1	Benchmarking the accuracy of structure-based binding affinity predictors on
2	Spike-ACE2 Deep Mutational Interaction Set
3	Burcu Ozden ^{1,2} , Eda Şamiloğlu ^{1,2} , Atakan Özsan ¹ , Mehmet Erguven ¹ , Mehdi Koşaca ^{1,2} , Melis
4	Oktayoğlu ¹ , Can Yükrük ¹ , Nazmiye Arslan ¹ , Gökhan Karakülah ^{1,2} , Ayşe Berçin Barlas ^{1,2} , Büşra
5	Savaş ^{1,2} , Ezgi Karaca ^{1,2,}
6	
7	¹ Izmir Biomedicine and Genome Center, Dokuz Eylul University Health Campus, Balçova,
8	Izmir 35330, Turkey
9	² Izmir International Biomedicine and Genome Institute, Dokuz Eylül University, Izmir 35340,
10	Turkey
11	Correspondence: <u>ezgi.karaca@ibg.edu.tr</u>
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15 ABSTRACT

16 Since the start of COVID-19 pandemic, a huge effort has been devoted to 17 understanding the Spike (SARS-CoV-2)-ACE2 recognition mechanism. To this end, two deep 18 mutational scanning studies traced the impact of all possible mutations across Receptor 19 Binding Domain (RBD) of Spike and catalytic domain of human ACE2. By concentrating on 20 the interface mutations of these experimental data, we benchmarked six commonly used 21 structure-based binding affinity predictors (FoldX, EvoEF1, MutaBind2, SSIPe, HADDOCK, 22 and UEP). These predictors were selected based on their user-friendliness, accessibility, and 23 speed. As a result of our benchmarking efforts, we observed that none of the methods 24 could generate a meaningful correlation with the experimental binding data. The best 25 correlation is achieved by FoldX (R = -0.51). Also, when we simplified the prediction 26 problem to a binary classification, i.e., whether a mutation is enriching or depleting the 27 binding, we showed that the highest accuracy is achieved by FoldX with 64% success rate. 28 Surprisingly, on this set, simple energetic scoring functions performed significantly better 29 than the ones using extra evolutionary-based terms, as in Mutabind and SSIPe. 30 Furthermore, we also demonstrated that recent AI approaches, mmCSM-PPI and 31 TopNetTree, yielded comparable performances to the force field-based techniques. These 32 observations suggest plenty of room to improve the binding affinity predictors in guessing 33 the variant-induced binding profile changes of a host-pathogen system, such as Spike-ACE2. 34 To aid such improvements we provide our benchmarking data at https://github.com/CSB-35 KaracaLab/RBD-ACE2-MutBench with the option to visualize our mutant models at 36 https://rbd-ace2-mutbench.github.io/

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38 Key words: binding affinity prediction, deep mutagenesis, SARS-CoV-2, ACE2, RBD

39 INTRODUCTION

At the beginning of 21st century, the emergence of Severe Acute Respiratory 40 41 Syndrome Coronavirus (SARS-CoV)[1] and Middle East Respiratory Syndrome Coronavirus[2] 42 led to serious public health concerns. Evolving from these viruses, during late 2019, a new SARS virus, SARS-CoV-2, caused the most severe pandemic of the 21st century[3]. SARS-CoV-43 44 2 infection is initiated upon having its Spike protein interacting with the host Angiotensin 45 Converting 2 (ACE2) enzyme[4]. The widespread infection of SARS-CoV-2 compared to its 46 predecessors was linked to higher binding affinity of Spike to ACE2[5]. Relatedly, alpha, 47 beta, gamma, eta, iota, kappa, lambda, mu, and omicron SARS-CoV-2 variants were shown 48 to have at least one mutation across the Spike-ACE2 interface[6]. This realization placed the 49 characterization of interfacial Spike-ACE2 mutations at the center of COVID-19-related 50 research. Within this context, in 2020, two deep mutational scanning (DMS) studies explored how Spike/ACE2 variants impact Spike-ACE2 interactions[7,8]. In these DMS 51 52 studies, the residues on the Receptor Binding Domain (RBD) of Spike and the catalytic 53 domain of human ACE2 were mutated into other 19 amino acid possibilities, followed by tracing of new RBD-ACE2 binding profiles. 54

In parallel to these experimental efforts, a handful of structure-based computational studies employed a comprehensive investigation of variation across the RBD-ACE2 interface (Table 1). Three such studies utilized two fast and user-friendly tools, FoldX and HADDOCK [9–11]. Blanco *et al.* used FoldX with the inclusion of water molecules (FoldXwater) to trace the binding enhancing RBD and ACE2 mutations [9]. From their 21 binding enhancing mutation predictions, nine of them were confirmed as affinity enhancing by the DMS set. Rodrigues *et al.* investigated the impact of ACE2 orthologs on their RBD binding with

62 HADDOCK, where they proposed five significantly affinity improving ACE2 mutations[10]. 63 Among these, D30E and A387E were shown to be affinity enhancing in the ACE2 DMS set as 64 well. Complementary to this study, Sorokina et al. performed computational alanine 65 scanning on ACE2 with HADDOCK[11]. Here, three out of five mutations (N49A, R393A, and 66 P389A) were classified as binding enriching both by the computational predictions and the 67 DMS set. Two other studies made use of elaborate simulation techniques, mainly molecular 68 dynamics simulations. Laurini et al. performed molecular mechanics/Poisson-Boltzmann 69 alanine scanning and molecular dynamics simulations to find structurally and energetically 70 critical RBD-ACE2 residues[12]. They proposed eight hotspot positions on RBD and ACE2, 71 where three of them were characterized as affinity enhancing in the DMS sets. Gheeraert et 72 al. performed 1 µs long molecular dynamics simulations of five RBD variants (alpha, beta, 73 gamma, delta, and epsilon) in complex with ACE2[13]. They found that L452R, T478K (delta 74 variant) and N501Y (alpha, gamma variants) cause drastic structural changes across the 75 RBD-ACE2 interface. These mutations were classified as binding enriching in the RBD DMS 76 set.

