# Goal-directed vocal planning in a songbird

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### 14 Abstract

Songbirds' vocal mastery is impressive, but to what extent is it a result of practice? Can 15 they, based on experienced mismatch with a known target, plan the necessary changes to 16 recover the target in a practice-free manner without intermittently singing? In adult zebra 17 finches, we drive the pitch of a song syllable away from its stable (baseline) variant 18 acquired from a tutor, then we withdraw reinforcement and subsequently deprive them of 19 singing experience by muting or deafening. In this deprived state, birds do not recover 20 their baseline song. However, they revert their songs towards the target by about one 21 standard deviation of their recent practice, provided the sensory feedback during the latter 22 signaled a pitch mismatch with the target. Thus, targeted vocal plasticity does not require 23 immediate sensory experience, showing that zebra finches are capable of goal-directed 24 vocal planning. 25

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#### 28 MAIN TEXT

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### 30 Introduction

Speech planning is an important part of human communication and the inability to plan 31 speech is manifest in disorders such as apraxia. But to what extent is targeted vocal 32 planning an entirely human ability? Many animals are capable of volitional control of 33 vocalizations (1,2), but are they also capable of planning to selectively adapt their 34 vocalizations towards a target, such as when striving to reduce the pitch mismatch of a 35 note in a song? Target-specific vocal planning is a cognitive ability that requires extracting 36 or recalling a sensory target and forming or selecting the required motor actions to reach 37 the target. Such planning can be covert or overt. Evidence for covert planning is manifest 38 when a targeted motor change is executed without intermittent practice (3), e.g., when we 39 instantly imitate a word upon first hearing. Overt planning, by contrast, includes practice, 40 but without access to the sensory experience from which target mismatch could be 41 computed, e.g., when we practice a piano piece by tapping on a table. 42

The vocal planning abilities in animals and their dependence on sensory experience remain poorly explored. Motor learning has been mostly studied in tasks where a skilled behavioral response must be produced on the spot, such as when a visual target must be hit by a saccade or by an arm reaching movement (4–7). In this context, motor planning has been shown to enhance motor flexibility, as it allows separation of motor memories when

there are conflicting perturbations (8). However, for developmental behaviors such as
 speech or birdsong that rely on hearing a target early in life (9,10), the roles of practice
 and of sensory feedback for flexible vocal control and for target-directed adaptation are
 unknown.

Recovery of a once-learned vocal skill could be instantaneous (covert), or it might require 52 practice (overt). In support of the former, many motor memories are long-lasting (11), 53 54 e.g., we can recall the happy-birthday song for years without practice. Some memories are even hard to get rid of such as accents in a foreign language. By contrast, practice-55 dependent, but feedback-independent recovery is argued for by arm reaching movements 56 during use-dependent forgetting: following adaptation to biasing visual feedback, arm 57 movements recover when the bias is either removed or the visual error is artificially 58 clamped to zero (5.6). One explanation put forward is that motor adaptation is volatile and 59 has forgetting built-in (7,12), leading to practice-dependent reappearance of the original 60 motor program even without informative feedback (12). Given these possibilities, we set 61 out to probe songbirds' skills of recovering their developmental song target when deprived 62 of either singing practice (to probe covert planning) or of sensory feedback (to probe overt 63 planning). 64

Adult vocal performances in songbirds can be altered by applying external reinforcers 65 such as white-noise stimuli (13,14). When the reinforcer is withdrawn, birds recover their 66 original song within hundreds of song attempts (13,15–17). We argued that these attempts 67 may be unnecessary and birds could recover their original performance by recalling either 68 1) the original motor program (18-20), or 2) its sensory representation (21-23) plus the 69 mapping required for translating that into the original program (15.16) (Fig. 1A). These 70 options might not need sensory feedback, which is argued for by birds' large perceptual 71 song memory capacity (24). That is, birds' song practice may be mainly expression of 72 deliberate playfulness (25), conferring the skill of vocal flexibility rather than serving to 73 reach a target, evidenced by young birds that explore vocal spaces close to orthogonal to 74 the song-learning direction (26) and that are already surprisingly capable of adult-like 75 singing when appropriately stimulated (27). 76

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### 79 **Results**

To test whether birds can covertly recover a song syllable without practice, we first reinforced the pitch of a song syllable away from baseline and then we suppressed birds' singing capacity for a few days by muting their vocal output. We then unmuted birds and tested whether the song has covertly reverted back to the original target. We used syllable pitch as the targeted song feature, because we found that birds did not reliably recover syllable duration in experiments in which we induced them to shorten or lengthen syllable duration (Fig. S1).

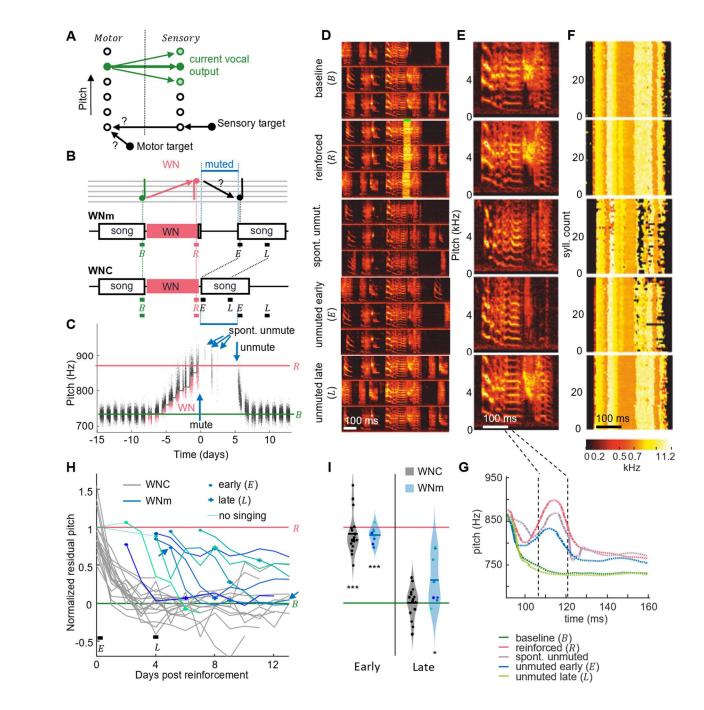
We first drove pitch away from baseline by at least one standard deviation using a whitenoise (WN) stimulus delivered whenever the pitch within a 16-ms time window locked to the targeted syllable was above or below a manually set threshold (Fig. 1B, see Methods). We muted these WNm (white-noise reinforced and muted) birds by implanting a bypass cannula into the abdominal air sac (see Methods). While muted, air is leaking from the abdominal air sac and as a result, sub-syringeal air pressure does not build up to exceed the threshold level required for the self-sustained syringeal oscillations (28) that underlie

singing. Physical absence of such oscillations essentially strips muted birds from all pitch 95 experience.

