A Theory of Hippocampal Theta Correlations

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25 Abstract

Hippocampal place cell sequences have been hypothesized to serve as diverse purposes as the induction of synaptic plasticity, formation and consolidation of long-term memories, or navigation and planning. The interrelation of sequence replay during offline states such as sleep or consummatory behaviors and online theta sequences during running and navigation is highly debated. Offline sequences are inherently 1-dimensional, whereas online sequences reverse with running direction and thus reflect the 2-dimensional topology of space, which poses a fundamental and unresolved inconsistency. Here, we propose a computational model of cornu ammonis 3 (CA3) and dentate gyrus (DG), where sensorimotor input drives the direction-dependent online sequences within CA3, and the intrahippocampal CA3-DG projections produces prospective intrinsic sequences. The model thereby suggests that sequence propagation on multiple 1-D manifolds underlies a relational code that contains stable signatures for the encoding of spatial memories and that could be used for prospective planning.

1 Introduction

As a rat navigates in an environment, place cells fire sequentially during one theta cycle (~100 ms) and form time-compressed representations of behavioral experiences (Skaggs et al., 1996), called theta sequences. Theta sequences were proposed to be driven by extrinsic (extrahippocampal) sensorimotor input (Foster and Wilson, 2007; Huxter et al., 2008; Romani and Tsodyks, 2015; Yiu et al., 2022), since they are played out in the direction of travel during locomotion and, hence, represent current behavioral trajectories. In contrast, various types of hippocampal sequences have also been proposed to arise from intrinsic hippocampal connectivity. Non-local activation of place sequences during immobile periods was observed in replay of past locations after the space has been explored (Skaggs and McNaughton, 1996; Lee and Wilson, 2002) as well as in preplay (Dragoi and Tonegawa, 2011) of prospective locations before the animal explores a novel environment. In addition, some CA3 place cells exhibit out-of-field firing at reward locations (Sasaki et al., 2018). These remote activations of place cells reflect the underlying circuit connec-53 tivity rather than the actual locomotive state of animal. Furthermore, a subset of CA3 cell pairs shows rigid theta correlations with peak lags that are independent of the traversal order of their place fields (Yiu et al., 2022), suggesting the existence of hard-wired sequences even when sensorimotor drive is present. Such intrinsic sequences that are 57 driven by intrahippocampal connectivity (Tsodyks et al., 1996), although less predominantly observed during theta (Yiu et al., 2022), are generally interpreted as reflecting 59 spatial memories or planning (Kay et al., 2020). Existing models for theta sequences are, however, either fully extrinsic or intrinsic. 61 The former often employ short-term plasticity (Romani and Tsodyks, 2015; Thurley et al., 2008), which creates synaptic couplings that are temporally stronger along the instan-63 taneous forward direction. In contrast, intrinsic models such as Tsodyks et al. (1996) model use a fixed asymmetrical weight matrix pre-designed to align with one movement 65 trajectory. Neither of these models alone can explain the simultaneous presence of rigid and flexible correlations in theta sequences. Here we present a network model that accounts for both types of correlations by separating their generation into two anatomically

distinct layers: CA3 and dentate gyrus (DG). Extrinsic sequences are generated in the CA3 layer by short-term synaptic plasticity mechanisms, while the intrinsic sequences are played out by the CA3-DG recursive loops with fixed asymmetrical weights, as inspired 71 by the experimental evidence that lesions in DG abolish non-local activation of CA3 place cells (Sasaki et al., 2018) and CA3 theta correlations (Ahmadi et al., 2022). In this paper, we present a model for theta correlations that unifies both extrinsic and intrinsic mechanisms. Extrinsic and intrinsic sequences can propagate simultaneously in 75 separate directions, along the movement trajectory and the pre-designed CA3-DG loops, respectively. As a result, spike correlations display directionality as the two sequences cross each other at various angles: The more parallel they are, the stronger the correlation. Our simulations are in quantitative agreement with directionality properties found 79 in experimental data (Yiu et al., 2022) and propose that rigid correlation structure can serve as a stable temporal pattern, which is recognizable across multiple movement direc-81 tions. This temporal "landmark" pattern allows spatial encoding even if sensory-motor experience is lacking and may reflect the mechanistic basis for offline replay.

$_{84}$ 2 Methods

Neuronal model. Neurons are modelled according to Izhikevich (2003). The soma potential v and the adaptation variable u of unit i at time t (in ms) follows the equations:

$$\dot{v}_i(t) = 0.04v_i^2(t) + 5v_i(t) + 140 - u_i(t) + I_i(t)$$
$$\dot{u}_i(t) = a \left[b v_i(t) - u_i(t) \right]$$
$$I_i(t) = I_i^R(t) + I_i^S(t) - I^{\theta}(t)$$

Any time v(t) crosses the threshold 30 mV from below, we register a spike for the neuron and reset the soma potential by $v(t) \leftarrow c$ and the adaptation variable by $u \leftarrow u(t) + d$. For the excitatory pyramidal place cells, we use parameters a = 0.035, b = 0.2, c = -60 mV,

d=8, which provides the neuron with burst firing characteristics. For the inhibitory interneurons, the parameters were a=0.02, b=0.25, c=-65 mV, and d=2, which corresponds to fast spiking patterns. I(t) is the total sum of recurrent $I^{R}(t)$, sensory $I^{S}(t)$ and oscillatory theta input

$$I^{\theta}(t) = 7 \left[1 + \cos \left(\frac{2\pi t}{100 \text{ms}} \right) \right] / 2$$

Spatial input. The place field centers $\mathbf{p}_i^{CA3} = \left[x_i^{CA3}(t), y_i^{CA3}(t)\right]$ of $80 \times 80 = 6400$ excitatory CA3 cells equally tile the 80 by 80 cm square arena. Place cell firing rates are modelled direction-sensitive, with best directions ψ_i^{CA3} semi-randomized among each 2×2 tile of place cells by randomly rotating a set of 4 equally spaced direction angles by a uniformly distributed angle ξ , i.e.

$$\left[\psi_i^{CA3}, \psi_{i+1}^{CA3}, \psi_{i+2}^{CA3}, \psi_{i+3}^{CA3}\right] = \left[0^\circ, 90^\circ, 180^\circ, 270^\circ\right] + \xi \mod 360^\circ \ .$$

The sensory input $J_i^S(t)$ into the *i*-th neuron depends on the instantaneous position, $\mathbf{p}(t) = [x(t), y(t)]$, and heading direction $\psi(t)$ of the animal as

$$J_i^S(t) = \begin{cases} A_i^S(t) \, I^{MEC}(t) & \text{if } d\big(\mathbf{p}(t), \mathbf{p}_i^{CA3}\big) \leq 5 \text{cm} \\ 0 & \text{if } d\big(\mathbf{p}(t), \mathbf{p}_i^{CA3}\big) > 5 \text{cm} \end{cases}$$
$$A_i^S(t) = \mathbf{A}^{\text{pos}} + \mathbf{A}^{\text{dir}} \exp\Big(\cos\big(\psi(t) - \psi_i^{\text{CA3}}\big) - 1\Big)$$
$$I^{\text{MEC}}(t) = \frac{1}{2} \left[1 + \cos\Big(\frac{2\pi t}{100 \text{ms}} + 70^\circ\Big) \right] ,$$

where A^{pos} is the amplitude of positional tuning and $d(\cdot)$ computes the Euclidean distance between two positions. The positional tuning curve is implemented as a rectangular box function, where the place cell only receives sensory input if the animal is within 5cm from the field center. Directional tuning is implemented as an additional amplitude gain A^{dir} to the positional current depending on the circular difference between the animal's heading and the neuron's best direction ψ_i^{CA3} . The sensory input is assumed to be modulated by theta oscillations from medial entorhinal cortex (MEC) $I^{\text{MEC}}(t)$ with a phase shift of 70° 108 (Mizuseki et al., 2009).

