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Disrupted sleep in dystonia depends on cerebellar function but not motor symptoms in mice

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Abstract

Although dystonia is the third most common movement disorder, patients often also experience debilitating nonmotor defects including impaired sleep. The cerebellum is a central component of a "dystonia network" that plays various roles in sleep regulation. Importantly, the primary driver of sleep impairments in dystonia remains poorly understood. The cerebellum, along with other nodes in the motor circuit, could disrupt sleep. However, it is unclear how the cerebellum might alter sleep and mobility. To disentangle the impact of cerebellar dysfunction on motion and sleep, we generated two mouse genetic models of dystonia that have overlapping cerebellar circuit miswiring but show differing motor phenotype severity: $Ptf1a^{Cre}; Vglut2^{fx/fx}$ and $Pdx1^{Cre}; Vglut2^{fx/fx}$ mice. In both models, excitatory climbing fiber to Purkinje cell neurotransmission is blocked, but only the $Ptf1a^{Cre}; Vglut2^{fx/fx}$ mice have severe twisting. Using *in vivo* ECoG and EMG recordings we found that both mutants spend greater time awake and in NREM sleep at the expense of REM sleep. The increase in awake time is driven by longer awake bouts rather than an increase in bout number. We also found a longer latency to reach REM in both mutants, which is similar to what is reported in human dystonia. We uncovered independent but parallel roles for cerebellar circuit dysfunction and motor defects in promoting sleep quality versus posture impairments in dystonia.

1 Introduction

2 Dystonia presents with phenotypic and etiologic heterogeneity. Considered the third most 3 common movement disorder, "dystonia" does not comprise a single disease or symptom, but rather describes an array of disorders sharing overlapping behavioral outcomes. While different forms of 4 dystonia express unique etiologies, substantial evidence implicates the cerebellum as a major node 5 in the underlying network disruptions¹⁻⁴. Dysfunction of Purkinje cells and the cerebellar nuclei, 6 7 the primary outputs of the cerebellar cortex and cerebellum, respectively, are implicated in both hereditary and idiopathic forms of dystonia⁴⁻⁷. Importantly, while cerebellar dysfunction is 8 sufficient to induce dystonia in animal models, therapies addressing cerebellar dysfunction can 9 modulate dystonia and reduce motor symptom severity. One such therapy, cerebellar deep brain 10 stimulation (DBS), has been used to effectively reduce motor symptom severity in both mouse 11 models⁴ and human patients^{8,9}, further suggesting a critical cerebellar involvement in the etiology 12 of dystonia. However, nonmotor behaviors are also relevant to the cerebellum and to dystonia. 13

14 Along with its known role in regulating motor function, increasing evidence shows that the cerebellum also serves as a key brain region in the control of a variety of nonmotor behaviors such 15 as cognitive and emotional processing¹⁰, associative learning¹¹, and reward expectation^{11,12}. 16 Recent work also suggests that the cerebellum may play a role in sleep-related behaviors. Purkinje 17 18 cells and cerebellar nuclei neurons have been found to display sleep-dependent activity, increasing their firing during NREM (non-rapid eye movement) sleep¹³⁻¹⁶. In addition, lesioning of the 19 cerebellar vermis has been shown to impair sleep, suggesting that normal cerebellar circuitry and 20 activity are important for maintaining sleep rhythms^{17,18}. Numerous studies have shown that 21 cerebellar disruptions form the basis for the many comorbidities of motor disorders^{19–22}. However, 22 the role of the cerebellum and its circuit components in sleep regulation have not been studied 23 thoroughly in dystonia. It is known that dystonic patients demonstrate increased sleep latency and 24 REM (rapid eye movement) latency, and in some cases, persistent involuntary muscle contractions 25 during sleep^{23–25}. It is also noted that therapeutics which successfully alleviate the motor symptoms 26 of dystonia appear to have little to no effect on the sleep disruptions²⁶. The importance of 27 addressing sleep dysfunction is becoming increasingly apparent in society, as sleep disruptions can 28 significantly impact quality of life, driving many subsequent comorbidities^{27,28}. Disrupted sleep is 29 also associated with impaired motor learning/function²⁹⁻³¹, as synaptic activity is normalized 30 during sleep³². Together, the mounting evidence inspires a compelling model in which sleep and 31

motor dysfunction in dystonia comprise two halves of a self-propelling cycle. It is possible that cerebellar dysfunction drives both the more commonly-appreciated motor abnormalities and the nonmotor sleep disruptions, although how they emerge needs to be systematically resolved.

It remains unclear whether dystonic motor dysfunctions persist during all stages of sleep, 35 and consistently across different manifestations of the disease; recent evidence from a survey of 36 cervical dystonia patients suggests that, in cases of idiopathic cervical dystonia, it does²⁴. The lack 37 of clarity on which factors (motor dysfunction and/or cerebellar dysfunction) drive sleep 38 dysfunction in dystonia highlights the significance of this knowledge gap. To investigate the 39 relationship between cerebellar dysfunction, motor dysfunction, and sleep, here we used a 40 41 constitutively active Cre/lox-p system to drive the deletion of the Vglut2 gene in afferent neurons that project excitatory fibers that ultimately communicate with the Purkinje cells. Vglut2 was 42 deleted using the *Ptf1a* and *Pdx1* gene regulatory elements to spatially drive Cre expression: the 43 resulting mice had the genotypes $Ptf1a^{Cre}$; $Vglut2^{fx/fx}$ and $Pdx1^{Cre}$; $Vglut2^{fx/fx}$. The Ptf1a and Pdx144 genes are expressed in the excitatory neurons of the inferior olive, a region of the brainstem, which 45 projects afferent fibers to the cerebellar cortex and terminate as excitatory climbing fibers^{4,33}. 46 However, Ptfla expression occurs in a wider distribution across the inferior olive relative to Pdxl, 47 and *Pdx1* is also expressed in mossy fiber afferent neurons (Lackey et al., 2023 in preparation). 48 49 Therefore, the silencing of excitatory climbing fiber synapses occurs with differential coverage in mice with *Ptf1a*- versus *Pdx1*-driven Cre expression. Only *Ptf1a*^{Cre}; *Vglut2*^{fx/fx} mice present with 50 severe motor dysfunction involving twisting of the torso and hyperextensions of the back and 51 limbs⁴, while $Pdx 1^{Cre}$; $Vglut 2^{fx/fx}$ adult mice show only subtle dystonic behaviors³⁴. Thus, the use 52 53 of both mouse models allows us to deliberately silence excitatory olivocerebellar synapses while also providing us with an opportunity to query varying severities of cerebellar dysfunction. 54

In this work, we report that, despite their different dystonia-related motor severities, $Pdx1^{Cre}; Vglut2^{fx/fx}$ and $Ptf1a^{Cre}; Vglut2^{fx/fx}$ mice display similarly impaired sleep physiology and circadian rhythms. Both mutants display highly disrupted sleep, spending greater time awake and at the expense of REM sleep. Furthermore, we found that both the $Pdx1^{Cre}; Vglut2^{fx/fx}$ and $Ptf1a^{Cre}; Vglut2^{fx/fx}$ mutant mice display increased latency to reach REM, which is similar to what is observed in human patients with dystonia²³. Intriguingly, only mice with overt dystonic motor behaviors ($Pt1fa^{Cre}; Vglut2^{fx/fx}$) show differences in ECoG spectral power frequency, particularly 62 in the latter half of the time spent asleep. We also found that circadian activity rhythms remain

63 unchanged across all groups of mice, and that the circadian "master clock" remains ostensibly

64 unaffected by our circuit manipulation. Our work demonstrates that aberrant cerebellar activity

65 dually disrupts motor function and sleep, paving the way for improved future therapeutics that may

66 be able to simultaneously address both motor and sleep dysfunction in the context of motor disease.

67

68 Results

69 $Ptf1a^{Cre}; Vglut2^{fx/fx}$ and $Pdx1^{Cre}; Vglut2^{fx/fx}$ mice display overlapping cerebellar circuit deficits, 70 but only the $Ptf1a^{Cre}; Vglut2^{fx/fx}$ mice show overt dystonic symptoms

We have previously demonstrated that silencing glutamatergic olivocerebellar synapses 71 can induce severe dystonic motor phenotypes⁴. To elucidate the relative contributions of cerebellar 72 versus motor dysfunction on sleep impairments, we additionally leveraged a previously generated 73 74 mouse model of cerebellar dysfunction lacking overt motor dysfunction: the $Pdx 1^{Cre}$; $Vglut 2^{fx/fx}$ mouse³⁴ (Supplementary video 1). Both the $Ptf1a^{Cre}$; $Vglut2^{fx/fx}$ and $Pdx1^{Cre}$; $Vglut2^{fx/fx}$ mouse 75 models utilize the Cre/lox-p system to drive the deletion of Vglut2, but under different promoters 76 (Figure 1A). A detailed characterization of the $Ptf1a^{Cre}$; $Vglut2^{fx/fx}$ mice and their resulting dystonia 77 were previously described⁴, whereas the behavior and circuit basis of the $Pdx1^{Cre}$; $Vglut2^{fx/fx}$ mice 78 will be described extensively in an independent study (Lackey et al., 2023 in preparation). While 79 both models result in the loss of VGLUT2 protein after genetically targeting glutamatergic 80 olivocerebellar synapses in Purkinje cells (Figure 1B-C), the differential expression patterns of 81 *Ptf1a* (in climbing fiber neurons) and *Pdx1* (in climbing fiber and mossy fiber neurons) yield 82 differential synapse silencing resulting in different motor phenotypes (Figure 1D, Figure 1 83 supplement 1). Compared to wildtype littermate controls (Figure 1E), the Ptfla^{Cre}; Vglut2^{fx/fx} mice 84 display overt dystonic motor phenotypes and dystonic behaviors (Figure 1F), while the 85 $Pdx1^{Cre}$: $Vglut2^{fx/fx}$ mice do not display overt dystonic motor phenotypes such as spontaneous 86 87 twisting postures and hyperextension of the back, limbs, or digits (Figure 1G). To better understand the alterations in motor phenotypes in both mouse models, we implanted mice with cortical 88 89 (ECoG) and muscular (EMG) electrodes for in vivo monitoring. We calculated the overall EMG power in the 0-30Hz frequency range, which has been previously used to quantitatively diagnose 90 dystonia in human patients³⁵ (representative EMG traces per genotype; Figure 1H). As predicted, 91 the overall EMG power was significantly elevated in the $Ptf1a^{Cre}$; $Vglut2^{fx/fx}$ mice as compared to 92 the control and Pdx1^{Cre}; Vglut2^{fx/fx} mice (Figure 1H-I). The EMG activity reflects prolonged over-93 contractions, which is a key phenotype observed in human patients with generalized and focal 94 dystonia. Additionally, we found that this elevation of cervical EMG activity was maintained 95 96 during all states, including both REM and NREM sleep (Figure 1 supplement 2, B-E). These findings support the idea that $Ptfla^{Cre}$; $Vglut2^{fx/fx}$ mice display elevated muscle activity due to 97 cerebellar dysfunction, while $Pdx1^{Cre}$; $Vglut2^{fx/fx}$ mice do not. These results further suggest that 98

99 cerebellar circuit manipulations can occur without causing overt and severe motor dysfunctions100 and furthermore establishes the two mouse models for use in subsequent experiments in this study.