78

77 Table 1. Affinity impacting RBD and ACE2 variant/hotspot predictions. The predictions agreeing with the experimental are underlined and shown in bold.

Work carried	Important RBD	Important ACE2	Approach
out by	residues/mutations	residues/ mutations	
Blanco <i>et al.</i> [9]	V445M/R/W, <u>Q493F/L/M/Y</u> , <u>Q498F</u> /L/M/ <u>Y</u> , T500K,	G326E	Variant modeling and interface score calculation with FoldXwater

	<u>N501</u> A/C/L/S/ <u>T</u> , <u>V503R</u> /W/Y		
Rodrigues <i>et</i> <i>al.</i> [10]		Q24E, <u>D30E</u>, H34Y, L79H, <u>A387E</u>	Variant modeling and interface score calculation with HADDOCK
Sorokina <i>et</i> al.[11]		<u>N49A</u> , <u>R393A</u> , M383A, <u>P389A</u> , G354A	Alanine scanning and interface score calculation with HADDOCK
Laurini et al.[12]	<u>Q498</u> , T500, R403	D38, <u>K31</u> , E37, K353, <u>Y41</u>	Interaction and energy analysis through molecular dynamics and MM/PB alanine scanning
Gheeraert <i>et</i> <i>al</i> .[13]	<u>L452R</u> , <u>T478K, N501Y</u>		Interaction analysis through MD simulations

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As presented in Table 1, accurate reproduction of RBD-ACE2 DMS profiles can be tricky even when elaborate simulation techniques are used. So, if such time-intensive simulation approaches are facing challenges in back-calculating the impact of RBD-ACE2 interface variation, how far are the fast prediction tools that were heavily used in the early months of pandemic, such as FoldX and HADDOCK, from accurately predicting the impact of RBD-ACE2 variations? To answer this question, we benchmarked six fast affinity prediction

86 tools, i.e., FoldX, HADDOCK, EvoEF1, MutaBind2, SSIPe, and UEP [14-20] against the Spike-87 ACE2 interface DMS set. These predictors were selected based on their user-friendliness and 88 speed, since we wanted to put an emphasis on the accessibility of these tools to the 89 researchers who may not have programming experience or enough computing resources. 90 Among these tools, FoldX and EvoEF1 use intra- and inter-molecular energies derived from 91 empirical force field terms. HADDOCK scores complexes by combining intermolecular van 92 der Waals, electrostatics, and empirical desolvation terms. Mutabind and SSPIe utilize FoldX 93 and EvoEF1, respectively, to model the mutations. Both methods have their own scoring 94 functions to consider evolutionary-based information too. UEP scores mutations based on 95 statistically determined intermolecular contact potentials. HADDOCK, MutaBind2, and SSIPe 96 can be run through a web service. FoldX can be called over a GUI through a YASARA plugin. 97 EvoEF1 and UEP are available as stand-alone packages. On top of these conventional tools, 98 we also tested two AI approaches, mmCSM-PPI[21,22] and TopNetTree[23,24] to investigate 99 the impact of AI use in predicting RBD-ACE2 interaction changes. Our benchmarking files 100 can be accessed at https://github.com/CSB-KaracaLab/RBD-ACE2-MutBench with the option 101 to visualize our mutant models at https://rbd-ace2-mutbench.github.io/

103 **RESULTS and DISCUSSION**

104 Benchmark Compilation

105 The deep mutational scanning (DMS) experiments performed by Chan et al. and 106 Starr et al. [7,8] scan the impact of all possible amino acid variations imposed on the RBD 107 domain of Spike and the catalytic domain of ACE2 on RBD-ACE2 binding. These studies 108 classified mutations as binding enriching or depleting when compared to the wild type 109 interactions. While compiling our benchmark set, our aim was (i) to select the DMS subset 110 reporting on the variations across RBD-ACE2 interface, since the selected methods are 111 tuned to predict the impact of interface mutations, (ii) to include an equal number of 112 binding enriching and depleting cases to obtain a balanced benchmark set.