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The sensory input J^S is subsequently transformed to the input current I^S via shortterm facilitation (STF)

$$\dot{s}_{i}^{F}(t) = \frac{(S_{0}^{F} - s_{i}^{F}(t))}{\tau^{F}} + (S_{1}^{F} - s_{i}^{F}(t))\Phi^{F}J_{i}^{S}(t)$$
$$I_{i}^{S} = J_{i}^{S}(t)[s_{i}^{F}(t)]^{2},$$

where the facilitation variable s_i^F decays to S_0^F with a time constant $\tau^F = 500 \text{ms}$ and increases to S_1^F when the sensory input J_i^S is present. Φ controls the strength of the STF. The facilitation variable is squared to include non-linear interactions in presynaptic calcium dynamics. As a result, facilitated sensory input I_i^S increases over time and becomes stronger in the later part of the field.

Note that only the CA3 place cells receive the sensory input. $I_i^S(t)$ is not applied to the place cells in DG and all of the inhibitory interneurons.

CA3 recurrent connections. Place cells in CA3 connect with each other by excitatory synapses. The excitatory synaptic current $I_i^E(t)$ is conductance-based, and follows the equations:

$$\dot{g}_i^E(t) = \frac{-g_i^E(t)}{\tau^E} + \frac{1}{N_J} \sum_{j,f} W_{ij} \, s_j^D(t) \, \delta(t - t_j^{(f)} - \tau_0) \tag{1}$$

$$I_i^E(t) = \left[V^E - v_i(t) \right] g_i^E(t) \tag{2}$$

The conductance g_i^E of a post-synaptic cell i is increased by the spike arrivals at times $t_j^{(f)}$ from the pre-synaptic cell j, and decay with a time constant $\tau^E = 12$ ms. $N_J = 6,400$ is the number of presynaptic place cells, $V^E = 0$ mV is the reversal potential of the excitatory synapses and $\tau_0 = 2$ ms is the synaptic transmission delay.

The synaptic weights W_{ij} from cell j to cell i depend on the distance between place

cell centers and on the similarity of their preferred angles, i.e.,

$$W_{ij}^{\text{CA3}} = J_{ij} \left\{ B^{\text{pos}} + B^{\text{dir}} \exp \left[K^{\text{CA3}} \left(\cos(\psi_i^L - \psi_j^L) - 1 \right) \right] \right\} \exp \left(\frac{-d(\mathbf{p}_i^{CA3}, \mathbf{p}_j^{CA3})^2}{2\sigma^2} \right) ,$$

where B^{pos} and σ correspond to the maximum strength and width of the location-specific interaction, respectively. B^{dir} and K^{CA3} control the maximum strength and the concentration of the directional dependence, respectively. J_{ij} models the rightward asymmetry of the cell connections, which was only turned on when we simulated the 2-D variant of Tsodyks et al. (1996) model in Figure 1 and otherwise turned off in the rest of our analysis.

If rightward asymmetry is ON,
$$J_{ij} = 1$$
 if $x_j^{CA3} < x_i^{CA3}$, else 0

If rightward asymmetry is OFF, $J_{ij} = 1$

Furthermore, the recurrent synaptic conductances underwent short-term synaptic depression (STD), as was proposed in Romani and Tsodyks (2015) to serve as sequence generator in 2-D space. The mechanism penalizes the recurrent input into the place cells behind the animal. As a result, the differential recurrence strengths translate to a gradient of spike phases and produces extrinsic sequences in the direction of travel. We model the STD by the variable $s_i^D(t)$ which represents the available synaptic resource and follows the dynamics:

$$\dot{s}_{i}^{D}(t) = \frac{1 - s_{i}^{D}(t)}{\tau^{D}} - U^{D}\delta(t - t_{i}^{(f)}) ,$$

where s_i^D recovers to 1 with a time constant $\tau^D = 500$ ms and is depleted by a fraction U^D every time a spike occurs. The STD only applies to synaptic connections when CA3 place cells are pre-synaptic. $s_i^D(t)$ is fixed at 1 when the pre-synaptic cells are inhibitory interneurons or DG place cells.

DG layer. We simulated $N_{\rm DG}=40\times40=1600$ place cells in the DG layer, with place field centers equally tiling the environment. The DG cells do not receive sensory input. Their positional $(x_i^{\rm DG}, y_i^{\rm DG})$ and directional $(\psi_i^{\rm DG})$ tunings are determining synaptic strengths to and from the CA3 layer. The directional tuning is randomized as in CA3. The synaptic current dynamics follow equations (1) and (2). Excitatory synaptic weights from CA3 place cells to DG place cells are defined as

$$W_{ij}^{\text{CA3-DG}} = C_j^{CA3} B^{\text{DG}} \exp \left[K^{\text{DG}} \left(\cos(\psi_i^{\text{DG}} - \psi_j^{\text{CA3}}) - 1 \right) \right] \exp \left(\frac{-d(\mathbf{p}_i^{DG}, \mathbf{p}_j^{CA3})^2}{2\sigma^2} \right)$$

which are dependent on the differences in the place field centers and best angles between the CA3 and DG populations. The variable C_j^{CA3} defines the path corresponding to the intrinsic sequence by choosing

$$C_j^{\text{CA3}} = \max_{k \in [-10,10]} \left\{ \exp\left(\frac{-d(\mathbf{p}_k^C, \mathbf{p}_j^{CA3})^2}{2\sigma^2}\right) \right\} ,$$

where \mathbf{p}_k^C varies with the intrinsic path direction θ_{DG} as $\mathbf{p}_k^C = [2k \cos(\theta_{\mathrm{DG}}), 2k \sin(\theta_{\mathrm{DG}})]$.

The excitatory synaptic strengths from DG to CA3 are chosen such that DG cells

projects back to CA3 cells with place field centers shifted by a vector $\mathbf{r} = [4\cos(\theta_{\mathrm{DG}}), 4\sin(\theta_{\mathrm{DG}})]$ of fixed length of 4 cm along the intrinsic path, i.e.,

$$W_{ij}^{\mathrm{DG-CA3}} = B^{\mathrm{DG}} \exp\left[K^{\mathrm{DG}} \left(\cos(\psi_i^{\mathrm{CA3}} - \psi_j^{\mathrm{DG}}) - 1\right)\right] \exp\left(\frac{-d(\mathbf{p}_i^{\mathrm{CA3}} - \mathbf{r}, \mathbf{p}_j^{\mathrm{DG}})^2}{2\sigma^2}\right) \ .$$

The model has no synaptic connections between DG excitatory neurons.

Inhibitory synapses. The model additionally contains $N_I = 250$ inhibitory interneurons (denoted as Inh) each for the CA3 and the DG layer. They provide inhibitory feedback separately to the excitatory cells within each layer (CA3-Inh-CA3 and DG-InhDG). The dynamics of their synaptic currents mirrors the excitatory synapses, i.e.,

$$\dot{g}_i^I(t) = \frac{-g_i^I(t)}{\tau^I} + \frac{1}{N_I} \sum_{j}^{N_I} W_{ij}^{X-Y} \delta(t - t_j^{(f)} - \tau_0)$$
$$I_i^I(t) = \left[V^I - v_i(t) \right] g_i^I(t) ,$$

with $\tau^I=10$ ms, $V^I=-80$ mV. CA3 and DG have all-to-all connections to their inhibitory populations with uniformly randomized strengths, i.e. $W^{X-Y}_{ij}=W^{X-Y}_0\xi$, with $\xi\sim\mathcal{U}(0,1).$ W^{X-Y}_0 is the maximum synaptic strength, and the notation X-Y corresponds to Inh-CA3 and Inh-DG connections. There is no synaptic connection between interneurons, i.e. $W^{Inh-Inh}=0$.

The total recurrent current entering each excitatory neuron is thus the sum of the excitatory and inhibitory current:

$$I_i^R(t) = I_i^I(t) + I_i^E(t)$$

Excitatory synapses to interneurons. Interneurons only receive all-to-all excitatory currents from their respective layer. Those currents are modelled according to eqs.(1-2). The synaptic weights are constant and denoted by $W_0^{\text{CA3-Inh}}$ and $W_0^{\text{DG-Inh}}$.