We muted two birds right after the WN-driven pitch change. After keeping birds for four 97 days in the muted state, we permanently unmuted them to record their undisturbed songs. 98 We observed that the two birds had recovered a mere 10% and -6% of their total WN-99 driven pitch change (Fig. 1H). We hypothesized that unreinforced singing would initiate 100 101 the song recovery process in WNm birds that we assumed birds might be able to accomplish while mute. Therefore, we allowed the subsequent 6/8 WNm birds to sing a 102 few hundreds of target syllables without reinforcement prior to muting them. 103

- In some cases, the bypass cannula got clogged during the muted period and birds were 104 spontaneously unmuted, allowing them to produce a few songs before we reopened the 105 cannula (Fig. 1C-G). These spontaneous unmuting events were not detrimental to our 106 experimental procedures, as they allowed us to inspect birds' current song motor program 107 108 (Fig. 1C).
- After spending  $5.1 \pm 1.6$  days (range 3 8 d, N = 8) in the muted state and upon 109 unmuting, WNm birds displayed an average normalized residual pitch (NRP) of 89%, 110 which was far from baseline ( $p = 6.2 \cdot 10^{-8}$ , tstat = -23.6, N = 8 birds, two-sided t-test 111 of H0: NRP = 0%, songs analyzed in 2 h time window – early (E), see methods, Fig. 1), 112 suggesting that in the muted state, birds are unable to recover their pre-reinforced songs. 113 The average *NRP* in WNm birds was comparable to that of unmanipulated control (WNC) 114 birds within the first 2 h after withdrawal of the reinforcer (average NRP = 91%, p =115  $3.7 \cdot 10^{-11}$ , tstat = 14.8, two-sided t-test for NRP = 0%, N = 18 WNC birds). Indeed, 116 during 5 days without song practice, birds recovered no more pitch distance than birds 117 normally do within the first 2 h of release from reinforcement (p = 0.82, tstat = -0.23, 118 N = 8 WNm and N = 18 WNC birds, two-sided t-test). In WNm birds, there was no 119 correlation between the NRP in the early window and the time since the muting surgery 120 (correlation coefficient = 0.26, p = 0.53), suggesting that the lack of pitch recovery 121 while muted was not due to a lingering burden of the muting surgery. These findings did 122 not sensitively depend on the size of the analysis window — we also tested windows of 4 123 and of 24 h. 124
- Subsequently, after 4 days of unmuted singing experience (roughly 9 days after 125 withdrawal of WN), WNm birds displayed an average NRP of 30%, which was 126 significantly different from the average NRP within the first 2 h after unmuting (p = 3. 127  $10^{-4}$ , tstat = 4.83, N = 8 birds, two-tailed t-test early (E) vs. late (L) time window) but 128 still significantly different from zero (p = 0.04, tstat = 2.59, N = 8 birds, two-tailed t-129 test, late (L) time window). The amount of recovery was neither correlated with the 130 number of renditions sung between early and late windows (correlation coefficient = 131 0.03, p = 0.95), nor with the duration the birds were muted (correlation coefficient = 132 -0.50, p = 0.20), nor with the time since they last sung the target song before 133 reinforcement (correlation coefficient = -0.43, p = 0.29), suggesting the limiting factor 134 for recovery was neither the amount of song practice nor the recovery time from the 135 muting surgery (although for the latter there was a trend). Overall, these findings rule out 136 covert planning in muted birds and suggest that motor practice is necessary for recovery of 137 baseline song. 138

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**Fig. 1. Recovery of pitch target requires practice.** (A) Two hypotheses on birds' ability to recover a song target away from their current vocal output (green circles, motor states on the left, sensory states on the right, shading represents probabilities): Either they could recall the motor target and reactivate it without practice, or they could recall a sensory target plus the neural mapping (black arrows) required to transform it into a motor state. (B) WNm birds were first pitch-reinforced using white noise (WN), then muted, and subsequently unmuted. WN was delivered when the pitch of the target syllable was either below (as exemplified here) or above a threshold. Pitch recovery from the reinforced (R) state towards the baseline (B) target is evaluated in early (E, no practice) and late (L, with practice) analysis windows (all windows are time-aligned to the first 2 h of songs after withdrawal of reinforcement, E) and compared to recovery in unmuted control birds (WNC). (C) Syllable pitches (dots, red=reinforced syllables) of an example

bird that while muted recovered only about 27% of pitch difference to baseline 155 156 despite three spontaneous unmuting events (arrows). (D) Same bird, spectrograms of example song motifs from 5 epochs: during baseline (B), reinforcement (R)157 with WN (green bar), spontaneous unmuting (spont. unmut), and during permanent 158 unmuting (early -E and late -L). (E) Example syllables from same 5 epochs. (F) 159 Stack plot of pitch traces (pitch indicated by color, see color scale) of the first 40 160 targeted syllables in each epoch ('reinforced': only traces without WN are shown). 161 (G) Average pitch traces from F), revealing a pitch increase during the pitch-162 measurement window (dashed black lines) and pitch recovery late after unmuting. 163 (H) WNm birds (blue lines, N = 8) showed a normalized residual pitch (*NRP*) far 164 from zero several days after reinforcement (circles indicate unmuting events, arrow 165 shows bird from C) unlike WNC birds (gray lines, N = 18). Thin dashed lines 166 indicate the two initial birds that were not given reinforcement-free singing 167 experience before muting. (I) Violin plots of same data restricted to early and late 168 analysis windows (\*\*\*p < 0.001, \*p < 0.05, two-tailed t-test of NRP = 0). 169