113 The DMS sets contained 988 interfacial mutations, measured over 26 RBD and 26 114 ACE2 residues (calculated by PDBePISA[25] on 6m0j PDB[26]). 13% of these 988 mutations 115 were profiled as binding enriching (42 for RBD and 89 for ACE2, Figure 1A) and the rest as 116 binding depleting. As shown in Figure 1A, the binding enriching RBD mutations span a 117 narrow enrichment range [0.01, 0.30], while for ACE2 this range increases to [0.03, 3.37]. 118 We added all enriching cases into our benchmark. To fairly represent the depleting cases, 119 we selected 131 mutations sampling the whole depleting data spread (Figure 1A). We then 120 analyzed the individual binding profiles of selected mutations with heatmaps (Figure 1B). As 121 can be observed from these heatmaps, on the RBD side, several mutations on Q493, S477, 122 F490, N501, V503, E484, Q498 lead to better RBD-ACE2 binding (Figure 1B, Figure S1, Table 123 S1). Among these, Q493R and S477N were observed in omicron; E484K in beta, gamma, eta, 124 iota, mu; E484Q in kappa; N501Y in alpha, beta, gamma, mu, omicron variants[6]. On the 125 ACE2 surface, the top enriching mutations came from T27, Q42, S19, and L79 positions 126 (Figure 1C, Figure S1). All these residues, except S19, were reported as species-associated

variations[27]. While appearing less frequently as binding enhancers, K31, E35, M82, and
Y83 were earlier listed as critical residues for RBD-ACE2 interactions (Figure 1B-C and Figure
S1)[9,12,26]. All these residue positions are situated around the core and the rim of the
RBD-ACE2 interface. The top enriching mutations on the RBD side are Q493M, S477D,
F490K, N501F, V503M, E484R, Q498H, and on the ACE2 side are T27L, Q42C, S19P (Table
S1). Further investigation of these mutations did not lead to a generalized pattern for
understanding RBD-ACE2 recognition.

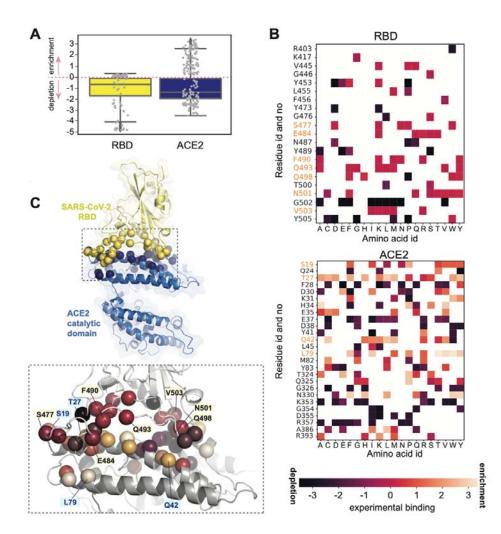




Figure 1. (A) RBD-ACE2 DMS benchmark set. The experimental binding profile distributions of the interfacial RBD (yellow, n=494) and ACE2 (blue, n=494) mutations are represented with box-andwhisker plots. Values >0 indicate binding enriching and values <0 indicate binding depleting

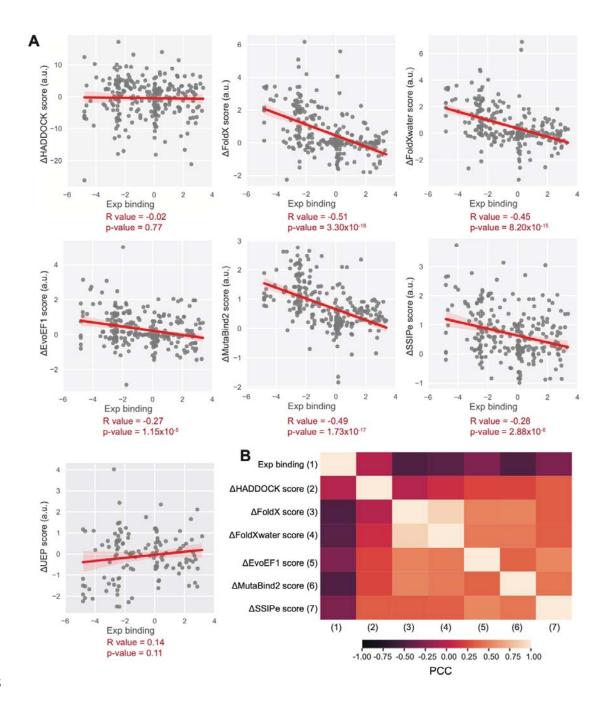
138	mutations. 26.5% of this data set is selected as our benchmark set (n=262): n=84 for RBD (42
139	enriching, 42 depleting) and n=178 for ACE2 mutations (89 enriching, 89 depleting cases)),
140	represented as gray dots. This panel was generated in Python 3.8 by using pandas, Numpy and
141	Seaborn libraries[34–39]. (B) The experimental binding enrichment and depletion values of our
142	benchmark set, RBD (top) and ACE2 (bottom). The values > 0 correspond to binding enriching
143	positions (light orange), while the values <0 represent the depleting ones (dark purple). The
144	positions leading often to binding enriching mutations are highlighted in orange. (C) The structural
145	depiction of enriching mutations. The interface residues of RBD-ACE2 complex are shown in
146	spheres. The color code of the spheres follows the largest binding value measured for a given
147	residue, as shown in Figure 1B. The important positions are highlighted with labels (yellow: RBD,
148	blue: ACE2). The illustration is generated in PyMOL[28] using PDB 6M0J[26].
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150 Benchmarking the affinity predictors: seeking for a linear correlation

