1 Distinct Nrf2 Signaling Thresholds Mediate Lung Tumor Initiation and

2 **Progression**

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- 4 Janine M. DeBlasi^{1,2}, Aimee Falzone¹, Samantha Caldwell¹, Nicolas Prieto-Farigua¹,
- Justin R. Prigge³, Edward E. Schmidt³, lok In Christine Chio^{4,5}, Florian A. Karreth⁶, Gina
 M. DeNicola^{1*}
- 7
- ⁸ ¹Department of Cancer Physiology, H. Lee Moffitt Cancer Center and Research
- 9 Institute, Tampa, FL, 33612, USA.
- ²Cancer Biology PhD Program, University of South Florida, Tampa, FL 33612, USA.
- ³Microbiology & Cell Biology Department, Montana State University, Bozeman, MT
 59717, USA.
- ⁴Institute for Cancer Genetics, Department of Genetics and Development, Columbia
 University Irving Medical Center, New York, NY 10032, USA.
- ⁵Herbert Irving Comprehensive Cancer Center, Columbia University Irving Medical Center, New York, NY 10032, USA.
- ⁶Department of Molecular Oncology, H. Lee Moffitt Cancer Center and Research
- 18 Institute, Tampa, FL, 33612, USA.
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- 24 model (**GEMM**)
- 25

26 Abstract

- 27 Mutations in the KEAP1-NRF2 pathway occur in up to a third of non-small cell lung
- cancer (NSCLC) cases and often confer resistance to therapy and poor outcomes.
- 29 Here, we developed murine alleles of the KEAP1 and NRF2 mutations found in human
- 30 NSCLC and comprehensively interrogated their impact on tumor initiation and
- 31 progression. Chronic Nrf2 stabilization by Keap1 or Nrf2 mutation was not sufficient to
- induce tumorigenesis, even in the absence of tumor suppressors p53 or Lkb1. When
- 33 combined with Kras^{G12D/+}, constitutive Nrf2 activation promoted lung tumor initiation and
- 34 early progression of hyperplasia to low-grade tumors but impaired their progression to
- advanced-grade tumors, which was reversed by Nrf2 deletion. Finally, NRF2
- 36 overexpression in KEAP1 mutant NSCLC cell lines was detrimental to cell proliferation,
- 37 viability, and anchorage-independent colony formation. Collectively, our results

^{20 *}Corresponding author. E-mail: gina.denicola@moffitt.org

establish the context-dependence and activity threshold for NRF2 during the lungtumorigenic process.

40

41 <u>Main</u>

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NRF2 (nuclear factor-erythroid 2 p45-related factor 2) is a stress-responsive 43 44 transcription factor that regulates the detoxification of reactive oxygen species (ROS). 45 maintains cellular homeostasis, and regulates many facets of metabolism^{1,2}. NRF2 is negatively regulated by KEAP1 (Kelch-like ECH-associated protein 1), a substrate 46 adaptor protein for the cullin 3 (CUL3)-based E3 ubiguitin ligase that facilitates NRF2 47 ubiquitination and proteasomal degradation in the absence of oxidative or xenobiotic 48 49 stress³. NRF2 promotes the detoxification of carcinogens to limit deleterious mutations that initiate cancer⁴⁻⁷ and NRF2 activators are being explored as chemopreventative 50 agents⁸⁻¹⁰. However, NRF2 is frequently stabilized in many cancers, particularly non-51 52 small cell lung cancer (NSCLC), where mutations in the KEAP1-NRF2 pathway are 53 found in up to 30% of cases^{11,12}. NRF2 stabilization is associated with poor prognosis¹³-¹⁸, resistance to chemo- and radiotherapy^{19,20}, cancer cell survival²⁰, proliferation²¹, 54 metabolic reprogramming²²⁻²⁴, and metastasis²⁵. It remains unclear, however, whether 55 chronic NRF2 stabilization transforms normal cells. Thus, it is important to understand 56 57 the contexts and mechanisms by which NRF2 can prevent and promote cancer 58 phenotypes.

59

Preclinical genetically engineered mouse models (GEMMs) have advanced our 60 understanding of the role of NRF2 in lung tumorigenesis^{21,25-35}. NRF2 activation in 61 62 NSCLC has been modeled in GEMMs by inactivating Keap1 via conditional knockout²⁶ ^{29,31,33} or CRISPR-mediated deletion^{25,32,34,35}, in contrast to the KEAP1 mutations found 63 in human lung cancer. These studies have shown that Nrf2 promotes lung tumor 64 initiation^{27,28}, tumor size^{32,36}, progression^{31,32}, and metastasis²⁵. However, other studies 65 have failed to see an effect of Nrf2 on lung tumor initiation^{36,37} or size^{34,35,37}, and we 66 reported that Nrf2 activation significantly decreases tumor size³⁰. Study conditions, time 67 points and phenotypes assayed varied across these studies. Therefore, the role NRF2 68

activation plays at distinct stages of tumor initiation and progression remains to bedetermined.

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In the current study, we generated lung cancer GEMMs expressing Keap1^{R554Q} and 72 73 Nrf2^{D29H} mutations to comprehensively investigate how Nrf2 activation affects each 74 stage of the tumorigenic process. These models also exhibit a series of graded NRF2 activation, allowing us to ask how different levels of Nrf2 influence lung tumor 75 76 progression. We found that constitutive Nrf2 stabilization induced by these mutations was insufficient for lung tumor development, even in the context of tumor suppressor 77 loss. In contrast, these mutations promoted lung tumor initiation in the Kras^{G12D/+} model 78 of early lung adenocarcinoma, consistent with previous studies^{21,27,28}. 79 Using the Kras^{G12D/+}; p53^{fl/fl} adenocarcinoma model, we found that homozygous Keap1 80 mutation unexpectedly blocked tumor progression. Supportingly, we found that Nrf2 81 82 expression and activity was downregulated in advanced tumors, and Nrf2 deletion could rescue the Keap1 mutation-mediated progression impairment. Overall, our data suggest 83 84 that NRF2 has distinct, threshold-dependent effects during lung tumor initiation and 85 progression.

86

87 **Results**

88 *Keap1^{R554Q}* and *Nfe2l2^{D29H}* alleles activate the Nrf2 transcriptional program

89 To study the role of NRF2 activation in lung cancer, we developed alleles harboring

90 either the Keap1^{R554Q} or the Nrf2^{D29H} mutation found in human NSCLC (**Fig. 1a, b**).