Parameters of the models. Model parameters that are adjusted in different analyses are listed in table 1.

Cross-correlation analysis. Cross-correlation represents the probability that a spike of one place cell would occur following a certain time lag from the spike of the another cell. Cross-correlation is always computed as a histogram of time lags between spike pairs with a resolution of 5ms in a window of 200ms. Throughout the present study, the direction of a time lag is designated as the lag of the first encountered cell relative to the next cell along the trajectory, except in Figure 1, where the direction of time lag follows the cell order along the 0°, 45° and 90° trajectory in each comparison group, and in Figure 2, where the time lag direction is from left to right cells.

Name \Figure	1 (In)	1 (Ex)	2	3	4 (Control)	4 (Lesion)	5	6
A^{pos}	7.7	9.2	6.7					
$A^{ m dir}$	0		6					
S_0^F	1		0			1.25	0	
S_1^F	1		2			1.25	2	
Φ^F	0		0.001			0	0.001	
$B^{ m pos}$	1100		0					
$B^{ m dir}$	0		2000	1500		2000	1500	
K^{CA3}	0		1					
J_{ij}	ON		OFF					
U^D	0	0.9	0.7					
$N_{ m DG}$	0			$40 \times 40 = 1600$				
B^{DG}	0		3000		0	4000		
K^{DG}	0		1		0	1		
N_I	0		250					
$W_0^{\mathrm{CA3-Inh}}$	0		50					
$W_0^{ m Inh-CA3}$	0		5					
$W_0^{ m DG-Inh}$		•	350					
$W_0^{ m Inh-DG}$			35					

Table 1: Model parameters used in simulations according to Figure panels

Correlation lag is derived by band-pass (4-12 Hz) filtering the cross-correlation histogram and applying a Hilbert transform on the filtered signal. The phase of the analytic signal at time lag 0 is the correlation lag.

Extrinsicity and intrinsicity. We apply quantitative measures for the extrinsic or 185 intrinsic nature of correlations in a pair of place fields following Yiu et al. (2022). We compare the cross-correlation signals of a field pair for a running direction along the 187 DG-loop (θ_{DG}) and opposite to the loop ($\theta_{DG} + 180^{\circ}$). Extrinsicity (Ex) is computed 188 as the Pearson's correlation (r) between two cross-correlation signals, and intrinsicity 189 (In) between the signal of θ_{DG} and the horizontally flipped signal of $\theta_{DG} + 180^{\circ}$. The 190 Pearson's correlation is then transformed (r' = (r+1)/2) to be in the range of 0 and 1. 191 An extrinsic pair would give an extrinsicity near 1, since the effect of DG loop is minimal 192 and correlation signals are similar in both θ_{DG} and $\theta_{DG} + 180^{\circ}$ directions. An intrinsic 193 pair would see correlation signal horizontally flipped in the $\theta_{DG} + 180^{\circ}$ condition due to 194 the large effect of DG loop, and hence, give an intrinsicity near 1. We classify a pair as 195 extrinsic if its extrinsicity exceeds intrinsicity, and vice versa. 196

Tempotron. A tempotron is a neuronally inspired classifier (readout neuron) whose dendritic synaptic weights can be adapted to recognize temporal patterns of spikes arriving at the afferents (for details, see Gütig and Sompolinsky (2006)). Briefly, the soma potential of the tempotron follows the equations

$$V(t) = \sum_{i} w_{i} \sum_{i,f} K(t - t_{i}^{(f)})$$

$$K(t - t_{i}^{(f)}) = V_{0}(\exp[-(t - t_{i}^{(f)})/\tau] - \exp[-(t - t_{i}^{(f)})/\tau_{r}]) ,$$

where w_i is the adaptable weight of the afferent fiber conveying spikes from place cell i to the tempotron. $K(t-t_i^{(f)})$ is a post-synaptic potential (PSP) kernel with decay and rising time constants of $\tau = 5$ ms and $\tau_r = 1.25$ ms respectively. V_0 is a factor which normalizes the PSP kernel to 1. A spike is said to occur if V(t) crosses the firing threshold $V_{\Theta} = 2$ from below. After threshold crossing, the afferents will be shunted and spike arrivals will not evoke more PSPs for the rest of the pattern. A pattern is defined as the set of spike times of all the pre-synaptic place cells in a theta cycle (100ms).

The weight w_i follows the update rule

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$$\Delta w_i = 0.01 \sum_{t_i^{(f)} < t_{\text{max}}} K(t_{\text{max}} - t_i^{(f)})$$

$$w_i \leftarrow w_i + \Delta w_i$$
 If a (+) pattern does not elicit a spike,
 $w_i \leftarrow w_i - \Delta w_i$ If a (-) pattern does elicit a spike,

where t_{max} is the time at the peak of the soma potential V(t). The learning rule assigns

credit to the afferents based on spike timing. Spike times closer to the peak are considered 211 to have higher contribution to the tempotron firing, hence their afferents are incremented 212 by a larger step. After training, spike times with similar temporal correlations as the (+)213 patterns would be able to evoke enough PSP in the tempotron's soma and elicit a spike as a positive response of binary classification, while those similar to (-) patterns would 215 not elicit a spike from the tempotron. 216 We trained the tempotrons to identify the spike patterns of place cells at locations 217 with and without intrinsic connectivity separately. To this end, we modified our network 218 such that DG loops are present at the upper half of the arena, spanning the space from 219 x=-20cm to x=+20cm at y=+20cm in direction $\theta_{DG}=0^{\circ}$, while the loop is absent in 220 the lower half of the arena. 221 During training, we applied "non-moving" spatial inputs to the CA3 place cells at 222 the with-loop (0 cm, 20 cm) and no-loop (0 cm, -20 cm) locations for 1 second, as if the 223 animal were standing still at the locations, evoking the activities representing the two 224 location cues. For computational efficiency, we restricted our analysis to the populations 225 of CA3 place cells within the 20cm squared boxes centered at the two locations. Each

population contains 400 pre-synaptic cells, forming the input space for the tempotron. The spikes from the with-loop population will train the first tempotron and those from 228 the no-loop population will train the second tempotron. Prior to training, the input 229 spikes are sub-divided to 10 patterns based on their theta cycles. Each pattern has a 230 window of 100ms. We added noise to the patterns by jittering the spikes with Gaussian 231 noise $\mathcal{N} \sim (0, (2\text{ms})^2)$ for 100 times. As a result, each tempotron receives $10 \times 100 =$ 232 1000 training patterns from the activity evoked by the location. All training patterns are 233 (+) patterns and there is no (-) pattern. 234 After training, trajectories (20cm long, 1s duration) with running directions from 0° 235 to 360° with 15° increment were simulated to cross each of the locations. The trajectories 236 produce intrinsic sequences in the with-loop population and extrinsic sequences in the 237 no-loop population. The patterns evoked by the running trajectories were separately applied to the tempotrons. The input spikes for testing were also subdivided in to theta 239 cycles and jittered in the same manner as during training, forming 1000 testing patterns for each running direction. A sequence is said to be correctly identified if the tempotron 241 fires at at least 1 out of 10 theta cycles along the trajectory. The accuracy rate for each running direction of trajectory is computed across the 100 jittered realizations. 243

244 3 Results

Theta sequence directionality in intrinsic and extrinsic models. Theta-scale correlations of place cells have been explained by previous models using two different types of network mechanisms, intrinsic and extrinsic ones. For *intrinsic* models spike correlations are explained by only the recurrent connectivity of the neuronal network. For *extrinsic* models, the spike correlation is determined by sensory-motor inputs. We first illustrate how these mechanisms work for two exemplary representatives of these two major model classes.

