non-nucleoside reverse transcriptase  
39 inhibitors (NNRTI)-based antiretroviral therapy (ART), consolidated recommendations since 2019,  
40 from the WHO and others, have indicated that dolutegravir (DTG) is the preferred drug of choice for  
41 HIV treatment, globally. There is a paucity of resistance outcome data from non-B HIV subtypes  
42 circulating across West Africa.

43

44 **Aim:**

45 We aimed to characterise the mutational profiles of HIV-positive patients from a small North-East  
46 Nigeria cohort, failing a DTG-based ART regimen.

47

48 **Methods:**

49 Plasma samples were collected and stored from 61 HIV-1 infected participants. Following failure of  
50 DTG-based ART, all samples were sequenced by Illumina whole-genome, ultra-deep sequencing.  
51 Sequencing was successful in (n=33) participants with median age of 40 years and median time on  
52 ART of 9 years. HIV-1 subtyping was performed using SNAPPy. Haplotype reconstruction and  
53 transmission were inferred using standard phylogenetic methods.

54

55 **Result:**

56 Most patients had mutational profiles that were reflective of prior exposure to first- and second-line  
57 ART including exposure to thymidine analogues, efavirenz and nevirapine. One patient had evidence  
58 of major INSTI DRMs (T66A, G118R, E138K and R263K), reducing efficacy of DTG. The participant was  
59 aged 18, infected with a subtype G virus and likely vertically infected.

60

61 **Conclusion:**

62 This study found low level resistance to DTG in the cohort, with one patient having high-level  
63 resistance to DTG and other INSTIs. Critical population level and long-term data on DTG outcomes  
64 are required to guide implementation and policy action across the region.

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69

70 **Introduction**

71 In the context of rising pre-treatment NNRTI drug resistance<sup>1,2</sup>, the World Health Organisation  
72 (WHO) recommended dolutegravir (DTG) as the preferred antiretroviral therapy (ART) drug of choice  
73 for both newly diagnosed and individuals transitioning from previous regimens<sup>3</sup>. Safety, potency,  
74 tolerability and cost-effective characteristics of dolutegravir (DTG) supported this change<sup>4</sup>  
75 subsequently, countries across sub-Saharan Africa (SSA) have consequently rolled-out dolutegravir  
76 as part of standard treatment. Roll out across the region started in 2019 and is expected to continue,  
77 aided by the availability of a low-cost, generic fixed-dose co-formulation of tenofovir, lamivudine  
78 and dolutegravir (TLD)<sup>5</sup>.

79

80 Dolutegravir-based antiretroviral therapy (ART) have been commercialised and sold in Nigeria since  
81 2019 with the national treatment guideline recommending transitioning to DTG-based ART in both  
82 virally suppressed and unsuppressed patients since 2020<sup>6</sup>. There is no indication of virological or  
83 resistance testing prior to transitioning to DTG-based ART and therefore, majority of patients  
84 transitioned without prior viral load or resistance testing. Data from the ADVANCE and NAMSAL  
85 clinical trials<sup>7,8</sup>, which recruited ART naïve participants exclusively in SSA showed no evidence of  
86 emergence of drug resistance mutations (DRMS) on DTG-based ART. Data from treatment  
87 experienced patients transitioning to TLD is limited although data is starting to emerge.

88

89 Given the high proportion of treatment experienced HIV patients with resistance following failure of  
90 previous first and second-line ART<sup>9</sup>, data on resistance outcome following failure of DTG in non-B  
91 subtypes is highly valuable. Here, we present data on drug resistance using Next-Generation  
92 Sequencing (NGS) in a small Nigerian cohort failing DTG-based ART following roll-out.

93

94 **Methods**

95 **Study population and design**

96 This study was a cross-sectional study performed at the University of Maiduguri Teaching Hospital,  
97 Borno State, Nigeria between January, and June 2021. Study criteria included participants who were  
98 failing a DTG-based ART, ≥18 years of age and attending routine clinic visits. We defined virological  
99 failure as two consecutive HIV-1 RNA > 1000 copies/ml following exposure to a DTG-based ART for ≥  
100 6 months. Patients who met inclusion criteria voluntarily signed informed consent. Available  
101 demographic data including age, gender, ART regimen, duration on ART and current CD4 count were  
102 collected from clinical files and recorded in Microsoft excel.