91 Both Keap1^{R554Q} and Nrf2^{D29H} mutations prevent Keap1-mediated ubiquitination of Nrf2,

allowing for constitutive expression of Nrf2 and transcription of Nrf2 target genes^{38,39}. To

93 generate the conditionally active *(CA)-Keap1*^{*R*554Q} allele, we inserted a wild-type *Keap1*

cDNA containing exons 3-5 flanked by loxP sites upstream of the R554Q mutation in

95 endogenous exon 4 of the *Keap1* gene (**Fig. 1a**)³⁰. For the Lox-STOP-Lox (*LSL*)-

96 *Nfe2l2^{D29H}* allele, we inserted a loxP-flanked transcriptional and translational STOP

97 (LSL) cassette upstream of the D29H mutation in exon 2 of the endogenous Nfe2l2

gene (Fig. 1b). For both alleles, Cre-mediated excision of loxP-flanked cassettes allows

99 for physiological expression of Keap1^{R554Q} or Nrf2^{D29H}, recapitulating NRF2 activation in

100 human NSCLC. To validate the functionality of these alleles, we first generated mouse embryonic fibroblasts (MEFs), which allowed the switching from a Nrf2 deficient state 101 102 (Nrf2^{LSL/LSL}) to a Nrf2 stabilized state (Nrf2^{D29H/D29H}), or from a basal Nrf2 state (Keap1^{+/+}) to a stabilized Nrf2 state (Keap1^{R554Q/R554Q}) in an isogenic system. Using 103 104 these MEFs, we performed both transcriptomic profiling (Fig. 1c, d). RNA-sequencing indicated that both Keap1^{R554Q/R554Q} and Nrf2^{D29H/D29H} MEFs demonstrated increased 105 106 transcription of canonical Nrf2 target genes, including Nqo1, Srxn1, Txnrd1, and Gclc (Fig. 1c, d). Prior targeting of the murine *Keap1* locus to generate a *Keap1^{flox}* allele 107 resulted in the generation of a hypomorphic allele prior to Cre-mediated recombination, 108 109 leading to decreased Keap1 levels and increased Nrf2 transcriptional activity throughout the whole animal⁴⁰. Importantly, we found no differences in expression of Keap1, Nrf2, 110 or Nrf2 target proteins Nqo1 and Gclc between CA-Keap1^{R554Q} and WT Keap1 MEFs, 111 indicating that the *CA-Keap1*^{R554Q} allele is not hypomorphic (**Fig. S1**). Collectively, these 112 results indicate that the mutant *Keap1*^{R554Q} and *Nfe2l2*^{D29H} alleles activate the Nrf2 113 transcriptional program. 114

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116 Keap1 or Nrf2 mutation is not sufficient to initiate lung tumorigenesis

117 Given the importance of NRF2 in cytoprotection and redox homeostasis, there has been a longstanding interest in activating NRF2 pharmacologically for chemoprevention⁸⁻¹⁰. 118 119 The long-term safety of this approach, and whether the chronic activation of NRF2 can 120 transform healthy cells in vivo, remains unknown. Moreover, the whole body deletion of 121 Keap1 in mice results in postnatal lethality because of constitutive Nrf2 activation⁴¹. In human lung tumors, *KEAP1* inactivation is frequently biallelic¹³, whereas *NFE2L2* 122 123 mutations are frequently heterozygous⁴². Therefore, we induced the recombination of Keap1 and Nfe2l2 alleles in the lungs of Keap1^{R554Q/+}, Keap1^{R554Q/R554Q}, or Nrf2^{D29H/+} 124 125 mice using adenoviral-Cre to test whether constitutive Nrf2 activation is sufficient to initiate lung tumor formation (Fig. 2). First, we analyzed the overall survival between the 126 127 different groups. The median survival across genotypes ranged from approximately 128 650-750 days, with no significant survival differences observed between wild-type and Keap1/Nrf2 mutant groups (Fig. 2a). While mice did develop tumors, they comprised 129 130 age-associated tumors like lymphoma. Upon examination of mouse lung histology for

the presence of lung tumors, lung tumor-free survival was also not different between the

132 groups (Fig. 2b). Finally, histological analysis of lung tissues revealed that both alveolar

- and bronchiolar cells appeared phenotypically normal across the genotypes (Fig. 2c).
- 134 These results indicate that constitutive Nrf2 activation is not sufficient to induce lung
- tumor formation.
- 136

Keap1 or Nrf2 mutation is not sufficient to initiate lung tumorigenesis in combination with tumor suppressor loss

To determine whether tumor suppressor loss was required for mutant Keap1 or Nrf2 to 139 initiate lung tumor formation, we crossed Keap1 and Nrf2 mutant mice with p53^{flox} and 140 Lkb1^{flox} alleles to concomitantly activate Nrf2 and delete these tumor suppressors in the 141 lung (Fig. 3). We first examined the consequence of Nrf2^{D29H/+}, Keap1^{R554Q/+}, or 142 Keap1^{R554Q/R554Q} in combination with p53 deletion. Mice were aged to 500 days, at 143 144 which time all mice were euthanized and examined for evidence of lung tumor 145 formation. While a small number of these mice did succumb to disease prior to 500 146 days, they developed age-associated tumors including lymphoma, and we did not observe any differences in overall or lung tumor-free survival between genotypes (Fig. 147 148 **3a,b)**. However, when examining the lung tissue histology, we observed dysplasia in Keap1^{R554Q/R554Q} bronchioles following loss of p53 (**Fig. 3c**). This observation is 149 150 consistent with previous work showing that tracheospheres derived from Keap1-/-; Trp53-^{/-} cells had an aberrant morphology²⁹. We next examined the consequence of Nrf2^{D29H/+}, 151 Keap1^{R554Q/+} or Keap1^{R554Q/R554Q} in combination with Lkb1 deletion. Similar to what was 152 153 observed with p53, we also did not find any differences in overall or lung tumor-free 154 survival between cohorts (Fig. 3d,e). Moreover, the bronchiolar and alveolar 155 morphology was normal across genotypes, in contrast to what was observed upon p53 156 loss (Fig. 3f). Our findings indicate that Keap1/Nrf2 mutation is not sufficient to initiate 157 lung tumor formation in combination with tumor suppressor loss. 158

Nrf2 activation cooperates with mutant Kras to promote lung tumor initiation and
 early progression