For intrinsic models, we refer to the original Tsodyks et al. (1996) model where phase precession is generated by the fixed asymmetrical connectivity between place cells. Spike

phases of the place cells ahead of the animal decrease as the excitatory drive is gradually increasing, but only along one preferred running direction. Here we simulate a network 255 of CA3 place cells with fixed asymmetrical connectivity as suggested in Tsodyks et al. 256 (1996) model (see Methods for the implementation) and applied our model to behavioral 257 running trajectories in a 2-D open space (Figure 1A). Phase precession and spike correla-258 tions (Figure 1B-D) are compared for opposite running directions. In our simulation, all 259 place cells project excitatory synapses to their counterparts with rightward neighboring 260 place fields (see CA3 recurrent connections in Methods). Phase precession therefore is 261 determined by how closely the running direction matches the preferred direction imposed 262 by the intrinsic connections. The closer the trajectory angle aligns with this preferred 263 direction, the more negative is the slope of phase precession (Figure 1C). Since in this 264 case, the theta sequence only propagates rightwards as place cells are sequentially activated from left to right, the signs of spike correlations between cell pairs remain invariant 266 to movement direction (Figure 1D, see Cross-correlation analysis in Methods). Intrinsic models thus cannot explain experimentally observed directional independence of phase 268 precession and directional dependence of theta spike correlations (Huxter et al., 2008). 269 Our example of an extrinsic model is based on our spiking simulations of the rate-270 based model by Romani and Tsodyks (2015), where phase precession was explained by 271 symmetric recurrent connections that undergo running direction-dependent attenuation 272 by short-term synaptic depression (STD): place fields with centers behind the current 273 animal position on the trajectory thereby received largely reduced recurrent input re-274 sulting in recurrently driven theta sequences to play out only in forward direction. We simulated our spiking variant of the Romani and Tsodyks (2015) model with the same 276 trajectories as the intrinsic model (Figure 1A, E), and recovered direction-independent 277 phase precession (Figure 1F). Since now, the theta sequences are played out in the same 278 direction as the movement, theta spike correlations are symmetrically reversed (Figure 279 1G) as shown experimentally in CA1 neurons (Huxter et al., 2008; Yiu et al., 2022). 280 In area CA3, however, theta spike correlations are neither solely extrinsic (Yiu et al., 281 2022; Kay et al., 2020), since phase precession properties change in relation to running directions, nor are they solely intrinsic since reversal of correlation is still observed in most of the sequences (Huxter et al., 2008; Yiu et al., 2022). We therefore propose a new theory of phase precession for CA3 incorporating both intrinsic and extrinsic factors.

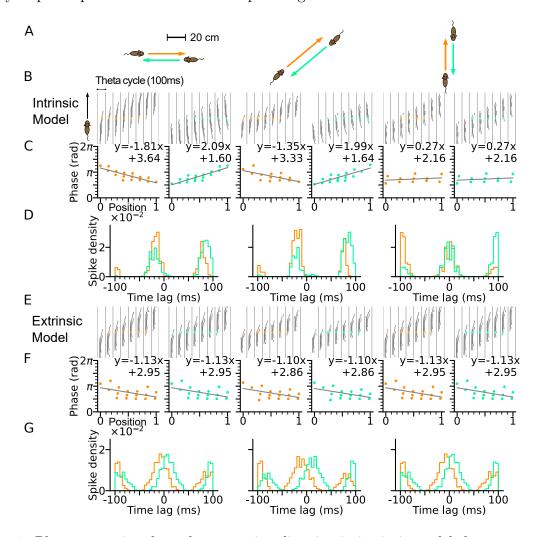


Figure 1: Phase precession depends on running direction in intrinsic models but not extrinsic models. (A) Simulated trajectories (duration 2 s) in a 2-D environment (80×80 cm) with speed 20 cm/s in left and right (left column), diagonal (middle), and up and down (right) directions. (B-D) Simulation results from the intrinsic model (with fixed asymmetrical connectivity inspired from Tsodyks et al. (1996) model). Place cells only project synapses to their right neighbors. (B) Spike raster plots of place cells along the orange (left panel) and light green (right panel) trajectories (colors defined in A). Theta sequences flip order with reversed running direction. (C) Phase-position relation for the spikes colored in a. Linear-circular regression (gray line) parameters are indicated on top. Positions of the animal at the first and last spike are normalized to 0 and 1, respectively. (D) Averaged cross-correlation of all cell pairs separated by 4cm along the trajectory. Reversal of running direction does not flip the sign of the peak lags. (E-G) Same as A-D, but for the extrinsic model (spike-based variant of Romani and Tsodyks (2015) model). Synaptic connections are symmetrical but short-term depression penalizes the recurrent synaptic drive of the place cells "behind" the animal. (E-F) Theta sequences and phase precession are present and remain invariant for different movement directions. (G) Cross-correlations flip sign of peak lags when trajectory is reversed.

Directional sensory input. To, however, fully explain directional properties of theta phase precession and theta spike correlations by a model, also directional modulations of firing rates (Yiu et al., 2022) need to be taken into account.

We therefore included both directional and positional modulation of the sensory input 289 to the model place cells (Figure 2A-B) with randomized best directions (See Spatial 290 input in Methods). The sensory input is assumed to arise from MEC, and hence, it is 291 also theta-modulated and phase-shifted by 70° with respected to the peak of theta cycle 292 (Mizuseki et al., 2009). Furthermore, since the precession slope observed in Romani's and 293 Tsodyks' (2015) model is limited (-1.13 radians per field size, see Figure 1F) as compared 294 to the experimental reports (-4.44 radians (Yiu et al., 2022) and -266 degrees (Schmidt)295 et al., 2009) per field size), we introduced short-term synaptic facilitation (STF) to the 296 sensory input (Berretta and Jones, 1996; Thurley et al., 2008) generating temporally asymmetric depolarization as suggested by intracellular recordings in vivo (Harvey et al., 298 2009) (Figure 2B). STF amplifies the sensory current at the later part of the field, thus creating phase precession with lower phase tail and increasing the steepness of slopes. 300 Finally, we designated the synaptic weights to be stronger between place cells with similar 301 preferred directions (Figure 2C) as has been proposed (Brunel and Trullier, 1998) as a 302 result of Hebbian plasticity applied to directional firing fields.

A simulation of the place cell network was performed for a rightward trajectory 304 through the arena based on our variant of the extrinsic Romani and Tsodyks (2015) model 305 (Figure 2D). We focus on two sets of place cells, one for which the trajectory aligns with 306 the best direction of the field (red) and one for which the trajectory runs along the worst direction, which is opposite to the best direction of the field (Figure 2F). Consis-308 tent with experimental data (Yiu et al., 2022), phase precession has a lower onset and 309 marginal spike phase along best direction than along the worst (Figure 2G-H), reflecting 310 that larger depolarizations generally yield shorter latencies. Directionality of the input, 311 however, does not affect spike pair correlations, which remains solely extrinsic (Figure 312 2I). Thus, even though rate directionality and directional bias in recurrent connectivity 313 can render phase precession directionally dependent, they are not sufficient to account 314

for intrinsic sequences.