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102 Circadian activity is unchanged in $Ptf1a^{Cre}$; $Vglut2^{fx/fx}$ and $Pdx1^{Cre}$; $Vglut2^{fx/fx}$ mutant mice

Wheel-running activity is commonly used in rodents as a proxy for measuring daily activity 103 patterns³⁶. Previous work has used wheel-running to understand circadian activity in other mouse 104 models of movement disorders (for example, mild ataxia)³⁷. Therefore, we sought to determine the 105 extent of circadian activity disruption in $Ptf1a^{Cre}$; $Vglut2^{fx/fx}$ and $Pdx1^{Cre}$; $Vglut2^{fx/fx}$ mutant mice. 106 Mice were singly housed with ad libitum access to food, water, and a running wheel in their home 107 cage (Figure 2A). Wheel revolutions were automatically monitored throughout the recording 108 period that lasted 35-days (14 days baseline (LD; light-dark), 21 days constant condition (DD; 109 dark-dark periods; Figure 2B)). The collected data were analyzed and plotted as actograms for ease 110 of viewing; each row represents a day and black tick marks represent revolutions of the running 111 wheel, indicative of locomotor activity. Data is double plotted (as convention), such that 48-hours 112 of activity are plotted on the same line, to better visualize changes in activity patterns³⁶. We 113 114 predicted that differences in circadian activity patterns in our mutant mice would arise either from motor dysfunction or our cerebellar circuit manipulation. Given that $Pdx1^{Cre}$; $Vglut2^{fx/fx}$ mice do 115 not display severe dystonic motor behaviors, and the extent of their olivocerebellar manipulation 116 is restricted relative to $Ptfla^{Cre}$; $Vglut2^{fx/fx}$ mice, we predicted that their circadian activity profiles 117 118 would remain unchanged relative to littermate controls. As expected, we observed normal wheelrunning behavior in $Pdx 1^{Cre}$; $Vglut 2^{fx/fx}$ mice relative to littermate controls (Figure 2C/E). We also 119 found that despite their overt motor dysfunction, $Ptfla^{Cre}$; $Vglut2^{fx/fx}$ mice did voluntarily run on 120 the wheel and maintain rhythmic behavior, though after a longer (8-days) acclimation period 121 (Figure 2D). We observed significantly lower average activity counts for $Ptf1a^{Cre}$; $Vglut2^{fx/fx}$ mice 122 with overt motor dysfunction during both LD and DD paradigms (Figure 2F-G). Nevertheless, 123 both $Pdx 1^{Cre}$; $Vglut 2^{fx/fx}$ and $Ptf 1a^{Cre}$; $Vglut 2^{fx/fx}$ mice displayed characteristic nocturnal behavior 124 even during the DD phase, similar to controls (Figure 2C-E). We also assessed endogenous 125 circadian period length (tau), a measure of the period of a circadian rhythm. The tau length refers 126 to the length of time it takes for the rhythm to complete one cycle³⁶. At the end of the DD paradigm, 127 all mice displayed an average tau of ~23.7hrs (Figure 2H). This slight deviation from 24hrs is 128 expected, as endogenous tau length in mice is slightly less than 24hrs³⁶. In addition, we also found 129

that the "siesta" period — a brief bout of sleep during the active period³⁸ — in $Ptf1a^{Cre}$; $Vglut2^{fx/fx}$ 130 mice is significantly longer by 7-10 minutes (Figure 2I). However, this increase may be a result of 131 132 the low background activity for $Ptf1a^{Cre}$; $Vglut2^{fx/fx}$ mice. To validate that our genetic manipulation of Vglut2 did not significantly alter the major sleep center of the brain, we assessed Vglut2 mRNA 133 expression in control $Ptfla^{Cre}$ and $Pdxl^{Cre}$ mice (without *floxed* alleles of *Vglut2*) using *in situ* 134 hybridization. We found a lack of Vglut2 expression in the suprachiasmatic nucleus (SCN) "master 135 clock". This was anticipated since the SCN is a heavily GABAergic region³⁹ (Vgat-expressing, 136 Figure 2 supplement 1). These results suggest that in the $Pdx 1^{Cre}$: $Vglut 2^{fx/fx}$ and $Ptf1a^{Cre}$: $Vglut 2^{fx/fx}$ 137 mice, circadian rhythms remain largely unchanged despite cerebellar and motor dysfunction. 138

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140 Cerebellar dysfunction disrupts sleep stages independently of the dystonic phenotype

The relationship between sleep and motor function is particularly relevant in dystonia, as 141 reports suggest that motor symptoms are easier to manage after a good night's sleep, and earlier in 142 the morning, shortly after waking up⁴⁰. Therefore, a major goal was to determine the overall sleep 143 quality in $Ptf1a^{Cre}$; $Vglut2^{fx/fx}$ and $Pdx1^{Cre}$; $Vglut2^{fx/fx}$ mice. We implanted $Ptf1a^{Cre}$; $Vglut2^{fx/fx}$ and 144 Pdx1^{Cre}; Vglut2^{fx/fx} mice with ECoG/EMG electrodes that were made out of silver wire and 145 recorded signals continuously for 8-hrs during the light phase (Figure 3A-C). Raw ECoG/EMG 146 waveforms show that both $Ptf1a^{Cre}$; $Vglut2^{fx/fx}$ and $Pdx1^{Cre}$; $Vglut2^{fx/fx}$ mice display characteristic 147 spectral activity which defines wake, NREM, and REM sleep (Figure 3D). We also note that high-148 amplitude spikes in the EMG activity were observed in $Ptfla^{Cre}; Vglut2^{fx/fx}$ mice during brief 149 periods of wake, indicative of motor dysfunction, while no such phenomenon was observed in the 150 $Pdx1^{Cre}$: $Vglut2^{fx/fx}$ mice (Figure 3D). We then assessed the total time spent awake, in NREM sleep, 151 and in REM sleep. While sleep cycles in mice are shorter than in humans, they do follow the 152 153 similar pattern of wake, followed by NREM, and then REM sleep (Figure 3E). Representative hypnograms of 1-hour of total recording time showed that both the $Ptf1a^{Cre}$; $Vglut2^{fx/fx}$ and 154 $Pdx1^{Cre}$; $Vglut2^{fx/fx}$ mice displayed disrupted sleep. The periods of wake were more frequent and 155 last longer compared to the littermate controls (Figure 3F). We found that both $Pdx1^{Cre}$; $Vglut2^{fx/fx}$ 156 and $Ptfla^{Cre}$; $Vglut2^{fx/fx}$ mice spent more time awake and in NREM at the expense of decreased 157 158 REM sleep (Figure 3G-I). These results suggest that, although motor dysfunction may occur in brief periods of spontaneous wakefulness ($Ptf1a^{Cre}$; $Vglut2^{fx/fx}$), cerebellar dysfunction alone may 159 be sufficient to alter sleep activity independent from gross motor dysfunction ($Pdx1^{Cre}$; $Vglut2^{fx/fx}$). 160

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162 Disrupted sleep patterns occur independent of overt dystonic motor dysfunction when 163 comparing $Ptf1a^{Cre}$; $Vglut2^{fx/fx}$ and $Pdx1^{Cre}$; $Vglut2^{fx/fx}$ models of dystonia

We observed that cerebellar dysfunction was sufficient to disrupt sleep stages in 164 $Ptf1a^{Cre}$; $Vglut2^{fx/fx}$ and $Pdx1^{Cre}$; $Vglut2^{fx/fx}$ mice, mouse models with and without overt dystonic 165 motor phenotypes, respectively. However, the fluctuations in the frequency of sleep stages or 166 length of sleep states, both of which could be driving the observed differences in sleep versus 167 awake time, remained unclear (Figure 4A). Therefore, to further understand the fragility of sleep 168 stages, and the disruption of each stage, we calculated both the total number of sleep-stage bouts 169 along with the average length of bouts for wake, NREM, and REM. We note that these calculations 170 were performed after the onset of sleep, which was determined using a similar approach to previous 171 work⁴¹ (Figure 4B). We found that the total number of wake bouts was not different between 172 *Ptf1a^{Cre};Vglut2^{fx/fx}, Pdx1^{Cre};Vglut2^{fx/fx}*, and the littermate controls (Figure 4C). However, for both 173 $Pdx1^{Cre}; Vglut2^{fx/fx}$ and $Ptf1a^{Cre}; Vglut2^{fx/fx}$ mutant mice, the awake bouts were significantly longer 174 than in controls, by an average of ~ 67 minutes (Figure 4D). To examine the disruptions in sleep 175 176 stages after sleep onset, we calculated the total number of REM and NREM bouts. We found that both the $Pdx1^{Cre}$; $Vglut2^{fx/fx}$ and $Ptf1a^{Cre}$; $Vglut2^{fx/fx}$ mice displayed an increase in the overall 177 number of NREM bouts coupled with fewer REM bouts (Figure 4G, 4E), while the average length 178 of both REM and NREM bouts remained the same between all groups (Figure 4H, 4F). Previous 179 180 work in human patients with cervical dystonia suggests that dystonic patients display an increased latency to sleep, with a particular effect on the REM stage of sleep²³. We hypothesized that these 181 phenotypes may be recapitulated in the $Pdx1^{Cre}$; $Vglut2^{fx/fx}$ and $Ptf1a^{Cre}$; $Vglut2^{fx/fx}$ mice. Therefore, 182 we calculated the latency to reach REM and NREM sleep, as this could further indicate whether 183 184 sleep dysfunction is primarily related to falling asleep versus staying asleep (or both). While both groups of mutant mice displayed a normal latency to reach NREM sleep (Figure 4J), latency to 185 reach REM sleep was significantly elevated in both groups by an average of 47 minutes (Figure 186 4I). Together, these experiments highlight the specific deficits of sleep architecture that have been 187 disordered in the $Pdx1^{Cre}$; $Vglut2^{fx/fx}$ and $Ptf1a^{Cre}$; $Vglut2^{fx/fx}$ mutant mice, and that the same deficits 188 occur in both groups independently of how severe and constant the motor phenotype may be. 189