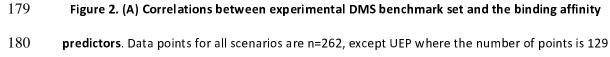
151 For all the mutations in our benchmark set (n=262), we calculated the score change 152 imposed by the mutations with FoldX, FoldXwater, EvoEF1, MutaBind2, SSIPe, and 153 HADDOCK. [14-20] (Figure S2). Then, we investigated whether there is any meaningful 154 correlation with the calculated score changes and experimental binding 155 enrichment/depletion values (Figure 2A, Table S2). Here, a perfect correlation would have 156 an absolute value of 1. As a result, we observed insignificant linear correlations for 157 HADDOCK, EvoEF1, and SSIPe predictors. Mediocre correlations with R values ranging from -158 0.51 to -0.45 were observed for FoldX and MutaBind2. The best correlation (highest R-value) 159 was obtained by FoldX (R = -0.51). Interestingly, including the water effect into FoldX 160 predictions by using FoldXwater did not improve the accuracy of the original approach (R = -161 0.45 vs. R = -0.51). Furthermore, the enhanced scoring function of MutaBind2 built upon 162 FoldX did not improve the original FoldX scoring (R = -0.49 vs. R = -0.51). The same was

observed for SSIPe, since it was built upon EvoEF1's sampling (R = -0.28 vs. -0.27). As the naïve predictor, we ran UEP on a subset of our benchmark (n=129), as UEP is tuned to predict mutation-induced changes of residues that are in contact with more than two atoms. This effort also resulted in an insignificant correlation (R=0.14).

167 We further calculated the pairwise correlations of score changes predicted by each 168 algorithm (Figure 2B). This comparison revealed that HADDOCK reports the most 169 distinct scores compared the other algorithms. We then computed all-atom Root Mean 170 Square Deviations (RMSDs) of each generated mutant model in an all-to-all fashion to 171 understand whether the distinct behavior of HADDOCK came from differentially modeled 172 side chain formations (Figure S3). This analysis demonstrated that HADDOCK indeed 173 generates the largest RMSD models compared to the models computed by the other tools. 174 Notably, MutaBind2 and FoldX conformers resulted in the second highest RMSD cases, 175 indicating that the further minimization steps used by MutaBind2 significantly impacts the 176 final conformation of the FoldX models. As expected, EvoEF1 and SSIPe mutant models 177 came out to be identical, since SSIPe utilizes EvoEF1 to structurally model the mutations.



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181 (~50% of the benchmark set). R- and p-values were calculated by using statistics and scipy libraries

182 of Python 3.8. The statistical data (R and p values) are tabulated in Table S2.

(B) The correlation heatmap of the computational and the experimental scores. The correlation
 values are expressed in terms of Pearson Correlation Coefficients (PCC), ranging between -1 and 1.

185 Highly negatively (PCC = -1) and positively (PCC = 1) correlated predictors are colored with dark

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purple and light orange, respectively.

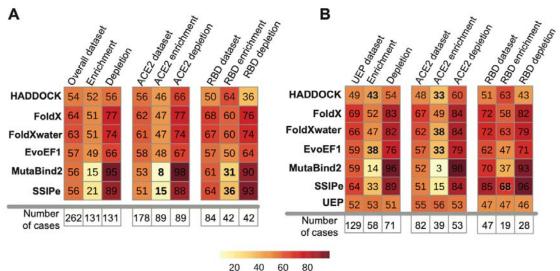
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188 Benchmarking the affinity predictors: seeking for a binary classification

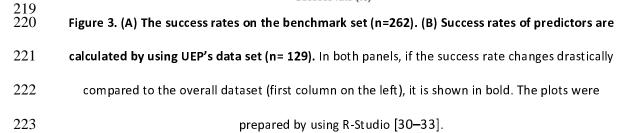
189 Apart from analyzing the correlation between Δ Scores and experimental binding 190 values, we also performed a binary assessment. In this assessment, the tools were tested 191 whether they can predict the direction of binding affinity change (as enriching or depleting). 192 Accordingly, we counted a prediction as successful if the experimental and computational 193 data would agree whether a mutation is enriching or depleting. In this regard, the overall 194 prediction accuracy was calculated as the percentage of correct predictions (the success 195 rate). According to this binary assessment, the overall success rates of predictors varied 196 between 54% and 64% (Figure 3A), where the top-ranking predictor once again came out to 197 be FoldX (64%). FoldXwater ranked the second, once again implying that the inclusion of 198 water effects did not improve the prediction accuracy. When we analyzed ACE2 and RBD 199 subsets individually, better prediction rates for depleting mutations were consistently 200 observed, despite the narrow prediction range posed by the experimental data (Figure 3A). 201 Strikingly, MutaBind2 and SSIPe predicted most mutations as depleting, hinting at a 202 problem in using evolutionary-based terms in scoring the host-pathogen system RBD-ACE2. 203 These observations did not change, when we calculated the success rates only for the 204 residues that frequently lead to enriching mutations (the highlighted residues in Figure 1B-205 C). To investigate this issue further, we calculated the conservation scores of RBD-ACE2 206 interface amino acids by using ConSurf[29] (Figure S4A). As an outcome, we showed that 207 the ACE2-RBD interface is significantly non-conserved (Figure S4B), which led to the 208 misclassification of most mutation outcomes.