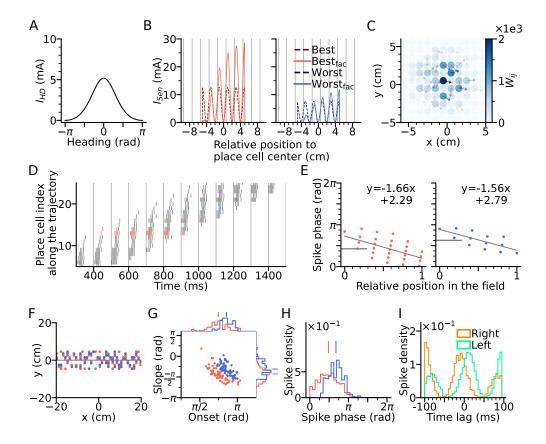


Figure 2: Directional input gives rise to spikes at lower theta phase. (A) Directional input component of an example place cell. (B) Total sensory input as the sum of directional and positional drive of an example place cell for the animal running in the best (red dashed line, left) and the worst (blue dashed line, right) heading direction of the cell. The sensory input is modelled by oscillatory currents arriving with $+70^{\circ}$ phase shift relative to theta peaks (gray vertical lines). Place fields are defined by a 5cm rectangular envelope. Solid lines depict the input current including short-term synaptic facilitation. (C) Synaptic weights (W_{ij}, color) from the place cell at the center (darkest dot) to its neighbors in the 2-D environment. Each dot is a place field center in 2-D space. Arrows depict their best directions. (D) Spike raster plot sorted by visiting order of the place fields along the trajectory. Spikes of the cells with best and worst direction are colored in red and blue, respectively. (E) Phase position plots for the cells with best and worst direction from d (labels as in Figure 1C). The mean phase is marked as horizontal gray bar. (F) Example place cell centers with best (< 30° different from the trajectory; red) and worst (> 150°; blue) directions relative to the rightward trajectory (gray line). Only centers of cells that fire more than 5 spikes are shown. (G) Slopes and onsets of phase precession of the population from (F). Marginal slope and onset distributions are plotted on top and right, respectively. Note higher phase onset in the blue population with trajectory aligned to the worst directions. (H) Spike phase distributions. Higher directional inputs generate lower spike phases. (I) Average spike correlation between all pairs with 4cm of horizontal distance difference when the animal runs rightwards and leftwards. Peak lags are flipped as expected from an extrinsic model.

Generation of intrinsic sequences by DG-CA3 recurrent network. 316 the expression of intrinsic sequences in CA3, we propose them to be generated by the 317 interaction of two networks, CA3 and DG (Figure 3A). DG is a good candidate region 318 to be involved in phase precession, since lesions of it were shown to reduce prospective 319 spiking (Sasaki et al., 2018) and to lower the onset phase of phase precession (Ahmadi 320 et al., 2022). In our model, the neurons in DG receive excitatory synaptic inputs from 321 CA3 place cells (putatively via hilar mossy cells) and project back to the CA3 cells with 322 place field centers at a different location. The CA3 cells at the target location of DG input 323 are then activated and evoke higher depolarization in cells with place fields at the next 324 DG target locations, again through the DG loop. This scheme produces a rigid sequence 325 whose activation order is independent of the movement direction. The connection pattern 326 of DG-CA3 projections could be determined by pre-existing network structure or past 327 experience through associative learning, or both. 328 Figure 3, provides schematic illustrations, for a DG layer that either only projects 329 CA3 activity to their rightward neighbours ($\theta_{DG} = 0^{\circ}$, Figure 3A) or only to their left-330 ward neighbors ($\theta_{DG} = 180^{\circ}$, Figure 3E). Simulations for both cases ($\theta_{DG} = 0^{\circ}$ and 331 $\theta_{\rm DG} = 180^{\circ}$) assume a rightward trajectory. Apart from the addition of the DG layer, the 332 model architecture and parameters of CA3 layer are the same as in Figure 2 (including best and worst direction in place field firing rate), which only generates extrinsic se-334 quences through STD in the CA3 recurrent synaptic connections. DG-loop connectivity 335 is additionally modulated by firing rate directionality of the CA3 place fields. Fields with 336 similar best direction are more strongly connected via the loop than those with opposite 337 best directions (see DG layer in Methods). 338 We found that when the simulated animal is running in the same direction as the 339 DG-CA3 projection, phase precession starts from a higher phase (Figure 3C-D) due to 340 the forward activation of place cells through DG layer (recovering the effect of asymmet-341 ric connectivity in the original Tsodyks et al. (1996) model), as compared to the model 342 without DG layer (Figure 2G-H). Spike phases along best-direction remains lower than 343

along the worst direction (Figure 3D). When, however, the animal is running against

the DG-CA3 projection (Figure 3E), extrinsic sequences are still present in forward direction, evoked by the movement of the animal, but the intrinsic sequences are played 346 out backward as determined by the direction of fixed recurrence (Figure 3F). The latter 347 appears as higher phase at the tail of phase position plots (Figure 3G) which leads to 348 flatter precession slopes and decreases the fraction of phase precession (slope < 0) of all 349 traversal trials (Figure 3H). A closer look into pair correlation reveals that for trajectories 350 opposite to the DG-loop projection ($\theta_{DG} = 180^{\circ}$), spike probability is added to positive 351 time lags (Figure 3I). Therefore, introducing fixed recurrence through DG loops elicits 352 both extrinsic and intrinsic sequences and qualitatively changes theta sequences. 353

To quantify the degrees of extrinsic and intrinsic sequence firing, we use the measures 354 extrinsicity and intrinsicity (Yiu et al., 2022) (see Methods for details). Consistent with 355 experimental data (Yiu et al., 2022), our model reproduces a greater extrinsicity for cell pair activity when running direction aligns with both best place field directions as 357 compared to when it aligns to both worst field directions (Figure 3J), since along the best direction, cells receive more sensory depolarization, and thus, the movement-dependent 359 extrinsic sequences are more activated. The model also explains, why pairs with similar best place field directions may be less extrinsic than pairs with approximately opposite 361 best direction (dissimilar pairs), since the DG loop preferentially connects CA3 place cells with similar best directions. 363

Thus, by introducing feedback excitation via the DG layer, intrinsic sequences are able to propagate in fixed directions on top of the movement-dependent extrinsic sequences.

Theta sequence directionality is reflected through the change in spike correlation, which varies as a function of the difference between the direction of DG feedback and movement direction.

Lesion in DG reduces pair correlation. One prediction of the DG-loop model, consistent with findings from DG lesion experiments (Ahmadi et al., 2022), is that DG would contribute to the temporal organization of spike sequences in CA3. To verify this hypothesis also in the model, we implemented a lesion of DG by disabling activity in the DG layer. To compensate for reduced excitatory drive caused by the lesion, we then

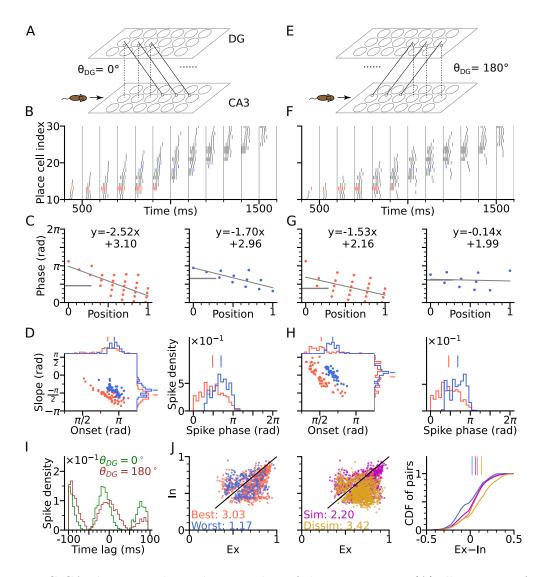


Figure 3: DG-CA3 loop introduces directionality of theta sequences. (A) Illustration of synaptic connections from CA3 place cells to DG and vice versa. DG layer mirrors the place cell population in CA3 and redirects the CA3 inputs back to different locations. Here, DG cells project into CA3 place cells with fields 4 cm displaced to the right of the pre-synaptic CA3 cells. $\theta_{\rm DG}$ denotes the angular difference between the DG projection direction and the animal's movement direction. (B) Spike raster plots sorted by cell indices along the trajectory (2 s duration) from x=-20cm to x=20cm. Cells with best and worst angles are marked by red and blue colors, respectively. (C) Phase-position plots as is Figure 2E. (D) Distributions of precession slopes, onsets and spike phases as in Figure 2G-H. (E-H) Same as a-d, but with DG cells projecting opposite to the animal's movement direction ($\theta_{DG} = 180^{\circ}$). (I) Average spike correlations for $\theta_{\rm DG}=0^{\circ}$ and $\theta_{\rm DG}=180^{\circ}$ for pairs separated by 4cm along the trajectory. Note that for $\theta_{DG} = 180^{\circ}$, there is a relative excess of spike-pairs with positive lags. (J) Left: Intrinsicity and extrinsicity (see Methods) for all pairs from the populations with best (red) and worst (blue) direction. Pairs above and below the identity line are classified as intrinsic and extrinsic pairs, respectively. Numbers are the ratios of extrinsic to intrinsic pairs. Note that the red best direction pairs are more extrinsic than the blue worst direction pairs due to higher sensory input. Middle: Ex/Intrinsicity of pairs with similar (< 30°) and dissimilar (> 150°) preferred angles. Pairs with similar preferred angle are more intrinsic due to stronger DG-CA3 recurrence. Right: Cumulative distribution of the differences between extrinsicity and intrinsicity. Dissimilar and best direction pairs have higher bias to extrinsicity than similar and worst direction pairs, respectively.

increased probability of release of the sensory inputs thereby increasing the initial input amplitudes but removing short-term synaptic facilitation (Figure 4A).