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191 Changes in delta, beta, and gamma spectral power may underlie sleep state impairments in 192 $Ptf1a^{Cre}; Vglut2^{fx/fx}$ but not $Pdx1^{Cre}; Vglut2^{fx/fx}$ mice

193 Arousal states are defined, in part, by spectral frequency oscillations that occur across specific frequency bands, ranging from 0.5 to >100Hz (5A). Accordingly, changes in sleep stages 194 are marked by changes in delta (0.5Hz - 4Hz in mice) or theta (5Hz - 8Hz in mice) power, 195 indicating both an increase or a decrease in sleep quality.^{42,43} Therefore, changes in spectral power 196 can give some insight as to how sleep/wake dynamics are being interrupted at the neuronal level. 197 As dystonia is a heterogenous motor disorder¹, and our two mouse models show differing severity 198 in dystonic motor symptoms overall, we predicted that between the models we would see specific 199 differences in ECoG spectral activity in sleep-dependent frequency bands. Such analysis may also 200 provide insight into the potential mechanisms of sleep dysfunction, given that different frequency 201 bands can be used to report on changes in overall brain connectivity⁴⁴. We therefore sought to 202 determine whether the $Pdx1^{Cre}$; $Vglut2^{fx/fx}$ and the $Ptf1a^{Cre}$; $Vglut2^{fx/fx}$ mice displayed measurable 203 differences in spectral power across frequency bands of interest (Figure 5B), relative to controls. 204 We implanted mice with two cortical (ECoG) electrodes to detect changes in oscillation power 205 206 spectral frequency at various sleep stages. We calculated overall average spectral power frequency from two independent ECoG electrodes placed over the parietal cortex and the frontal cortex, to 207 measure delta (0.5-4Hz), theta (5-8Hz), alpha (8-13Hz), beta (13-30Hz), and gamma (35-44Hz) 208 frequency bands. $Ptf1a^{Cre}; Vglut2^{fx/fx}$, but not the $Pdx1^{Cre}; Vglut2^{fx/fx}$ mice, displayed differential 209 210 spectral power frequencies in the frontal cortex for delta and beta frequency bands (Figure 5C, 5I). Specifically, delta power was significantly increased relative to the controls, while beta power was 211 212 decreased. As delta power can be an effective indicator of NREM sleep, this observed increase may reflect the overall increased time spent in NREM sleep that the $Ptfla^{Cre}$; $Vglut2^{fx/fx}$ mice 213 display. We also note that although gamma power was decreased in $Ptf1a^{Cre}$; $Vglut2^{fx/fx}$ mice, the 214 215 change did not meet the threshold for significance (Figure 5K). Overall, theta and alpha power were unchanged from controls for $Ptf1a^{Cre}$; $Vglut2^{fx/fx}$ and $Pdx1^{Cre}$; $Vglut2^{fx/fx}$ mice (Figure 5E, 5G). 216

Sleep, and by extension the spectral oscillations defining sleep, are known to possess some intrinsic rhythmicity. Therefore, we also sought to determine whether the observed changes in spectral frequency power displayed temporal properties. To do this, we divided each animal's sleep recording into 3 distinct periods. After performing spectral frequency analysis, we found that $Ptfla^{Cre}; Vglut2^{fx/fx}$ mice continue to display increased delta and decreased beta power (Figure 5D, 222 5J). Interestingly, for both frequency bands, spectral power was only different during mid (ZT6-8) and late (ZT8-10) recording periods. For Gamma power, a significant decrease was only 223 observed during the mid-recording period for both $Pdx1^{Cre}$; $Vglut2^{fx/fx}$ and $Ptf1a^{Cre}$; $Vglut2^{fx/fx}$ mice 224 (Figure 5L). As we observed with overall power, neither theta nor alpha showed differences when 225 226 we calculated changing power over time (Figure 5F, 5H). These data demonstrate that measurable spectral frequency changes accompany sleep impairments in dystonia, but predominantly in 227 $Ptfla^{Cre}; Vglut2^{fx/fx}$ mice that experience overt motor dysfunction. The data indicate the possibility 228 that while sleep impairments arise from cerebellar dysfunction in dystonia, the overt motor defects, 229 which can arise in parallel, can also influence specific aspects of sleep physiology in the disease. 230 231

232

233 Discussion

We genetically dissected the interaction between sleep impairments and cerebellar-234 235 initiated motor impairments in two mouse models of dystonia. Altogether, the results from this work provide insight into the unique sleep, ECoG, and EMG disturbances observed in our mouse 236 models of cerebellar circuit dysfunction (Figure 6A). We found that sleep impairments, a common 237 nonmotor symptom in human dystonia, occur in $Ptf1a^{Cre}$; $Vglut2^{fx/fx}$ and $Pdx1^{Cre}$; $Vglut2^{fx/fx}$ mouse 238 models of cerebellar miswiring, with and without severe dystonia-related motor dysfunctions. We 239 show that both groups of mutant mice display an increase in the length of wake bouts, increased 240 NREM and more frequent NREM bouts, and decreased REM and less frequent REM bouts (Figure 241 6A). While existing studies on sleep quality in dystonia patients is limited, our results are striking 242 in that they reflect patterns of sleep deficits observed in dystonia patients²³⁻²⁵. We also highlight 243 our finding that motor activity in $Ptfla^{Cre}$; $Vglut2^{fx/fx}$ mice remains elevated in all stages of sleep, 244 even during REM. This result is particularly intriguing. Existing studies are split, some suggesting 245 that abnormal muscle activity in dystonic patients disappears during sleep²³ while other indicate 246 that it might persist during sleep²⁴. On the one hand, it is possible that our results indicate that 247 248 dysfunction of the mechanisms involved in synaptic renormalization are affected in dystonia, which are believed to occur during sleep and mediate muscle recovery and atonia during 249 sleep^{32,45,46}. On the other hand, as a reconciling interpretation, the work we presented here could 250 also suggest that cerebellar dysfunction, in the presence or absence of dystonic motor dysfunction, 251 252 is sufficient to drive nonmotor impairments in sleep in mouse models of dystonia (Figure 6B). Existing knowledge suggests that the cerebellum and its circuit components (namely, the Purkinje 253 cells and cerebellar nuclei neurons) are a key node in dystonia^{4,47}. Therefore, our results may point 254 to the cerebellum as a central dystonia locus, which could help to anchor future studies on the 255 256 development of therapies that can address motor and nonmotor (sleep) dysfunction in dystonia.

Evidence from case-control studies in patients with cervical dystonia suggests that human patients with dystonia exhibit distinct abnormalities in their circadian rhythms, including fatigue and excessive daytime sleepiness^{25,26,48}. We sought to understand if the clinical symptoms of dystonia that are relevant to sleep were observed in our two mouse models that exhibit cerebellar dysfunction, with and without motor deficits. Our results show that both $Pdx1^{Cre}$; $Vglut2^{fx/fx}$ and $Ptf1a^{Cre}$; $Vglut2^{fx/fx}$ mice display normal circadian timing of behavior (Figure 2C-E,H), suggesting that cerebellar dysfunction and motor deficits do not impact overall circadian behavior. Although 264 these data are intriguing, given the substantial sleep impairments experienced by these mice, this finding is in line with work in mice with cerebellar ataxia, which also show normal circadian 265 wheel-running behavior³⁷. To make sure that our genetic manipulation in each model did not 266 disrupt activity in the SCN master clock, we verified in our mice existing work, which states that 267 the SCN is 95% GABAergic in its neuronal identity³⁹. In accordance with our *in situ* hybridization 268 results (Figure 2 figure supplement 1), this may indicate that while the cerebellum is involved in 269 270 the regulation of sleep, its role in circadian timekeeping is limited, at least in the current context. Indeed, while numerous projections exist between the cerebellum and the major circadian centers 271 of the brain, including the hypothalamus, locus coeruleus, and pedunculopontine nucleus, direct 272 projections between the cerebellum and the SCN master clock are lacking^{49,50}. It is possible then 273 that cerebellar access to circadian processes is tightly regulated and restricted to sleep rather than 274 overall activity rhythms. In this case, the fatigue and excessive daytime sleepiness experienced by 275 patients with dystonia may be attributed to lack of sleep rather than aberrant circadian timekeeping. 276

277 While dystonia is commonly considered a network disorder in humans¹, our genetic manipulation attempts to recreate the circuit-wide defects through a mechanism that initiates the 278 279 dystonia by precisely blocking glutamatergic signaling in the cerebellum. Although both Pdx1 and *Ptf1a* are expressed in several brain regions, including in the hypothalamus, neither *Pdx1* nor *Ptf1a* 280 is expressed in the SCN, the circadian master clock of the brain^{33,51}. Furthermore, as discussed 281 before, over 95% of the cells in the suprachiasmatic nucleus are GABAergic³⁹, which further 282 283 suggests that our genetic manipulation does not extend to directly affect the master clock. Indeed, our analysis of *Vglut2* mRNA expression showed that *Vglut2* expression in the suprachiasmatic 284 285 nucleus is sparse (Figure 2 figure supplement 1). As suggested by existing work, these regions instead heavily express *Vgat*, indicative of using primarily GABAergic signaling. Therefore, it is 286 possible that human dystonia patients do experience malfunctioning circadian rhythmicity, but our 287 model is unable to capture this specific aspect of heterogeneity in dystonia network dysfunction. 288

289 While overall circadian rhythm patterns remained unchanged in our mutant mice, we did 290 observe a difference in siesta time for $Ptf1a^{Cre}$; $Vglut2^{fx/fx}$ mice. The siesta period is a brief bout of 291 sleep during the active period³⁸; it represents an important output of the circadian systems' sleep 292 regulation process^{38,52}. Thus, it serves as an additional marker of typical circadian rhythmicity. We 293 note that the siesta period in the $Ptf1a^{Cre}$; $Vglut2^{fx/fx}$ mice does appear more pronounced and is significantly longer by 7-9 minutes (Figure 2I). However, given the low overall activity profile of the $Ptf1a^{Cre}$; $Vglut2^{fx/fx}$ mice, it is difficult to determine whether this increase in siesta time is circadian in origin, or if it arises as a result of the decreased overall activity, making the accurate calculation of siesta onset/offset difficult. Recent work does suggest that daily timing of the siesta is under control of the SCN⁵³, which we have determined to be spared from our genetic manipulation. Together, these data further support the finding that circadian rhythmicity is largely unaffected in $Ptf1a^{Cre}$; $Vglut2^{fx/fx}$ mice and also in the $Pdx1^{Cre}$; $Vglut2^{fx/fx}$ model of dystonia.