103

104 **Laboratory methods:**

105 Plasma was separated from whole venous blood in EDTA within 2 hours of collection and stored  
106 immediately at -80°C. Plasma viral load testing and CD4 count were performed at the Defence  
107 Reference Laboratory, Asokoro Abuja using the COBAS AmpliPrep/COBAS TaqMan HIV type 1 (HIV1)  
108 v2.0 test (Roche Diagnostics, Basel, Switzerland). Whole genome sequencing of 61 blood samples  
109 was performed according to the Bonsall et al protocol<sup>10</sup>. Briefly, total RNA was extracted from HIV-  
110 infected plasma samples, washed in ethanol, and eluted using the NUCLISENS easyMAG system  
111 (bioMérieux). Libraries were prepared using the SMARTer Stranded Total RNA-Seq Kits v2 (Clontech,  
112 Takara Bio) according to the manufacturer protocol. Total RNA was denatured, and reverse  
113 transcribed to cDNA and a total of 500ng of pooled libraries were hybridised to custom HIV-specific  
114 biotinylated 120-mer oligonucleotides (xGen Lockdown Probes, Integrated DNA Technologies).  
115 Captured libraries were then PCR amplified to produce a final pool for sequencing with an Illumina  
116 MiSeq (San Diego, CA, USA) to produce up to 300-nucleotide paired-end reads. FastQ files were  
117 trimmed of adapters and mapped iteratively to the best available reference from a curated  
118 alignment of 3000 HIV-1 genomes with SHIVER<sup>11</sup>. Resistance genotyping was performed using an in-  
119 house script that determines the prevalence of DRMS in each sequencing reads and calculates an  
120 overall score (1-4) for each ART, according to the Stanford HIV drug resistance algorithm (v9.1).

121

122 **Bioinformatics analysis:**

123 Haplotypes were reconstructed using ClaqueSNV v2.0.3<sup>12</sup>. Phylogenies were inferred with IQTREE2  
124 v2.2.2<sup>13</sup> using a GTR+F+I+R4 model with 1000 rapid bootstraps. Inference of transmission was made  
125 Phyloscanner v1.8.1<sup>14</sup> using overlapping windows of 150 bp across the whole genome. Phylogenies  
126 were rooted on a HIV-1 subtype G consensus sequence downloaded from the Los Alamos National  
127 HIV Database. HIV-1 subtyping was performed using SNAPPY v1.0. Prediction of co-receptor usage  
128 was made using TROPHIX (prediction of HIV-1 tropism). Available at: [http://sourceforge.net/  
129 projects/trophix/](http://sourceforge.net/projects/trophix/)).

130

131 **Statistical analyses:**

132 The characteristics of the study population were summarized as either categorical or continuous  
133 variables and reported as either proportions or medians with interquartile ranges (IQRs),  
134 respectively. Analyses were performed with STATA v17 (StataCorp, College Station, TX).

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138 **Ethics:**

139 The study was approved by the University of Maiduguri Teaching Hospital Ethics Committee  
140 (UMTH/REC/21/714). All participants provided written informed consent.

141

142 **Results**

143 61 had samples available for resistance testing. Following sequencing and quality control, 33  
144 samples (61.7%) were of sufficient quality (i.e., fully in-tact *pol* gene and depth of  $\geq 500x$ ) to  
145 determine DRMS and minority variants (Median viral load in these samples was  $4.1 \log_{10}$  copies/ml  
146 (range 3.0-5.2). Using a minimum threshold of 20%, NRTI, NNRTI, PI and INSTI DRMS occurred in 17  
147 (50%), 24 (70.6%), 4 (11.8%) and 1 (2.9%) of samples respectively (Figure 1a). Dual-class resistance  
148 occurred in 17 (50%) patients and tri-class mutations occurred in 5 (14.7%) patients. Consistent with  
149 likely long-term exposure to lamivudine, the most prevalent NRTI mutation DRMS was M184V. The  
150 most prevalent NNRTI DRMS was K103N, reflecting previous exposure to nevirapine and efavirenz.  
151 Mutational profiles were similar across 2, 10 and 20% interpretative thresholds (Figure 1b).

