

1 **Functional connectivity in northern swamp deer (*Rucervus duvaucelii duvaucelii*)**
2 **population across a fragmented, human-dominated landscape along Gangetic Plains of**
3 **north India: Implications for conservation in non-protected areas**

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51 **Abstract**

52 The Indian subcontinent has witnessed disproportionate declines in large mammalian herbivore
53 communities. The northern swamp deer (*Rucervus duvaucelii duvaucelii*) exemplifies the
54 conservation challenges of typical non-protected area species, where apart from distribution
55 status other ecological information is limited for the upper Gangetic basin population. We
56 combined elements of radio-telemetry and conservation genetics to evaluate dispersal patterns,
57 population connectivity and assess genetic variation and inbreeding status of this population
58 living across a highly human-dominated area. We genetically identified 266 unique swamp
59 deer and further analyses revealed presence of two spatially-admixed genetic lineages with
60 moderate heterozygosity ($H_o=0.51$, $SD= 0.10$) and low inbreeding ($F_{IS}=0.133$) status. Multi-
61 disciplinary evidence suggests that the small, isolated grassland patches between Jhilmil Jheel
62 Conservation Reserve (JJCR) and Hastinapur Wildlife Sanctuary (HWLS) are highly preferred
63 by swamp deer during migrations and are genetically connected. The southern part of the area
64 in HWLS showed early signatures of genetic discontinuity that require immediate conservation
65 attention. We hypothesized that the human settlement history of this landscape, river dynamics
66 and species' ability to negotiate various pressures and disperse has helped to maintain such
67 connectivity. While these signatures are encouraging for this small, isolated cervid population,
68 careful management interventions are required to ensure the integrity and functionality of this
69 landscape. We recommend a scientifically robust population estimation approach across this
70 landscape and a multi-stakeholder-driven strategies to augment population and habitat
71 recovery, plantation and riverscape management to ensure long-term survival of this species.

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73 **Keywords:**

74 Grassland conservation, Herbivore population dynamics, Migratory movement patterns,
75 Genetic health, Cervid behaviour, Phylogeography and population estimation

76 **1. Introduction**

77 The wild large mammalian communities have experienced severe population declines during
78 last century (Ceballos et al. 2010; Ripple et al. 2015). Largely driven by various anthropogenic
79 factors including overexploitation of natural resources, habitat loss and hunting (Isaac et al.
80 2007; Morrison et al. 2007), large proportions (~50%) show reduced population size whereas
81 ~25% are threatened with extinction (Schipper et al. 2008; Karanth et al. 2010). The
82 conservation challenges are further exacerbated due to complex and inherent life-history
83 strategies, habitat-specificity, endemism and outside protected area distributions in many
84 species (Harihar 2011; Ripple et al. 2016; Punjabi and Rao 2017; Dorji et al. 2019). In
85 particular, survival of the species residing within human-dominated landscapes will depend on
86 critical assessment of important factors that govern their ecology, demography and other
87 biological parameters across their distributions. The Indian subcontinent, being considered as
88 mega-biodiversity hotspot retains a large number of such conservation-concerned species.
89 Particularly the large herbivore assemblages are facing strong impacts of anthropogenic
90 pressures where ~80% of the species are classified as ‘Threatened’ by IUCN (Ripple et al.
91 2015). The habitat-specialist herbivores (for example, rhinoceros, wild buffalo, swamp deer,
92 brow-antlered deer etc.) were designated as the most affected (Karanth et al. 2010) with
93 recommendations for generating detailed information for their long-term conservation.
94 The obligate, grassland-dwelling swamp deer (*Rucervus duvaucelii*) is currently considered
95 one of the most extinction-prone megaherbivore in the Indian subcontinent (Karanth et al.
96 2010). Once distributed across the riverine floodplains between Pakistan and Bangladesh
97 through India, it is now restricted to isolated pockets in some parts of north, north-east and
98 central India and south-west Nepal (Schaller 1967; Groves 1982; Sankaran 1989; Qureshi et
99 al. 2004). Out of the three known subspecies (Pocock 1943; Ellerman and Morridon-Scott
100 1951; Groves 1982; Qureshi et al. 2004), the northern counterpart (*Rucervus duvaucelii*

101 *duvaucelii*) makes up ~80% the global species population, distributed across two different areas
102 in India namely the Sharda and Ganges habitat blocks spread through the northern states of
103 Uttarakhand and Uttar Pradesh (Paul et al. 2020). The Sharda habitat block is part of the Terai
104 Arc landscape whereas the Ganges habitat block represents the western-most distribution of
105 the species (Qureshi et al. 2004; Paul et al. 2020). The swamp deer populations of the Sharda
106 habitat block are relatively well studied and received adequate conservation attention as
107 majority of them are found within protected areas (Qureshi et al. 2004; Ahmed and Khan 2008).
108 On the other hand, the information on swamp deer from the Ganges habitat block has been
109 insufficient till very recent time. Information on certain aspects of swamp deer ecology (group
110 composition, feeding habits, activity budget- Tewari and Rawat 2013a, b, c, d, e; habitat
111 assessment- Khan et al. 2003) was available from protected areas of the Gangetic habitat block.
112 Recently, Paul et al. (2018, 2020) mapped the grassland habitats, documented detailed
113 distribution and reported potentially small, inbred and scattered populations of the northern
114 swamp deer across multiple fragmented grasslands covering both protected and non-protected
115 areas in the upper part of the Gangetic habitat block. While this information has been critical
116 in designating 'Priority Conservation Areas' in this habitat block (Paul et al. 2020), appropriate
117 conservation planning would require further detailed assessments of migration routes,
118 inbreeding status and genetic variation of this population. This is important as the Gangetic
119 block swamp deer exhibit seasonal migratory behaviour (Paul et al. 2021) and no information
120 on the genetic status and connectivity is available for this landscape so far.

121 In this paper, we investigate movement patterns and genetic status of the upper Gangetic
122 population of the northern swamp deer. More specifically, our objectives were (1) to assess the
123 genetic variation and inbreeding status of Gangetic swamp deer population and (2) evaluate
124 their movement patterns and population connectivity using different approaches. We used a
125 multidisciplinary approach through ecological surveys, radio-telemetry and genetic tools to

126 address these questions. Our findings have critical conservation implications for this species
127 and the grassland habitats within this human-dominated landscape.

128 **2. Materials and Methods:**

129 **2.1 Research permissions**

130 All required permissions for fieldwork and sampling were accorded by the Forest Departments
131 of Uttarakhand (Permit Nos: 1575/C-32 and 978/6-32/56) and Uttar Pradesh (Permit Nos.:
132 2233/23-2-12 and 3438/23-2-12). The radio-collaring permission was approved by the
133 Ministry of Environment, Forest and Climate Change (MoEF&CC), Government of India and
134 Uttarakhand Forest Department (Permit No: 1-76/2017WL). Ethical approvals were provided
135 by the Uttarakhand Forest Department.

136 **2.2 Study Area**

137 This study was conducted in the upper Gangetic habitat block between Jhilmil Jheel
138 Conservation Reserve (JJCR), Haridwar (in Uttarakhand) and southern boundary of Hastinapur
139 Wildlife Sanctuary (HWLS), Uttar Pradesh (Fig. 1a). The area covers ~120 km stretch of the
140 Ganges river along with its tributaries Banganga and Solani. A maximum width of 8 km from
141 both banks of all these rivers was considered as the survey regions as all swamp deer habitats
142 were earlier reported within 5-6 km of the river banks (Paul et al. 2018, 2020). In total, the
143 study area comprised ~ 3173 km² of habitat covering both protected (HWLS and JJCR- 1677
144 km² area) as well as non-protected (1496 km² area) regions. This landscape is one of the most
145 densely populated areas of the entire country (population density of 1164 people/ km²
146 compared to national average of 382 people/ km² (Census of India 2011)) with a mosaic of land
147 use patterns including agricultural fields (76%), waterbodies (7%), forest (6%), grassland (6%),
148 settlement (4%) and scrubland (1%) (Paul et al. 2021). Despite such high human footprint,
149 these habitats retain rich faunal biodiversity including swamp deer (*Rucervus duvaucelii*
150 *duvaucelii*), hog deer (*Axis porcinus*), nilgai (*Boselaphus tragocamelus*), fishing cat

151 (*Prionailurus viverrinus*), Wild boar (*Sus scrofa*) and birds such as sarus crane (*Grus antigone*),
152 black-necked stork (*Ephippiorhynchus asiaticus*), lesser adjutant (*Leptoptilos javanicus*),
153 Pallas's fish eagle (*Haliaeetus leucoryphus*) and bar-headed goose (*Anser indicus*) (Bashir et
154 al. 2012; Grimmett et al. 2013).