209 When we further scored the lowest ranking predictor, HADDOCK's models with 210 FoldX, HADDOCK's success rate increased by 5% (from 54% from 59%) (Figure S5). 211 Normalizing HADDOCK scores by the buried surface area (BSA) of the interface did not 212 improve the success rates (Figure S5). On the UEP subset, the overall prediction 213 performances vary within a broader range, i.e., 49%-69% (Figure 3B), where the top two 214 predictors became FoldX and FoldXwater (69% vs. 66%) and the lowest performing 215 predictors became UEP and HADDOCK (52% and 49%). On both datasets, all predictors had 216 difficulties in predicting binding-enriching mutations compared to the binding-depleting 217 ones (Figure 3).

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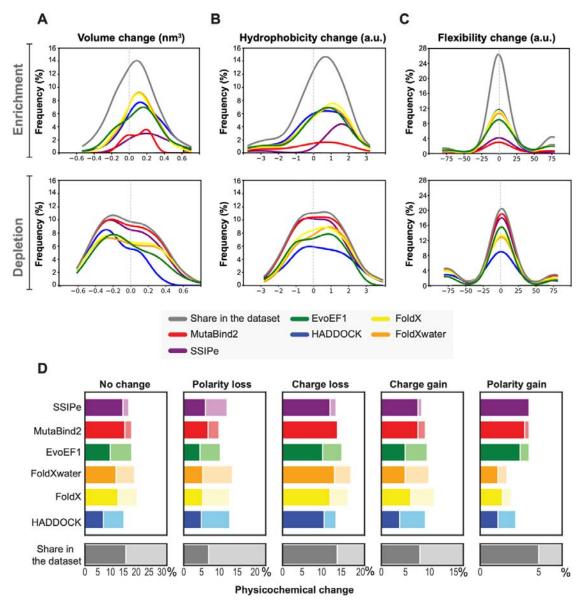
225 Volume and hydrophobicity biases are the most obvious misprediction determinants

226 To explore the prediction dependencies of each tool, we assessed their success rates 227 after classifying the benchmark cases according to volume, hydrophobicity, and flexibility 228 changes imposed by the mutations (Figure 4, Table S3, Figure S6). The residue-based 229 volume values were taken from Zhi-hua et al [34], the hydrophobicity ones from Eisenberg 230 et al. [35], and the flexibility ones from Shapovalov and Dunbrack [36]. For each property, 231 we calculated the frequency distributions of the computed changes, given the enrichment 232 or depletion status of the original mutation (Figure 4A-C). To serve as a background, we 233 calculated the frequency distributions of the experimental data too (gray lines in Figure 4A-234 C). Here our assumption was a discrepancy between the tails of the experimental data and 235 the predictors' distributions should point to an obvious bias for a given property. 236 Accordingly, when we investigated the volume change plots, we saw that all predictors had 237 difficulties in predicting volume decreasing mutations when the mutation induces an 238 enrichment in binding (Figure 4A). In the case of depleting mutations, only HADDOCK has an 239 apparent bias toward classifying volume increasing mutations wrongly. In the case of 240 hydrophobicity change, for all predictors, there is a slight tendency to classify 241 hydrophobicity decreasing binding enriching mutations wrongly. This is more pronounced 242 for hydrophobicity decreasing and binding depleting mutations for HADDOCK. We did not 243 observe any bias for the predictors regarding the changes in the side chain flexibilities.

To gauge whether the enriching and depletion mutation predictions were balanced for a given property, we calculated the difference between the area under the curve of the successfully predicted enriching and depletion mutations (ΔSuccess, Figure S6). Here, our assumption was, if the prediction is balanced, then the ΔSuccess should be close to zero. On the other hand, the ΔSuccess values towards 100 and -100 should indicate extreme biases. This analysis revealed that HADDOCK has moderate volume change bias with 34 ΔSuccess

250 score. Normalizing HADDOCK scores by the BSA of the interface doubled this bias leading to 251 78 Δ Success score. When HADDOCK models were scored with FoldX, the original 34 252 Δ Success shifted to -31 Δ Success, resulting in a bias toward depleting mutations (Figure S6). 253 When we considered the success rates based on hydrophobicity, we found out that FoldX 254 tends to predict depleting cases better with -31 Δ Success score. Inclusion of water in FoldX 255 (FoldXwater) increases this moderate bias (Δ Success score -36 vs -31). The bias towards 256 increased hydrophobicity might stem from the fact that only three of the 26 ACE2 interface 257 residues (Y41, Y83, K353) and only six of the 26 RBD interface residues (L455, F456, N487, 258 Y89, Q498, N501) are core interface residues, while the rest are partially or totally solvent 259 exposed (as calculated by EPPIC [37]). The predictors are not tuned to perform well on such 260 unusual binding sites, where a short fraction of the interface is composed of buried 261 hydrophobic residues. As an outcome, we observed challenges in predicting mutations with 262 changes in hydrophobicity. Finally, we could not find any relation between the flexibility 263 change and success rate of predictors (Figure 4C and Figure S6). Finally, we found that 264 Δ Success is extremely skewed for all metrics for MutaBind2 and SSIPe, since they predict 265 almost all mutations as depleting.

266 To present a complete analysis, we also investigated the impact of the 267 physicochemical property changes induced by each mutation on the prediction accuracy 268 (Figure 4D). The physicochemical change classes we considered were no change, polarity 269 loss/gain, and charge loss/gain. To serve as a background, we calculated the share of each 270 class within the original data set (gray bars in Figure 4D). As a result, we could not observe 271 any specific bias given a physicochemical property change. The success shares reflect the 272 general trends observed in successfully predicting binding enriching or depletion mutations, 273 as shown in Figure 4A.