152

153 One patient of interest was found to have high-level resistance to NRTIs, NNRTIs and INSTIs,  
154 including almost complete resistance to the novel long-acting injectable, cabotegravir. Mutations  
155 included inE138K, inG118R, inT66A, inR263K, rtH221Y, rtV108I, rtK103N, rtM184V, rtM41L, rtA98G  
156 and rtT215Y, all at frequencies of  $>40\%$ , with a mean read depth of 770x. This patient was  
157 established on DTG for a median of 1.2 years and on ART for a median of 12 years. Clinical data on  
158 other clinical data including nadir CD4 counts were unavailable. Using the SNAPPy HIV-1 subtyping  
159 tool, almost 40% of viruses were assigned to Subtype G, and 15% were G\_A1 subtypes. The  
160 remaining viruses were recombinants (Table 1).

161

162 To identify within-host diversity and potential transmission of DRMs between patients, we  
163 reconstructed viral haplotypes (Figure 2) for each patient. These were homogeneous and the same  
164 resistance mutations were identified on all reconstructed haplotypes for each patient. Following  
165 this, we investigated whether there was evidence of direct transmission between any patients in this  
166 cohort (Supplementary Figure 1). However, no significant transmission pairs were identified,  
167 indicating that there were several intermediaries between patients which have not yet been  
168 sampled. Of note, two patients' virus was predicted to use the CXCR-4 co-receptor.

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171

172 **Discussion**

173 After rollout of previous first-line NNRTI based ART, DRMS were previously observed within first year  
174 of failure in around 15 and 35% of patients with resistance emerging against both lamivudine,  
175 tenofovir and NNRTIs<sup>16,17</sup>. Drug resistance has been associated with mortality in hospitalised  
176 individuals in LMIC settings<sup>18</sup>. The second generation INSTI DTG has been systematically adopted  
177 and rolled out across the SSA region since 2019, with concerns of the emergence of resistance  
178 associated mutations following failure under a limited monitoring infrastructure. In a recent analysis  
179 of pooled evidence on virological and resistance outcomes following DTG failure in SSA region, there  
180 was an overall high rate of virological response to DTG; 88.5% (95% CI: 73.8-97.8) with the overall  
181 proportion of patients failing showing limited evidence of DRMS<sup>19</sup> over short periods of time. It is  
182 likely that prolonged virologic failure will select for DRM to components of ART regimens within the  
183 viral quasispecies as a result of intrahost evolution<sup>20,21</sup>.

184

185 It is important to note that pre-existing DRMS prior to switch to DTG may be critical to both  
186 virological and resistance outcomes with study evidence suggesting pre-existing NNRTI mutations  
187 reducing the short term efficacy of DTG<sup>22</sup>, although other studies across the region have shown  
188 similar rates of both virological and resistance outcomes in ART naïve<sup>23,24</sup> and experienced  
189 patients<sup>25,26</sup> (with no evidence of DRMs) and ART experienced patients with historical evidence of  
190 NRTI mutation (especially M184V/I)<sup>27-29</sup>.