Next, we tested whether motor experience but not sensory experience is necessary for 170 overt recovery, similar to arm reaching movements that can be restored without guiding 171 feedback (5,29). In a second group of birds, we provided slightly more singing experience 172 (Fig. 2). Instead of muting, WNd birds were deafened through bilateral cochlea removal. 173 This latter manipulation does not suppress the act of singing as does muting, but it 174 eliminates auditory feedback from singing. Deaf birds could gain access to some pitch 175 information via somatosensory stretch and vibration receptors and/or air pressure sensing 176 (30). Our aim was to test whether such putative pitch correlates are sufficient for recovery 177 of baseline pitch (Fig. 2A). However, in the deaf state, WNd birds did not recover baseline 178 pitch even after 4 days of song practice: on the  $5^{th}$  day (late, L) after deafening, their 179 average NRP was still 50%, which was different from zero (p = 0.03, tstat = 2.73, two-180 tailed t-test of H0: NRP = 0%, N = 10, Fig. 2D) and significantly larger than the average 181 NRP of WNC birds on the 5<sup>th</sup> day since withdrawal of reinforcement (difference in 182 NRP = 49%, p = 0.003, tstat = 3.34, df = 26, N = 10 WNd and N = 18 WNC birds, 183 two-tailed t-test). 184

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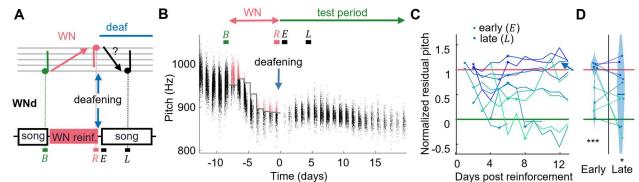


Fig. 2. Recovery of pitch target is impaired after deafening. (A) WNd birds were first pitch-reinforced using white noise (WN) and then deafened by bilateral cochlea removal. Analysis windows (letters) as in Fig. 1. (B) Syllable pitches (dots, red=reinforced syllables) of example WNd bird that shifted pitch down by d' = -2.7 during WN reinforcement and subsequently did not recover baseline pitch during the test period. (C) WNd birds (N = 10) do not recover baseline pitch without auditory feedback (circles=early window after deafening events,

cross=late). (**D**) Violin plots of same data restricted to early and late analysis windows, lines connect individual birds (\*\*\*p < 0.001, \*p < 0.05, two-tailed t-test of *NRP* = 0).

197We speculated that the lack of pitch recovery in WNd birds could be attributable to the198sudden deafening experience, which might be too overwhelming to uphold the plan to199recover the original pitch target. WN deaf birds did not sing for an average of  $2.3 \pm 1.1$ 200days (range 1 to 4 days) after the deafening surgery, which is a strong indication of an201acute stressor (31). We thus inspected a third group of birds (dLO, Fig. 3) taken from (32)202that learned to shift pitch while deaf and that underwent no invasive treatment between the203pitch reinforcing experience and the test period of song recovery.

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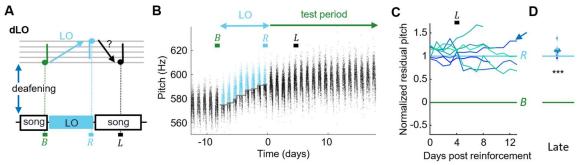
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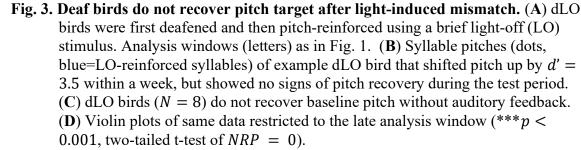
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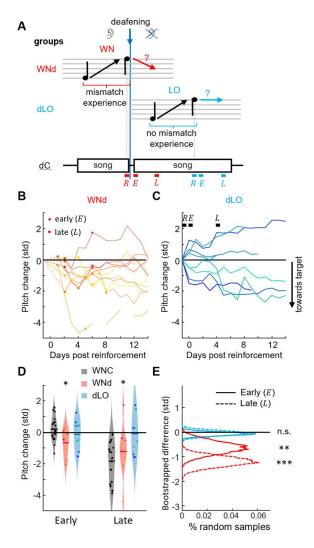
dLO birds were first deafened, and after they produced stable baseline song for several 213 days, their target syllable pitch was reinforced using pitch-contingent light-off (LO) 214 stimuli, during which the light in the sound recording chamber was briefly turned off upon 215 high- or low-pitch syllable renditions (33). dLO birds displayed an average NRP of 112% 216 on the 5<sup>th</sup> day since release from LO, which was significantly different from zero (p =217  $3.7 \cdot 10^{-8}$ , tstat = 25.4, N = 8 birds, two-tailed t-test of H0: NRP=0) and was larger than 218 the NRP in WNC birds on the 5<sup>th</sup> day since release ( $p = 1.3 \cdot 10^{-13}$ , tstat = 14.9, df =219 24, N = 8 dLO and N = 18 WNC birds, two-sided t-test). Thus, dLO birds were unable 220 to recover baseline pitch, suggesting that song recovery requires undiminished sensory 221 experience, which includes auditory feedback. 222

Deaf birds' decreased singing rate could not explain their lack of pitch recovery. Deaf 223 birds sang less during the first 2 hours since release of reinforcement (early) than control 224 birds:  $87 \pm 59$  motif renditions for WNd and  $410 \pm 330$  renditions for dLO compared to 225  $616 \pm 272$  renditions for WNC birds. Also, WNd birds sang only  $4300 \pm 2300$  motif 226 renditions between the early and late period compared to the average of  $11000 \pm$ 227 3400 renditions that hearing WNC birds produced in the same time period. However, 228 despite these differences, when we inspected WNd birds' behavior 9 days after the early 229 window, when they sung on average  $12000 \pm 6000$  renditions, their NRP was still 230 significantly different from zero (NRP = 0.37, p = 0.007, tstat = 3.47, df = 9). Thus, 231 even after producing more practice songs than control birds, deaf birds did not recover 232

baseline pitch and so the number of songs alone cannot explain why deaf birds do not
fully recover pitch. We conclude that auditory experience seems to be necessary to
recover song.