161 We and others have reported that Nrf2 activation is important for Kras mutant lung tumorigenesis^{21,27,28}. To understand how Keap1 or Nrf2 mutation affect lung tumor 162 163 initiation and early progression, we crossed Keap1/Nrf2 mutant mice with the Kras^{G12D/+} model of early lung adenocarcinoma⁴³. While all mice succumbed to lung tumors with a 164 165 median survival of around 200 days, we observed no difference in survival between 166 cohorts (Fig. 4a.b). We next validated that these mutations were activating toward Nrf2 167 in tumors by performing immunohistochemical staining for Nrf2 and the Nrf2 target Ngo1. We observed that Keap1^{R554Q/R554Q} expression resulted in the greatest degree of 168 Nrf2 activation, followed by Nrf2^{D29H/+}, and then Keap1^{R554Q/+} compared to Keap1/Nrf2^{+/+} 169 expression (Fig. 4c-f). To examine the influence of Nrf2 activation on tumor initiation, 170 we quantified tumor number across the genotypes and found that Keap1^{R554Q/R554Q} and 171 Nrf2^{D29H/+} significantly increased tumor number in the Kras^{G12D/+} model (Fig. 4g,h), 172 consistent with prior reports using Keap1 deletion models^{27,28}. We then examined the 173 174 influence of Nrf2 on tumor progression by analyzing tumor grade. The distribution of 175 atypical adenomatous and bronchiolar hyperplasia (AAH and BH, respectively) and 176 tumors from grades 1 (adenoma) to 5 (adenocarcinoma) was determined. We observed an increased proportion of grade 1 tumors in Keap1^{R554Q/R554Q} and Nrf2^{D29H/+} mice 177 compared to Keap1^{R554Q/+} and Keap1/Nrf2^{+/+} mice (Fig. 4i). Surprisingly, we found that 178 there was a decrease in grade 3 tumor burden across all Keap1 and Nrf2 mutant 179 models (Fig. 4j), although these tumors were rare. Our findings indicate that Kras^{G12D/+} 180 181 mutation cooperates with Keap1/Nrf2 mutation to promote formation of lung tumors and 182 early progression to low-grade tumors.

183

184 Nrf2 activation impairs lung adenocarcinoma progression

The decrease in grade 3 tumor burden in the Keap1/Nrf2 mutant models suggested that
Nrf2 activation may impair progression to higher grade tumors. We next used the
Kras^{G12D/+}; p53^{fl/fl} (KP) model, which develops advanced-grade lung adenocarcinomas⁴⁴.
We previously reported that the expression of Keap1^{R554Q/R554Q} dramatically decreased
overall tumor size in this model³⁰, but other groups have found differing effects of Nrf2
activation. While some studies reported that Keap1 inactivation promoted

adenocarcinoma progression^{31,32}, others reported that Keap1 deletion did not affect

192 tumor size^{34,35}, but the conditions used and phenotypes assayed varied across these 193 studies. Thus, we decided to perform comprehensive phenotyping on KP tumors 194 following Nrf2 activation. Similar to what we observed in the Kras^{G12D/+} model, we found that overall survival of the KP model was not affected by Keap1 or Nrf2 mutation (Fig. 195 196 5a,b). Moreover, we found that Keap1/Nrf2 mutation affected Nrf2 activation in a similar manner to the Kras^{G12D/+} model, with Keap1^{R554Q/R554Q} being the most activating. 197 198 followed by Nrf2^{D29H/+}, and then Keap1^{R554Q/+} compared to Keap1/Nrf2^{+/+} mice (Fig. 5c-199 f). Next, we examined tumor progression by tumor grading and found a significant decrease in the proportion of grade 3 and 4 tumors in the Keap1^{R554Q/R554Q} cohort (Fig. 200 **5g,h)**. We also observed an increase in the proportion of grade 1 tumors with 201 Keap1^{R554Q/R554Q}, suggesting that there may be a threshold for Nrf2 activation to 202 203 promote early progression, but impair late progression (Fig. 5h). Moreover, we found 204 similar trends by analyzing tumor burden by grade, as seen with an increase in grade 1 tumor burden and a decrease in grade 3 tumor burden with Keap1^{R554Q/R554Q} (Fig. 5i). 205 Although not statistically significant, the Nrf2^{D29H/+} cohort also had a modest decrease in 206 207 grade 3 and 4 tumors, further supporting a threshold for Nrf2 to impair tumor progression (Fig. 5g-i). We next investigated whether tumors that progressed to the 208 209 adenocarcinoma stage altered Nrf2 expression and/or activity. To this end, we analyzed Nrf2 and Ngo1 levels across all tumor grades and hyperplasia (AAH, BH). We found 210 211 that Nrf2 and Nqo1 levels were highly elevated in Keap1/Nrf2 mutant grade 1 tumors, with Ngo1 demonstrating increased nuclear localization in homozygous Keap1 mutant 212 213 tumors compared to Nrf2 mutant tumors (Fig. 6a). However, as tumors progressed to 214 higher grades, Nrf2 and Ngo1 expression were reduced in the Keap1/Nrf2 mutant 215 models (Fig. 6a-c). These results suggest that Nrf2 activation beyond a certain 216 threshold impairs advanced-grade tumor progression, requiring selection for a more 217 tolerable level of Nrf2 expression and activity in high-grade tumors. 218 219 NRF2 overexpression impairs NSCLC cell proliferation, viability, and anchorage-

220 independent colony formation

KEAP1 has other substrates⁴⁵⁻⁵⁰, raising the question of whether NRF2 plays a causal

role in tumor suppression. It was previously reported that KEAP1 mutant lung cancer

223 cell lines are "NRF2 addicted" and dependent on NRF2 for proliferation⁵¹. Supportingly, analysis of DepMap data⁵² revealed that NSCLC cell lines with high NRF2 activity²². 224 225 which were enriched for KEAP1 mutations, exhibited NRF2 dependence (Fig. 7a). To 226 directly test the hypothesis that excessive NRF2 activation above a specific threshold is 227 detrimental to lung tumor cell growth, we used lentiviral transduction to overexpress 228 NRF2 in five KEAP1 mutant lung cancer cell lines (H1944, H322, A549, HCC15, H460). 229 We confirmed overexpression of NRF2 by western blot analysis of NRF2 and target 230 genes GCLC, xCT, and GSR (Fig. 7b). Lentiviral transduction increased the expression 231 of NRF2 in all cell lines, and also increased the expression of NRF2 targets, 232 demonstrating that NRF2 binding sites were not saturated by the level of NRF2 in these cell lines (Fig. 7b). Next, we determined the influence of NRF2 overexpression on 233 234 cellular proliferation and death over the course of four days using live cell imaging (Fig. 7c-g). We found that NRF2 overexpression decreased cell proliferation in all cell lines, 235 236 and increased cell death in 4 out of 5 cell lines (Fig. 7f,g). Finally, we observed 237 impaired anchorage-independent growth in soft agar in all cell lines (Fig. 7h,i). These 238 results indicate that there is an optimal threshold of NRF2 activity, and that excess 239 NRF2 activation can impair lung cancer phenotypes.