Human studies suggest that alterations in sleep efficiency and sleep latency are prevalent 301 in dystonia patients^{19,23,24,26,48,49}. We found that changes in sleep/wake dynamics are of particular 302 interest, as they begin to explain the specific ways in which sleep deficits arise in the disease. Our 303 results further suggest that REM disruption may be one of the primary sleep deficits encountered 304 305 by our mouse models. We found not only that our mutants spend less time in REM, but that this impairment is complemented by an increase in both wake and NREM sleep (Figure 3G-I). Though 306 mice sleep in bouts of 120-180 seconds per full sleep cycle⁵⁴ and not in long consolidated bouts 307 like humans, they do follow similar sleep stage patterns (Figure 3E). Despite REM representing 308 the lightest sleep stage, it is typically preceded by NREM sleep⁵⁵. Therefore, the increase in NREM 309 sleep combined with decrease in REM sleep suggests that the sleep deficits in our mice specifically 310 311 result from involuntary waking during NREM sleep. This is further evidenced by our EMG results for the $Ptfla^{Cre}$: $Vglut2^{fx/fx}$ mice, which display elevated cervical EMG power in all sleep states 312 (Figure 1 figure supplement 1). As mice must pass through NREM again before entering REM as 313 they start a new sleep cycle, this prolongs the time spent in NREM while decreasing the time spent 314 315 in REM. Further work needs to be conducted in order to connect our findings in mice to human patients with dystonia, as an equivalent result in humans could potentially explain the reported 316 symptoms of daytime fatigue. Even if the total sleep time is similar between dystonic and non-317 dystonic patients, the quality of sleep is still being affected, as proportions of NREM versus REM 318 during the sleeping phase are equally as important as overall time spent asleep versus awake⁵⁵. 319

Given the cerebellums' known projections to/from a variety of cortical regions involved not only in sleep regulation, but also regulation of specific sleep stages (NREM and REM)^{49,50,56}, it was not unsurprising to find that sleep-stage specific deficits exist in both $Pdx1^{Cre}$; $Vglut2^{fx/fx}$ and $Ptf1a^{Cre}$; $Vglut2^{fx/fx}$ mice. Other groups have found that dystonia patients^{23,26} and mouse models of

motor dysfunction⁵⁷ present with sleep-stage specific deficits. Our findings of increased average 324 wake bout length (Figure 4D), increased number of NREM bouts (Figure 4G) and decreased 325 326 number of REM bouts (Figure 4E) specifically highlight and further reinforce our main findings of impairments in overall sleep stage timing. These results highlight the existence of significant 327 REM-related sleep deficits. This is further reflected in our results of increased latency to reach 328 REM for both $Pdx1^{Cre}$; $Vglut2^{fx/fx}$ and $Ptf1a^{Cre}$; $Vglut2^{fx/fx}$ mice (Figure 4I). For $Ptf1a^{Cre}$; $Vglut2^{fx/fx}$ 329 mice, the motor dysfunction may partially explain this result. REM-related sleep impairments are 330 typically accompanied by some form of motor dysfunction^{24,26,57}, as the canonical mechanisms of 331 muscle atonia during REM are disrupted⁵⁸. However, since our $Pdx1^{Cre}$; $Vglut2^{fx/fx}$ mouse model 332 does not display overt motor dysfunction, but still displays the same wake, NREM, and particularly 333 REM-related deficits, motor dysfunction may not be the sole culprit for impaired sleep. In this 334 case, cerebellar dysfunction may also be to blame. Indeed, the cerebellum itself and many regions 335 receiving direct cerebellar innervation are known to be involved in sleep regulation or control 336 sleep-dependent behaviors, particularly REM regulation⁴⁹. The locus coeruleus regulates 337 NREM/REM intensity⁵⁹ while sending and receiving dense projections to cerebellar Purkinje cells 338 and the cerebellar nuclei^{60–62}. The pedunculopontine nucleus is a known regulator of REM sleep⁶³ 339 and also sends/receives inputs between cerebellum and the basal ganglia⁶⁴. Therefore, it is possible 340 that the cerebellar malfunctions in the $Pdx1^{Cre}$; $Vglut2^{fx/fx}$ and $Ptf1a^{Cre}$; $Vglut2^{fx/fx}$ mice directly and 341 indirectly influence REM latency through intermediary regions such as the pedunculopontine 342 343 nucleus or the locus coeruleus, or even other regions, all of which play a role in the regulation of REM sleep and receive/send direct innervation from the cerebellum^{50,56,59}. The circuit pathways 344 345 mediating the direct versus indirect effects on sleep were not resolved in the current work. Ultimately, the impaired sleep dynamics further reinforces our results, which suggest that 346 $Pdx1^{Cre}$; $Vglut2^{fx/fx}$ and $Ptf1a^{Cre}$; $Vglut2^{fx/fx}$ mice experience interrupted sleep cycles, cutting REM 347 sleep short or missing it entirely and re-starting subsequent sleep cycles from NREM sleep. 348

Our ECoG spectral activity results are of particular interest from a mechanistic view, as they may not only explain the factors underlying sleep deficits in $Pdx1^{Cre}; Vglut2^{fx/fx}$ and $Ptf1a^{Cre}; Vglut2^{fx/fx}$ mice but may also serve as additional "biomarkers" that differentiate each group based on their degree of cerebellar dysfunction. For instance, we observed an increase in delta power for $Ptf1a^{Cre}; Vglut2^{fx/fx}$ but not $Pdx1^{Cre}; Vglut2^{fx/fx}$ mice, which occurs predominantly in the latter stages of recording (Figure 5C, 5D). The increase for $Ptf1a^{Cre}; Vglut2^{fx/fx}$ is in agreement with 355 recent work suggesting that higher delta power is associated with arousal and sleep impairment, particularly in the context of obstructive sleep apnea which involves both motor dysfunction and 356 cerebellar dysfunction⁶⁵. However, as delta power in $Pdx1^{Cre}$; $Vglut2^{fx/fx}$ is also elevated relative to 357 control mice and is not significantly different from $Ptf1a^{Cre}$; $Vglut2^{fx/fx}$, there stands the possibility 358 that the lack of significance stems from the "intermediate" phenotype of $Pdx 1^{Cre}$; $Vglut 2^{fx/fx}$ mice. 359 We have shown that $Pdx I^{Cre}$; $Vglut 2^{fx/fx}$ mice lack overt motor dysfunction (Figure 1H, 1I, Figure 360 1-figure supplement 2, Supplementary video 1), and that the extent of Vglut2 deletion in the 361 cerebellar cortex, at least with respect to the climbing fibers, is less extensive relative to the 362 $Ptfla^{Cre}$; $Vglut2^{fx/fx}$ mice (Figure 1-figure supplement 1). Therefore, if we consider each mutant 363 group as a model for different cerebellar/motor disorders of varying intensity, we expect to see 364 such differences in spectral power despite similar sleep deficits. In this case, spectral differences 365 may represent "biomarkers" of disease severity. It is known that changes in delta power can differ 366 across individuals with different diseases even if all individuals display poor sleep⁴³; it is possible 367 then that $Pdx 1^{Cre}$; $Vglut 2^{fx/fx}$ and $Ptf 1a^{Cre}$; $Vglut 2^{fx/fx}$ mice, even with an overlap in the genetic 368 manipulations, indeed represent different manifestations of dystonic motor disease. Ultimately, 369 370 this is evident with the difference in observed dystonic motor phenotypes between the two groups. It should be noted though, the measurement of sleep-related spectral difference between the 371 $Pdx1^{Cre}$; $Vglut2^{fx/fx}$ and $Ptf1a^{Cre}$; $Vglut2^{fx/fx}$ mice could still intersect with the induced alterations in 372 the motor program, as movement patterns are known to impact ECoG spectral activity⁶⁶. 373

Additional patterns of significantly different spectral power for $Ptf1a^{Cre}$; $Vglut2^{fx/fx}$ but not 374 $Pdx1^{Cre}$; $Vglut2^{fx/fx}$ mice are seen for beta power, indicative of alert wakefulness (Figure 5I, 5J). 375 376 While beta power is typically increased in patients with primary insomnia, previous work has shown that decreased beta activity is also associated with poor sleep quality, particularly in patients 377 with obstructive sleep apneas⁶⁵. The relationship between the cerebellum and breathing is well-378 established and may provide a fruitful avenue for further research in the context of dystonia. The 379 cerebellum is known to be involved in both the rhythmicity of breathing and in regulating air 380 hunger⁶⁷; both mechanisms are known to play a role in obstructive sleep apneas^{19,68}. It is possible 381 that $Ptfla^{Cre}$; $Vglut2^{fx/fx}$ mice, with overt motor dysfunction, have some degree of sleep apnea 382 behavior, which could contribute to their observed sleep impairment. Interestingly, cortical gamma 383 power was only significantly changed during the middle of the recording period, for both the 384 $Pdx1^{Cre}$; $Vglut2^{fx/fx}$ and the $Ptf1a^{Cre}$; $Vglut2^{fx/fx}$ mutant mice (Figure 5L). While gamma oscillations 385

386 are typically associated with working memory and attention, human and mouse EEG/ECoG studies have found that gamma oscillations occur spontaneously during REM and NREM sleep^{69,70}. This 387 388 may explain why the overall gamma power is not significantly lower for either the $Pdx 1^{Cre}; Vglut 2^{fx/fx}$ or $Ptf 1a^{Cre}; Vglut 2^{fx/fx}$ mice, yet it does reach the threshold for significance 389 during "mid-recording". $Ptf1a^{Cre}$: $Vglut2^{fx/fx}$ and $Pdx1^{Cre}$: $Vglut2^{fx/fx}$ mice exhibit an increase in 390 NREM and a decrease in REM sleep, and gamma oscillations spontaneously occur during both 391 stages; changes in gamma activity may be effectively "canceled out" due to the opposing NREM 392 and REM dynamics. While these observed changes in spectral frequency oscillations uncover 393 some of the potential mechanisms driving the observed changes in sleep in our $Ptf1a^{Cre}$; $Vglut2^{fx/fx}$ 394 and $Pdx 1^{Cre}$; $Vglut 2^{fx/fx}$ mice, we note that attributing sleep disruption to specific directional 395 changes in any one frequency band is difficult. Both positive and negative changes in average 396 power, in any frequency band, can be associated with various disease states, and notably with 397 disordered sleep^{42,43}. Therefore, here, we highlight the presence of a change in spectral frequency 398 power as an indicator of fractured sleep homeostasis in our mouse circuit models of dystonia, 399 without differentiating the specific directionality of the change in spectral frequency power. 400

Our findings build upon existing evidence from both human patients and mouse models of motor disease demonstrating that sleep impairments are a common nonmotor symptom in dystonia. Previous work has been unable to distinguish between dystonia-dependent versus independent sleep dysfunction, particularly in the context of dystonic motor dysfunction. Importantly, our results suggest a model in which cerebellar dysfunction alone (Figure 6B), without overt dystonic motor phenotypes, can drive sleep deficits. This may be an indication of a broader set of network dysfunctions in dystonia, with the cerebellum located at the center of multiple disease symptoms.