191

192 Here, in this cross-sectional analysis, we assessed drug resistance using next-generation sequencing  
193 in a small cohort of HIV-1 infected subjects failing DTG-based ART using a failure threshold of 1000  
194 copies/ml. Most patients were treatment experienced and amongst 33 participants with sequence  
195 data, mutational patterns observed were reflective of exposure to previous first-line NNRTI with only  
196 1/34 (3%) showing evidence of DRMS against DTG or protease inhibitors. The individual with DTG  
197 resistance was vertically infected with evidence of selection of mutations conferring high level  
198 resistance to dolutegravir and other INSTIs i.e T66A, G118R, E138K (accessory) and R263K. The T66A  
199 mutation is non-polymorphic and primarily selected by elvitegravir (EVG) and raltegravir (RAL) with  
200 ~9-fold reduction in susceptibility to EVG but minimal impact on other INSTIs whilst the E138K  
201 mutation has negligible effect on susceptibility to INSTIs although a combination of E138K and other  
202 DRMs may lead to further decreased susceptibility to DTG<sup>30</sup>. Further, the G118R and R263K  
203 mutations observed in this patient, which causes between 2 to 15 fold reduction to DTG  
204 susceptibility<sup>31,32</sup> have also been observed in patients experiencing virological failure to INSTI drug  
205 agents in non-B HIV subtypes<sup>33-36</sup>. It is likely that the G118R mutation emerged first and led to the

206 accumulation of other mutations including the E138K compensatory mutation as the G118R  
207 mutation has been described as the DTG-resistance pathway in non-B subtype<sup>31,37</sup>.

208

209 In context of the continuously expanding use of DTG, the most convenient approach to managing  
210 patients on DTG with persistent viraemia remains uncertain especially in resource limited setting  
211 such as this, where drug resistance testing capacity remains limited<sup>38</sup>. Several factors may increase  
212 the likelihood of the emergence of DTG resistance across the region including prolonged virological  
213 failure due to lack of routine virological monitoring<sup>39,40</sup> and poor treatment adherence which is an  
214 independent determinant of virological outcome<sup>41</sup> in these settings. Further analyses of resistance  
215 across SSA are warranted over extended periods, as well as surveillance for INSTI resistance in newly  
216 diagnosed individuals. This is even more critical given INSTI based long acting injectables are being  
217 considered as PreP<sup>42</sup>.

218

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220

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227

#### 228 **Author contributions**

229 Study conception, design and administration: A.A, R.K.G and I.M.K; data collection: A.A, I.M.K, A.H.I,  
230 J.M, A.Ad, J.E.O, H.Y, S.H.A, A.Ab, S.K and R.K.G; data analysis: A.A., I.M.K, S.K, A.Ab and R.K.G; data  
231 interpretation: A.A, S.K, A.Ab and R.K.G; manuscript preparation; A.A wrote the first draft of the  
232 manuscript with the critical input of all co-authors. All authors reviewed the results and approved  
233 the final version of the manuscript.