240

241 Single copy Nrf2 deletion rescues homozygous Keap1^{R554Q}-mediated tumor

242 progression impairment

243 To directly examine whether reducing Nrf2 levels could alleviate the block in

adenocarcinoma progression in the Keap1^{R554Q/R554Q} model, we crossed a Nrf2^{flox} allele

into both our Kras^{G12D/+}; p53^{fl/fl} and Kras^{G12D/+}; p53^{fl/fl}; Keap1^{R554Q/R554Q} models. Because

we previously found that complete Nrf2 deficiency impairs tumor initiation²¹, we

examined the consequence of single copy Nrf2 deletion on tumor phenotypes. Again,

we found no difference in overall survival between groups (Fig. 8a). We also observed

that Nrf2 deletion in the Keap1^{R554Q/R554Q} model significantly decreased expression of

250 Nrf2 and Nqo1 (Fig. 8b-e, S2). Moreover, histological examination of the lungs revealed

a striking difference in tumor number and size, with Nrf2 heterozygous deletion having a

252 minimal effect on the Kras^{G12D/+}; p53^{fl/fl} model while dramatically increasing tumor

burden in the Kras^{G12D/+}; p53^{fl/fl}; Keap1^{R554Q/R554Q} model (**Fig. 8f**). Next, we examined

254 tumor progression in these models. In agreement with our previous experiment (Fig. **5h)**, we found that the Keap1^{R554Q/R554Q} cohort had a significant reduction in 255 adenocarcinoma progression upon Nrf2^{WT} expression (Fig. 8g). However, upon single 256 copy Nrf2 deletion (Nrf2^{flox/+}), Keap1^{R554Q/R554Q} failed to suppress tumor progression 257 258 (Fig. 8g). Similar findings were observed when analyzing tumor burden by grade, with a decrease in grade 3 tumor burden induced by Keap1^{R554Q/R554Q} that was alleviated by 259 260 single copy deletion of Nrf2 (Fig. 8h). These results demonstrate that there is a 261 threshold by which Nrf2 activation can promote or impair tumor initiation or progression. 262

263 Discussion

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Using genetically engineered mouse models of Keap1/Nrf2 mutation, we find that 265 266 Keap1 or Nrf2 mutations alone are insufficient to cause lung tumor formation. Even in combination with tumor suppressor loss, we did not observe lung tumor formation after 267 268 500 days, suggesting that chronic NRF2 activation would be a safe strategy for 269 chemoprevention. These findings corroborate previous studies showing that Keap1 deletion does not induce lung tumor development²⁶, even in the absence of tumor 270 suppressors p53 or Lkb1²⁷, out to 12-15 months. In combination with Kras^{G12D/+} 271 272 mutation, we found that Nrf2 activation promoted tumor initiation. Our results are 273 consistent with previous work indicating that Nrf2 activation via Keap1 deletion promotes Kras^{G12D/+} tumor initiation^{27,28}. Surprisingly, we find that Nrf2 activation impairs 274 275 tumor progression, which is correlated with Nrf2 dosage. In our previous study with Keap1^{R554Q/R554Q} mice, we reported smaller tumors in the KP model³⁰, which we now 276 277 find is due to impaired tumor progression mediated by Nrf2 hyperactivation. Because most prior studies from other groups did not specifically analyze tumor grade^{25,27,34,35}. 278 279 they may not have captured this effect of Nrf2 on tumor progression. Alternatively, there 280 may be biological differences between Keap1 deletion and mutation.

281

282 Our findings that tumors downregulate Nrf2 to select for a level permissive for tumor

progression and that ectopic NRF2 expression antagonizes the proliferation and viability

of human NSCLC cells are supported by our finding that single copy deletion of Nrf2

can rescue the homozygous Keap1^{R554Q}-mediated block in tumor progression. This

286 result demonstrates a direct role for Nrf2 hyperactivation but we cannot exclude the possibility that alternative KEAP1 substrates, such as PGAM5⁴⁵, PALB2⁴⁶, MCM3⁴⁷ or 287 EMSY⁴⁸, contribute to the block in tumor progression. In agreement with our findings, a 288 Keap1-binding defective Nrf2^{E79Q} mouse model of small-cell lung cancer (SCLC) with 289 290 p53/ p16 inactivation⁵³ also displayed Nrf2 downregulation in aggressive SCLC tumors. The exact mechanism(s) by which high NRF2 activity impairs tumor cell proliferation 291 292 and tumor progression remains to be determined. The dosage-dependent effects of 293 Nrf2 are reminiscent of what has been observed for oncogenes like Ras and Myc, 294 where low levels promote transformation and proliferation, and high levels promote senescence or death^{54,55}. 295

296

Given that KEAP1 and NRF2 mutations are found with a high frequency in human 297 298 NSCLC and are associated with poor outcomes, these findings raise the question of 299 under which contexts NRF2 activation provides an advantage. Our findings that 300 NRF2/KEAP1 mutation promotes tumor initiation are consistent with recent results from 301 the TRACERx study, where *KEAP1* mutations were found to be an initiating driver together with KRAS and TP53 mutations in lung adenocarcinoma⁵⁶, and suggest that 302 303 additional genetic events may be needed to overcome NRF2-mediated inhibition of tumor progression. We did not find that these mutations conferred poor outcomes in our 304 305 mouse models, but there are multiple features of patient tumors not captured by our 306 models that remain to be examined. They did not develop metastases with sufficient 307 frequency, precluding an examination of the influence of Nrf2 activation on metastasis as reported previously²⁵. Moreover, mice are not exposed to smoking and other 308 309 environmental toxins under which NRF2 activation may promote survival⁴⁻⁷. We have 310 also not tested the response of these models to therapy. Overall, our work 311 demonstrates the context-dependence of the role of NRF2 during the complex stages of 312 tumorigenesis and warrants further investigation into how NRF2 elicits distinct 313 responses. 314