155 **2.3 Biological sampling, DNA extraction and species identification**

156 We used earlier field-collected ungulate samples that were obtained as part of swamp deer
157 surveys between JJCR and southern boundary of HWLS (Paul et al. 2018, 2020) in northern
158 India. A total of 258 antlers and 2499 pellet samples were available to estimate genetic diversity
159 of this population. We selected a total of 488 samples (258 antlers and 230 fresh pellets) for
160 genetic analyses as they provided homogenous spatial representation of the study area ((Fig.
161 1b; Supplementary Table S1). We decided to use all the antler samples as they are known to
162 provide good quality DNA for genetic analyses (Gupta et al. 2013; Venegas et al. 2020).

163 In the laboratory, we performed DNA extraction from the antler and pellet samples using
164 already-established protocols (Paul et al. 2019). In brief, we cut each antler using individual
165 sterile saw blades from the base and collected the powders in separate tubes. About 20 mg of
166 the powder was weighed, decalcified (in 0.5M EDTA (pH 8) for 48 hours) followed by lysis
167 (with 40µl Proteinase K and 400µl of ATL solution at 56°C for seven days). For pellets, we
168 swabbed the top layer of each sample using sterile swabs (Biswas et al. 2019). In both cases,
169 DNA extraction was done using spin-column protocol of the QIAamp DNA Tissue Kit (Qiagen
170 Inc, Hilden, Germany) and DNA was eluted twice in 100µl preheated 1X TE buffer. Negative
171 controls were kept to monitor any possible contamination. To reduce the contamination
172 chances from poor-quality samples, all pellet extractions were performed in a physically
173 separate laboratory space dedicated for non-invasive samples ensuring geographic separation
174 between pre and post-PCR workspace. Antler DNA was extracted in a separate DNA extraction
175 facility at the institute. In addition, standard procedures including regular sterilization (through

176 UV and bleach) of the laboratory between processing of different batches of samples, inclusion
177 of extraction and PCR negatives etc. were maintained.

178 The antler samples did not require any molecular species identification due to their distinctive
179 morphological features (six-tined patterns) (Qureshi et al. 2004). However, we used swamp
180 deer-specific molecular assays for pellet DNA (Paul et al. 2019) to remove other co-existing
181 ungulates from further genetic analyses. The PCR reactions (10 μ l volume) contained 4 μ l
182 multiplex buffer (QIAGEN Inc.), 4 μ g of BSA (4mg/ml), 0.25 μ M of primer mix and 2 μ l each
183 of 1:10 diluted DNA extracts and DNase-RNase free water with following conditions: initial
184 denaturation (95°C for 15 min); 45 cycles of denaturation (95°C for 30 sec), annealing (50°C
185 for 40 sec) and extension (72°C for 40 sec), followed by a final extension (72°C for 10 min).
186 Negative controls were included to monitor contamination. The amplified products were
187 checked in 2% agarose gel for species-specific band patterns (Paul et al. 2019). Samples not
188 confirmed with swamp deer-specific markers were subjected to an ungulate-specific molecular
189 assay (Gupta et al. 2014). Amplified products were cleaned with Exonuclease (Thermo
190 Scientific, Waltham, USA) and Shrimp Alkaline Phosphatase (Amresco, Solon, USA) mixture
191 and sequenced bidirectionally in an ABI 3500XL bioanalyzer (Applied Biosystems). The
192 sequences were aligned, manually screened for any ambiguities and matched against the
193 Genbank database.

194 **2.4 Individual identification and molecular sexing**

195 The swamp deer population along the upper Gangetic plains is known to be small, fragmented
196 and potentially inbred (Paul et al. 2020), and thus it was important to develop a marker panel
197 for individual identification with high statistical support. We selected a set of 48 microsatellite
198 markers (developed for red deer, Coulson et al. 1998) based on available information on
199 polymorphism, amplicon size and success in cross-species amplification. We adopted a cost-
200 effective universal M13 primer-based approach (Schuelke 2000; Csencsics et al. 2010)) to

201 screen these markers with a set of reference swamp deer samples (24 antlers and 18 genetically
202 identified pellets). The shortlisting criteria included (i) amplification success, (ii) amplicon
203 size, (iii) polymorphism, (iv) ease in allele calling and (v) stable allele characteristics (Hoffman
204 and Amos 2005; Pompanon et al. 2005; Linacre et al. 2011; Johnson et al. 2014; Ghosh et al.
205 2021). PCR reactions were performed in 10 μ l volumes containing 4 μ l Qiagen multiplex PCR
206 master mix (QIAGEN Inc.), 0.2 μ M forward primer, 0.1 μ M reverse primer, 0.2 μ M labelled
207 M13 primer, BSA (4mg/ml) and 2 μ l of the DNA extract (1:10 diluted) with negative controls.
208 PCR reactions included an initial denaturation at 95°C for 15 min; 45 cycles of denaturation at
209 95°C for 30 sec, annealing at 57°C for 40 sec, extension at 72°C for 40 sec; final extension at
210 72°C for 20 min. PCR products were genotyped (with HIDi formamide and Liz 500 size
211 standard) in an automated sequencer ABI 3500XL (Applied Biosystems). To ensure good data
212 quality each marker was amplified three independent times. Alleles were scored using program
213 GENEMARKER (Soft genetics Inc., Pennsylvania, United States) and data quality was
214 maintained by using ‘quality index’ approach (Miquel et al. 2006; Modi et al. 2018), where a
215 quality score of 0.66 or above was approved. The final set of markers was individually labeled,
216 standardised as multiplex reactions and compared (with the M13 data) for data consistency.
217 We used molecular sexing assay (Paul et al. 2019) to ascertain sex of the individually identified
218 pellet samples. The PCR reactions contained 4 μ l multiplex buffer (QIAGEN Inc.), 4 μ g of BSA
219 (4mg/ml), 0.25 μ M of primer mix, 2 μ l each of 1:10 diluted DNA extracts and DNase-RNase
220 free water. PCR conditions included an initial denaturation (95°C for 15 min); 45 cycles of
221 denaturation (95°C for 30 sec), annealing (57°C for 40 sec) and extension (72°C for 40 sec),
222 followed by a final extension (72°C for 10 min). Negative controls were included to monitor
223 contamination. The amplified products were checked in 3% agarose gel for sex-specific band
224 patterns (Paul et al. 2019).

225 **2.5 Data analysis**

226 2.5.1 Genetic variation

227 We ascertained genetic recaptures by comparing all the genotype data in program CERVUS
228 (Kalinowski et al. 2007). After removing the recaptures, we used program GIMLET (Valière
229 2002) to identify the low-frequency alleles (less than 10% in the entire data set) for
230 confirmation and calculated $P_{ID(sibs)}$ for the dataset. For the final microsatellite panel, we
231 calculated locus-wise and overall summary statistics (GIMLET (Valière 2002) and genotyping
232 error rates (MICROCHECKER v 2.2.369 (Van Oosterhout et al. 2004), FreeNA (Dempster et
233 al. 1977; Chapuis and Estoup 2007) and data-based calculations (Broquet and Petit 2004)).
234 Program ARLEQUIN (Excoffier et al. 2005) was used to determine Hardy-Weinberg
235 equilibrium and linkage disequilibrium.

236 2.5.2 Population structure detection

237 We used both Bayesian (STRUCTURE and GENELAND) as well as non-Bayesian (DAPC)
238 approaches to infer patterns of swamp deer genetic structure within the study area. In
239 STRUCTURE analyses, we performed 10 independent runs for each cluster value (K) between
240 1 and 10 with both non-spatial and locprior models, along with correlated allele frequency
241 model. A total of 450,000 iterations and 50,000 burn-in were performed. For the locprior
242 model-based analyses, we stratified the 120 km stretch of Ganges river in the study area into
243 five continuous blocks (each block length ~24 km) based on earlier recorded swamp deer
244 movement patterns in this landscape (Paul et al. 2021). The optimal value of K was assessed
245 using the “Evanno” method in STRUCTURE HARVESTER web version (Earl and vonHoldt
246 2012). The individuals were sorted as “pure” or “admixed” based on 70% ancestry coefficient
247 threshold values (Ashrafzadeh et al. 2021). We also used another Bayesian clustering approach
248 implemented in program GENELAND version 4.0.3 (Guillot et al. 2005) to assess spatial
249 patterns of genetic structure without assuming admixture (Guillot et al. 2005; De et al. 2021).
250 We used the spatial model assuming 10 clusters (with no uncertainty on coordinates),

251 correlated allele frequencies and ran the analyses with 100000 iterations of which every 100th
252 observation was retained. Additionally, to test the extent of genetic structuring in this
253 landscape, we used a non-Bayesian programme, Discriminant Analysis of Principal
254 Components (DAPC) using R-package ‘adegenet’ (Jombart et al. 2010) in R studio 1.1.463.
255 This approach transforms the genetic data into principal components, followed by clustering to
256 define group of individuals with a consideration of minimum within-group variation and
257 maximum between-group variations among the clusters. We used the apriori population cluster
258 assignment approach (five locations as used in Structure locprior model) where we selected the
259 number of Principal Components based on optimisation of ‘ α ’ score through spline
260 interpolation (De et al. 2021). We calculated genetic differentiation (pairwise F_{ST}) in
261 ARLEQUIN version 3.1 (Excoffier et al. 2005) between the genetic groups used in Structure
262 analysis (Estes-Zumpf et al. 2010; He et al. 2010; Zachos et al. 2016).