That song practice and sensory experience are required for full recovery of song does not 236 imply that without experience, birds are incapable of making any targeted changes to their 237 songs at all. We therefore inspected birds' fine-grained vocal output and whether they 238 changed their song in the direction of baseline when deprived of sensory experience. We 239 hypothesized (Fig. 4A) that when birds experience a target mismatch during reinforcement 240 (i.e., they hear that their song deviates from the target), they plan to recover the pitch 241 target, and a portion of this plan they can execute without feedback. If, by contrast, they 242 have no mismatch experience before deafening, they will make no corresponding plan. 243 Hence, we predicted that WNd birds that experienced a pitch mismatch during 244 reinforcement and before deafening would slightly revert their song towards baseline even 245 in the absence of auditory feedback. By contrast, dLO birds that did not experience a 246 mismatch because they did not hear their song while it was reinforced, would not revert 247 towards the target (Fig. 4A). 248

Indeed, WNd birds changed their pitch significantly towards baseline already in the first 2 249 h of their singing since release from reinforcement (relative to the pitch from the last 2 h 250 during reinforcement). We quantified local pitch changes in terms of the d' sensitivity of 251 signal detection theory (which is independent of shift magnitude) and found d' = -0.60252 (p = 0.03, tstat = -2.19, df = 9, N = 10 WNd birds, one-sided t-test of H0: d' = 0). A253 significant reversion towards pitch baseline was still evident after 4 days of practice (d' =254 -1.27, p = 0.02, tstat = -2.35, N = 10 WNd birds, one-sided t-test, Fig. 4B, D), 255 showing that pitch reversion in deaf birds is persistent. Because the average pitch shift in 256 WNd birds was on the order of one standard deviation  $(d' \approx 1)$ , we conclude that without 257 258 auditory experience, birds are able to perform target-directed pitch shifts of about the same magnitude as their current exploratory range (i.e., the denominator of the d'259 measure). 260



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Fig. 4. Target mismatch experience is necessary for revertive pitch changes. (A) WNd birds heard a target mismatch during reinforcement whereas dLO birds did not. dC birds were not pitch reinforced, their analysis windows matched those of manipulated birds in terms of time-since-deafening. (B, C) Pitch change between the last 2 h of reinforcement (R) and the first 2 h of song after withdrawal of reinforcement (E) in std for WNd (red, B) and dLO (blue, C) birds. Curves are plotted such that pitch changes towards the target are pointing down (see Methods). (D) WNd (red) perform both early and late pitch changes in the direction of the baseline target (by about one standard deviation, \* p < 0.05, one-tailed t-test), similar to WNC (gray) and unlike dLO (blue) birds without mismatch experience. (E) Bootstrapped pitch differences between reinforced WNd (blue) and dLO (red) and 10'000 times randomly matched dC birds, shown for early (solid line) and late (dashed line) analysis windows. The stars indicate the bootstrapped probability of a zero average pitch difference between reinforced and dC birds (n.s. not significant, \*\* p < 0.01, \*\*\* p < 0.001).

In contrast, dLO birds showed no signs of reverting pitch, neither in the first 2 h since release of reinforcement (d' = -0.13, p = 0.36, tstat = -0.37, df = 7, N = 8 birds, one-sided t-test), nor after 4 days of practice (d' = -0.08, p = 0.43, tstat = -0.18, df =7, N = 8 birds, one-tailed t-test, Fig. 4C, D). The pitch change in dLO birds was

indistinguishable from that in deaf controls (dC) that were not pitch reinforced (Fig. 4A, E).

The singing rate does not explain why deaf birds with mismatch experience partially 285 revert their song towards baseline, unlike deaf birds without mismatch experience. WNd 286 birds sang less during the first 2 h after reinforcement (early) than both control birds (p =287  $2.3 \cdot 10^{-6}$ , tstat = 6.02, df = 26, N = 10 WNd and N = 18 WNC birds, two-sided t-288 test) and dLO birds (p = 0.008, tstat = 3.06, df = 16, N = 8 dLO birds, two-sided t-289 test), unlike dLO birds that sang similar amounts as WNC birds (p = 0.11, tstat = 1.67, 290 df = 24, two-sided t-test). If the number of songs were to determine the rate of recovery, 291 we would have seen the opposite effect (dLO birds should recover similar amounts as 292 293 WNC birds and significantly more than WNd birds). In conclusion, singing rate does not explain the difference between WNd and dLO birds. 294

To discount for the effect of time elapsed since deafening and quantify the change in pitch 296 specifically due to reinforcement, we bootstrapped the difference in d' between dLO/WNd 297 birds and a new group of dC birds that were deafened but experienced no prior 298 reinforcement (see methods). To discount for possible influences of circadian pitch trends, 299 300 we assessed early and late pitch changes in reinforced birds and in dC birds in 2 h time windows separated by multiples of 24 h (and again flipped pitch changes in birds that 301 were reinforced to decrease pitch, see Methods). In agreement with the findings above, we 302 found that significant reversion towards baseline was only seen in WNd birds and very 303 consistently so (Fig. 4E, Table S1), showing that prior experience of a target mismatch is 304 necessary for pitch reversion independent of auditory feedback. 305

We further validated our finding using a linear mixed effect model on the combined NRP 307 data of all groups (see Methods), which confirmed our previous findings: We did not find 308 a significant effect of the time without practice between R and E windows on the NRP in 309 the E window (fixed effect -0.04, p = 0.2), confirming that birds do not recover without 310 practice. Neither deafening nor muting had a significant effect by itself but the interaction 311 between deafening and time (late) was associated with an NRP increase of 0.67 (fixed 312 effect,  $p=2*10^{-6}$ ), demonstrating that deaf birds are significantly further away from 313 baseline (NRP = 0) than hearing birds in late windows, thereby confirming that birds 314 require auditory feedback to recover a distant pitch target. Importantly, we found that 315 mismatch experience was associated with a significant fixed effect of -0.37 on the NRP 316 (fixed effect towards the target, p=0.006), supporting our finding that limited vocal 317 plasticity is possible even in the absence of auditory feedback. 318

Our results thus argue for a model of song maintenance in which birds extract from target mismatch experience a plan of reducing the mismatch. Without practice and auditory experience, birds cannot reach a distant motor target (Fig. 5a). With practice and without auditory experience, they can make small changes towards a target, which we refer to as the planning range. Auditory experience allows them to consolidate the small changes such that step-by-step they can reach even a distant target (Fig. 5b).

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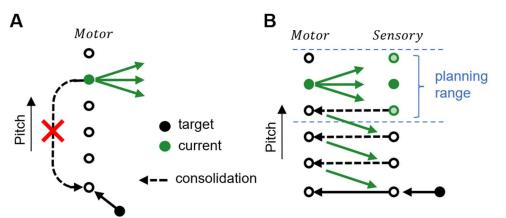


Fig. 5. Schematic illustrating the goal-directed planning of vocal changes. (A) Without practice, birds cannot recover a distant motor target (black filled circle) far away from the current motor output (green filled circle). (B) Without auditory experience, birds can make motor changes (green arrows) towards a target within a small range, we refer to this range as the (overt) planning range (blue). To recover a distant target (black filled circle) beyond the planning range, birds need auditory experience (green circles under Sensory), presumably to consolidate (dashed arrows) the overt motor changes.