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410 Ethics

Animal experimentation: All animals were housed in an AALAS-certified facility that operates on a 14 hour light cycle. Husbandry, housing, euthanasia, and experimental guidelines were reviewed and approved by the Institutional Animal Care and Use Committee (IACUC) of Baylor College of Medicine (protocol number: AN-5996).

415

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435 Author contributions

Technical and conceptual ideas in this work were conceived by LESL and RVS. LESL
performed the experiments and LESL and RVS performed data analysis and data interpretation.
LESL and RVS wrote and edited the manuscript.

439

440 **Conflicts of interest**

441 We have no conflicts of interest to disclose.

442 Figure Legends

Figure 1: *Ptf1a^{Cre};Vglut2^{fx/fx}* and *Pdx1^{Cre};Vglut2^{fx/fx}* mice display differential dystonic motor phenotypes.

(a) Using either the $Ptf1a^{Cre}$ or $Pdx1^{Cre}$ genetic driver lines, exon 2 of Vglut2 was selectively 445 removed and as a result VGLUT2 expression was deleted with spatial specificity. (b) Schematic 446 illustration demonstrating the result of the Vglut2 deletion and the subsequent synaptic silencing 447 in the affected cells. (c) Immunohistochemical staining of the cerebellar cortex, showing Purkinje 448 cells (blue) and VGLUT2-positive climbing fibers from the inferior olive (gold). Abbreviations: 449 ML, molecular layer; PCL, Purkinje cell layer. (d) Schematic demonstrating the end-result of the 450 *Vglut2* deletion in the *Ptf1a^{Cre}*; *Vglut2^{fx/fx}* and *Pdx1^{Cre}*; *Vglut2^{fx/fx}* mice. *Ptf1a^{Cre}*; *Vglut2^{fx/fx}* mice have 451 widespread silencing of olivocerebellar glutamatergic synapses, while $Pdx I^{Cre}$; $Vglut 2^{fx/fx}$ mice 452 have comparatively more restricted silencing of a subset of olivocerebellar synapses. 453 Abbreviations: GC, granule cell; MF, mossy fiber; PC, Purkinje cell, CF, climbing fiber; CN, 454 cerebellar nuclei; IO, inferior olive. (e) Video still from a control mouse with no atypical function. 455 (f) Video still demonstrating dystonic postures in a $Ptf1a^{Cre}$; $Vglut2^{fx/fx}$ mouse, specifically showing 456 the hindlimb hyperextension and Straub tail (noted by red and blue arrows). (g) Video still from a 457 $Pdx1^{Cre}$; $Vglut2^{fx/fx}$ mouse demonstrating the absence of overt dystonic motor dysfunction. (h) Raw 458 EMG waveforms of trapezius muscle activity for a 3-second period. Control (grey), 459 $Ptf1a^{Cre}$; $Vglut2^{fx/fx}$ (gold), and $Pdx1^{Cre}$; $Vglut2^{fx/fx}$ (purple) mice. (i) Quantification of the overall 460 461 EMG activity (0-30Hz) for all mice used in the study. Points on i represent individual mice, n=10 per group. Source data and specific p-values for i are available in Figure 1-source data 1. 462 463

Figure 1-figure supplement 1: Deletion of VGLUT2 in the cerebellum is more widespread in the climbing fibers of the $Ptf1a^{Cre}$; $Vglut2^{fx/fx}$ mice than in the $Pdx1^{Cre}$; $Vglut2^{fx/fx}$ mice.

(a) Quantification of relative fluorescence units (normalized per area) for each group. (b) Fluorescence immunohistochemical stain of the cerebellar cortex for a control mouse. Purkinje cell bodies and axons are shown in blue (labeled with CAR8/IP3R1). Climbing fibers express VGLUT2 and are labeled in gold. Scale bars are 20um and indicated with white bars. The molecular layer (ML) and Purkinje cell layer (PCL) are labeled for orientation. (c) Same as (b) but for a *Ptf1a^{Cre};Vglut2^{fx/fx}* mouse. (d) Same as (b) but for a *Pdx1^{Cre};Vglut2^{fx/fx}* mouse. Points on **a** 472 represent individual sections (n=4) from 3 mice per group. The source data and specific p-values
473 for a are available in Figure 1-source data 1.

474

Figure 1-figure supplement 2: Cervical EMG activity in $Ptf1a^{Cre}$; $Vglut2^{fx/fx}$ mice remains elevated in all states.

(a) Schematic illustration of a mouse showing the musculature and relative placement of the EMG electrodes. (b) Raw EMG waveforms of trapezius activity (3-seconds) for REM and NREM sleep for mice of each group. (c) Quantification of changing EMG power for control mice. ZT0 = lights ON, ZT4 = 4-hr after lights on... etc. (d) Same as (c) but for $Ptf1a^{Cre}$; $Vglut2^{fx/fx}$ mice. (e) Same as (c) but for $Pdx1^{Cre}$; $Vglut2^{fx/fx}$ mice. Points on c-e represent individual mice, n=10 mice per group. The source data and specific p-values for c-e are available in Figure 1-source data 1.

483

484 Figure 2: *Ptf1a^{Cre};Vglut2^{fx/fx}* and *Pdx1^{Cre};Vglut2^{fx/fx}* mice display normal circadian 485 rhythmicity.

- (a) Schematic illustration of the wheel-running setup. (b) Timeline of wheel-running experiment. 486 487 (c) Representative double-plotted actogram for a control mouse. Each row represents a day, black tick marks represent wheel-running activity (measured as revolutions of the running wheel). Black 488 shaded regions represent "lights OFF", unshaded regions represent "lights ON". (d) Same as (c) 489 but for a $Pdx1^{Cre}$: $Vglut2^{fx/fx}$ mouse. (e) Same as (c) but for a $Ptf1a^{Cre}$: $Vglut2^{fx/fx}$ mouse. (f) 490 491 Quantification of average activity counts per 5min for all mice, only during the LD paradigm. (g) Same as (f) but only quantifying activity during the DD paradigm. (h) Quantification of circadian 492 period (tau) for all mice, during the DD paradigm. (i) Quantification of "siesta" bout length for all 493 mice throughout the 35-day recording period. Points on f-i represent individual mice, n=9 mice 494 495 per group. The source data and specific p-values for **f-i** are available in Figure 2-source data 1.
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Figure 2-figure supplement 1: The major circadian centers of the hypothalamus are comprised primarily of GABAergic inhibitory neurons.

(a) Schematic illustration of a sagittal mouse brain highlighting several brain regions of interest
that are related to circadian behavior. The hypothalamus is shown in blue, the suprachiasmatic
nucleus (SCN) is in green, and the intermediate regions located between the SCN-cerebellum are
shown in orange. (b) Images processed using *in situ* hybridization revealing *Vglut2* mRNA

expression on sagittal sections cut through the adult mouse brain. The regions of interest are
outlined in white. (c) Same as (b) but for *Vgat* mRNA expression.

505

506 Figure 3: $Ptf1a^{Cre}$; $Vglut2^{fx/fx}$ and $Pdx1^{Cre}$; $Vglut2^{fx/fx}$ mice display disrupted sleep patterns.

(a) Schematic illustration of the experimental timeline. (b) Schematic illustration of a mouse brain 507 showing the placement of the ECoG/EMG headmount. An image of the headmount and mounting 508 screws is also shown in the bottom left. (c) Video still from a sample sleep recording showing the 509 experimental setup while a mouse is being recorded. (d) Raw waveforms of EMG activity (top 510 trace for each sample) and ECoG activity (bottom two traces for each sample) for representative 511 mice from each group. Each example is 60-seconds in length. Sleep stage, as determined by 512 SPINDLE (see Methods), is noted under each example. Dotted red lines are added to help 513 distinguish each sleep/wake state. (e) Schematic showing sleep stages and their organization for a 514 mouse. (f) Hypnograms for a single representative mouse from each group, for the same 1-hr 515 period, ZT7-ZT8, where ZT0 = lights ON, ZT1 = 1-hr after lights ON, etc. Periods of wake are 516 highlighted in red. (g) Quantification of total time spent awake for all mice in each group. (h) 517 518 Quantification of the total time spent in REM for all mice in each group. (i) Quantification of the total time spent in NREM for all mice in each group. Points on g-i represent individual mice, n=10 519 mice per group. The source data and specific p-values for g-i are shown in Figure 3-source data 1. 520 521

522 Figure 4: $Pdx1^{Cre}$; $Vglut2^{fx/fx}$ and $Ptf1a^{Cre}$; $Vglut2^{fx/fx}$ mutant mice display equivalent 523 impairments in sleep.

524 (a) Schematic with hypnogram examples of how possible forms of sleep disruption may appear. (b) Schematic showing sleep recording timeline and how sleep onset, NREM latency, and REM 525 526 latency were defined and calculated, similar to Hunsley & Palmiter, 2004⁴¹. (c) Quantification of the total number of wake bouts. (d) Quantification of the average length of wake bouts. (e) 527 Quantification for the number of REM bouts after sleep onset. (f) Quantification for the average 528 length of REM bouts. (g) Quantification for the number of NREM bouts after sleep onset. (h) 529 Quantification of the average length of NREM bouts. (i) Quantification of the latency to REM 530 531 sleep. (j) Quantification of the latency to NREM sleep. Points on c-j represent individual mice, n=10 mice per group. The source data and specific p-values for **c-j** are available in Figure 4-source 532 533 data 1.

534

535 Figure 5: *Ptf1a^{Cre};Vglut2^{fx/fx}* mice show differences in spectral frequency oscillations that 536 define arousal states.