263 2.5.3 Inbreeding and relatedness analysis

264 We used individual-level genetic data to assess the degree of inbreeding in this population. We
265 calculated inbreeding coefficient (F_{IS}) using program FSTAT (version 2.9.3.2; (Goudet 2002),
266 where p value was computed through 13000 randomisations (Gibbs et al. 1997; Heuertz et al.
267 2004; Hernández et al. 2020). Further, we used program ML-RELATE (Kalinowski et al. 2006)
268 to calculate maximum likelihood estimates of pair-wise relatedness and relationship categories
269 between individuals. We selected highly related individuals (relatedness value of >0.6) with
270 100% amplification at all loci and plotted their geographical distribution in this landscape to
271 establish genetic signatures of movements (Rodzen et al. 2004; Puill-Stephan et al. 2009;
272 D’Aloia et al. 2018).

273 2.6 Capture, collaring and telemetry analysis

274 We undertook radio-collaring study to understand movement patterns of swamp deer in this
275 landscape. We collared two apparently healthy female swamp deer using drive net approach in

276 JJCR, Uttarakhand during May-June 2018 (Kock et al. 1987; López-Olvera et al. 2009). The
277 animals were acclimatised (for three months) to the conditions before collaring operations.
278 Once captured in the net, the animals were blindfolded and administered with a mild dose of
279 sedative Azaperone (40mg/ml dose) and fitted with GPS Vertex Plus satellite collars
280 (Vectronic Aerospace). The collars were set to provide information on latitude, longitude, time
281 and temperature at every 2-hour interval. The two collared animals were monitored for 14
282 months (Female 1) and 11 months (Female 2), respectively to understand their movement
283 routes and identify the critical stopover sites (based on 10% of total locations in any site,
284 (Sawyer and Kauffman 2011). We analysed various movement parameters (total distance
285 travelled, longest distance from starting point, mean step length, mean speed etc.) in ArcGIS
286 10.2.2. using the ArcMET (version 3) (Wall et al. 2013; Wall 2014). We performed Net squared
287 displacement (NSD) analysis to categorise the movement patterns into different classes
288 (migratory, mixed migratory, nomadic, dispersal and home range) for each individual
289 (Bunnefeld et al. 2011).

290 **3. Results:**

291 Out of 230 field-collected faecal pellets, 159 were confirmed as swamp deer, making the total
292 sample size as 417 (258 antlers and 159 pellet samples) for downstream analysis. The
293 remaining samples either belonged to other herbivores (n=44, nilgai-22; hog deer-16; barking
294 deer-3 and domestic goat-3) or did not produce any results (n=27, possibly due to poor DNA
295 quality).

296 **3.1 Microsatellite markers and swamp deer genetic diversity**

297 During initial standardization, 27 of the initially selected 48 markers were rejected due to
298 various reasons (no amplification- two loci, inconsistent amplification- five loci, multiple
299 bands- 16 loci, non-specific bands- four loci). Further scrutiny of the remaining markers (n=21)
300 revealed that two loci showed excessive stutter bands and low RFUs, three loci did not produce

301 stable allele characteristics and three markers showed inconsistent results with antler and pellet
302 DNA, resulting in a final microsatellite panel consisting 13 loci (Supplementary Table S2).
303 During individual identification, samples with at least 10 loci data were considered based on a
304 statistically strong $P_{ID(sibs)}$ value of 1×10^{-4} (given that the global population of swamp deer is
305 ~ 5000 individuals, (Duckworth et al. 2015).

306 We generated 10 or more loci data from 298 samples (190 antlers and 108 pellet samples),
307 which resulted in identifying 266 unique swamp deer individuals (from 168 antlers and 98
308 faecal pellets). Remaining 32 genotypes were identified as genetic recaptures (ranging from 1-
309 3 recaptures of already identified individuals). The standardized STR panel showed a mean
310 91.19% success rate (84.93-95.14% range) and low genotyping errors (mean frequency of null
311 alleles, false alleles and mean allelic dropout rate was 0.06 (range 0-0.17), 0.09 (range 0.05-
312 0.15) and 0.09 (range 0.04-0.18), respectively). Overall, the panel was found to be moderately
313 polymorphic with a mean of 6 alleles (SD 3.48, varying between 2-13 alleles) and expected
314 and observed heterozygosity of 0.60 (SD 0.15) and 0.51 (SD 0.10), respectively. None of the
315 loci deviated from Hardy-Weinberg equilibrium and we found no strong linkage
316 disequilibrium. Loci-wise summary statistics are shown in Table 1. Molecular sexing of the
317 faecal pellets (n=98) ascertained 47 female and 51 male samples (219 males and 47 females in
318 total).

319 **3.2 Population Structure**

320 The STRUCTURE results (with locprior model) show three genetic lineages (based on ancestry
321 admixture coefficient threshold of 70%) in the swamp deer population (K=3). These three
322 genetic lineages can be divided into the following groups: Group I- 44 individuals, Group II-
323 126 individuals and Group III- 16 individuals, respectively. In addition, remaining 80
324 individuals showed signatures of mixed genetic lineages (mostly between Groups I and II) (Fig.
325 2a). The non-spatial model indicated K=2, where 87, 81 and 98 individuals were assigned to

326 Group I, Group II and Group III (admixed), respectively. The DAPC analysis (with five apriori
327 groups) showed the first four groups were overlapping whereas the fifth group formed a distinct
328 entity. When examined closely, we found that individuals forming Group I, II and the mixed
329 group (from STRUCTURE locprior analysis) and first four groups (from DAPC analysis) were
330 found throughout the landscape. The Group III from STRUCTURE locprior and the fifth
331 DAPC group was mostly restricted to southern part of HWLS below Bijnor Barrage (Blocks 4
332 and 5) (Figs. 2b, 2c). Taken together, we interpret that the swamp deer population between
333 JJCR and HWLS is genetically connected. The genetic differentiation among these five groups
334 ranged between 0.005-0.09 (Table 2). However, the results of the GENELAND analyses
335 suggests two genetic clusters (mode of posterior distribution at $K=2$) across the study
336 landscape: the first cluster corresponding with the STRUCTURE locprior results (Group I, II
337 and the mixed lineages formed a single group) and the second cluster corroborated with the
338 separate group (Group III in case of STRUCTURE locprior and fifth group as per the DAPC
339 analysis) (Fig. 2d).

340 **3.3 Inbreeding status**

341 Based on the genetic data from the swamp deer individuals sampled in the upper Gangetic
342 habitat ($n=266$), we found the inbreeding co-efficient (mean F_{IS} value) to be 0.128 ($p<0.05$),
343 indicating low levels of inbreeding. Overall, the males ($n=219$) show slightly higher F_{IS} value
344 of 0.175 ($p<0.05$) than the females ($n=47$, $F_{IS}= 0.133$ ($p<0.05$)). Pair-wise average relatedness
345 ranged between 0.0001-0.93 across the dataset. When the locations of all the highly-related
346 individual pairs (relatedness >0.6 , $n=39$ pairs) were plotted, eight pairs were found to be spread
347 across the study landscape, supporting recent movement of individuals in this landscape
348 (Supplementary Fig. S1).

349 **3.4 Radio-collaring**

350 Path trajectory analyses for both females (Female 1-14 months and Female 2- 11 months)
351 showed a downward linear distance movement of 18 and 28 km for them, respectively. The
352 maximum linear distance travelled, average step length, speed and other parameters for both
353 the collared individuals are summarised in Table 3 (Figs. 3a, 3b). NSD analysis suggests that
354 Female 1 exhibited mixed migratory (returning to a location situated midway between initial
355 point and furthest point) whereas Female 2 showed migratory (returning back to its original
356 location after 9 months) type movement patterns (Figs. 3c, 3d). Some of the important stopover
357 points during swamp deer movement routes between JJCR and HWLS are grassland patches
358 within Ranjeetpur and adjacent river island complex (Point c in Figs. 3a, 3b), Amichand-
359 Nangal complex (Point d in Figs. 3a, 3b) and Sukhapur-Manwala-Chandpuri complex (Point e
360 in Fig. 3b).