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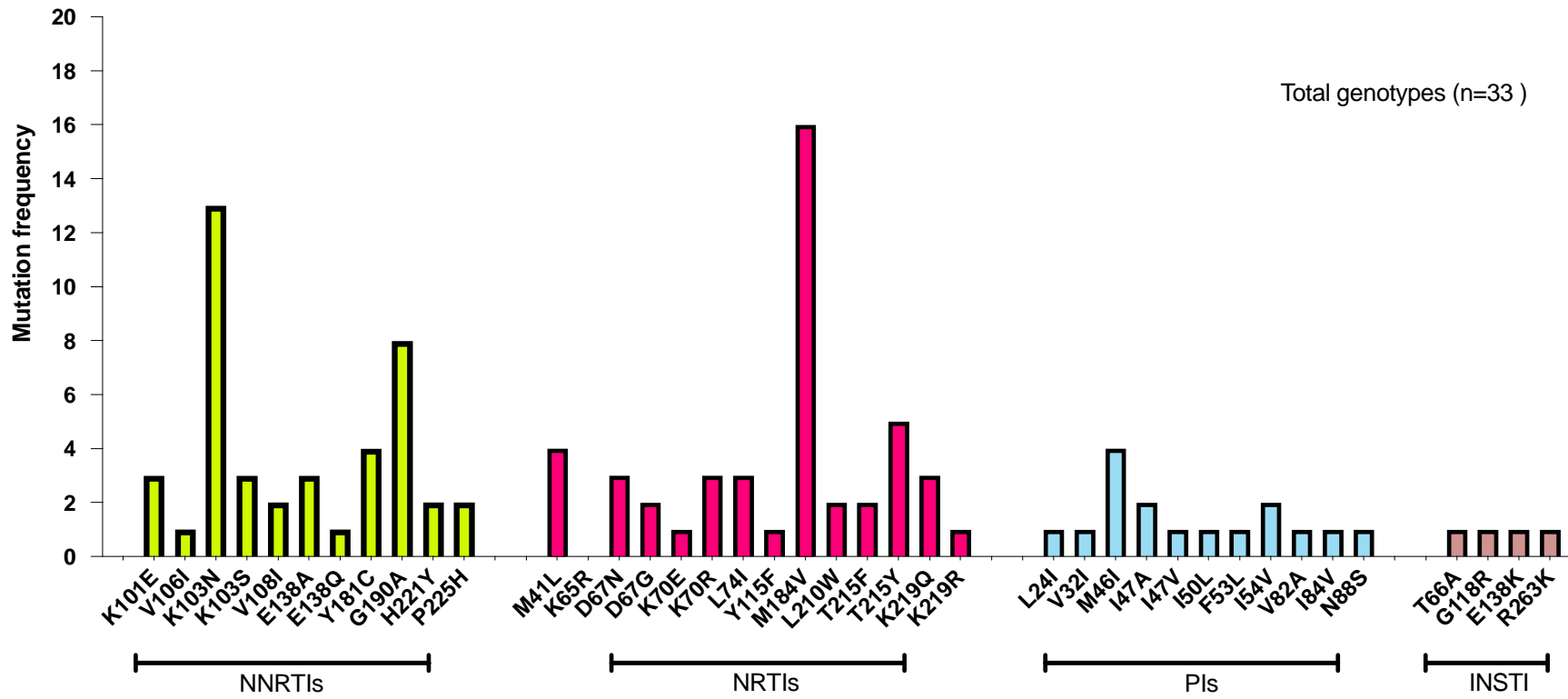
242

243 **Table 1:** Characteristics of study participants with successful genotyping

Characteristic		244
Total number (%)	33 (100)	245
Female, n (%)	20 (61)	246
Age, median years (IQR)	40 (35, 48)	247
CD4 count, median cells/mm <sup>3</sup> (IQR)	200 (300, 462)	248
ART regimen, (%)		249
	TDF+3TC+DTG	33 (100) 250
ART regimen prior to DTG		251
	TDF+3TC+LPV/r	17 (52) 252
	TDF+3TC+ATV/r	4 (12) 253
	AZT+3TC+EFV	8 (24) 254
	ABC+3TC+EFV	2 (6) 255
	No prior ART	2 (6) 256
Time on DTG, median years (IQR)	1.8 (1.4, 1.9)	257
Time on ART, median years (IQR)	9.3 (5.8, 15.0)	258
ART status		259
	Switching to DTG	31 (94) 260
	Starting DTG	2 (6) 261
HIV-1 subtype, n (%) <sup>b</sup>		262
	G	2 (6) 263
	G/A1	13 (39) 264
	CRF02_AG	5 (15) 265
	A (A1)	3 (9) 266
	CRF02_AG/G	2 (6) 267
	O2AG/A1	2 (6) 268
	Others	2 (6) 269
		6 (18) 270
		271
		272
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277 <sup>a</sup>Data available from 25 participants; <sup>b</sup>Other subtypes comprising CRF06\_CPX (n=1); CRF13\_CPX-like (n=1);  
 278 CRF13\_CPX/G (n=1); CRF 11\_CPX (n=1); G/C (n=1); G/J/A (n=1).  
 279