(a) 2.5-second samples of raw ECoG waveforms for awake, REM, and NREM from a control 537 mouse. (b) 1-second samples of raw ECoG waveforms for frequency bands of interest, from a 538 control mouse. (c) Quantification of delta power (0-4Hz). Average power across the entire 539 recording period. (d) Quantification of the changing delta power across the recording period. (e) 540 Ouantification of theta power (5-8Hz). Average power across the entire recording period. (f) 541 Quantification of the changing theta power across the recording period. (g) Quantification of alpha 542 power (8-13Hz). Average power across the entire recording period.. (h) Quantification of the 543 changing alpha power across the recording period. (i) Quantification of beta power (13-30Hz). 544 Average power across the entire recording period. (j) Quantification of the changing beta power 545 across the recording period. (k) Quantification of gamma power (35-44Hz). Average power across 546 the entire recording period. (1) Quantification of the changing gamma power across the recording 547 period. Points on **c-l** represent individual mice, n=10 mice per group. The source data and specific 548 549 p-values for **c-l** are available in Figure 5-source data 1.

550

551 Figure 6: A model in which cerebellar dysfunction independently drives dystonic motor 552 behavior and sleep impairments in $Ptf1a^{Cre}; Vglut2^{fx/fx}$ and $Pdx1^{Cre}; Vglut2^{fx/fx}$ mice.

(a) A summary of the main findings of this study, stratified for each mouse model. (b) A proposed
model of the main finding of this study.

555

556 Supplementary Video 1: Differential motor phenotypes in $Pdx1^{Cre}$; $Vglut2^{fx/fx}$ and 557 $Ptf1a^{Cre}$; $Vglut2^{fx/fx}$ mice.

- 558 A single video of the mutant mice showing the absence $(Pdx1^{Cre}; Vglut2^{fx/fx})$ and presence 559 $(Ptf1a^{Cre}; Vglut2^{fx/fx})$ of dystonic motor behaviors.
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- 561

562 Methods

563 Animals

564 All mice used in this study were housed in a Level 3, AALAS-certified facility. All experiments and studies that involved mice were reviewed and approved by the Institutional 565 Animal Care and Use Committee of Baylor College of Medicine (BCM AN-5996). Dr Chris 566 Wright (Vanderbilt University School of Medicine) kindly provided the Ptfla^{Cre} mice. We 567 purchased the Pdx1^{Cre} (Pdx-Cre, #014647) and Vglut2^{floxed} (Vglut2^{fx}, #012898) mice from The 568 Jackson Laboratory (Bar Harbor, ME, USA) and then maintained them in our colony using a 569 standard breeding scheme. The conditional knock-out mice that resulted in dystonia were 570 generated by crossing $Ptf1a^{Cre}$; $Vglut2^{fx/fx}$ heterozygote mice or $Pdx1^{Cre}$; $Vglut2^{fx/fx}$ heterozygote 571 mice with homozygote $Vglut2^{fx/fx}$ mice. $Pdx1^{Cre}$; $Vglut2^{fx/fx}$ and $Ptf1a^{Cre}$; $Vglut2^{fx/fx}$ mice were 572 considered experimental animals. A full description of the genotyping details (e.g., primer 573 574 sequences and the use of a standard polymerase chain reaction) and phenotype for the $Ptfla^{Cre}$; $Vglut2^{fx/fx}$ mouse was provided in White and Sillitoe, 2017⁴. A full description of the 575 genotype and the initial observations of the phenotype of the $Pdx1^{Cre}$; $Vglut2^{fx/fx}$ mouse was 576 provided in Lackey, 2022³⁴. All littermates lacking Cre upon genotyping were considered control 577 mice. Ear punches were collected before weaning and used for genotyping and identification of 578 579 the different alleles. For all experiments, we bred mice using standard timed pregnancies, noon on the day a vaginal plug was detected was considered embryonic day (E)0.5 and postnatal day (P)0 580 581 was defined as the day of birth. Mice of both sexes were used in all experiments.

582 Immunohistochemistry

583 Perfusion and tissue fixation were performed as previously described⁷¹. Briefly, mice were anesthetized by intraperitoneal injection with Avertin (2, 2, 2-Tribromoethanol, Sigma-Aldrich, 584 St. Louis, MO, USA; catalog #T4). Cardiac perfusion was performed with 0.1 M phosphate-585 buffered saline (PBS; pH 7.4), then by 4% paraformaldehyde (4% PFA) diluted in PBS. For 586 cryoembedding, brains were post-fixed at 4 °C for 24 to 48 h in 4% PFA and then cryoprotected 587 stepwise in sucrose solutions (15% and 30% diluted in PBS) and embedded in Tissue-Tek O.C.T. 588 compound (Sakura Finetek, Torrance, CA, USA; catalog #4583). Tissue sections were cut on a 589 cryostat at a thickness of 40 µm and individual free-floating sections were collected sequentially 590 and immediately placed into PBS. Our procedures for immunohistochemistry on free-floating 591 frozen cut tissue sections have been described extensively in previous work^{72,73}. After completing 592

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593 the staining steps, the tissue sections were placed on electrostatically coated glass slides and 594 allowed to dry.

Rabbit polyclonal anti-CA VIII (CAR8, 1:500, Proteintech # 12391-1-AP) and rabbit
polyclonal anti-IP3R1 (1:500, Invitrogen # PA1-901) were used to label Purkinje cells. Guinea pig
polyclonal anti-VGLUT2 (1:500, Synaptic systems # 135 404) was used to label olivocerebellar
climbing fibers and their terminals. We visualized immunoreactive complexes using anti-rabbit or
anti-guinea pig secondary antibodies conjugated to Alexa-488 and -647 fluorophores (1:1000 for
both, Invitrogen, Waltham, MA, USA).

601 Tissue preparation and processing for *in situ* hybridization

602 Mice were anaesthetized with isoflurane and brains were removed from the skull and immersed in OCT (optimal cutting temperature). Immersed brains were flash frozen by placing 603 tissue molds onto dry ice. Sagittal sections (25 µm) were cut through the cerebellum and the slices 604 605 placed onto electrostatically coated glass slides (Probe On Plus Fisher Brand; Fisher Scientific). 606 The tissue was probed with Vglut2 (SLC17A6) or Vgat (SLC32A1) digoxigenin-labelled mRNA probes using an automated in situ hybridization procedure (Genepaint). All reagent incubations, 607 washes and stains were automated and performed by the *in situ* hybridization robot. The signal 608 was detected by colorimetric detection using BCPI/NBT reagents. After processing was 609 completed, the slides were removed from the machine and then cover-slipped with permanent 610 611 mounting medium (Entellan mounting media, Electron Microscopy Sciences, Hatfield, PA, USA) 612 and left to dry before imaging.

613 Wheel-running behavior

Recordings were maintained in a ventilated, temperature-controlled, and light-tight room under either a 12:12 LD cycle or DD conditions. Mice were singly housed in wheel-running cages and allowed to entrain to the LD cycle for 2-weeks, before being released into DD conditions for 21-days, to assess endogenous circadian timekeeping ability. We assessed period length, activity onset, and average number of wheel revolutions per 5-minutes using ClockLab Analysis (Actimetrics).

620 ECoG/EMG sleep recordings

621 Mice were anesthetized with isoflurane and placed into a stereotaxic device, which 622 continued to deliver isoflurane throughout surgery. Each mouse with implanted with a

prefabricated ECoG/EMG headmount (Pinnacle Technology, Lawrence KS, #8201) with 0.10" 623 EEG screws to secure headmounts to the skull (Pinnacle Technology, Lawrence KS, #8209). A 624 625 midline incision was made, and the skull was exposed. The headmount was affixed to the skull using cyanoacrylate glue to hold in place while pilot holes for screws were made and screws were 626 inserted. Screws were placed bilaterally over parietal cortex and frontal cortex. A small amount of 627 628 silver epoxy (Pinnacle Technology, Lawrence KS, #8226) was applied to the screw-headmount connection. Platinum-iridium EMG wires on the prefabricated headmount were placed under the 629 skin of the neck, resting directly on the trapezius muscles. The headmount was permanently affixed 630 to the skull using 'Cold-Cure' dental cement (A-M systems, #525000 and #526000). Mice were 631 allowed to recover for 3-4 days before being fitted with a preamplifier (#8202) and tethered to the 632 recording device (#8204 and #8206-HR). ECoG and EMG signals were sampled at 400Hz with 633 634 0.5Hz and 10Hz high-pass filters respectively.

Mice were recorded in light and temperature-controlled rooms, for 8-hours, at the same time of day for every mouse. The first hour of recording was considered the acclimation period and was therefore excluded from final analysis. Food and water were available *ad libitum* throughout the recording day.

639

9 Sleep scoring and analysis of sleep data

Sleep was automatically scored offline via SPINDLE⁷⁴. For spectral frequency analysis of 640 ECoG and EMG activity, raw files were also pre-processed in MATLAB (MathWorks) using the 641 642 free toolkit EEGLAB (UC San Diego). Scored files were downloaded from SPINDLE as a .csv and statistical analysis was performed in R v4.1.2. Only ECoG spectral power from frontal cortex 643 is discussed in depth, as the spectral power from parietal cortex was the same between all groups 644 for all frequency bands: Delta: one-way ANOVA, F = 0.031, p = 0.97, Theta: one-way ANOVA, 645 646 F = 0.663, p = 0.524, Alpha: one-way ANOVA, F = 0.327, p = 0.724, Beta: one-way ANOVA, F = 0.079, p = 0.924, Gamma: one-way ANOVA, F = 0.483, p = 0.622. 647

648 Data analysis and statistics

Data are presented as mean \pm SEM and analyzed as a one-way ANOVA followed by Tukey's Honest Significant Difference test for post-hoc comparisons or a repeated measures twoway ANOVA with Bonferroni correction for multiple comparisons. p < 0.05 was considered as statistically significant. All statistical analyses were performed using R v4.1.2.

653 Data availability

All data generated or analyzed in this study are included in the manuscript and supporting files.