361 **4. Discussion**

362 The densely-populated upper Gangetic plains of north India currently retain the westernmost
363 distribution of swamp deer population that faces acute anthropogenic pressures in the form of
364 habitat loss from rapid urbanisation, expanding human population and associated agricultural
365 activities (Paul et al. 2020). As significant portion of these available habitats fall outside
366 protected area jurisdictions, habitat conservation and population management is experiencing
367 serious challenges. Further, scattered and inadequate information on their habitat use and
368 movement patterns across the landscape make any conservation plan difficult. This study has
369 generated probably the most exhaustive primary information on swamp deer dispersal patterns
370 and genetic status in this landscape showcasing the importance of the remaining patchy
371 grassland habitats for their future survival. Our assessments based on a combination of genetic
372 and radio-telemetry approaches revealed that the entire fragmented landscape between JJCR
373 and HWLS is functionally connected and the small, isolated patches of the grasslands are
374 regularly used by swamp deer for their seasonal dispersals. These results substantiate the earlier

375 research focused on the importance of the remnant grassland habitats from this landscape
376 (Khan and Khan 1999; Khan et al. 2003; Qureshi et al. 2004; Tewari and Rawat 2013b; Paul
377 et al. 2018, 2020; Mondol et al. 2019). Such combined approaches have been adopted in other
378 studies to derive conclusions about connection between geneflow and movement patterns
379 (Riley et al. 2006; Boulet et al. 2007; Kaczensky et al. 2011; Gustafson et al. 2017; Carvalho
380 et al. 2018). One of the most important outcome of this study is identification of 266 northern
381 swamp deer individuals within the Gangetic habitat block region. This is probably the first
382 report of confirmed minimum numbers of this subspecies from this landscape. The latest
383 assessment of the northern subspecies population size is reported as ~3500 (Prakash et al. 2012;
384 Duckworth et al. 2015; Wildlife Institute of India 2017; Islam et al. 2022), but the methods
385 through which this assessment have been made (for example, direct count by foot and elephant
386 back- Sinha et al. 2007; direct count elephant back and vehicle sampling- Ahmed and Khan
387 2008; focal sampling- Rastogi et al. 2023 method used in Jhilmil Jheel Conservation Reserve
388 and Dudhwa Tiger Reserve) require detailed validations. There is an urgent need to compare
389 different population estimation approaches for swamp deer and establish a reliable method for
390 this. Results from such accurate population estimation across their distribution will strongly
391 help in re-evaluating the species conservation status (currently considered as ‘Vulnerable’ by
392 IUCN (Duckworth et al. 2015)) and help in their conservation. This is particularly important
393 as significant portion of the northern swamp deer distribution is outside protected area regime,
394 where conventional management/ conservation efforts based on Government regulations are
395 ineffective. In this regard, future efforts should consider using standard genetic (microsatellites
396 as in this study and others-Coulon et al. 2006 Frantz et al. 2006 Miotto et al. 2011 Atterby et al.
397 2015 Vergara et al. 2015) or genomic (SNPs-Edea et al. 2013; Viengkone et al. 2016; Brito et
398 al. 2017; Hua and Minghai 2017) markers in a mark capture-recapture framework to estimate
399 swamp deer populations in this landscape (Andreotti et al. 2016; Kierepka et al. 2016; Sethi et

400 al. 2016; Viengkone et al. 2016; Blåhed et al. 2019; Cook et al. 2020; Li et al. 2020) and assess
401 landscape-scale genetic and demographic parameters, as other standard population estimation
402 approaches such as Camera Trap, Line Transect- (Andriolo et al. 2005; Fragoso et al. 2016;
403 Meek et al. 2019; Paul et al. 2019). are not conducive in the human-dominated landscape.
404 The extensive swamp deer genetic sampling throughout this landscape has provided some
405 unexpected insights into the species genetic connectivity and health in the Gangetic habitat
406 block. Earlier studies and information suggested that the swamp deer population in this region
407 is small, scattered and possibly inbred (due to limited connectivity through human-dominated
408 areas) (Paul et al. 2018). However, our results clearly disproved such notions regarding swamp
409 deer movement patterns through the geneflow analyses. The weak genetic structure, random
410 spatially-distinct distribution of some highly-related individuals (n=16 individuals (13M: 3F),
411 $r=0.6$) and no isolation by distance patterns reflect movement events despite fragmentation in
412 this landscape. Although very small numbers of related individuals are found in this study, the
413 implications are very important for this highly-fragmented natural patches of grassland
414 habitats. Large number of studies conducted on various terrestrial mammalian systems report
415 a direct relationship between habitat loss/fragmentation and reduction in genetic connectivity
416 (carnivore- Riley et al. 2006; Carvalho et al. 2018; herbivore- Niedziałkowska et al. 2012;
417 herbivore- (Fraser et al. 2019); omnivore- (Sato et al. 2014)), but several works on ungulates
418 corroborate our findings (Caribou- Boulet et al. 2007; Mager et al. 2013 White-tailed deer-
419 Blanchong et al. 2013; African Buffalo- Epps et al. 2013). Such findings often result from a
420 complex interplay between the environment dynamics and the species ability to disperse and
421 breed successfully (Ito et al. 2013; Mager et al. 2013). The radio-telemetry data confirmed
422 these patterns by providing fine-scale insights on swamp deer dispersal and intensive use of
423 the grassland patches as ‘stopover sites’ during their movement. Net Squared Displacement
424 (NSD) results suggested that the two individuals exhibited migratory (Female 2) and mixed

425 migratory (Female 1) patterns, respectively. The stopover sites identified on the movement
426 routes of both individuals between JJCR and HWLS probably act as important refugia for
427 swamp deer and aid in maintaining genetic connectivity (as evident from the 2nd individual
428 movement data (Fig. 3b)). These results support earlier reports of swamp deer congregations
429 during summer months (to feed on young vegetation in the floodplains) and migrations at the
430 onset of monsoon (Schaaf 1978; Qureshi et al. 2004). Further, the sugarcane fields possibly
431 help in movement between stopover sights in certain time of the year (Paul et al. 2021), as
432 reported from other studies from India (Wikramanayake et al. 2004; Athreya et al. 2007, 2013;
433 Talukdar and Sinha 2013; Warriar et al. 2020). It is however important to realise that these
434 inferences are based on only two collared individual females, and future efforts to radio-tag
435 more animals (including both male and females) from different parts of this landscape could
436 help us to ascertain the main drivers of such seasonal movement events. The spatially
437 exhaustive, homogenous sampling comprising both male (n=219 individuals) and female
438 (n=47 individuals) based analyses also indicate non-biased, gender-common movement
439 pattern, as reported in other cervids (White-tailed deer- Long et al. 2005, 2008, Roe deer-
440 Gaillard et al. 2008; Bonnot et al. 2010, Red deer- Perez-Espona et al. 2010. Another
441 encouraging result from this study that has significant swamp deer conservation implications
442 is the moderate heterozygosity and low inbreeding status of this population. The heterozygosity
443 value ranged between 0.38 to 0.84 across loci (Average H_o = 0.51, SD =0.10) and is consistent
444 with other deer species (Kuehn et al. 2003; Feulner et al. 2004; Lee et al. 2015; Mukesh et al.
445 2015), including previous studies on swamp deer (Kumar et al. 2017). However, the low
446 inbreeding value (F_{IS}) contradicts earlier reports from JJCR (Kumar et al. 2017) which forms
447 only a part of the entire Ganges population. This pattern of genetic admixture, moderate
448 heterozygosity, relatively low inbreeding and random spatial organisation of related
449 individuals can be explained to some extent by the species biology and history of this

450 landscape. Till the 1940s-1950s, impenetrable swamps and high incidences of malaria
451 infestation made this region inhabitable. The subsequent eradication of malaria with
452 introduction of DDT (as an anti-mosquito agent) and major resettlement of people from
453 erstwhile East Pakistan by the Government of India (Johnsingh et al. 2004) resulted in a sharp
454 human-population increase. Severe encroachment of grasslands for agriculture has led to
455 decline of these swampy grassland habitats from the 70s and 80s (Johnsingh et al. 2004).
456 Despite such loss of habitats, the seasonal movement behaviour of swamp deer (Martin and
457 Gopal 2015) helps maintaining genetic mixing among these fragmented grassland patches,
458 which has earlier been reported as their breeding grounds (Paul et al. 2021). This is also evident
459 from the patterns of very low pairwise F_{ST} values (between Zones 1 to 5). Manifestations of
460 genetic discontinuity might take a longer period as it is reported that F_{ST} has a lag time of about
461 200 generations before it can be detected due to the formation of new barriers (Landguth et al.
462 2010). IBD tests have a much shorter lag period (1–15 generations) to detect barrier effects
463 (Landguth et al. 2010), but for a vagile species like swamp deer we did not expect to observe
464 impacts of IBD given the movement patterns seen in this relatively small landscape. Our
465 results indicate presence of two intermixing swamp deer genetic lineages along the Gangetic
466 habitat blocks and further efforts are required to understand their origin and status by sampling
467 the Sharda habitat block, which is the largest population of northern swamp deer. Additionally,
468 preliminary evidences also indicate slightly different genetic signatures from the samples
469 collected from the southern part of the study area (zone 5). This area is known to harbour very
470 low-density swamp deer-occurring habitats (Mondol et al. 2019; Paul et al. 2020) and further
471 sampling from these areas is required to ascertain the actual patterns.