- 315 Methods
- 316

317 Mice

- 318 Mice were housed and bred in accordance with the ethical regulations and approval of
- the IACUC (protocols #: IS00003893R and IS00007922R). Generation of the Keap1
- targeting vector was previously described³⁰. Briefly, the *CA-Keap1*^{R554Q} allele
- 321 (Keap1^{tm1Gmdn}, MGI: 7327097) was made by inserting a wild-type *Keap1* cDNA
- 322 containing exons 3-5 flanked by loxP sites upstream of the R554Q mutation in exon 4 of
- 323 the *Keap1* gene. *Keap1* was targeted in C10 murine ES cells and cells were selected
- with blasticidin. To make the *LSL-Nfe2l2^{D29H}* allele (Nfe2l2^{tm1Gmdn}, MGI: 7327101), a
- 325 STOP cassette flanked by loxP sites was inserted into intron 1 and codon 29 in
- endogenous exon 2 was mutated from an aspartic acid to a histidine. The endogenous
- 327 *Nfe2l2* locus was targeted in C10 murine ES cells and puromycin was used to select
- positive cells. For both alleles, positive clones were screened by copy number real-time
- 329 PCR and injected into blastocysts. Genotyping primers were as follows: for the
- 330 *Keap1*^{R554Q} allele: Mutant forward: 5'-ATGGCCACACTTTTCTGGAC-3'; wild-type (WT)
- 331 forward: 5'-GGGGGTAGAGGGAGGAGAAT-3'; Common reverse: 5'-
- 332 GCCACCCTATTCACAGACCA-3'. The WT PCR product was 326 bp and the mutant
- 333 PCR product 584 bp. For the *Nfe2l2*^{D29H} allele: WT forward: 5'-
- 334 GAGGCAGGTAGTTCTCTGAGTTTG-3'; Common reverse: 5'-
- 335 GCAAATGCACTGAGACACTCAT-3'; Mutant forward: 5'-
- 336 CTAGCCACCATGGCTTGAGT-3'. The WT PCR product was 189 bp and the mutant
- PCR product 282 bp. All mice were maintained on a mixed C57BL/6 genetic
- 338 background. In addition to Keap1^{R554Q} and Nrf2^{D29H} mice, p53^{flox}
- 339 (RRID:IMSR_JAX:008462); Lkb1^{flox} (RRID:IMSR_JAX:014143); Nrf2^{flox}
- 340 (RRID:IMSR_JAX:025433); and LSL-Kras^{G12D/+} (RRID:IMSR_JAX:008179) mice were
- 341 used. For mouse lung tumor studies, intranasal installation of 2.5 x 10⁷ PFU adenoviral-
- 342 Cre (University of Iowa) was used to induce lung tumors as previously described⁴³.
- 343 Adenoviral infections were performed under isofluorane anesthesia.
- 344

345 Murine embryonic fibroblast generation and culture

- 346 MEFs were isolated from E13.5-14.5-day old embryos and maintained in pyruvate-free
- 347 DMEM (Corning) containing 10% FBS, 100 units/mL penicillin and 100 µg/mL

- 348 streptomycin (Gibco) in a humidified incubator with 5% CO₂ and 95% air at 37°C. MEFs
- 349 were used within four passages and infected with control empty adenovirus or
- adenoviral-Cre (University of Iowa) at an approximate multiplicity of infection of 500.
- 351

352 RNA-sequencing preparation and analysis

- 353 Samples were prepared using the RNeasy plus mini kit (Qiagen, 74134). RNA quality
- was checked with the QIAxcel RNA QC kit (Qiagen, 929104). Additional RNA QC,
- sequencing, mapping to the mouse genome, and analysis were performed by
- Novogene. Differentially expressed genes (DESeq2) with p < 0.05 were included in thevolcano plot.
- 358

359 Immunohistochemistry (IHC)

360 Mouse lung tissue was fixed with 10% formalin overnight, transferred to 70% ethanol 361 and paraffin embedded to be sectioned. Unstained tissue sections were de-paraffinized 362 in xylene followed by rehydration in a graded alcohol series. Antigen retrieval was 363 performed by boiling in 10mM citrate buffer (pH 6). Antibodies used for IHC include affinity-purified NRF2 (1:150 or 1:300)⁵⁷ and NQO1 (Sigma Aldrich, RRID:AB 1079501, 364 1:500). Following overnight incubation at 4°C in primary antibody, the ImmPRESS HRP 365 366 goat anti-rabbit kit (Vector Laboratories, RRID:AB 2631198) was used as directed by manufacturer's instructions. DAB peroxidase (HRP) substrate (Vector Laboratories, SK-367 4105) was used to develop immunohistochemical staining, followed by counterstaining 368 369 with hematoxylin (Vector Laboratories, H-3404). Slides were scanned with the Aperio 370 imager at 20x and the H-score of at least five representative regions/ mouse was analyzed with QuPath software⁵⁸. Representative images were captured using the Axio 371 372 Lab.A1 microscope at 40x (Carl Zeiss Microimaging Inc.).

373

374 Tumor grading analysis and histology

Lung tumor grading was performed manually as previously described⁴⁴. Tumor grading distribution percentages were calculated by dividing the number of tumors in a specific grade by the total number of tumors per mouse. Tumor burden by grade was calculated by dividing the area of the lung covered by a specific tumor grade by the total lung area.

379

380 NSCLC cell lines and culture

- Human lung cancer cell lines used include H1944 (RRID:CVCL_1508), H322
- 382 (RRID:CVCL_1556), A549 (RRID:CVCL_0023), HCC15 (RRID:CVCL_2057), and H460
- 383 (RRID:CVCL_0459) and were previously described²². Cells were cultured in RPMI 1640
- 384 (Gibco) containing 5% FBS without antibiotics in a humidified incubator with 5% CO₂
- and 95% air at 37°C. Cells were screened regularly and confirmed to be free of
- 386 mycoplasma with the MycoAlert kit (Lonza).
- 387

388 Lentivirus generation and infection of NSCLC cells

Lentiviruses were made by transfecting Lenti-X 293T cells (Takara 632180) overnight

- 390 with polyethylenimine (PEI), lentiviral plasmid (pLX317-NRF2⁵⁹ or the control pLX317
- empty vector³⁰), and packaging plasmids pCMV-dR8.2 dvpr (RRID:Addgene_8455) and
- pCMV-VSV-G (RRID:Addgene_8454) in DMEM containing 10% FBS. To generate
- 393 NRF2-overexpressing cells, NSCLC cells were transduced for 24 hrs with lentiviruses in
- 394 medium containing polybrene (8 µg/mL). After transduction, infected cells were selected
- with 0.5 µg/mL (H1944, H322, H460) or 1 µg/mL (A549, HCC15) puromycin for 72 hrs.
- 396 Immediately following selection, cells were seeded in respective puromycin
- 397 concentrations for the indicated assays.
- 398