### 339 Discussion

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340Our work shows that recent auditory experience can drive motor plasticity even while an341individual is deprived of such experience, i.e. zebra finches are capable of overt vocal342planning. But to reach a distant vocal target beyond the pitch range they have recently343produced necessitates auditory feedback, which sets a limit to zebra finches' overt344planning ability.

Our insights were gained in deaf birds and we cannot rule out that deaf birds could gain 346 access to pitch information via somatosensory-proprioceptive sensory modalities. 347 However, such information, even if available, cannot explain the difference between the 348 "mismatch experience" (WNd) and the "no mismatch experience" (dLO) groups, which 349 strengthens our claim that the pitch reversion we observe is a planned change and not 350 merely a rigid motor response (as in simple use-dependent forgetting (5,6)). Also, it is 351 unlikely that dLO birds' inability to recover baseline pitch is somehow due to our use of a 352 reinforcer of a non-auditory (visual) modality, since somatosensory stimuli do not prevent 353 reliable target pitch recovery in hearing birds (42). Thus, the overt planning ability is an 354 active experience-dependent process. 355

In our two-stage model, recovery of a developmentally learned vocal target is controlled 357 by two hierarchical processes, a (lower) highly flexible process with limited scope ( $d' \simeq$ 358 1, Fig. 4), and a dependent (higher) process enabled by experience of the former. Such 359 motor learning based on separate processes for acquisition and retention is usually referred 360 to as motor consolidation (4,34,35). Accordingly, the hierarchically lower process of 361 acquisition or planning as we find is independent of immediate sensory experience, but the 362 hierarchically higher process (consolidation of the lower process) requires experience. 363 Perhaps then, it is the sensory experience itself that is consolidated, and therefore, 364 consolidation of sensory experience may be a prerequisite for extensive planning. 365

We cannot distinguish the overt planning we find from a more complex use-and-367 experience dependent forgetting, since we only probed for recovery of pitch and did not 368 attempt to push birds into planning pitch shifts further away from baseline. Evidence for 369 more flexible planning is provided by the pitch matching skills of nightingales (3). 370 Interestingly, although nightingales can reach without practice even distant pitch targets, 371 the targets in (3) were also located within the extent of nightingale's recent song practice, 372 so also satisfied  $d' \simeq 1$ . Perhaps then, our two-stage model of song plasticity of planning 373 374 and consolidation in Figure 5 applies more broadly in songbirds and not just in zebra finches. 375

Consolidation in motor learning generally emerges from anatomically separated substrates 376 for learning and retention (5). Such separation also applies to songbirds. Both 377 reinforcement learning of pitch and recovery of the original pitch baseline depend on the 378 anterior forebrain pathway and its output, the lateral magnocellular nucleus of the anterior 379 nidopallium (LMAN)(16). LMAN generates a pitch bias that lets birds escape negative 380 381 pitch reinforcers and recover baseline pitch when reinforcement is withdrawn (14), thus is likely involved in planning. This pitch bias is consolidated outside of LMAN (16,36) in a 382 nonlinear process that is triggered when the bias exceeds a certain magnitude (37). This 383 threshold magnitude is roughly identical to the planning limit we find  $(d' \approx 1)$ , suggesting 384 that birds' planning limit arises from the consolidation of LMAN-mediated motor 385 plasticity. Although it remains to be seen whether LMAN is capable of executing motor 386 plans without sensory feedback, our work provides a new perspective on the neural basis 387 of birdsong learning and consolidation in and around LMAN. 388

The formation of a planned motor change may not require LMAN itself, because 389 pharmacological suppression of LMAN sets the bias to zero, but upon removal of output 390 suppression, the pitch of the song syllable that was targeted by reinforcement jumps by 391 about 1% away from the reinforced pitch zone (38), which corresponds to about d' = 1, 392 about the planning limit we find. Originally, this jump was interpreted as evidence of 393 functional connectivity or an efference copy between the anterior forebrain pathway of 394 which LMAN is part of and some other unspecified variability-generating motor area. 395 However, in our view, a simpler explanation requiring neither functional connectivity nor 396 efference copy is that LMAN is involved in putting a plan into action, which in that case is 397 to produce syllable variants that are unaffected by WN. 398

Zebra finches' ability to plan directed song changes could hinge on song memories that 399 feed into LMAN and that could drive neurons there to produce diverse perceptual song 400 variants. LMAN neurons are selective for the bird's own song but not the target song 401 (21,22), which makes them well suited for executing song plans within the range of recent 402 experience (i.e., if the song is outside recent experience, it elicits no LMAN response and 403 so does not gain access to planning circuits). Furthermore, LMAN neurons show mirrored 404 activity, i.e., similar activity when a zebra finch produces a vocal gesture and when it 405 hears the same gesture played through a loudspeaker (39,40). This mirrored activity has 406 been argued to be involved in translating an auditory target into the corresponding motor 407 command, also known as an inverse model (41). Mirroring in LMAN was observed across 408 the song variability generated over a period of several hours, which is about the same as 409 the experience-dependent pitch planning limit we find. Zebra finches could thus transform 410 a desired pitch change into the corresponding motor plan via LMAN's aligned sensory and 411 motor representations of recent vocal output. 412

In a broader context of motor recovery, birds' failure to recover baseline pitch without 413 guiding sensory feedback agrees with reports that binary reinforcement (as we used) slows 414 down or prevents forgetting of the adapted behavior (6). However, whereas forgetting is 415 fast when sensory errors affect arm movements (6), the contrary applies to birdsong, 416 where pitch learning from artificial sensory errors is slower and less forgotten (42) than is 417 pitch learning from binary reinforcement (13,15). Hence, the commonality of short-term 418 visuo-motor adaptation and of birdsong maintenance is that slow learning leads to slow 419 420 forgetting, regardless of whether it is due to sensory errors or reinforcement. Such conclusion also agrees with observations that zebra finch song does not recover to pre-421 manipulated forms, both after restoring auditory feedback after long-term (>5 months) 422 deprivation (43) and after restoring normal syrinx function after long-term (16 weeks) 423 manipulation with beads (44), suggesting that song can spontaneously recover only within 424 some limited time since it was manipulated. 425