472 **5. Conservation implications**

473 Our genetic and radio-collaring data suggest that despite severe anthropogenic pressures
474 between JJCR and HWLS, the swamp deer population is connected, retains moderate genetic

475 diversity, and exhibits low levels of inbreeding. These are encouraging signatures for a small,
476 fragmented and isolated cervid population and should be considered carefully for appropriate
477 conservation/management plans. It is important to understand that even though the landscape
478 continues to be functional (active geneflow and animal movement), maintaining the integrity
479 and functionality with very high human density (1164 people/km²- (Census of India 2011) and
480 associated anthropogenic activities will remain the most important challenge in future. Recent
481 reports indicate ~57% loss of grassland habitats (to agricultural conversion) along the upper
482 Gangetic plains during last 30 years (Paul et al. 2021), and therefore conservation of the
483 identified ‘stopover sites’ is absolutely critical as landscape changes can impact gene flow in
484 fragmented landscapes (Fraser et al. 2019). Our field-based mapping and radio-telemetry data
485 points show that majority of the heavily-used stopover sites (at least from the two collared
486 animals) are found in the non-protected areas bordering the states of Uttarakhand and Uttar
487 Pradesh. Therefore, we recommend a jointly-prepared protection and grassland recovery plan
488 by Uttarakhand and Uttar Pradesh Forest departments to control encroachment and grazing
489 pressures on these grassland patches and ensure functional connectivity between JJCR and
490 HWLS. Our intensive survey efforts and subsequent identification of individuals indicate an
491 unequal swamp deer distribution in this landscape, where the area above Bijnor Barrage
492 harbours more individuals (n=216 individuals in 1714 km²) compared to below the Barrage
493 (n=50 individuals in 1459 km²). This corroborates with the available habitat loss information
494 (~50% loss in upper part of Bijnor Barrage and ~65% loss below Barrage area) (Paul et al.
495 2021). Recent conservation initiatives have identified “Priority Conservation Areas” (Paul et
496 al. 2020) in this landscape and ensured government approval of HWLS boundary
497 reappropriation (Mondol et al. 2019) and therefore it is critical to focus on the management of
498 the lower part of Bijnor Barrage. The Gangetic ecosystem is highly dynamic and protecting the
499 grasslands would require collaborative efforts involving multiple stakeholders including

500 several government departments (Ministry of Agriculture and Farmers Welfare, Ministry of
501 Housing and Urban Affairs, Department of Water Resources, River Development and Ganga
502 Rejuvenation, Department of Revenue etc.) to strategize appropriate habitat recovery,
503 plantation management, distribution of minimum numbers of agricultural licenses along rivers,
504 review of the land tenure/revenue records, the release of water from dams/barrages etc. Such
505 comprehensive effort only can ensure long-term viability of these productive habitats.

506 Around 5,000 swamp deer remain in the wild globally (Duckworth et al. 2015), but currently
507 the focus of their conservation is limited to the protected areas (Mondol et al. 2019; Paul et al.
508 2020). The Gangetic habitat population represents the western-most distribution of the species
509 and the future is promising, provided that connectivity is maintained and habitat management
510 becomes an important conservation agenda in immediate future. We hope that the results
511 presented in this study would bring out the conservation attention to all concerned stakeholders
512 and help ensuring the long-term persistence of this species outside protected area habitats.
513 Given that a large number of species are distributed outside protected areas globally, this study
514 could become an example to deal with the conservation challenges faced by them.

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900 **Statements and Declarations**

901 **Author contributions**

902 SM, DM, BP conceived the study idea. SM and BP generated funds and supervised the study.

903 BH procured the collars. PN spearheaded the collaring-operation. SP, BP, SM, DM and BH all

904 supported in collaring-operation. SP conducted sampling and data generation. SS and GP

905 supported in data generation and analysis. SP and SM wrote the initial manuscript. SP, SM and

906 SS revised the draft and all authors approved the final draft.

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912 The funders had no role in study design, data collection and analysis decision to publish, or

913 preparation of the manuscript.

914 **Data availability**

915 The data generated in this study is available in Figshare

916 (<https://figshare.com/s/fe0cb79541ca8b3ae2ea>)

917 **Competing interests**

918 The authors have not disclosed any competing interests

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925 **Figure Legend:**

926 **Fig. 1:** Representation of the study area and sampling efforts between Jhilmil Jheel
927 Conservation Reserve (JJCR), Uttarakhand and Hastinapur Wildlife Sanctuary (HWLS), Uttar
928 Pradesh. The section (a) (left panel) shows the protected areas (JJCR and HWLS) along with
929 all the digitized grassland patches along the rivers Ganges and its tributaries Banganga and
930 Solani. The section (b) (right panel) shows the locations of various types of samples used in
931 this study within five distinct study blocks (24 km long stretches based on earlier recorded
932 swamp deer movement patterns) along river Ganges.

933 **Fig. 2:** Outcomes of various Bayesian (STRUCTURE and GENELAND) and non-Bayesian
934 (DAPC) genetic connectivity analyses for northern swamp deer. Panel (a) shows the
935 distribution of different swamp deer genetic groups across this landscape; Panel (b) shows the
936 DAPC results indicating genetic clusters (K=5) corresponding to the five study blocks; Panel
937 (c) showing the genetic admixture patterns of the identified individuals based on sampled study
938 blocks and; Panel (d) showing GENELAND outputs at K=2 where clear genetic discontinuity
939 of study block 5 is depicted.

940 **Fig. 3:** Movement patterns of two collared swamp deer females. Both panels (a) and (b) show
941 the movement trajectory paths, intensive use areas and stopover sites along the river Ganges.
942 Panels (c) and (d) shows the NSDs of the respective individuals depicting their migration types.

943 **Supplementary Fig. 1:** Representation of spatial heterogeneity among some related
944 individuals ($r > 0.6$) sampled in our survey, indicating genetic connectivity and recent
945 movement events within this landscape. Each pair of related individuals (total eight pairs) is
946 indicated by same symbols presented in the figure.

947 **Table Legend:**

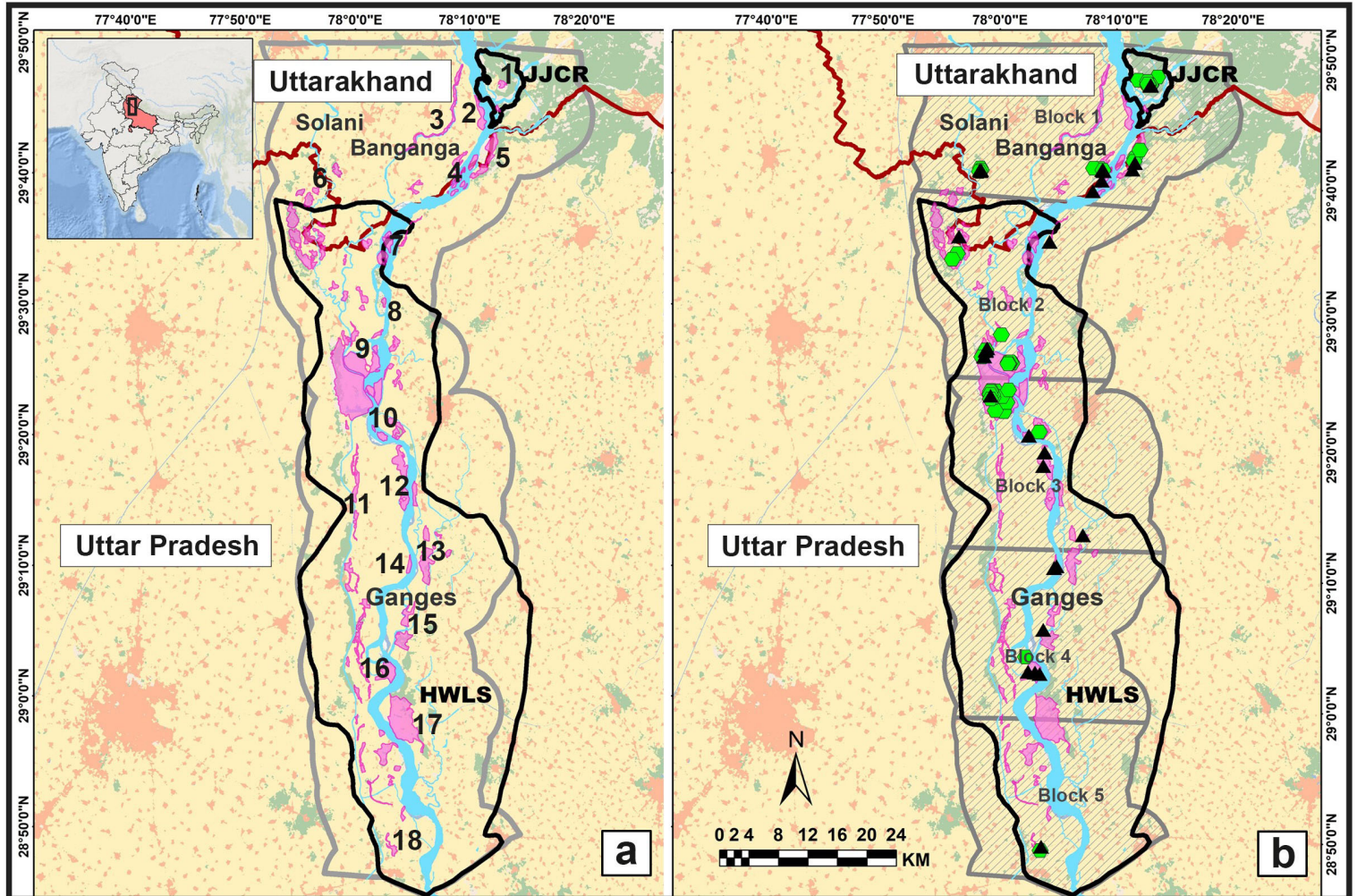
948 **Table 1:** Summary statistics of the 13 microsatellite loci used for population genetic analyses
949 of swamp deer in this study.