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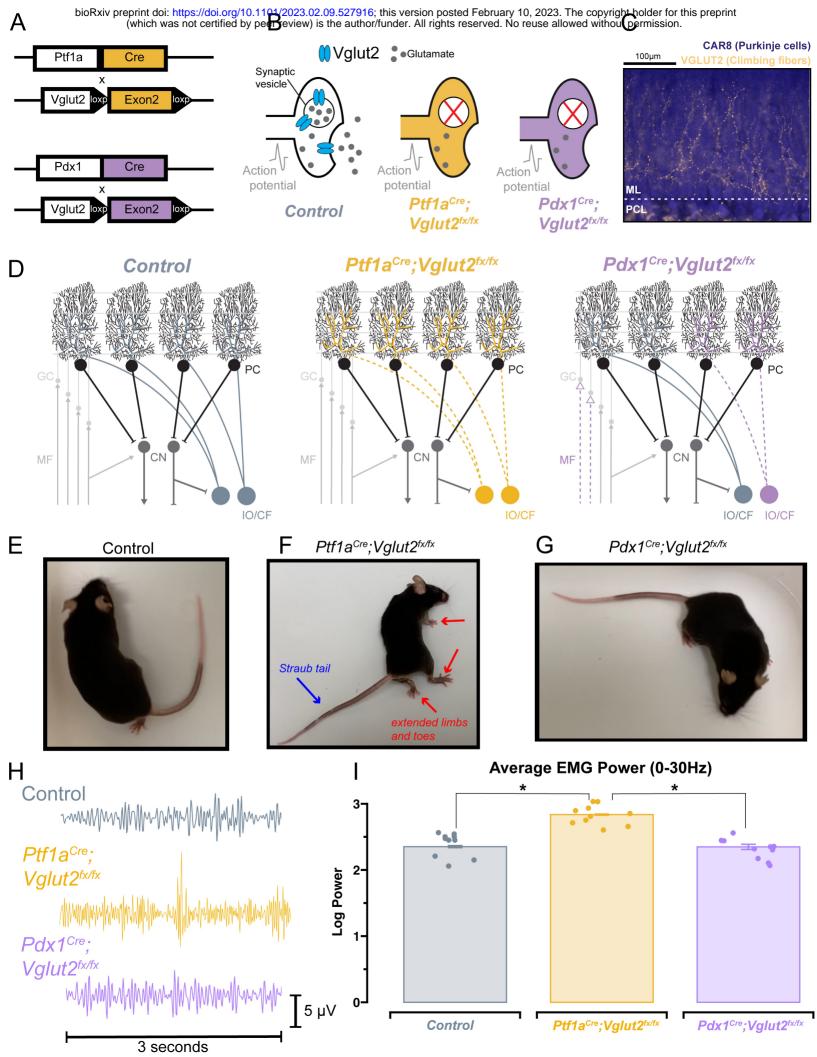
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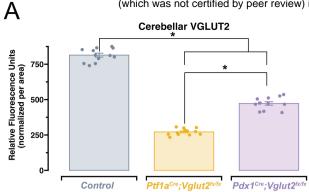
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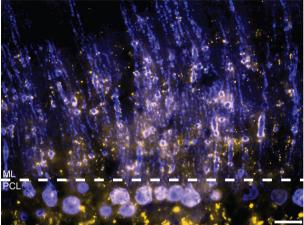




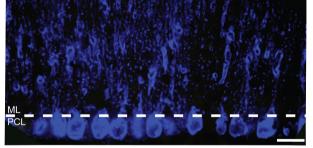


CAR8/IP3R1 (Purkinje cells) VGLUT2 (Climbing fibers)

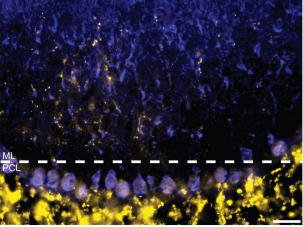


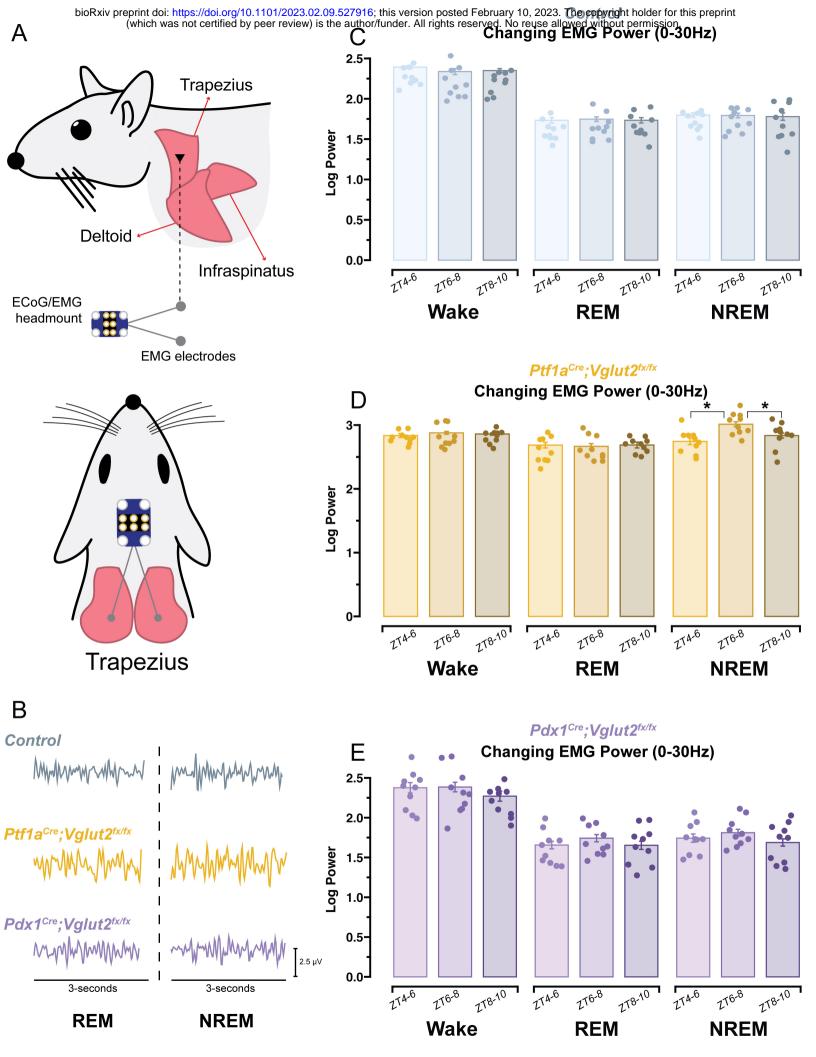


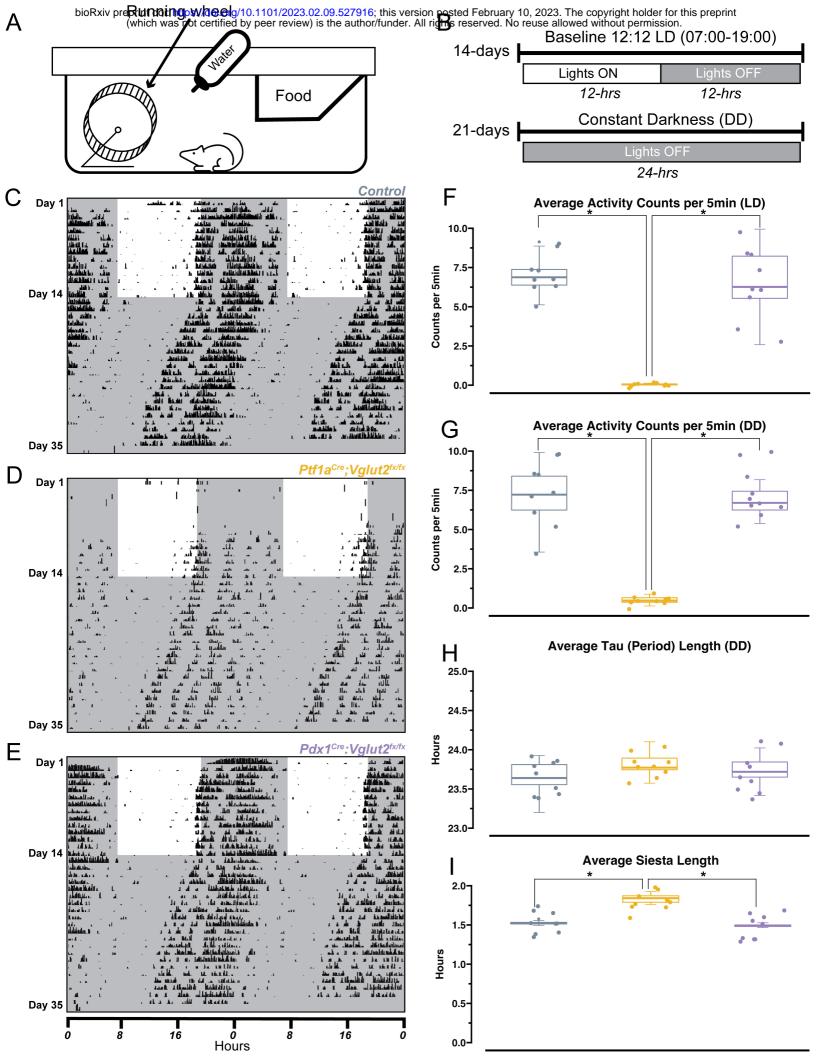
C Ptf1a^{Cre};Vglut2^{fx/fx} CAR8/IP3R1 (Purkinje cells) VGLUT2 (Climbing fibers)