399 Cell proliferation and cell death assays

400 NSCLC cells were monitored with the CELLCYTE X[™] live cell imaging instrument

401 (Cytena) over the course of 96 hours. Prior to imaging, SYTOX Green nucleic acid stain

- 402 (Thermo Fisher Scientific, S7020) was added to medium at a final concentration of 20
- nM. Images were acquired from each well at 8-hour intervals and analyzed using
- 404 CellCyte Studio (CELLINK). Cell confluency was represented as the % of the image
- 405 covered by cells. The number of dead cells was normalized to cell confluency [number
- 406 of Sytox Green positive cells/ mm²/ cell confluency]. The area under the curve (AUC)
- 407 values were calculated by summing the proliferation or normalized dead cell number at
- 408 each time point.
- 409

410 Western blotting

- 411 Cells were lysed in ice-cold RIPA buffer with protease inhibitors (Fisher Scientific,
- 412 PIA32955) followed by sonication in a water bath sonicator (Diagenode). Protein was
- 413 quantified using the DC protein assay (Bio-Rad). Lysates were prepared with 6X SDS
- 414 sample buffer containing 12% (v/v) β-ME (VWR) and separated on BoltTM or NuPAGE
- 415 4-12% Bis-Tris gels (Invitrogen). SDS-PAGE separation was followed by transfer to
- 416 0.45 µm nitrocellulose membranes (GE Healthcare). The membranes were blocked in
- 417 5% non-fat milk in Tris-buffered saline with 0.1% Tween 20 (TBST). For immunoblotting,
- the following antibodies diluted in 5% milk in TBST were used: KEAP1 (Millipore Sigma,
- 419 RRID:AB_2921362, 1:2000), NRF2 (Cell Signaling Technologies, D1Z9C,
- 420 RRID:AB_2715528, 1:1000), NQO1 (Sigma Aldrich, RRID:AB_1079501, 1:1000), GCLC
- 421 (Sana Cruz Biotechnology, H-5, RRID:AB_2736837, 1:1000), xCT (abcam,
- 422 RRID:AB_778944, 1:1000), GSR (Santa Cruz Biotechnology, RRID:AB_2295121,
- 423 1:1000), β-actin (Invitrogen AM4302, RRID:AB_2536382, 1:100,000). HRP secondary
- 424 antibodies used include goat anti-rabbit IgG (Jackson ImmunoResearch Labs, RRID:
- 425 AB_23135627), goat anti-mouse IgG (Jackson ImmunoResearch Labs,
- 426 RRID:AB_10015289), and goat anti-rat IgG (Jackson ImmunoResearch Labs,
- 427 RRID:AB_2338128). Membranes were developed with Clarity ECL substrate (Bio-Rad)
- 428 or a luminol-based homemade ECL substrate.
- 429

430 Soft agar colony formation assays

- 431 6-well plates were coated with a 0.8% agar prepared in RPMI. NSCLC cells were then
- 432 seeded in 0.4% agar in RPMI. After the cell/ agar mixture solidified, RPMI medium
- 433 containing 10% FBS, Pen/Strep and puromycin was added to each well and replenished
- 434 every few days. Colonies were allowed to form for 10–16 days, and wells were stained
- 435 with 0.01% crystal violet in a 4% paraformaldehyde in PBS solution. Plates were
- scanned on a flatbed scanner and ImageJ was used to quantify colonies.
- 437

438 **DepMap Analysis**

- 439 *NFE2L2* dependency scores were downloaded from the DepMap database v. 22Q2⁵².
- 440 Values were plotted from CRISPR (DepMap 22Q2 Public+Score, Chronos) for non-

- small cell lung cancer cell lines that we previously evaluated for high or low NRF2
 activity²².
- 443

444 Statistical analysis

- Graphpad Prism9 software was used for statistical analyses and P values < 0.05 were
- 446 considered significant, with symbols as follows: *p<0.05, **p<0.01, ***p<0.001,
- 447 ****p<0.0001. All data is represented as mean +/- standard deviation unless otherwise
- stated. For all experiments, similar variances between groups were observed.
- 449

450 Online supplemental material

- 451 **Fig. S1** shows that the *CA-Keap1*^{*R554Q*} allele does not exhibit hypomorphism in mouse
- 452 embryonic fibroblasts. **Fig. S2** shows the H-scores by tumor grade of Nrf2 and Nqo1
- 453 immunohistochemical staining in Kras^{G12D/+}; p53^{fl/fl} and Kras^{G12D/+}; p53^{fl/fl};
- 454 Keap1^{R554Q/R554Q} mouse models with single copy Nrf2 deletion.
- 455

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465 Author Contributions

- 466 G.M.D. conceived the project and G.M.D. and J.M.D. designed the experiments.
- G.M.D., I.I.C.C. and F.A.K. designed and generated the Nrf2 and Keap1 mutant mice.
- 468 G.M.D. performed the RNA sequencing. Maintenance of animal colonies, generation of
- 469 experimental animals, and collections of tissues were performed by A.F., with
- 470 assistance from S.C. and N.F.P. J.M.D. performed cell line experiments,
- immunohistochemistry, and tumor histology analyses. J.R.P. and E.E.S. generated the

- 472 affinity-purified NRF2 antibody used for immunohistochemistry. J.M.D. and G.M.D.
- 473 wrote the manuscript, and all authors reviewed it. G.M.D. acquired funding and
- 474 supervised the study.
- 475

476 Competing interests

- 477 The authors declare no competing financial or personal interests.
- 478

479 Additional information

480 Correspondence and requests for materials should be addressed to G.M.D.





481 Figure 1. Development of mutant *Keap1* and *Nfe2l2* alleles found in human lung

- 482 **cancer.** (A) The conditionally active (CA)-*Keap1*^{*R554Q}</sup> allele was generated by inserting*</sup>
- 483 a loxP-flanked, wild type (WT) *Keap1* cDNA containing exons 3-5 into intron 2 and
- introducing the R554Q mutation into endogenous exon 4 of the *Keap1* gene. Prior to
- intranasal installation of adenoviral-Cre recombinase Keap1 is wild type. Once the
- floxed cargo is excised, mutant Keap 1^{R554Q} is expressed. PA = poly A signal. (B) The
- 487 Lox-STOP-Lox (LSL)-Nfe2l2^{D29H} allele was created by inserting a STOP cassette
- flanked by loxP sites into intron 1 and introducing the D29H mutation in endogenous
- exon 2 of the *Nfe2l2* gene. Following Cre-mediated excision of the STOP cassette,
- 490 mutant Nrf2^{D29H} is expressed. **(C)** Volcano plot of RNA-sequencing data from murine
- 491 embryonic fibroblasts (MEFs) expressing Keap1^{R554Q/R554Q} compared to Keap1^{+/+}. N=3,
- representative of two individual MEF lines. **(D)** Volcano plot of RNA-sequencing data
- 493 from MEFs expressing Nrf2^{D29H/D29H} compared to Nrf2^{LSL/LSL}, which lack Nrf2 expression.
- 494 N=3, representative of two individual MEF lines.