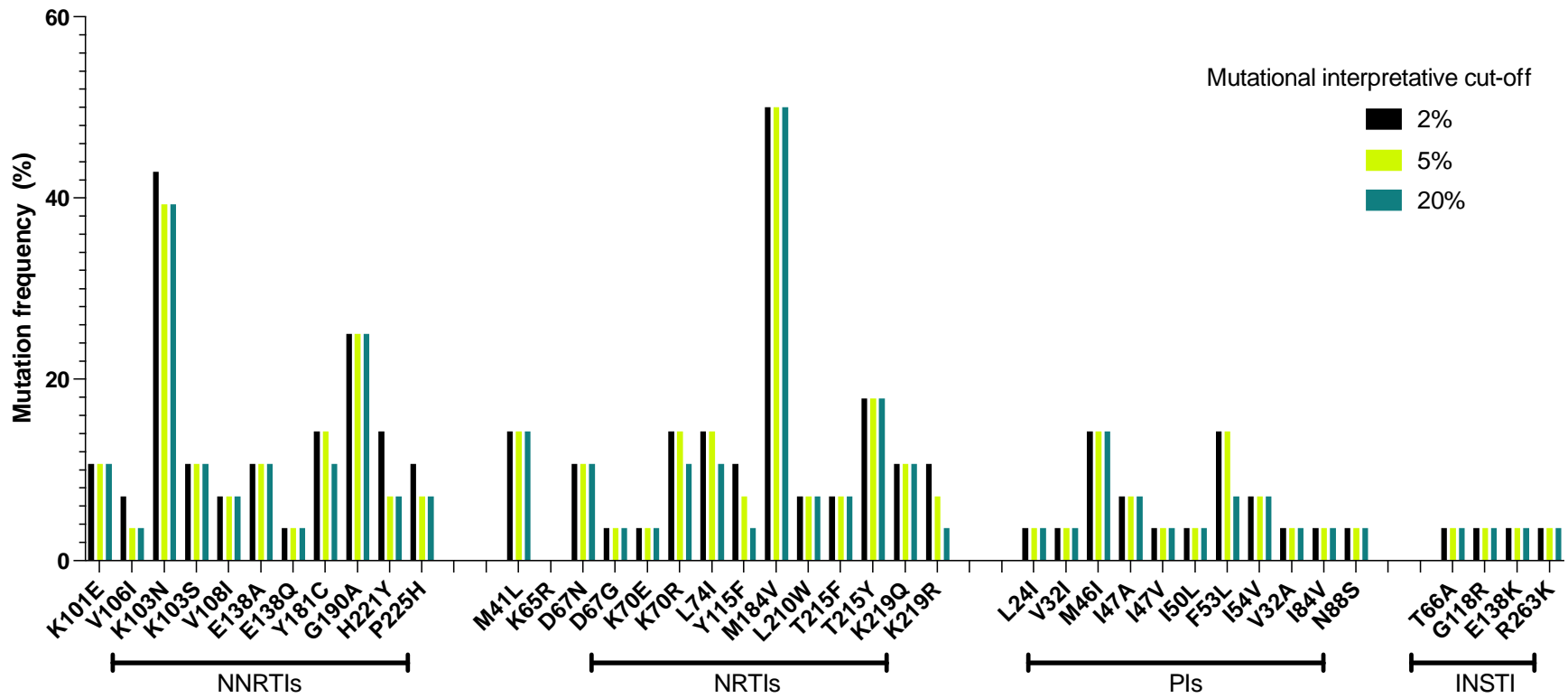




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**Figure 1a:** Proportion of patients with resistance associated mutations using the Stanford algorithm.