950 **Table 2:** Genetic differentiation (pairwise F_{st}) between five study blocks in the upper Gangetic
951 plains, north India.

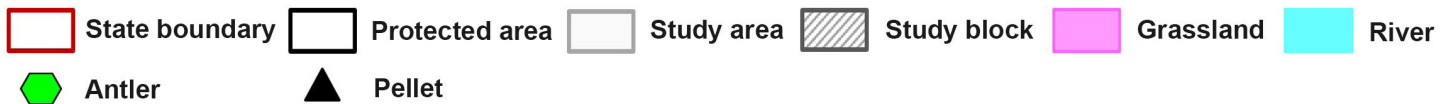
952 **Table 3:** Basic movement parameters calculated for the two collared swamp deer females in
953 this study.

954 **Supplementary Table 1:** Details (Grasslands, Blocks, Districts, States) of all locations of the
955 antlers and pellets collected in this study.

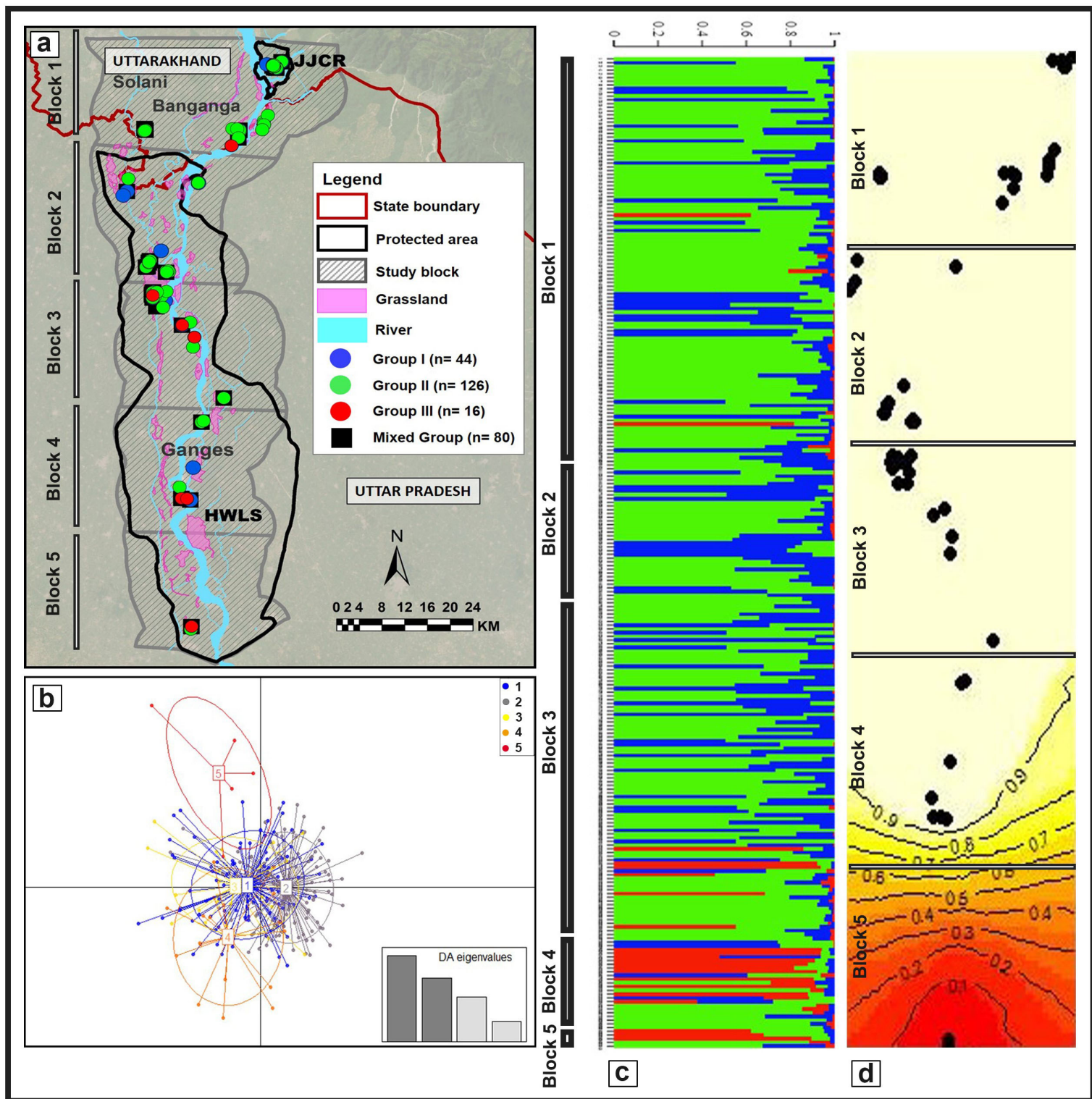
956 **Supplementary Table 2:** Details of the initial set of 48 primers tested for swamp deer
957 individual identification.