Our observations in zebra finches could be relevant to other species including humans. 426 427 The planning abilities we find bear resemblance to human motor imagery for movement learning, which is most effective when subjects already show some competence for the 428 movements to be learned (45), suggesting a recall-dependent process. Naively, human 429 vocal flexibility seems superior to that of zebra finches, since we can flexibly change 430 sound features such as loudness, pitch, and duration to convey emotional state or to 431 comply with the tonal and rhythmical requirements of a musical piece (46,47), whereas 432 zebra finches produce more subtle modulations of their songs e.g. when directing them to 433 a female (48). Nevertheless, a limit of human vocal flexibility is revealed by non-native 434 accents in foreign languages, which are nearly impossible to get rid of in adulthood. Thus, 435 a seeming analogous task to re-pitching of zebra finch song, in humans, is to modify 436 developmentally learned speech patterns. 437

Our findings help elucidate the meaning of song signals in songbirds and the evolutionary 438 pressures of singing. Because zebra finches seem incapable of large jumps in performance 439 without practice, their current song variants are indicative of the recent song history, 440 implying that song is an honest signal that zebra finches cannot adapt at will to deceive a 441 receiver of this signal. Hence, if high pitch has either an attractive or repelling effect on 442 another bird, a singer must commit to being attractive or repulsive for some time. In 443 extension, we speculate that limited vocal flexibility increases the level of commitment to 444 a group and thereby strengthens social cohesion. 445

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# 448 Materials and Methods

- All experimental procedures were in accordance with the Veterinary Office of the Canton of Zurich (licenses 123/2010 and 207/2013) or by the French Ministry of Research and the ethical committee Paris-Sud and Centre (CEEA N°59, project 2017-12).
  - Subjects:

We used in total 76 birds. All birds were 90-300 days old (except one 853-day old control bird) and were raised in the animal facility of the University of Zurich or in Saclay. During recording, birds were housed in single cages in custom-made sound-proof recording chambers equipped with a wall microphone (Audio-Technica Pro4 and 2), a loudspeaker. The day/night cycle was set to 14/10 h except for one muted bird that was in constant light due to a technical problem.

461 Song Recordings:

Vocalizations were saved using custom song-recording software (Labview, National
Instruments Inc.). Sounds were recorded with a wall microphone and digitized at 32 kHz.
In all birds, we recorded baseline vocal activity for at least 3 days before doing any
manipulation (deafening or pitch reinforcement).

# Pitch Reinforcement:

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We calculated pitch (fundamental frequency) as described in (15). To provide pitch 468 reinforcement in real time, we used a two-layer neural network trained to detect a 469 manually clustered syllable containing a harmonic stack (49). We evaluated the 470 fundamental frequency of that syllable in a 16-24-ms time window following detection. 471 For pitch reinforcement, we either broadcast a 50-60-ms long white noise (WN) stimulus 472 through a loudspeaker or briefly switched off the light in the isolation chamber for 100-473 500 ms (LO) when pitch was below or above a manually set threshold. The WN/LO 474 stimulus onset occurred 7 ms after the pitch calculation offset. We performed cumulative 475 pitch shifts across several days by adjusting the pitch threshold for WN/LO delivery each 476 day, usually setting it close to the median value of the previous day. Sometimes the 477 threshold was set more than once during a day, in this case we set it close to the median of 478 the pitch values measured so far during that day. All birds were shifted by at least 1 479 standard deviation (d' > 1, see Section Pitch Analysis). 480

Reported pitch values were collected as above, except in muted birds that directly after 481 unmuting produced syllables of lower amplitude and with distorted spectral features (e.g. 482 Fig. 1C, E, F), which resulted in frequent mis-detections by the neural network. In muted 483 birds, we therefore performed semi-automatic (manually corrected) syllable detection and 484 we computed pitch at a fixed time lag after syllable onset. Despite deafening leading to 485 degradation of birds' song (50), syllable detection and pitch calculation were still possible 486 in all deaf birds (birds were recorded during 13-50 days after deafening surgery, age range 487 90-300 dph, n=44 birds). Since pitch shifting was balanced in all deaf bird groups (the 488 same number of birds were up- and down-shifted), systematic changes in pitch post 489 deafening (50) will average out and so would not affect our findings. 490

# 491 **Duration Reinforcement:**

492 Duration reinforcement was performed similarly as pitch reinforcement but instead of 493 measuring the pitch of a targeted syllable, we measured the duration of a targeted song 494 element (either a syllable, a syllable plus the subsequent gap, or just a gap). Onsets and 495 offsets of the targeted element were determined by thresholding of the root-mean square 496 (RMS) sound amplitude.

# **Bird groups:**

WN Control (WNC): 18 birds in the control group underwent WN pitch reinforcement
 (10/18 up-shifted, 8/18 down-shifted). Thereafter, the WN stimulus was withdrawn, and
 no further experimental manipulation took place.

WN muted (WNm): in 8 birds, we first reinforced pitch using white noise (WN) auditory 502 stimuli and then we reversibly muted the birds by performing an airsac cannulation. 503 Normally, when WN stimuli are contingent on low-pitch renditions, birds tend to shift the 504 pitch up, and in 5/6 birds this was indeed the case. However, one bird shifted the pitch 505 down, in an apparent appetitive response to WN, this bird responded appetitively also 506 when the WN contingency was changed, resulting in a net upward shift at the end of the 507 WN period, see also (49). In 2 birds, we targeted high-pitch variants and these birds 508 shifted the pitch down, as expected. Thus, in total, in 6/8 birds (including the bird with the 509 510 apparent appetitive response), we drove the pitch up and in 2/8 birds, we drove the pitch down. 511

Two birds underwent the muting surgery directly after withdrawal of WN stimuli. To 6/8 512 birds (4 up-shifted and 2 down-shifted), we provided the opportunity to sing without WN 513 before the muting surgery. During on average 4h51mins (range 10 mins to 14h), these 514 latter birds produced on average 649 song motifs (56, 100, 400, 458, 480, and 2400 515 motifs) without WN; the example bird shown in Fig. 1C produced 56 song motifs within 516 11 minutes during the 30 minutes it was allowed to sing without aversive reinforcement. 517 WN deaf (WNd): 10 birds were first pitch reinforced (5/10 were up-shifted and 5/10 518 519 down-shifted) with WN and then they were deafened by bilateral cochlea removal. WNd birds started to sing on average  $3\pm 1$  days after deafening (range 2 to 5 days) and were 520 recorded for at least 15 days after the deafening surgery. 521