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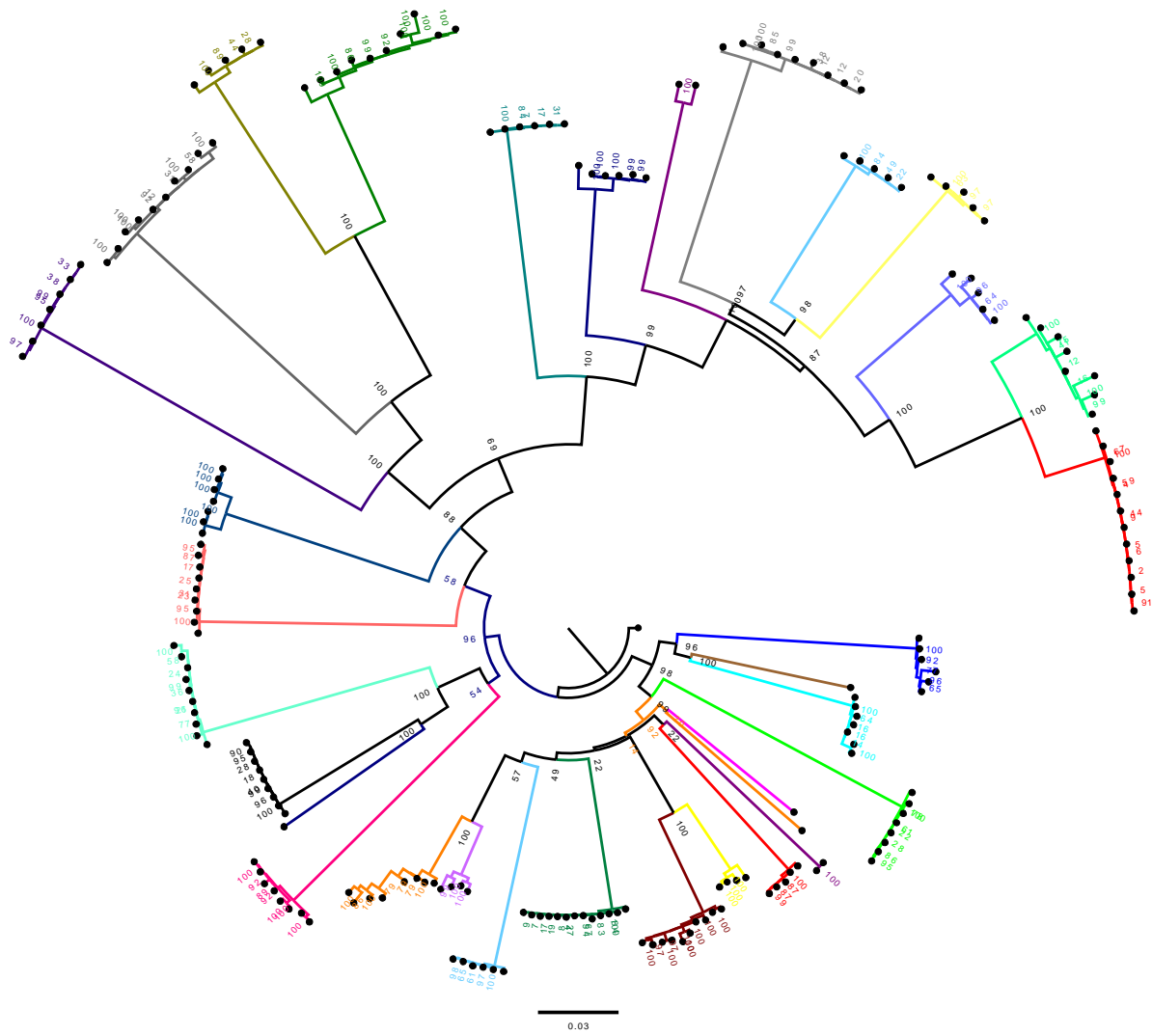
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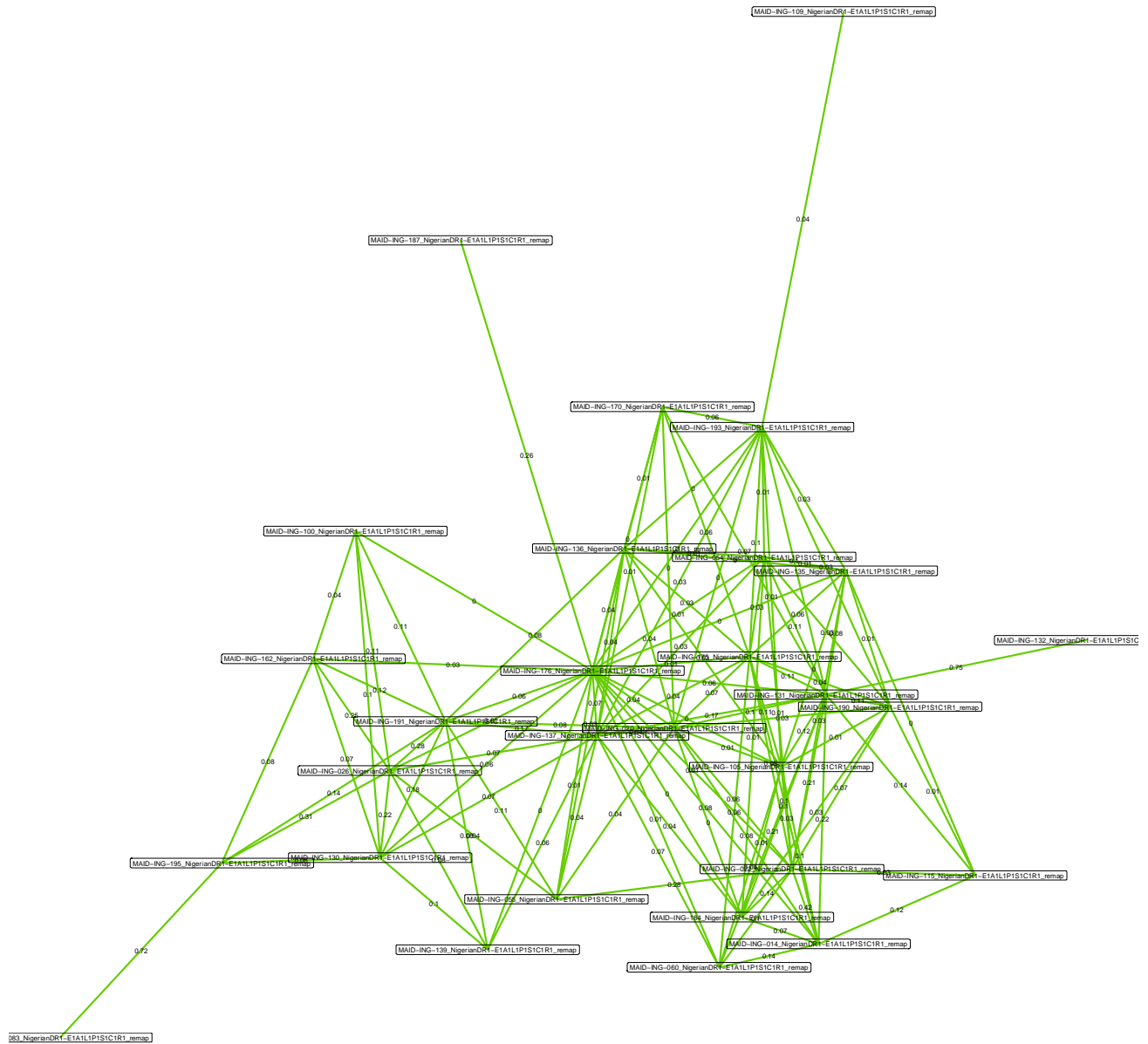
**Figure 1b:** Proportion of patients with resistance associated mutations using the Stanford algorithm, sub-divided into interpretational cut-offs of 2, 5 and 20%. Evidence suggest that minority variants may play a role in drug resistance (<https://doi.org/10.1128/mbio.00269-22>).



289

290 **Figure 2.** Maximum-likelihood phylogeny of reconstructed haplotypes from each patient. Haplotypes  
291 were homogeneous and had the majority of the same mutations on each haplotype. Bootstraps are  
292 indicated at each node.

293



294 **Supplementary Figure 1:** Inferred transmission network of all patients in the cohort. Green lines  
295 indicate a degree of linkage between two sequences, but without sufficient statistical support to  
296 indicate a direct transmission event has occurred.  
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