For a simulated rightward trajectory, we plotted the pair correlation lags versus the 376 distance between the centers of two fields (Figure 4B-C). Theta compression (Dragoi 377 and Buzsáki, 2006) measures how much theta phase encodes a certain interval in space 378 and is quantified by magnitude of the linear-circular regression slopes (a, in radians per and is quantified by magnitude of the linear-circular regression slopes (a, in radians per and is quantified by magnitude of the linear-circular regression slopes (a, in radians per and is quantified by magnitude of the linear-circular regression slopes (a, in radians per and is quantified by magnitude of the linear-circular regression slopes (a, in radians per and is quantified by magnitude of the linear-circular regression slopes (b, in radians per and is quantified by magnitude of the linear-circular regression slopes (b, in radians per and is quantified by magnitude of the linear-circular regression slopes (b, in radians per and is quantified by magnitude of the linear-circular regression slopes (b, in radians per and is quantified by magnitude of the linear-circular regression slopes (b, in radians per and is quantified by magnitude of the linear-circular regression slopes (b, in radians per and is quantified by magnitude of the linear-circular regression slopes (b, in radians per and is quantified by magnitude of the linear-circular regression slopes (b, in radians per and is quantified by magnitude of the linear-circular regression slopes (b, in radians per and is quantified by magnitude of the linear-circular regression slopes (b, in radians per and is quantified by magnitude of the linear regression slopes).379 maximum pair distance) of the lag-distance plots. Theta compression is lower for the DG 380 lesion case (a = 0.54 for both $\theta_{DG} = 0^{\circ}$ and $\theta_{DG} = 180^{\circ}$), as compared to the control case 381 $(a=2.23 \text{ for } \theta_{\rm DG}=0^{\circ} \text{ and } a=-0.77 \text{ for } \theta_{\rm DG}=180^{\circ}) \text{ reproducing the finding (Ahmadi$ 382 et al., 2022) that spatial encoding via theta sequences crucially depends on intact DG and 383 predicting that loss of DG inputs is compensated for by the increase of release probability 384 in the spared afferent synapses from the MEC.

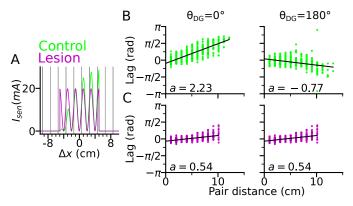


Figure 4: DG lesion reduces temporal correlations in theta sequences. DG recurrence is turned off to simulate the lesion condition. (A) Positional sensory inputs into a place cell in lesion (purple) and control (green) cases. The control case is identical to Fig 3. In the lesion case, DG input is compensated by increased sensory input with increased probability of synaptic release, hence reduced short-term synaptic facilitation. (B) Theta compression, i.e., correlation between peak correlation lag and distance of field centers in the control case. Each dot represents a field pair. Linear-circular regression line is indicated in black. Note that the sign of regression slope (a in radians per maximum pair distance) is determined by the directions of DG loop (negative in $\theta_{\rm DG} = 180^{\circ}$). (C) same as b, but for the lesion case. Theta compression is reduced as compared to the control condition.

Theta sequences in 2-D. So far, the model was only evaluated on bidirectional linear tracks, where running directions completely overlapped with the orientation of the DG loop connectivity. Now, we extend our analysis to 2-D space by examining oblique trajectories which cross the DG-loop projection at certain angles.

We first arrange the DG-loop connections such that they cross a rightward trajectory 390 at 45° and 225° (Figure 5A-F). Similar to the cases of $\theta_{DG} = 0^{\circ}$ and $\theta_{DG} = 180^{\circ}$ (Figure 3D 391 and 3H), precession slopes are steeper and onsets higher when the trajectory aligns more 392 with the orientation of the DG-loop, but with a smaller effect size for oblique crossings 393 (Figure 5A and 5C) since DG-loop connectivity only covers part of the trajectory near 394 the intersection. We further resolve the precession slope, onset and marginal phase for 395 each place cell into 2-D maps (Figure 5B and 5D). Intrinsic sequences with a higher 396 marginal spike phase can be clearly seen along the belt of DG-loop projections and are 397 even extended to the outside of trajectory predicting "off-track" spikes at high phases. 398 Depending on the movement alignment with the DG-loop orientation, the slope becomes 399 either more negative ($\theta_{DG} = 45^{\circ}$) or more positive ($\theta_{DG} = 225^{\circ}$). Analysis of extrinsicity 400 and intrinsicity was conducted for all field pairs and confirmed the same trend as in Figure 3 that best and dissimilar pairs are more extrinsic than worst and similar pairs, 402 respectively (Figure 5E). As a quantitative prediction, we computed the angle differences 403 between field centers of cell pairs for the extrinsic and intrinsic populations, and observe 404 that extrinsic pair center differences are mostly oriented horizontally (along the running 405 direction) while intrinsic pair center differences are oriented along the DG-loop orientation 406 $\theta_{\rm DG} = 45^{\circ}$, as by design (Fig 5F). 407 The analysis above is repeated for the geometric configurations that DG-loop connec-408 tivity is minimally interacting with the movement direction, i.e., when they are perpen-409 dicular to each other ($\theta_{DG} = 90^{\circ}$ and $\theta_{DG} = 270^{\circ}$, Figure 5G). Similar effects as in Figure 410 5B and 5D on precession slope, onset and marginal phases are also observed in the 2-D 411 map, except the effects are further restricted to the intersection area in the middle. Also, 412 the whole population has become more extrinsic as compared to the 45° and 225° cases 413 (Figure 5H, see the numbers for extrinsic-intrinsic ratios) due to the smaller overlapping 414 area between DG-loop projection and the trajectory. Lastly, the pair center difference 415 orientation confirms that extrinsic pairs follow the trajectory direction while intrinsic 416 pairs are biased towards the DG-loop orientations (90°). 417

The results demonstrate the distinct roles of extrinsic and intrinsic sequences in 2-D

418

spatial encoding. The former represents trajectory direction while the latter the associative memory towards specific locations. They can be played out at the same time
separately in different directions and only interact with each other when they overlap.
The interaction is reflected in the change in phase precession properties, most notably
the higher spike phases from the DG-CA3 recurrent input, as well as increased intrinsicity of pair correlation and extended firing fields along the orientation of the DG-loop
projections.