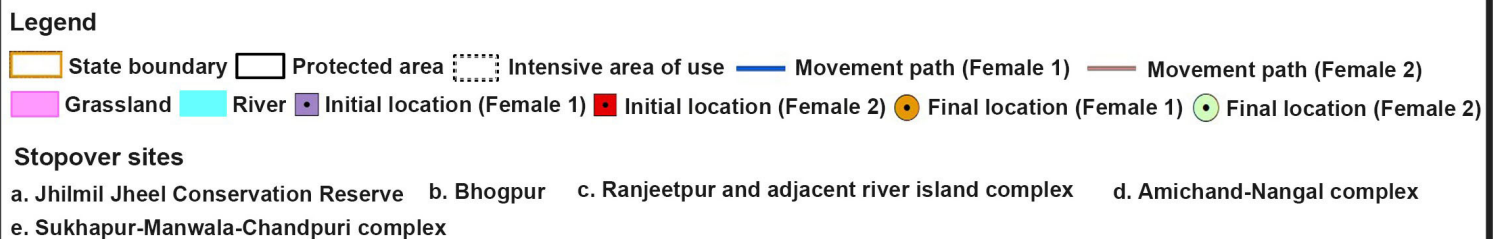
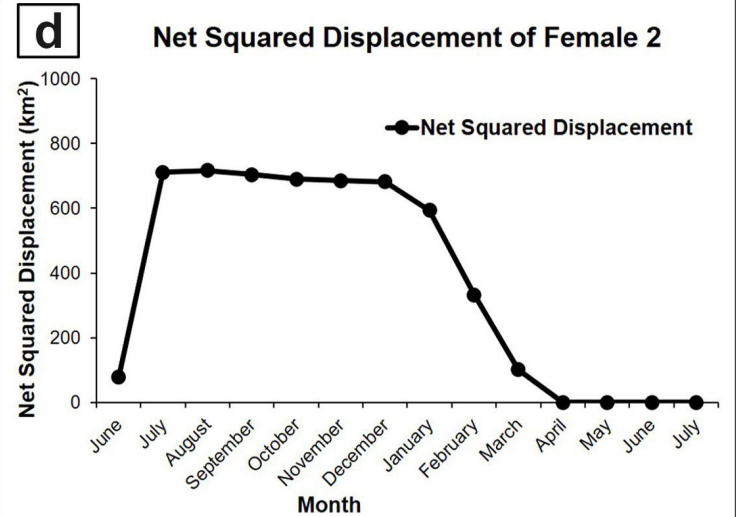
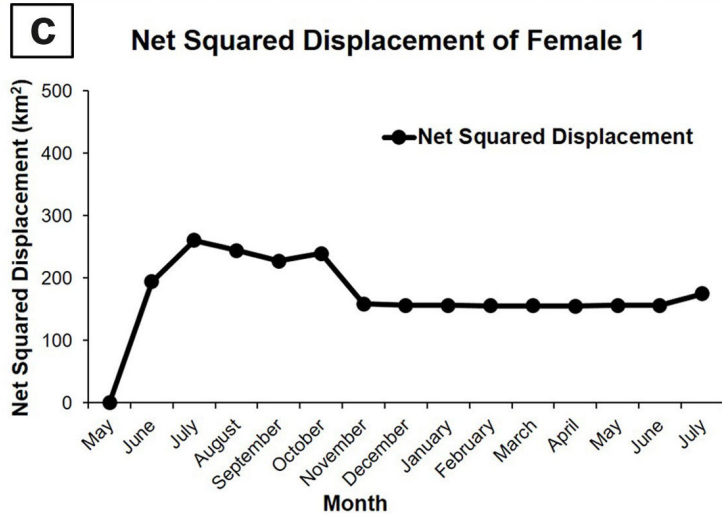
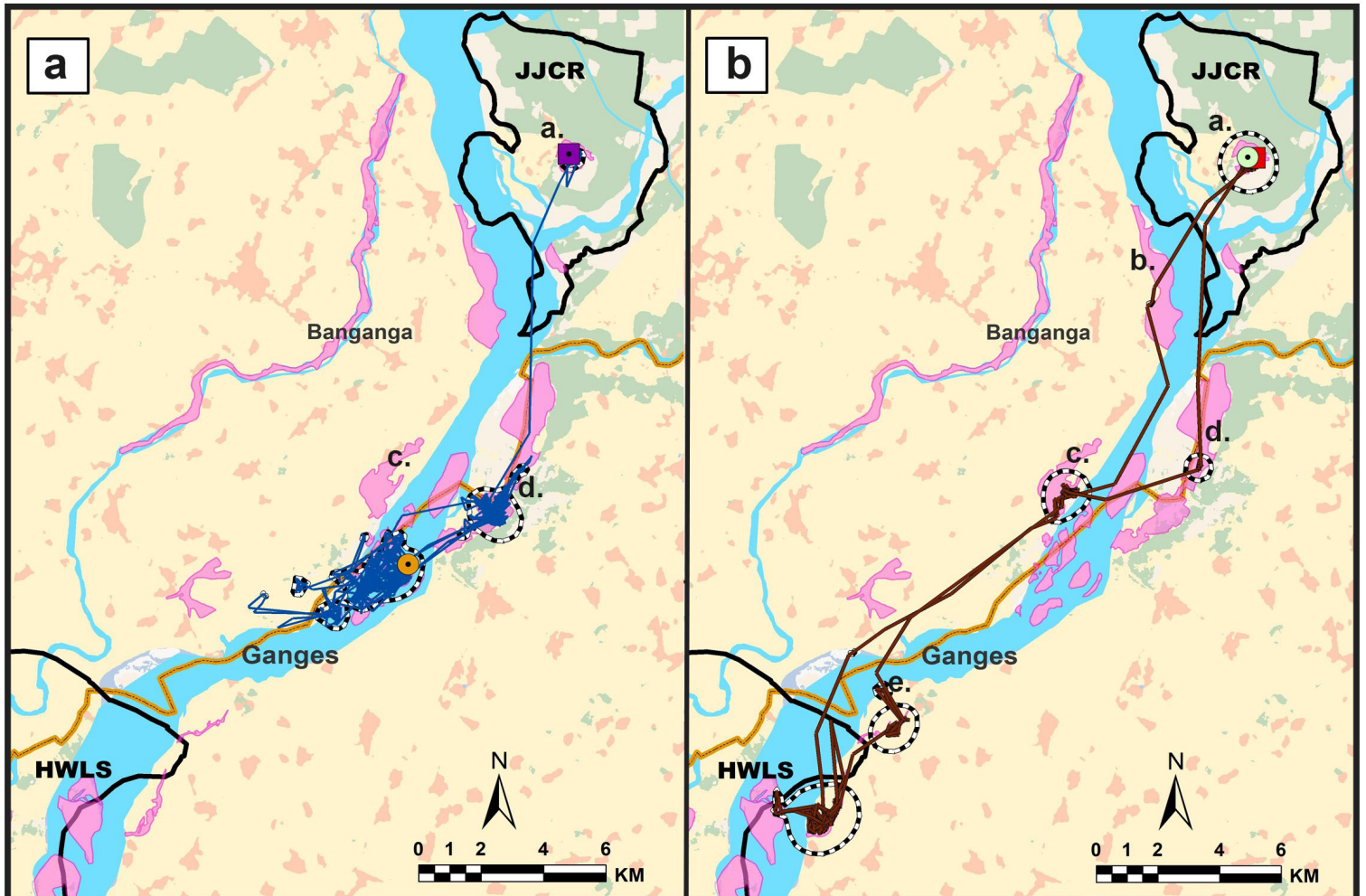


Legend



- 1 Jhilmil Jheel Conservation Reserve 2 Bhogpur 3 Banganga khadar 4 Ranjeetpur and adjacent river island complex
 5 Amichand-Nangal complex 6 Dharampur- Jogga jheel complex 7 Sukhapur-Manwala- Chandpuri complex
 8 Mirapur khadar 9 Shukratal- Haiderpur complex 10 Jeevanpuri and adjacent river islands 11 Buriganga jheel
 12 Dharmapura- Daranagarganj complex 13 Jahanabad- Joagli jheel complex 14 Hadipur gori
 15 Rahmanpur- Jahangirpur complex 16 Jalalpur khadar 17 Kalidab khadar 18 Kopla jheel