- Deaf LO (dLO): 8/10 birds from (32) were recorded after the reinforcement period and we 522 analyzed the associated data. These birds were first deafened by bilateral cochlea removal, 523 then they underwent pitch reinforcement with light-off (LO) stimuli that acts as an 524 appetitive stimulus in deaf birds. The lamp in the recording chamber was switched off for 525 100-500 ms when the pitch was either above or below a manually set threshold (daily 526 threshold adjustment followed the same procedure as for WNm birds). 3/8 birds received 527 LO for low-pitched syllables and 5/8 birds for high-pitched syllables. One of the birds that 528 received LO for high-pitched syllables changed its pitch away from LO instead of towards 529 it, thus we ended up with a balanced data set with 4/8 birds shifting pitch up and 4/8 birds 530 shifting down. dLO birds were recorded for at least 5 days after the deafening surgery. 531 Details of light-induced pitch shifting are described in (32). 532
- 533 **Deaf control (dC):** we analyzed 26 syllables from 20 birds taken (12 from (32) and 8 534 additional ones) that were deafened and then recorded without any further manipulation. 535 We used these birds to discount for pitch changes in WNd and dLO birds due to absence 536 of auditory feedback, see bootstrapping.
- *WN duration (WNdur):* 12 birds underwent duration reinforcement using WN, in 9 birds
  the targeted sound feature was syllable duration, in 2 birds the targeted feature was
  syllable-plus-gap duration, and in one bird the targeted feature was gap duration. In 4
  birds, the duration was squeezed and in 8 birds the duration was stretched. As in WNC
  birds, we did no further experimental manipulation after withdrawal of the WN stimulus.
  One bird changed its duration towards WN showed an apparent appetitive response to WN
  as for the one muted bird.

# Muting

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546 We muted birds by inserting a by-pass cannula into the abdominal air sac (51) as follows. 547 Preparation of by-pass cannula: After incubation in 70% ethanol, we clogged a 7 mm long 548 polyimide tube (diameter 1.2 mm) with sterile paper tissue. We created a suture loop 549 around the cannula and fixed the thread to the cannula with a knot and a drop of tissue 550 glue.

Cannula implantation: We anaesthetized the birds with Isoflurane (1.5-2%) and gave a 551 single injection of Carprofen (4 mg/kg). Subsequently, we applied local analgesic to the 552 skin (2% lidocaine) and removed the feathers covering the right abdomen. We applied 553 Betadine solution on the exposed skin and made a small incision using sterilized scissors. 554 We exposed the right abdominal air sac by shifting aside the fat tissue and punctured it to 555 create an opening. Immediately, we closed the opening by inserting the cannula and by 556 sealing the contact region with tissue glue. With the free end of the glued thread, we made 557 one suture to the lowest rib. We closed the wound in the skin around the cannula with 558 tissue glue and sutures using a new thread. Finally, we applied betadine solution on the 559 wound and lidocaine gel around the injured site. Before releasing the bird to its cage, we 560

removed the clog of the cannula with forceps and verified the air flow through the cannula.

563 We returned the birds to their home cage and monitored them for signs of suffering. We 564 administered pain killers (Meloxicam 2 mg/kg or Carprofen 2-4 mg/kg) for 2 days after 565 the surgery.

566 On the following days, we monitored the birds continuously for singing activity. If song 567 was detected, the cannula was inspected for clogging and cleaned. 5 birds unmuted 568 spontaneously, they produced at most 300 songs before the bypass cannula was inspected 569 and the clog was removed to re-mute the bird. To unclog the bypass cannulas, we used 570 sharp forceps and sterile tissue dipped in saline. 6 of 8 birds produced quiet call-like 571 vocalizations even on muted days on which no singing was detected.

# Deafening

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We bilaterally removed cochleas as described in (32).

### Pitch Analysis

In individual birds, we studied the dynamics of pitch recovery during the test period. In 577 WNm birds, the test period started with unmuting, and in all other reinforced birds it 578 started with the end of reinforcement. We analyzed songs in early (E) time windows 579 defined as the first 2 h window during the test period in which the bird produced at least 580 20 song motifs. We also assessed pitch recovery in late (L) windows defined exactly 4 581 days after the E window. To make the measurements robust to circadian fluctuations of 582 pitch, we compared the pitch values in early and late windows to pitch values produced in 583 time-aligned windows during the last day of reinforcement (R) and during the last day of 584 585 baseline (B).

We used this time-of-day matched analysis to produce Fig. 1H, I, Fig. 2. C, D and Fig. 3. C, D. Exceptions where time alignment was not possible are listed in the following:

- One WNm bird started singing late on the last day of reinforcement (preventing us from time-aligning the *R* window with the *E* window), and therefore in this bird we defined *R* after the end of WN but before muting (in this bird there is more than one day of song after WN and before muting).
- In two birds (1 WNC and 1 dLO bird), we defined the *L* window one day earlier (on the 4<sup>th</sup> day, after 3 days of practice), because there was no data for these birds on the 5<sup>th</sup> day after reinforcement (our findings did not qualitatively change when we defined the *L* window on the 6<sup>th</sup> day instead of the 4<sup>th</sup>).
- One WNm bird was housed together with a female during WN reinforcement; this bird did not sing during the time-match 2-h period on the 2<sup>nd</sup>, 3<sup>rd</sup>, and 4<sup>th</sup> day after reinforcement; therefore on those days we computed the mean pitch from all values produced on that day in Fig. 1H.

In early (E) and late (L) analysis windows, we computed the normalized residual pitch 600 (NRP), which is the remaining fraction of pitch shift since release from WN, defined as 601  $NRP(X) = (P_X - P_B)/(P_R - P_B)$ , where  $P_X$  is either the mean pitch in the early (X = E)602 or late (X = L) window (Fig 1H, I, 2C, D, 3C, D).  $P_R$  and  $P_B$  are the mean pitches in the R 603 and B windows, respectively. An NRP of 33% indicates that two-thirds of the reinforced 604 pitch shift have been recovered and an NRP of 0% indicates full recovery of baseline 605 pitch. Note that the NRP measure discounts for differences in the amount of initial pitch 606 shift the birds displayed at the beginning of the test period. 607

608 We performed statistical testing of *NRP* to discount for this diversity in initial pitch. To 609 test the hypothesis that WNm birds recovered their baseline pitch without practice or that

| 610 | WNd or dLO birds recovered baseline pitch without auditory feedback, we performed a |
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| 611 | two-tailed t-test for $NRP = 0$ .   |