Functional role of intrinsic sequences. While the function of extrinsic theta se-426 quences in encoding the actual trajectory of an animal (connecting the recent past, present 427 and near future locations) is obvious, the potential role of the lesser expressed intrinsic 428 sequence contributions is not straight forward. Simulations of trajectories in 2-D (Fig-429 ure 5) suggest intrinsic activity may serve a role to identify certain location-direction 430 pairs independent of the current trajectory. Here we follow this idea by evaluating the 431 hypothesis that the intrinsic sequences signal a stable "landmark" (location/direction 432 pair) cue by a temporal code that is invariant to different directions of approach. 433

To test our hypothesis, we constructed a downstream readout neuron that would reli-434 ably identify the presence of the intrinsic sequence independently of the animal's running 435 direction. To this end, we trained the synaptic weights using the tempotron learning 436 rule (Gütig and Sompolinsky, 2006), which is able to implement binary classification 437 based on temporal relations of input spike patterns (see Tempotron section in Methods). Two tempotrons were trained to recognize the spike patterns from the place cells, one 439 taking input from a model with DG-loop connectivity at $\theta_{DG} = 0^{\circ}$, and one without DG-loop connectivity separately (Figure 6A). Non-moving spatial inputs were applied to 441 the CA3 place cells at the centers of with-loop and no-loop populations and their spike patterns in each theta cycle are used as training patterns, mimicking a situation in which 443 network activity is evoked without sensory-motor input as, e.g., in a situation before the animal has seen the environment. The training patterns have only (+) labels, which 445 the tempotrons are trained to recognize by firing a spike (Figure 6B). We then test the tempotrons with spike patterns induced by trajectories from 0° to 360° going through the

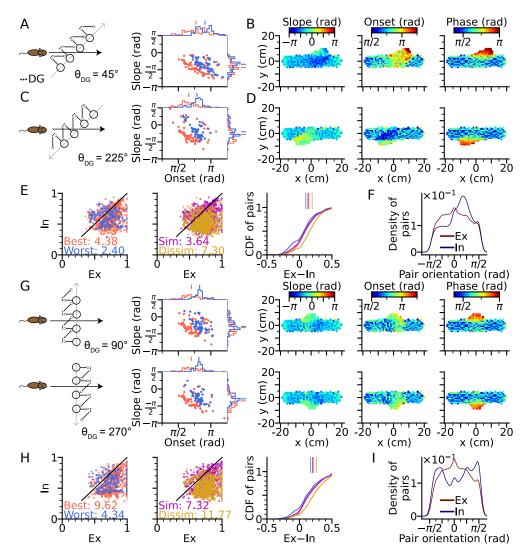


Figure 5: Extrinsic and intrinsic sequences are distinguishable through temporal properties in 2-D space. (A) Left: Schematic illustration of DG projection being tilted by 45° relative to the trajectory. Right: Distributions of phase precession onsets and slopes from the place cells along the trajectory as in Figure 2G. (B) Slopes (left), onsets (middle) and mean spike phases (right) of phase precession from the place cells as a function of field center. High spike phases and onsets occur along the DG-loop orientation where intrinsic spiking dominates. (C-D) Same as (A-B), but DG-loop projection is at 225° relative to trajectory. (D) For DG loops pointing opposite to the sensorimotor drive, prospective firing along the DG loop yields less steep precession slopes and lower onset. (E) Extrinsicity and intrinsicity of all pairs along the trajectory as in Figure 3J. Some pairs are totally extrinsic (Ex=1) because DG projection is absent at those parts of the trajectory. (F) Density of extrinsic/intrinsic pairs as a function of the orientation of field center difference vector relative to the x axis. Intrinsic fields peak at 45°. (G) Same as (A-D), but DG projections are perpendicular to the trajectory at 90° (top) and 270°. Prospective spikes from intrinsic sequences are initiated in the perpendicular directions. (G) Same as (E), but with higher Ex-In ratios. (I) Intrinsic pairs are at ±90°.

two current injection sites (Figure 6C). All spikes in each training and testing pattern are individually jittered by adding a noise term $\sigma \sim \mathcal{N}(0, 2\text{ms}^2)$ for 100 times, producing 100 449 samples for each pattern. The tempotron is said to successfully recognize the sequence of 450 a trajectory direction if any of the theta cycles throughout the trajectory elicits a spike. 451 We found that for the population involving a DG loop, the tempotron is able to 452 recognize the sequence patterns produced for all running directions, while for the no-loop population, the tempotron fails to identify the sequences in most of the trajectories (see 454 accuracies in Figure 6D). Note that the tempotron performed its classification while the 455 extrinsic sequences were not disabled. The reason is that the spike patterns induced by 456 intrinsic sequences remain similar to the training pattern despite being approached in 457 other directions (see sequential contributions in Figure 6E), while spike patterns of the 458 no-loop network can no longer be recognized (Figure 6F). Our results show that intrinsic sequences can provide a stable correlation signal which 460

allows reliable decoding of locations through temporal correlations. The intrinsic tempo-

ral code remains detectable even as a mixture with the extrinsic sequences.

4 Discussion

We presented a model of hippocampal theta sequences in 2-d environments, suggesting 464 that both extrinsic and intrinsic mechanisms are required to explain experimental reports that phase precession and spike timing correlations are non-homogeneous across running 466 directions. Although phase precession already becomes directional by including directiondependent sensory input into a purely extrinsic model, directionality of spike timing 468 correlations cannot be explained by such a model. We, however, demonstrated that 469 the correlation preference could be implemented by fixed recursive loops via a model 470 DG layer. We further supported the model assumptions by showing that DG lesions plus compensatory sensory drive can abolish the theta compression effect in CA3 spiking 472 activity (Ahmadi et al., 2022). By employing a spike-based temporal pattern decoder (tempotron), we showed that the trajectory-independent sequences could function as

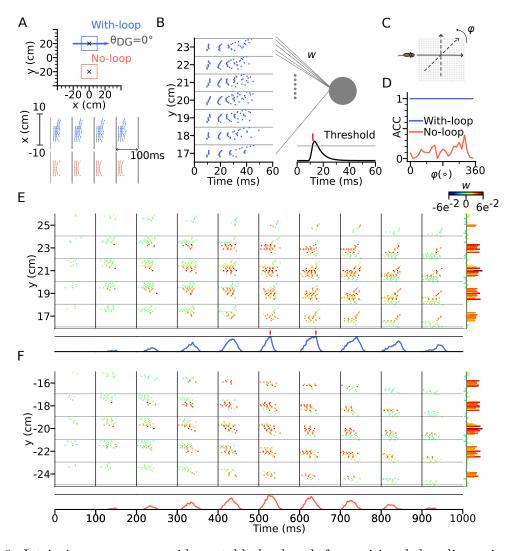


Figure 6: Intrinsic sequences provide a stable landmark for positional decoding using a tempotron. (A) Top: A tempotron is trained separately for place cells population within the top (with DG loop; blue) and bottom (no DG loop; red) squares, to recognize the presence of the corresponding sequence activities. DG-loop rightward projection is indicated by blue arrow and only exists in the blue square. Non-moving spatial inputs are applied to neurons with fields at two locations (marked by black crosses) to play out spike sequences. Bottom: Resulting spikes of place cells with centers from x=-10 to x=10 fixed at y=+20 (with-loop, top raster plot) and y=-20 (no-loop, bottom). Each theta cycle is one (+) training pattern, in which the tempotron is trained to classify by eliciting a spike. (B) Spikes of place cells from x=-10 to x=10 (in each rectangular row) fixed at different values of y. Only one theta cycle is shown as an example pattern. Each place cell delivers spikes to a dendrite of the tempotron, producing post-synaptic potentials (PSPs) at the soma (line plot at the bottom). Synaptic weights are adapted by the tempotron learning rule such that PSPs can cross the threshold (gray line) and fire for the detection of the sequence. After the tempotron has fired, the PSPs will be shunted. (C) Sequence detection is tested on trajectory directions (φ) from 0° to 360° with a 15° increment to detect the presence of sequence. (D) Detection accuracies (ACC) for with-loop (red line) and no-loop (blue) populations. Note that the tempotron cannot detect the no-loop sequences when tested on trajectories at various angles. (E) Detection of intrinsic sequence from a trajectory $\varphi = 180^{\circ}$ for the DG-loop population. Spike raster is shown for every two horizontal rows of place cells in the arena and color-coded by the synaptic weights (see color bar on the right). Tempotron soma potential is shown at the bottom for each pattern. (F) Same as (E), but for no-loop inputs. The tempotron remains silent.

stable signatures that act as anchors of the spatial code.