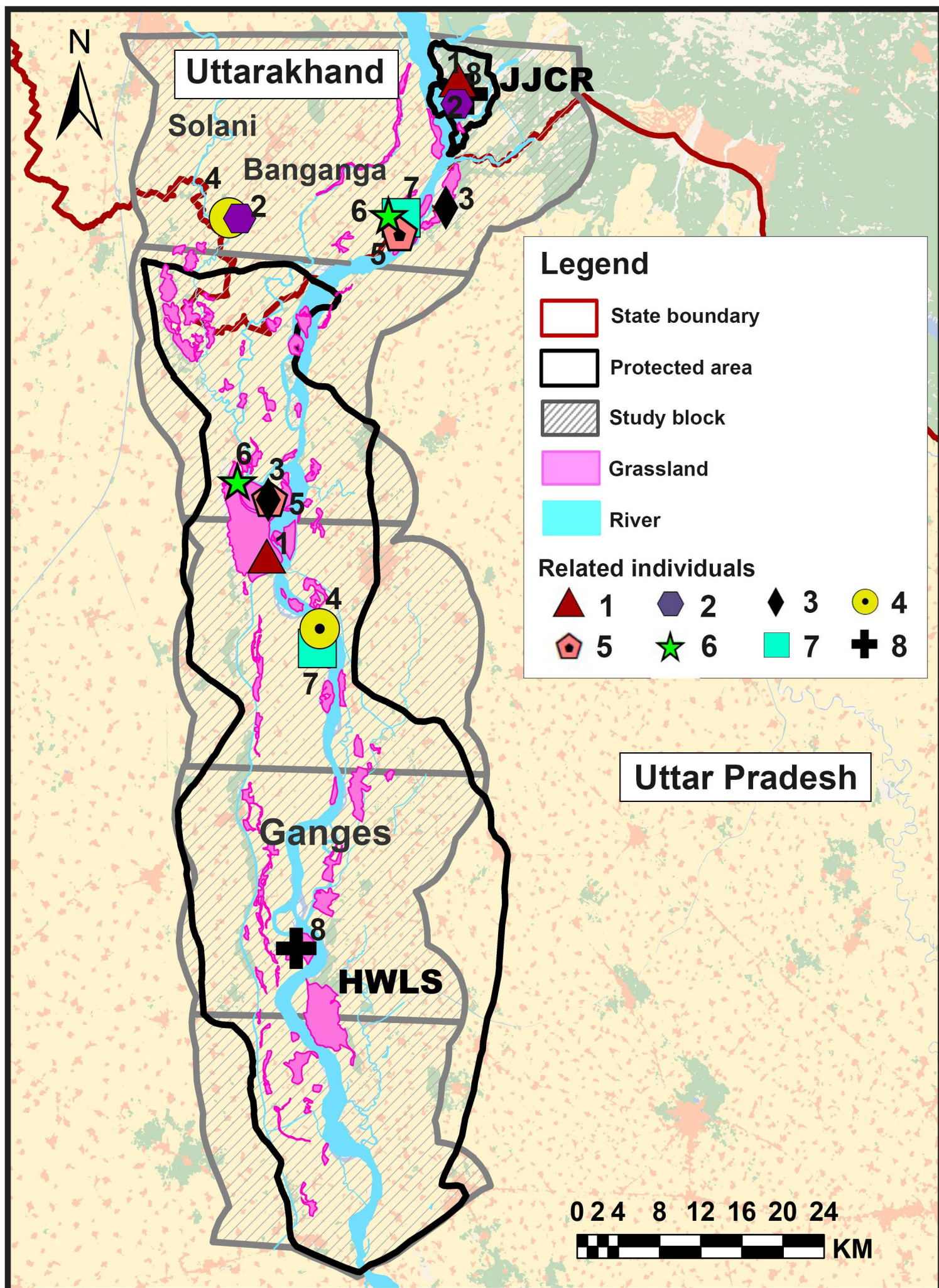


SL No.	Locus	Species	Success rate (%)	No of alleles	Allelic Size Range	H _E	H _O	Null allele	Allelic Dropout rate	False allele rate	P _{ID(sibs)}
1	CEH53	Swamp deer	86.95	13	48	0.84	0.59	0.11	0.04	0.10	3.43*10 ⁻¹
2	CEH33	Swamp deer	90.98	12	24	0.81	0.56	0.13	0.04	0.15	1.23*10 ⁻¹
3	CEH82	Swamp deer	93.71	11	26	0.81	0.66	0.07	0.06	0.12	4.42*10 ⁻²
4	CEH56	Swamp deer	91.81	7	12	0.71	0.61	0.05	0.06	0.14	1.90*10 ⁻²
5	CEH35	Swamp deer	88.97	5	8	0.66	0.34	0.17	0.07	0.13	8.77*10 ⁻³
6	CEH50	Swamp deer	95.14	5	8	0.62	0.54	0.04	0.06	0.07	4.36*10 ⁻³
7	CEH52	Swamp deer	84.93	8	18	0.59	0.5	0.05	0.08	0.06	2.23*10 ⁻³
8	CEH58	Swamp deer	89.56	6	22	0.56	0.46	0.07	0.11	0.06	1.19*10 ⁻³
9	CEH75	Swamp deer	93.12	4	8	0.53	0.59	0	0.08	0.05	6.75*10 ⁻⁴
10	CEH71	Swamp deer	88.61	2	8	0.46	0.61	0	0.18	0.08	4.18*10 ⁻⁴
11	CEH47	Swamp deer	93.71	6	14	0.43	0.35	0.07	0.17	0.09	2.60*10 ⁻⁴
12	CEH43	Swamp deer	95.02	4	6	0.42	0.44	0	0.12	0.05	1.65*10 ⁻⁴
13	CEH51	Swamp deer	93.00	3	10	0.38	0.38	0.01	0.13	0.06	1.10*10 ⁻⁴
Mean			91.19	6.61		0.6	0.51	0.06	0.09	0.09	
SD			3.185	3.477		0.158	0.107	0.053	0.047	0.035	

Genetic differentiation among five spatial blocks					
Blocks	1	2	3	4	5
1	0				
2	0.033*	0			
3	0.009*	0.024*	0		
4	0.006*	0.039*	0.021*	0	
5	0.064*	0.091*	0.089*	0.067*	0

*Significant at $P < 0.05$ using 10,000 randomizations

Movement Parameters	Female 1	Female 2
Tracking duration (month)	14	11
Mean step length (km)	0.117	0.082
Mean speed (km/hr)	0.058	0.040
Maximum linear distance travelled from starting location (km)	18	28
Total distance (km)	584.3	309.3
Total displacement (km)	15.2	0.227
Migration type	Mixed migratory	Migratory



Supplementary Table 1: Details (Grasslands, Blocks, Districts, States) of all locations of the antlers and pellets collected in this study.

Sl No.	Name of the area	Block	District, State	No. of antlers	No. of pellets
1	Jhilmil Jheel Conservation Reserve (JJCR)	Block 1	Haridwar, UK	88	41
2	Bhogpur	Block 1	Haridwar, UK	0	0
3	Banganga khadar	Block 1	Haridwar, UK	0	0
4	Ranjeetpur and adjacent river islands	Block 1	Haridwar, UK & Bijnor, UP	4	17
5	Amichand and Nangal	Block 1	Haridwar, UK & Bijnor, UP	4	15
6	Dharampur- Jogga Jheel Complex	Block 1 & Block 2	Haridwar, UK & Muzaffarnagar, UP	15	9
7	Sukhapur- Manwala- Chandpuri complex	Block 2	Bijnor, UP	0	21
8	Mirapur khadar	Block 2	Bijnor, UP	0	0
9	Shukratal- Haiderpur complex	Block 2 & Block 3	Muzaffarnagar & Bijnor, UP	141	36
10	Jeevanpuri and adjacent river islands	Block 3	Bijnor, UP	1	13
11	Buriganga jheel	Block 3	Meerut, UP	0	2
12	Dharmapura- Daranagarganj complex	Block 3	Muzaffarnagar & Bijnor, UP	1	16
13	Jahanabad- Joagli jheel complex	Block 3 & Block 4	Bijnor, UP	0	6
14	Hadipur Gori	Block 4	Meerut, UP	0	9
15	Rahmanpur- Jahangirpur complex	Block 4	Bijnor, UP	0	2
16	Jalalpur khadar	Block 4	Meerut, UP	1	27
17	Kalidab khadar	Block 4 & Block 5	Amroha, UP	0	0
18	Kopla jheel	Block 5	Hapur, UP	3	16

*UK= Uttarakhand; UP= Uttar Pradesh

Supplementary Table 2: Details of the initial set of 48 primers tested for swamp deer individual identification.

SI No.	Marker Name	Selection Status	Reason for Rejection
1	CEH 4	Not selected	Multiple bands
2	CEH 22	Not selected	Multiple bands
3	CEH 45	Not selected	Multiple bands
4	CEH 60	Not selected	Multiple bands
5	CEH 73	Not selected	Multiple bands
6	CEH 79	Not selected	Multiple bands
7	CEH 63	Not selected	Multiple bands
8	CEH 15	Not selected	Multiple bands
9	CEH 44	Not selected	Multiple bands
10	CEH 80	Not selected	Multiple bands
11	CEH 81	Not selected	Multiple bands
12	CEH 6	Not selected	Multiple bands
13	CEH 38	Not selected	Multiple bands
14	CEH 78	Not selected	Multiple bands
15	CEH 39	Not selected	Multiple bands
16	CEH 23	Not selected	Multiple bands
17	CEH 57	Not selected	No amplification
18	CEH 85	Not selected	No amplification
19	CEH 31	Not selected	Non-specific bands
20	CEH 55	Not selected	Non-specific bands
21	CEH 72	Not selected	Non-specific bands
22	CEH 70	Not selected	Non-specific bands
23	CEH 28	Not selected	Inconsistent amplification
24	CEH 49	Not selected	Inconsistent amplification
25	CEH 86	Not selected	Inconsistent amplification
26	CEH 34	Not selected	Inconsistent amplification
27	CEH 48	Not selected	Inconsistent amplification
28	CEH 68	Not selected	Excessive stutter bands and low RFUs
29	CEH 74	Not selected	Excessive stutter bands and low RFUs
30	CEH 87	Not selected	Unstable allele characteristics
31	CEH 77	Not selected	Unstable allele characteristics
32	CEH 84	Not selected	Unstable allele characteristics
33	CEH 61	Not selected	Inconsistent results with antler and pellet DNA
34	CEH 64	Not selected	Inconsistent results with antler and pellet DNA
35	CEH 76	Not selected	Inconsistent results with antler and pellet DNA
36	CEH 53	Selected	-
37	CEH 58	Selected	-
38	CEH 71	Selected	-
39	CEH 35	Selected	-
40	CEH 33	Selected	-
41	CEH 43	Selected	-
42	CEH 52	Selected	-
43	CEH 50	Selected	-
44	CEH 82	Selected	-
45	CEH 56	Selected	-
46	CEH 51	Selected	-
47	CEH 47	Selected	-
48	CEH 75	Selected	-