495 **Figure 2. Mutation of Keap1 or Nrf2 is not sufficient to initiate lung tumorigenesis.**

- 496 (A) Overall survival of Keap1/Nrf2 mutant mice. Keap1/Nrf2^{+/+} (n=17), Keap1^{R554Q/+}
- 497 (n=8); Keap1^{R554Q/R554Q} (n=11); Nrf2^{D29H/+} (n=15). Ns= not significant (Log-rank (Mantel-
- 498 Cox) test). (B) Lung tumor-free survival of Keap1/Nrf2 mutant mice. Keap1/Nrf2^{+/+}
- 499 (n=11), Keap1^{R554Q/+} (n=4); Keap1^{R554Q/R554Q} (n=8); Nrf2^{D29H/+} (n=11). (**C**) Representative
- 500 H&E of mouse lung depicting normal bronchiolar and alveolar cells (scale bars = 100μ M
- 501 (top panel), 20 μM (bottom panel)).



502 Figure 3. Mutation of Keap1 or Nrf2 is not sufficient to initiate lung tumorigenesis

- 503 with p53 or Lkb1 loss. (A) Overall survival of p53^{fl/fl} mice expressing wild-type or
- 504 mutant Keap1/Nrf2. Keap1/Nrf2^{+/+} (n=15); Keap1^{R554Q/+} (n=7); Keap1^{R554Q/R554Q} (n=18);
- 505 Nrf2^{D29H/+} (n=18). Ns= not significant (Log-rank (Mantel-Cox) test). **(B)** Lung tumor-free
- 506 survival of p53^{fl/fl} mice expressing wild-type or mutant Keap1/Nrf2. Keap1/Nrf2^{+/+} (n=11);
- 507 Keap1^{R554Q/+} (n=6); Keap1^{R554Q/R554Q} (n=16); Nrf2^{D29H/+} (n=10). (**C**) Representative H&E
- 508 of mouse lung depicting bronchiolar and alveolar cells of the p53^{fl/fl} models (scale bars =
- 509 100μM (top panel), 20μM (middle panel), 10μM (bottom panel)). (D) Overall survival of
- 510 Lkb1^{fl/fl} mice expressing wild-type or mutant Keap1/Nrf2. Keap1/Nrf2^{+/+} (n=11);
- 511 Keap1^{R554Q/+} (n=7); Keap1^{R554Q/R554Q} (n=11); Nrf2^{D29H/+} (n=5). Ns= not significant (Log-
- rank (Mantel-Cox) test). (E) Lung tumor-free survival of Lkb1^{fl/fl} mice expressing wild-
- 513 type or mutant Keap1/Nrf2. Keap1/Nrf2^{+/+} (n=11); Keap1^{R554Q/+} (n=6); Keap1^{R554Q/R554Q}
- 514 (n=9); Nrf2^{D29H/+} (n=4). (F) Representative H&E of mouse lung depicting bronchiolar and
- alveolar cells of the Lkb1^{fl/fl} models (scale bars = 100μ M (top panel), 20μ M (middle
- 516 panel), 10µM (bottom panel)). For **A**, **B**, **D**, **E**, mice were infected intranasally with
- adenoviral-Cre, followed by collection at 500 days to analyze lung tissue histology.



518 Figure 4. Keap1/Nrf2 mutation cooperates with Kras^{G12D/+} to promote lung tumor

- 519 **initiation and early progression. (A)** Overall survival of Keap1 mutant mice with
- 520 Kras^{G12D/+} mutation. Keap1^{+/+} (n=16); Keap1^{R554Q/+} (n=18); Keap1^{R554Q/R554Q} (n=18). (B)
- 521 Overall survival of Nrf2 mutant mice with Kras^{G12D/+} mutation. Nrf2^{+/+} (n=14); Nrf2^{D29H/+}
- 522 (n=12). Ns = not significant (Log-rank (Mantel-Cox) test). (C) Representative
- 523 immunohistochemical (IHC) staining of Nrf2 in Keap1/Nrf2 mutant tumors with
- 524 Kras^{G12D/+} mutation (scale bars = 20 μ M). (D) H-scores for Nrf2 (nuclear) IHC staining.
- 525 (E) Representative immunohistochemical (IHC) staining of Nrf2 target Nqo1 (scale bars
- 526 = 20 μM). (F) H-scores for Nqo1 (whole cell) IHC staining. For C-F, N=3 mice per
- 527 genotype and >20,000 tumor cells per mouse. *p<0.05 (one-way ANOVA). (G)
- 528 Representative whole lung H&E-stained section (scale bars = 2000μ M). **(H)** Tumor
- 529 number per mouse in Keap1/Nrf2 mutant models normalized to lung area. *p<0.05 (one-
- 530 way ANOVA). (I) Distribution of tumor grades across Keap1/Nrf2 mutant models.
- ⁵³¹ *p<0.05 (unpaired t test with Holm-Sidak's multiple comparisons test). AAH = atypical
- adenomatous hyperplasia. BH = bronchiolar hyperplasia. (J) Fraction of lung tumor
- 533 burden by grade (lung tumor area/ total lung area per grade). *p<0.05 (unpaired t test
- with Holm-Sidak's multiple comparisons test). For both (I) and (J) n=10 mice and \geq 2,000

535 tumors per genotype.