Early intrinsic models (Tsodyks et al., 1996) were challenged owing to their inability 476 to generate phase precession in backward travel (Figure 1C, also see Cei et al. (2014)), as 477 well as the predominantly extrinsic correlations observed in CA1 (Huxter et al., 2008). 478 In our hybrid model, phase precession still occurs during backward travel ($\theta_{DG} = 180^{\circ}$) 479 but at a lower probability as indicated by the larger fraction of positive phase-position 480 slopes (Figure 3H). Also, extrinsic sequences still dominate over intrinsic sequences as 481 indicated by the majority of field pairs being extrinsic (Figure 3J). Both the reduced 482 expression of phase precession in reverse runs and the dominance of extrinsic sequences 483 are in accordance with the experimental data (Yiu et al., 2022). 484

The mixture of extrinsic and intrinsic mechanisms in our theory, naturally gives rise 485 to the directionality of spike correlations and phase distributions. As the trajectory aligns itself with the DG loops, the ratio of intrinsic to extrinsic sequences increases. As a result, 487 spike correlations become more rigid and the phase distribution is shifted upward due to the accumulated synaptic transmission delay from the reverberating activity between 489 CA3 and DG populations. Adding directional sensory input activates extrinsic sequences in the best direction more strongly, and hence, leads to an association between best-491 angle (worst-angle) pairs and extrinsicity (intrinsicity). These predictions of our model are corroborated by past reports of higher spike phases in the non-preferred arm of a 493 T-maze (Kay et al., 2020) as well as the association of rigid correlations with upward shifts in spike phases and an increase in worst-angle pairs (Yiu et al., 2022). 495

Since intrinsic sequences can also propagate outside the trajectory (Figure 5) and 496 activate place cells non-locally, our model predicts direction-dependent expansion of place 497 fields. Remote activation during locomotion has already been observed in a previous 498 study (Sasaki et al., 2018) where CA3 place cells preferentially firing at one arm of the 499 maze were also activated at reward locations at other arms. In our model, only short-range 500 intrinsic connectivity was considered, thus, place field boundaries expand locally but in 501 a skewed manner matching the sequence direction. Skewness of place fields has been 502 reported by a number of studies (Mehta et al., 1997; Shen et al., 1997; Mehta et al., 2000; 503

Ekstrom et al., 2001; Lee et al., 2004; Burke et al., 2008; Cei et al., 2014; Roth et al., 504 2012; Dong et al., 2021) showing place fields to be asymmetrically expanded opposite 505 to the direction of travel. This effect was connected to plasticity as it develops after 506 repeated traversal, and due to its dependence on NMDA receptor activation (Ekstrom 507 et al., 2001; Burke et al., 2008; Shen et al., 1997). These plasticity studies show that the 508 hippocampal place code is shaped by intrinsic synaptic computations including temporal 509 activation patterns in theta sequences (Feng et al., 2015). Apart from being conducted on 510 linear tracks and not 2-d environments, most of this work focused on CA1 and associated 511 Schaffer collateral plasticity. Yet some prior studies (Lee et al., 2004; Roth et al., 2012) 512 did show that place fields in CA3 were more skewed than in CA1, which our model 513 would explain by CA3 expressing more intrinsic sequences than CA1 consistent with 514 prior experimental observations (Yiu et al., 2022). The back-projection from CA3 to DG is a crucial anatomical prerequisite of our model, 516 but was rarely explored compared to the feed-forward inputs via the perforant pathway. The proposed CA3-DG recurrent structure of this model, albeit simplified, is consistent 518 with the anatomical evidence. Pyramidal cells in CA3 innervate the mossy cells at the DG hilus (Scharfman, 1994, 2016), which then project to granule cells through both excitatory 520 and inhibitory pathways (Hsu et al., 2016; Scharfman, 1995; Larimer and Strowbridge, 521 2008; Soriano and Frotscher, 1994), and subsequently back to CA3 pyramidal cells. An 522 optogenetic study (Hsu et al., 2016) showed that the net effect of mossy cells on granule 523 cells was predominantly inhibitory, suggesting that the DG ensembles excited by mossy 524 cell synaptic drive are sparsified by suppressing unwanted out-of-ensemble activity. In-525 deed, past studies showed that reliable excitatory effect could be observed when granule 526 cells were depolarized (Scharfman, 1995) and when they received back-propagation of 527 sharp wave bursts from CA3 population (Penttonen et al., 1998). This indicates that the 528 excitatory recurrent pathway from CA3 via DG exists and might allow activity rever-529 beration between two layers. Moreover, lesions of the DG layer were shown to eliminate 530 the coordinated temporal structure of CA3 activity and to be instrumental to sequence 531 organization (Figure 4 and Ahmadi et al. (2022)).

532

Our model assumed a connectivity pattern of DG loops in which neurons activate the 533 neighbours along a specific direction, as inspired by Hebb's phase sequences (Hebb, 1949) 534 and, hence, replay of the loop would activate a spatially plausible virtual trajectory. The 535 loop connectivity could either arise from previous learning, or might be present already 536 beforehand (Dragoi and Tonegawa, 2013), with spatial topology inherited by associating 537 2-d sensory features to cell ensembles in the loop (Leibold, 2020). The resulting topology 538 can exhibit discontinuous long-range jumps to other locations (Sasaki et al., 2018) or 539 consist of a discrete set of (behaviorally relevant) locations (Pfeiffer, 2022). 540

Different from other phase precession models, we also included heading direction as 541 part of the sensory input, as inspired by past literature that CA1 (Markus et al., 1995; Acharya et al., 2016; Stefanini et al., 2020), CA3 (Mankin et al., 2019) and DG place 543 cells (Stefanini et al., 2020) exhibit directional selectivity in firing rates, potentially inherited from the upstream head-direction cells in the medial entorhinal cortex (Giocomo 545 et al., 2014) and postsubiculum (Taube et al., 1990). As a result, the directional drive immediately translates to phase directionality in theta sequences, partly contributing to 547 the upward shift of the phase distribution in the worst angles. Such phase directionality arises naturally from the intracellular dynamics of a spike-based model, where stronger 549 depolarization causes earlier spiking. This phase-rate dependence has already been used in previous models (Harris et al., 2002; Mehta et al., 2002; Thurley et al., 2008), where the 551 increasing depolarization within place fields directly relates to decreasing spike phases. 552 The causal effect of firing rate on spike phases, however, was disputed by Huxter et al. 553 (2003) as they showed that precession slopes and spike phases remained the same between high- and low-spiking runs, suggesting that the phase is not single-handedly determined 555 by firing rate. In our model, firing rate is determined by both low-phase spiking from 556 sensory input and high-phase spike arrivals of DG-CA3 loops, both producing opposing 557 effects on the phase distribution. Thus, depending on the strength and geometry of the 558 DG-CA3 connectivity, spike phases are not fully determined by firing rate. 559

By using a tempotron to decode the spike patterns, we show that the spike patterns of intrinsic sequences can serve as a stable landmark which remains decodable across

multiple running directions. The invariant temporal patterns could serve as anchors of spatial memories in a novel environment, since place fields only stabilize after the 563 animal becomes familiar with the environment (Wilson and McNaughton, 1993). The pre-564 existing sequence motifs, even at times when the spikes of the neurons are not spatially 565 tuned to a location, can still encode the position based on their temporal relations alone. 566 The idea has previously been spelled out (Cheng, 2013) and numerically verified (Leibold, 2020) with multiple fixed sequences that form a decodable spatial representation in a 568 reinforcement learning paradigm. 569 We speculate that the functional roles of intrinsic sequences may not be limited to 570 spatial memories. While, in the spatial domain, intrinsic sequences could be interpreted as planning of alternative trajectories during navigation or prospective planning of future 572 pathways (Kay et al., 2020; Sasaki et al., 2018), virtual non-spatial trajectories could represent working memories contents (Jensen et al., 1996) available for general decision

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making processes.

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