274 275 Figure 4. The effects of mutation-induced changes in the amino acid physical properties on the 276 predictor's success rates according to (A) Volume change, (B) Hydrophobicity change, (C) Flexibility 277 change. The original data set distribution for a given binding class is plotted in gray. The other 278 predictors are colored as provided in the legend. Volume/hydrophobicity/flexibility-increasing 279 mutations reside on the "positive side" of the plot (x-axis value >0), whereas 280 volume/hydrophobicity/flexibility-decreasing mutations reside on the "negative side" of the pot (x-281 axis value <0) (D) The percentage of the correctly predicted cases, given the physicochemical change 282 induced upon mutation when polarity and charge states are considered. and light colors represent

class in the dataset is plotted in grey.

- 283 successfully predicted depleting and enriching cases, respectively. The original data share for a given
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- 285

286 Which other physicochemical factors could play a role in the misprediction of mutations?

287 Interestingly, we could not observe any bias over the correctly predicted cases when 288 the experimental data spared was considered (Figure S7A). This observation directed our 289 attention towards a factor that is missing in all these assessments, namely, the RBD/ACE2 290 glycan chains. Both the catalytic domain of ACE2 and RBD contains multiple glycosylation 291 sites, the impact of which were explored by detailed all-atom MD simulations [38-44]. 292 Nguyen et al., for example, conducted simulations involving non-glycan, MAN9-glycan, and 293 FA2-glycan ACE2-RBD MD simulations for more than 200 microseconds [38]. As an 294 outcome, they revealed that ACE2 glycans impact virus binding affinity through electrostatic 295 effects, without disrupting the physical contacts established between the virus and its host. 296 The direct impact of the glycans on the ACE2-RBD binding affinity were demonstrated 297 experimentally too[45]. To explore the potential impact of missing glycan chains in our 298 calculations, we computed the distances from wrongly predicted mutation sites to the six 299 ACE2 glycosylation sites (N53, N90, N103, N322, N432, N546) (Figure S7B). This analysis 300 showed that for all the predictors, at least one fourth of the wrongly predicted mutation 301 sites fall within 20 Å of these six sites, endorsing the role of missing glycans. Here, we should 302 note that HADDOCK provides an option to incorporate glycans into the predictions. Though, 303 as demonstrated earlier, its use will be limited to very short glycan chains presented in the 304 reference EM structure[10]. We therefore did not resort to this option for the sake of being 305 consistent in our benchmarking.

306 Can AI methods perform better than the classical techniques?

307 During recent years, several AI methods have been proposed for predicting 308 mutation-imposed interaction changes [21–23,46–48]. Among these tools, we concentrated 309 on two, mmCSM-PPI[22] and TopNetTree[23,24], of which results on the RBD-ACE2 system 310 were readily available. [24,49–56]. The machine learning approach, mmCSM-PPI, utilizes 311 physicochemical and geometrical properties of protein structures within a graph-based 312 structural framework to model the impact of mutations on the inter-residue interaction 313 network. mmCSM-PPI includes evolutionary scores, non-covalent interactions, and 314 dynamics terms from Normal Mode Analysis. We ran mmCSM-PPI against our experimental 315 data set through their user-friendly web interface and obtained an R value of 0.53, which is 316 as high as the one from FoldX (Figure S8A, Table S2). mmCSM-PPI2 produced the most 317 similar results to MutaBind2 and SSIPe, with PCC values of 0.70 and 0.50, respectively 318 (Figure S8B). In predicting the direction of mutation impact, the overall success rate for 319 mmCSM-PPI2 became 57%, with success rates of 22% for enriching and 92% for depleting 320 mutations (Figure S8C-D). The failure of mmCSM-PPI in predicting the enriching mutations 321 aligns well with the behavior of other two evolutionary-inclusive MutaBind2 and SSIPe 322 algorithms.

323 TopNetTree, a recent deep learning approach, includes physical pairwise 324 interactions, Euclidean distances, and cavity structures within a topological framework. 325 Notably, TopNetTree has been actively used in numerous SARS-CoV-2 studies[46,50,52-326 54,56]. In particular, Chen et al., trained TopNetTree on SARS-CoV-2 datasets to accurately 327 predict changes in binding free energy for the S protein, ACE2, or antibodies induced by 328 mutations[24]This tool was not available as a web server or a standalone tool. Though, since 329 its RBD mutation profiles were published earlier, we could take them as a basis in our 330 assessment [24]. Over our RBD data set, TopNetTree obtained an R value of -0.01 (Figure

331 S9A), indicating a lack of meaningful correlation. So, although this approach was specifically 332 trained to predict the outcome of mutations on the complete S protein, it fails to predict the 333 impact of its interfacial mutations. We further observed that, like in the other methods, 334 TopNetTree predicts the depleting cases more efficiently (64% success rate) than the 335 enriching ones (48% success rate) (Figure S9C-D). It also generates the most diverse set of 336 predictions compared to the other probed methods (Figure S9B). 337 Expanding on these results, we claim that, contrary to expectation, machine/deep 338 learning approaches do not yield significantly better results on the RBD-ACE2 system,

339 compared to the classical force-field-based techniques.