536 **Figure 5. Homozygous Keap1**^{R554Q} impairs adenocarcinoma progression in the

- 537 **Kras^{G12D/+}; p53^{fl/fl} model. (A)** Overall survival of Kras^{G12D/+}; p53^{fl/fl} mice with Keap1
- 538 mutation. Keap1^{+/+} (n=20); Keap1^{R554Q/+} (n=11); Keap1^{R554Q/R554Q} (n=25). **(B)** Overall
- survival of Kras^{G12D/+}; p53^{fl/fl} mice with Nrf2 mutation. Nrf2^{+/+} (n=25); Nrf2^{D29H/+} (n=29).
- 540 Ns = not significant (Log-rank (Mantel-Cox) test). (C) Representative
- 541 immunohistochemical (IHC) staining of Nrf2 in Kras^{G12D/+}; p53^{fl/fl} mice with Keap1/Nrf2
- 542 mutation (scale bars = 20μ M). (D) H-scores for Nrf2 (nuclear) IHC staining. (E)
- 543 Representative immunohistochemical (IHC) staining of Nrf2 target Nqo1 (scale bars =
- 544 20 μM). (F) H-scores for Nqo1 (whole cell) IHC staining. For C-F, N=3 mice per
- 545 genotype and >20,000 tumor cells per mouse. *p<0.05 (one-way ANOVA). (G)
- 546 Representative whole lung H&E stained section (scale bars = 2000μ M). **(H)** Distribution
- of tumor grades across Keap1/Nrf2 mutant models. *p<0.05 (unpaired t test with Holm-
- 548 Sidak's multiple comparisons test). \$ = fewer than 3 tumors detected across all mice. (I)
- 549 Fraction of lung tumor burden by grade (lung tumor area per grade/ total lung area).
- ⁵⁵⁰ *p<0.05 (unpaired t test with Holm-Sidak's multiple comparisons test). \$ = fewer than 3
- tumors detected across all mice. For both (H) and (I) n≥9 mice and ≥1,900 tumors per
- 552 genotype. Only one grade 5 tumor was found in the Keap1^{R554Q/R554Q} cohort, and
- 553 therefore was excluded from these analyses.



27

554 Figure 6. Nrf2 expression and activity is reduced in higher-grade tumors. (A)

- 555 Representative Nrf2 and Nqo1 IHC staining in grade 1 and 4 tumors from Kras^{G12D/+};
- 556 p53^{fl/fl} mice with Keap1 or Nrf2 mutation (scale bars = 20 μ M). (**B**, **C**) Heatmaps
- 557 depicting the H-scores per grade from IHC staining for Nrf2 (nuclear) (B) and the Nrf2
- target Nqo1 (whole cell) (C). N=3 mice per genotype, >20,000 tumor cells per mouse.
- 559 Only one grade 5 tumor was found in the Keap1^{R554Q/R554Q} cohort, and therefore was
- 560 excluded from these analyses.



Figure 7. NRF2 overexpression impairs lung cancer cell proliferation, viability. 561 562 and soft agar colony formation. (A) Dependency scores obtained from DepMap⁵² and 563 represented as NFEL2 22Q2 Public+Score, Chronos for NSCLC cell lines previously determined to have high or low NRF2 activity²². NRF2 mutant line symbols are 564 565 represented by yellow, KEAP1 mutant lines by dark red or dark blue, and KEAP1 deleted lines by green. ***p<0.0001 (unpaired t-test). (B) Western blot analysis of 566 567 NRF2, β-actin, and NRF2 target GCLC, xCT, and GSR expression in *KEAP1* mutant 568 lung cancer cell lines transduced with PLX317-empty vector (EV) or PLX317-NRF2 (NRF2). (C) Representative images of HCC15 cells transduced with EV or NRF2 569 570 demonstrating cell confluency (enhanced contour) and cell death (Sytox Green) (scale bars = 100 μ M). (D, E) Analysis of EV and NRF2 HCC15 cell proliferation and death 571 over 96 hours. Proliferation is represented as % confluency at each time point, and cell 572 573 death as the number of Sytox Green positive cells per area normalized to % confluency. N=3 technical replicates per cell line, and two independent experiments. (F, G) Area 574 575 under the curve (AUC) analysis of cell proliferation (F) and Sytox Green-positive cell 576 death (G) in KEAP1 mutant lung cancer cells lines +/- NRF2, normalized to empty 577 vector control. *p<0.05 (one-way ANOVA). For (C-G) NSCLC cells were seeded in 578 triplicate in 96-well plates at a density of 2,500 cells/ well. (H) Representative images of 579 H460 and HCC15 soft agar colony formation +/- NRF2. (I) Quantification of soft agar 580 colony number of *KEAP1* mutant lung cancer cell lines. *p<0.05 (one-way ANOVA). N=3 technical replicates per cell line, two independent experiments. For (H, I), 5,000 581 582 cells per well were seeded in 6-well plates in triplicate.



583 Figure 8. Single copy Nrf2 deletion rescues homozygous Keap1^{R554Q}-mediated

⁵⁸⁴ adenocarcinoma progression impairment in the Kras^{G12D/+}; p53^{fl/fl} model. (A)

- 585 Overall survival of Kras^{G12D/+}; p53^{fl/fl} mice with Keap1 mutation and/ or single copy Nrf2
- 586 deletion. Keap1^{+/+}; Nrf2^{+/+} (n=16), Keap1^{+/+}; Nrf2^{fl/+} (n=7), Keap1^{R554Q/R554Q}; Nrf2^{+/+}
- 587 (n=10), Keap1^{R554Q/R554Q}; Nrf2^{fl/+} (n=11). Ns = not significant (Log-rank (Mantel-Cox)
- test). **(B)** Representative immunohistochemical (IHC) staining of Nrf2 in Kras^{G12D/+};
- $p53^{\text{fl/fl}}$ mice with Keap1 mutation and/ or heterozygous Nrf2 deletion (scale bars = 20
- 590 μM). **(C)** H-scores for Nrf2 (nuclear) IHC staining. **(D)** Representative IHC staining of
- 591 Nrf2 target Nqo1 (scale bars = 20μ M). **(E)** H-scores for Nqo1 (whole-cell) IHC staining.
- 592 For **B-E**, N=3 mice per genotype and >20,000 tumor cells per mouse. *p<0.05 (one-way
- 593 ANOVA). (F) Representative whole lung H&E-stained sections (scale bars = 2000μ M).
- **(G)** Distribution of tumor grades across Keap1 mutant/ Nrf2-deleted models. *p<0.05
- 595 (unpaired t test with Holm-Sidak's multiple comparisons test). \$ = fewer than 3 tumors
- 596 detected across all mice. **(H)** Fraction of lung tumor burden by grade (lung tumor area
- 597 per grade/ total lung area). *p<0.05 (unpaired t test with Holm-Sidak's multiple
- comparisons test). \$ = fewer than 3 tumors detected across all mice. For both **(G)** and
- (H) n=7 mice and \geq 1,000 tumors per genotype were analyzed. Only one grade 4 and
- one grade 5 tumor were found in the Keap1^{R554Q/R554Q} cohort, and therefore were
- 601 excluded from these analyses.

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