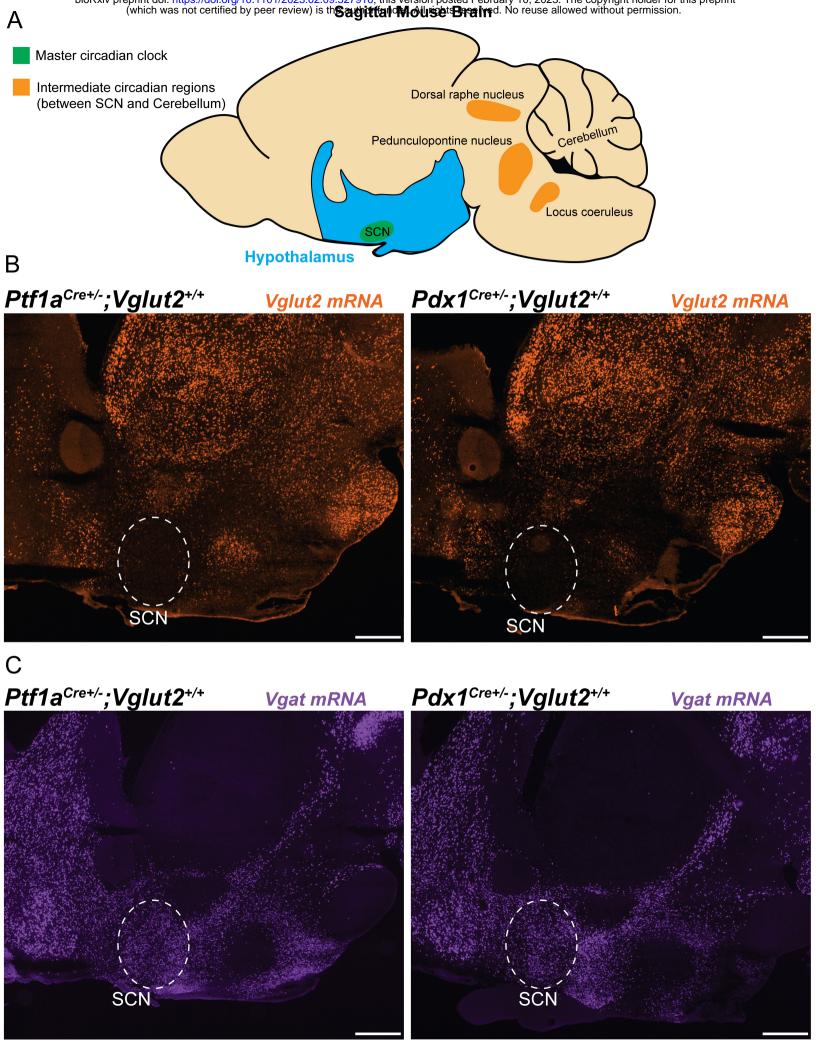


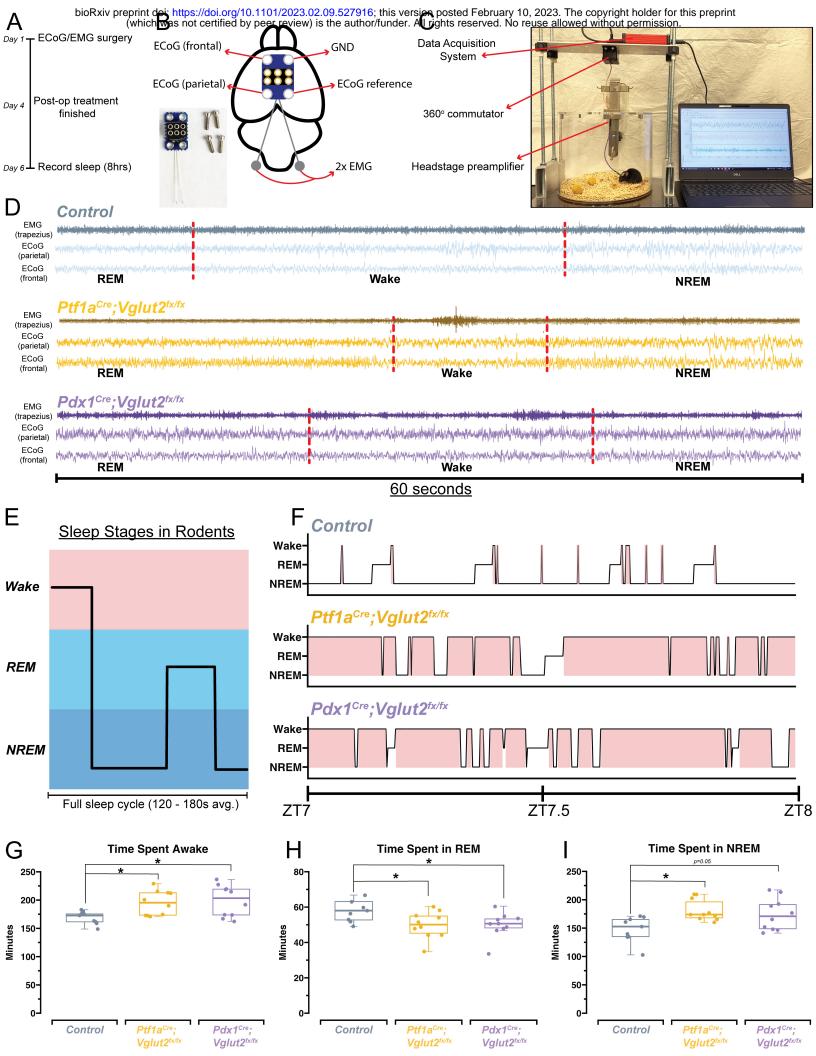
CAR8/IP3R1 (Purkinje cells) Pdx1^{Cre};Vglut2^{fx/fx} VGLUT2 (Climbing fibers)

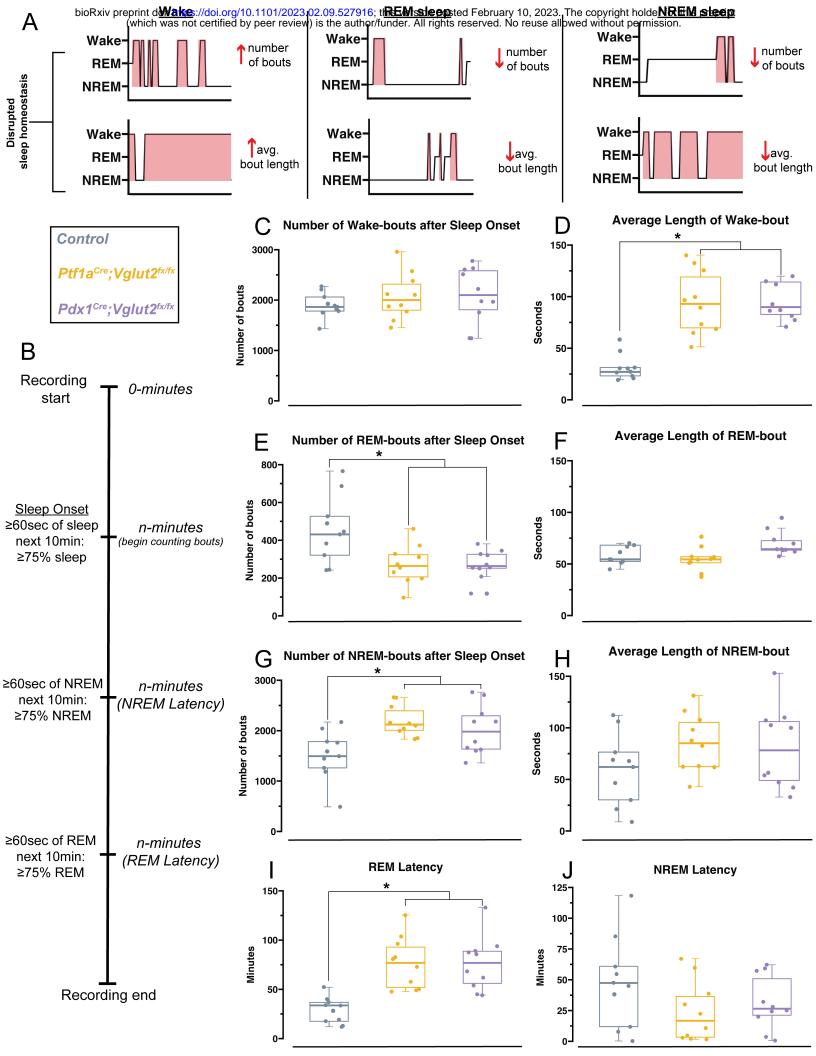


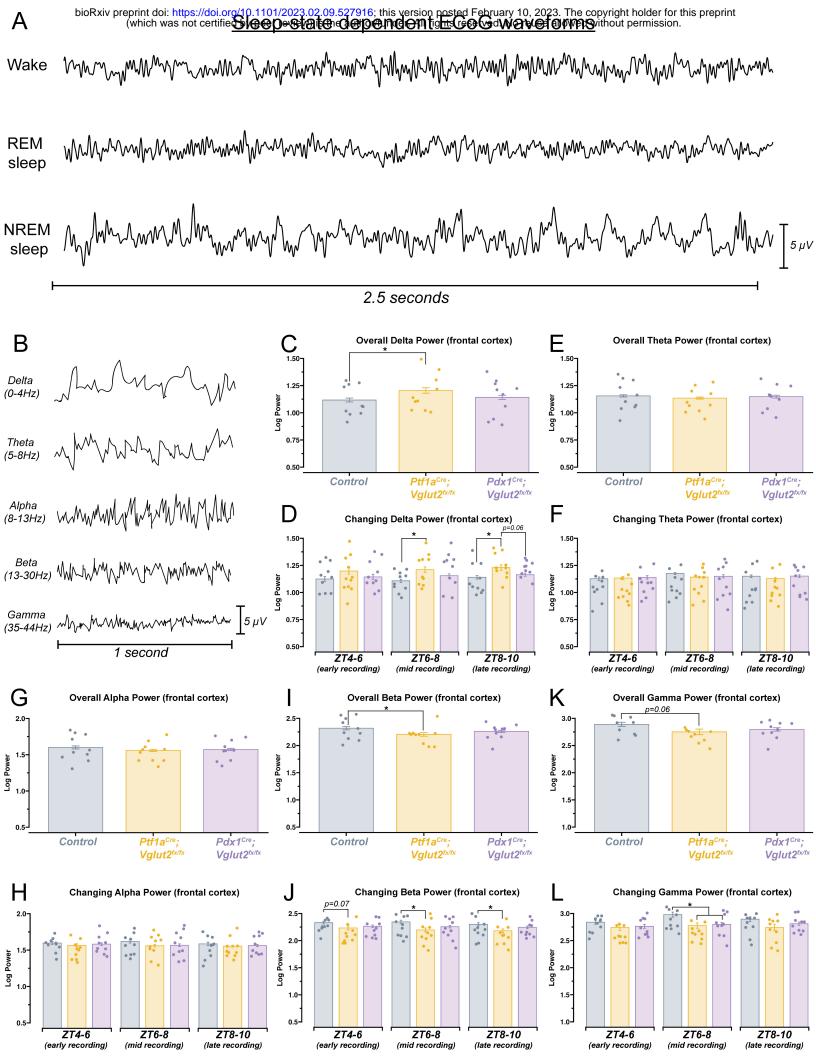












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A

Summary

Mouse model	Motor phenotype	Circadian activity rythmns	Sleep impairments	ECoG activity
Ptf1a ^{cre} ; Vglut2 ^{fx/fx}	Severe dystonic-like behaviors EMG power in all sleep stages	↓ activity count ↑ siesta length Normal endogenous period length	 time awake & NREM length of wake bouts number of NREM bouts REM latency time in REM number of REM bouts 	 ↑ frontal delta power ↓ frontal beta power ↓ frontal gamma power (<i>p</i>=0.06)
Pdx1 ^{Cre} ; Vglut2 ^{fx/fx}	No overt motor dysfunction Normal EMG power in all sleep stages	Normal activity count Normal siesta length Normal endogenous period length	 time awake (NREM <i>p</i>=.05) length of wake bouts number of NREM bouts REM latency time in REM number of REM bouts 	ECoG spectral power not significantly different from <i>Ptf1a^{Cre};Vglut2^{fx/fx}</i> or Control