340 CONCLUSION

341 In the early months of SARS-CoV-2 pandemic, several fast and user-friendly mutation 342 modeling and scoring tools, such as FoldX and HADDOCK, were heavily used to predict the 343 impact of Spike/ACE2 variations across the Spike-ACE2 interface. Expanding on these 344 efforts, in this work, we benchmarked six fast and commonly used structure-based binding 345 affinity predictors (FoldX, EvoEF1, MutaBind2, SSIPe, HADDOCK, and UEP) and two AI 346 approaches (mCSM-PPI and TopNetTree) against the RBD-ACE2 DMS binding data. As a 347 result, we observed that none of the predictors could produce a meaningful correlation with 348 the experimental data (best correlation R-value -0.51 was obtained with FoldX). Even when 349 a binary classification (binding enriching/depleting) was considered, the highest accuracy 350 was obtained by FoldX with 64% success rate. Furthermore, all predictors had difficulties in 351 predicting binding enriching mutations, especially the ones using conservation-based terms 352 in their scoring. Finally, the most obvious biases in mispredictions were found to be toward 353 volume and hydrophobicity changes, especially for HADDOCK and FoldX, respectively.

These observations suggest plenty of room to improve the affinity predictors for guessing the variant-induced binding profile changes of host-pathogen systems, such as Spike-ACE2. To aid such improvements we provide our benchmarking data at <u>https://github.com/CSB-KaracaLab/RBD-ACE2-MutBench</u> with the option to visualize our mutant models at <u>https://rbd-ace2-mutbench.github.io/</u>. We hope that our benchmarking study will guide the computational community for being prepared not only for combatting SARS-CoV-2-related health concerns but also other infectious diseases.

361 MATERIALS and METHODS

362 Interfacial DMS value selection

- 363 The original DMS sets contained 3,819 and 2,223 point mutations for RBD and ACE2,
- 364 respectively. From this set, we isolated the interfacial 988 RBD-ACE2 point mutations, for
- the following residues (calculated over 6m0j[26] with PDBePISA[25] (Figure 1C)):
- 366 Twenty-six RBD positions: R403, K417, V445, G446, Y449, Y453, L455, F456, Y473, A475,
- 367 G476, S477, Q484, G485, F486, N487, Y489, F490, Q493, G496, Q498, T500, N501, G502,
- 368 *V503, and Y505.*
- 369 Twenty-six ACE2 positions: S19, Q24, T27, F28, D30, K31, H34, E35, E37, D38, Y41, Q42, L45,

370 L79, M82, Y83, T324, Q325, G326, N330, K353, G354, D355, R357, A386, R393.

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372 Structure-based binding affinity predictors

Below are the brief scoring description of each predictor used. Except for UEP, all predictors explicitly model the mutation according to predictor's force field. Except for

375 HADDOCK, these predictors sample a single conformation of the mutation.

FoldX: The scoring function of FoldX is a linear sum of vdW energy (ΔG_{vdw}), hydrophobic (ΔG_{solvH}) and polar group desolvation (ΔG_{solvP}) energies, hydrogen bond energy from water molecules (ΔG_{wb}), hydrogen bond energy (ΔG_{hbond}), electrostatic energy (ΔG_{el}), the electrostatic contribution of different polypeptides (ΔG_{kon}), entropic penalty for backbone (ΔS_{mc}), entropic penalty of the side chain (ΔS_{sc}), and steric overlaps (ΔG_{clash}) (Eq. 1). FoldX also has an option to include the contribution of water molecules to the binding affinity (FoldXwater).

$$383 \qquad \qquad \mathsf{E}_{\mathsf{FoldX}} = \mathsf{a}^* \Delta \mathsf{G}_{\mathsf{vdw}} + \mathsf{b}^* \Delta \mathsf{G}_{\mathsf{solvH}} + \mathsf{c}^* \Delta \mathsf{G}_{\mathsf{solvP}} + \mathsf{d}^* \Delta \mathsf{G}_{\mathsf{wb}} + \mathsf{e}^* \Delta \mathsf{G}_{\mathsf{hbond}} + \mathsf{f}^* \Delta \mathsf{G}_{\mathsf{el}} + \mathsf{g}^* \Delta \mathsf{G}_{\mathsf{kon}} + \mathsf{h}^* \mathsf{T}_{\mathsf{r}} \mathsf{d}^* \mathsf{d}^*$$

 $\Delta S_{mc} + k^* T \Delta S_{sc} + l^* \Delta G_{clash} (Eq. 1)$

EvoEF1: The scoring function of EvoEF1 contains van der Waals (E_{vdw}), electrostatics (E_{elec}), hydrogen bond (E_{HB}), desolvation energies (E_{solv}), and the energy of a reference state (E_{ref}) (Eq. 2).

$$E_{EvoEF1} = [E_{vdw} + E_{elec} + E_{HB} + E_{solv}] - E_{ref} (Eq. 2)$$

MutaBind2 and SSIPe: use FoldX and EvoEF1, respectively, to explicitly model the desired mutation. MutaBind2 further imposes relaxation and utilizes extra force field and contactbased terms, together with a metric measuring the evolutionary conservation of the mutation site. All these terms are incorporated into a random forest based scoring algorithm. SSIPe uses EvoEF1 energy terms and residue conservation-related terms, extracted from iAlign[57] and PSI-BLAST[58].