- Our results were qualitatively unchanged when we changed the timing of the L window, as 612
- long as there were at least 3 days between E and L windows (because WNC birds need at 613 least 3 days to recover their baseline pitch in the L window, p < 0.05). Thus, giving deaf 614 birds more time did not allow them to recover their baseline pitch. Furthermore, we also 615 tested larger windows of 4 and 24 h duration instead of 2 h and found qualitatively similar 616 results. We further verified that our results did not critically depend on the time-alignment 617 by repeating the NRP tests using the last 2 h of reinforcement as the R windows. Indeed, 618 we found that all results in Fig. 1-3 were unchanged. 619
- We computed the pitch change after reinforcement (Fig. 4) as the difference in mean 620 pitches between early (E) or late (L) and the last 2 h of WN/LO reinforcement R in units 621 of sensitivity  $d' = (P_X - P_R)/S_R$ , where  $S_R$  is the standard deviation of pitch values in the 622 R window. To test the hypothesis that WNd and dLO birds are able to make targeted pitch 623 changes towards baseline, we performed a one-tailed t-test of the hypothesis H0: d' < 0. 624 We used sensitivity d' relative to the last 2 h of WN/LO instead of NRP because we want 625 to detect a pitch change, which is the realm of detection theory, i.e. d'. Furthermore, by 626 measuring local changes in pitch relative to the last 2 h of WN/LO reinforcement, our 627 measurements are only minimally affected by the amount of reinforcement learning that 628 might have occurred during this 2 h time window — choosing an earlier or longer 629 window would have blended reinforced pitch changes into our estimates. Last but not 630 least, changes in the way in which we normalized d' values — dividing by  $S_B$ , 631  $\sqrt{(S_R^2 + S_R^2)/2}$ , or  $\sqrt{(S_X^2 + S_R^2)/2}$  — or using the NRP relative to the last 2 h of WN/LO 632 did not qualitatively change the results shown in Fig. 4D. 633

# **Bootstrapping**

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To test whether deaf birds indeed make small pitch changes towards a target if and only if 636 they experienced target-mismatch during reinforcement, we bootstrapped the difference in 637 pitch changes between reinforced (WNd and dLO) and deaf control birds (dC). All dC 638 birds were recorded for at least 5 days after they started singing while deaf. 639

- In dC birds, we defined the R, E, and L windows such that they matched those of WNd 640 and dLO birds in terms of days since deafening. Additionally, in dLO birds we chose the 641 windows such that they matched in terms of time-of-day (because LO always ended 642 643 overnight). Thus, the R windows in dC birds either corresponded to the last 2 h before deafening (as control for WNd birds) or to the last 2 h of the day before E (as control for 644 dLO birds). 645
- For WNd birds, we obtained in total 26 control syllables from 20 dC birds. For dLO birds, 646 we obtain 17 control syllables from 13 dC birds (some dC birds did not provide any 647 useable data because they stopped singing or were not recorded for long enough). 648
- For the bootstrapping procedure, we randomly paired control syllables (N=26 for WNd 649 and N=17 for dLO) one-by-one with matchable syllables from reinforced birds (with 650 replacement), computed the mean pitches  $P_R$ ,  $P_E$ ,  $P_L$  in corresponding windows, 651 calculated the standard deviation  $S_R$ , calculated the average pitch changes  $d'_E = (P_E - P_E)$ 652  $P_R$  /  $S_R$  and d'<sub>L</sub> = ( $P_L - P_R$ )/ $S_R$  for both manipulated and control birds, and multiplied 653 these by -1 if the reinforced bird was down-shifted (as we did for d' above). We then took 654 the differences in average pitch changes between manipulated (WNd and dLO) and dC 655 birds, e.g.  $d'_{E,WNd} - d'_{E,dC}$ . We repeated this procedure 10'000 times and plotted the 656

657distribution of average pitch change differences between WNd and dC (red) and between658dLO and dC (blue) in Fig. 4E and perform bootstrap statistics.

Our results were qualitatively unchanged (only WNd significantly reverted pitch towards 659 baseline) when we aligned the R windows by the time-of-day of the corresponding E660 windows (two dC birds started singing later on the day of the E window than they stopped 661 singing on the days before; in these two birds we used the R windows instead), see Table 662 S1. Although the d' values in both groups increased (and in dLO birds, the average d' in 663 the L windows was positive, p < 0.05, two-tailed t-test), we found a significant pitch 664 difference between WNd or dLO birds in L windows, which upholds our findings that 665 mismatch experience is necessary for pitch reversion. The reason for the increases in d'666 likely is that birds further shifted their pitch away from baseline on the last day of 667 reinforcement (after the time-aligned R window). Also, results were robust when we 668 analyzed pitch changes after release from reinforcement in units of NRP: without practice, 669 WNd birds made small and significant pitch changes towards baseline, and dLO birds 670 stayed at  $NRP \geq 1$ . 671

### 672 Linear Mixed Effect Model

673 We simulated a linear mixed effect model on the combined NRP data from all groups with 674 fixed effects corresponding to time (general offset a, late b), treatment (deafened c, muted 675 d), mismatch-experience e, and a fixed effect f that is linear in the time between the time 676 t(R) of the R window and the time t(E) of the E window. We used this latter term to test 677 whether birds can recover without practice. We further included a fixed effect in terms of 678 the interaction g between deafening and late, to test whether birds recover without 679 auditory feedback (but with practice from E to L):

680 680 681  $NRP_{i,t} = a + b\delta_{t\in L} + c\delta_{i\in WNd \text{ or } i\in dLO} + d\delta_{i\in WNm} + e\delta_{i\notin dLO} + f(t(E) - t(R))\delta_{t\in E} + g\delta_{i\in WNd \text{ or } i\in dLO}\delta_{i\in L} + \varepsilon_{i|group}$ 

682 The Kronecker  $\delta$  of a specific group equals 1 if bird *i* belongs to that group (e.g.  $i \in WN$ ) 683 resp. if the time window *t* is either E or L (e.g.  $t \in L$ ), and it equals 0 otherwise. The 684 terms  $\varepsilon_{i|\text{group}}$  is a random effect associated with a particular bird *i* and group. Note that 685 the fixed effect of mismatch experience *e* is zero for dLO birds and it is one for all other 686 birds.

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826Data and materials availability: Pitch and duration data that support the findings of this827study together with the MATLAB scripts to reproduce the analysis and figures will be828made available at the ETH Research Collection upon publication of the article. The raw829data underlying the pitch measurement is not deposited due to its size but is available from830the authors upon reasonable request.

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