HADDOCK: In this work, we used HADDOCK water refinement to model the mutations. The complexes then scored according to the sum of three terms, van der Waals, electrostatic, and desolvation energy (Eq.3) [59]. For each HADDOCK modeling, we generated 250 conformations, and subsequently selected the conformation with the lowest HADDOCK score for further analysis.

400 E_{HADDOCK} = w*EvdW + w*Eelec + w*Edesolv (Eq. 3)

401 **UEP**: UEP predicts the impact of all possible interfacial mutations, when the position of 402 interest has interactions with at least two other residues (within 5Å range). The scoring 403 function of UEP expands on the statistically determined intermolecular contact potentials.

404 Τо run FoldX and EvoEF1, used their stand-alone we packages 405 (http://foldxsuite.crg.eu/products#foldx, https://github.com/tommyhuangthu/EvoEF). 406 HADDOCK, MutaBind2, and SSIPe were run on their servers, as given in

407 <u>https://milou.science.uu.nl/services/HADDOCK2.2/</u>,

408	https://lilab.jysw.suda.edu.cn/research/mutabind2/ https://zhanggroup.org/SSIPe/.
409	MutaBind2, SSIPe, and UEP directly provide the binding affinity change predictions, whereas
410	for the rest we calculated the predicted binding affinity change according to Eq. 4:
411	$\Delta\Delta G_{\text{predicted}} = \Delta G_{\text{mut}} - \Delta G_{\text{wt}} (\text{Eq. 4})$
412	A mutation is evaluated as binding enriching if the predicted binding value change
413	(Δ Score _{predicted}) is <0 and binding depleting, if (Δ Score _{predicted}) is >0.
414	
415	Conservation analysis
416	To investigate why the evolutionary-based approaches failed, we used ConSurf[29] on RBD
417	and ACE2. ConSurf assigns a conservation score to each residue within the protein complex,
418	ranging from 1 to 9, with 1 indicating non-conserved residues and 9 signifying highly
419	conserved ones.
717	
117	
420	Performance evaluation according to change in amino acid physical properties upon a
420	Performance evaluation according to change in amino acid physical properties upon a
420 421	Performance evaluation according to change in amino acid physical properties upon a mutation
420 421 422	Performance evaluation according to change in amino acid physical properties upon a mutation The predictions were evaluated from the perspectives of volume, hydrophobicity,
420 421 422 423	Performance evaluation according to change in amino acid physical properties upon a mutation The predictions were evaluated from the perspectives of volume, hydrophobicity, flexibility, and physicochemical property change upon mutation (ΔProperty _{change} =
 420 421 422 423 424 	Performance evaluation according to change in amino acid physical properties upon a mutation The predictions were evaluated from the perspectives of volume, hydrophobicity, flexibility, and physicochemical property change upon mutation (ΔProperty _{change} = Property _{mutation} - Property _{wildtype} , Table S3). The physicochemical properties considered were:
 420 421 422 423 424 425 	Performance evaluation according to change in amino acid physical properties upon a mutation The predictions were evaluated from the perspectives of volume, hydrophobicity, flexibility, and physicochemical property change upon mutation (ΔProperty _{change} = Property _{mutation} - Property _{wildtype} , Table S3). The physicochemical properties considered were: polar amino acids - N, Q, S, T, Y; non-polar amino acids - A, G, I, L, M, F, P, W, V, C; charged
 420 421 422 423 424 425 426 	Performance evaluation according to change in amino acid physical properties upon a mutation The predictions were evaluated from the perspectives of volume, hydrophobicity, flexibility, and physicochemical property change upon mutation (ΔProperty _{change} = Property _{mutation} - Property _{wildtype} , Table S3). The physicochemical properties considered were: polar amino acids - N, Q, S, T, Y; non-polar amino acids - A, G, I, L, M, F, P, W, V, C; charged amino acids - H, E, D, R, K. Success rate and metric evaluations were performed in Python

429 Success rate = Correct-Predictions/All-Predictions*100 (Eq. 5)

431 DATA AVAILABILITY

432 All results including the codes and notebooks are deposited in Github

433 (https://github.com/CSB-KaracaLab/RBD-ACE2-MutBench) and the models and the scores

434 can be visualized at https://rbd-ace2-mutbench.github.io/.

435

436 SUPPLEMENTARY INFORMATION

437 Supplementary Information are submitted with the manuscript.

438

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444

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448

449 **AUTHOR CONTRIBUTIONS**

B.O. performed predictor runs, statistical analysis and RMSD calculations, generated structural and statistical figures, edited and finalized all the figures, prepared the Github page, and wrote the manuscript. E. Ş. performed predictor runs, calculated the physical property and binary success rates, prepared the Github page, contributed to writing Introduction and Methods & Materials sections. A.Ö performed deep learning predictor

455	runs and the statistical analysis. M.E. generated heatmap tables for success rate results and
456	wrote the manuscript. M.K. performed predictor runs, generated heatmaps for the RMSD
457	calculations and predictor scores. M.O. performed the most enriching mutation analysis.
458	C.Y. performed predictor runs. N. A. and G. K. prepared the visualization page on Github. A.
459	B. B. and B.S. worked on the conceptualization of the benchmark set. E. K. conceptualized
460	the study, overlooked the project, and wrote the manuscript.
461	

461

462 **CONFLICT OF INTEREST**

463 The authors declare no competing interests.

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