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2 EMERGING TREND IN VISION SCIENCE

# Deep learning: Using machine learning to study biological vision

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# 9 ABSTRACT

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- 10 Today many vision-science presentations employ machine learning, especially the version
- 11 called "deep learning". Many neuroscientists use machine learning to decode neural responses.
- 12 Many perception scientists try to understand how living organisms recognize objects. To them,
- 13 deep neural networks offer benchmark accuracies for recognition of learned stimuli. Originally
- 14 machine learning was inspired by the brain. Today, machine learning is used as a statistical tool
- 15 to decode brain activity. Tomorrow, deep neural networks might become our best model of brain
- 16 function. This brief overview of the use of machine learning in biological vision touches on its
- 17 strengths, weaknesses, milestones, controversies, and current directions. Here, we hope to help
- 18 vision scientists assess what role machine learning should play in their research.

# 19 INTRODUCTION

20	What does machine learning offer to biological-vision
21	scientists? Machine learning was developed as a tool for
22	automated classification, optimized for accuracy.
23	Machine learning is used in a broad range of applications
24	(Brynjolfsson, 2018), e.g. regression in stock market
25	forecasting and reinforcement learning to play chess, but
26	here we focus on classification. Physiologists use it to
27	identify stimuli based on neural activity. To study
28	perception, physiologists measure neural activity and
29	psychophysicists measure overt responses, like pressing
30	a button. Physiologists and psychophysicists are starting
31	to consider deep learning as a model for object
32	recognition by human and nonhuman primates (Cadieu
33	et al., 2014; Ziskind et al., 2014; Yamins et al., 2014;
34	Khaligh-Razavi & Kriegeskorte, 2014; Testolin, Stoianov,
35	& Zorzi, 2017). We suppose that most of our readers
36	have heard of machine learning but are wondering
37	whether it would be useful in their own research. We
38	begin by describing some of its pluses and minuses.

#### 39 PLUSES: WHAT IT'S GOOD FOR

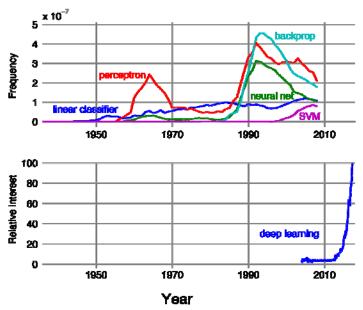
40 At the very least, machine learning is a powerful tool for

41 interpreting biological data. A particular form of machine learning, *deep learning*, is very popular

# GLOSSARY

*Machine learning* is any computer algorithm that learns how to perform a task directly from examples, without a human providing explicit instructions or rules for how to do so. In one type of machine learning, called "supervised learning," correctly labeled examples are provided to the learning algorithm, which is then "trained" (i.e. its parameters are adjusted) to be able to perform the task correctly on its own and generalize to unseen examples.

**Deep learning** is a newly successful and popular version of machine learning that uses backprop (defined below) neural networks with multiple hidden layers. The 2012 success of AlexNet, then the best machine learning network for object recognition, was the tipping point. Deep learning is now ubiquitous in the internet. The idea is to have each layer of processing perform successively more complex computations on the data to give the full "multi-layer" network more expressive power. The drawback is that it is much harder to train multi-layer networks (Goodfellow et al. 2016). Deep learning ranges from discovering the weights of a multilayer network to parameter learning in hierarchical belief networks.



**Figure 1. Top:** The frequency of appearance of each of five terms — "linear classifier", "perceptron", "support vector machine", "neural net" and "backprop" — in books indexed by Google in each year of publication. Google counts instances of words and phrases of *n* words, and calls each an "ngram". Frequency is reported as a fraction of all instances of ngrams of that length, normalized by the number of books published that year (ngram / year / books published). The figure was created using Google's ngram viewer (https://books.google.com/ngrams), which contains a yearly count of

ngrams found in sources printed between 1500 and 2008. **Bottom:** Numbers represent worldwide search interest relative to the highest point on the chart for the given year for the term "deep learning" (as reported by <u>https://trends.google.com/trends/</u>).

- 43 (Fig. 1). Is it just a fad? For computer vision, the old 44 paradigm was: feature detection, followed by 45 segmentation, and then grouping (Marr, 1982). With 46 machine learning tools, the new paradigm is to just 47 define the task and provide a set of labeled examples. 48 and the algorithm builds the classifier. (This is 49 "supervised" learning; we discuss unsupervised learning 50 below.)
- 51 Unlike the handcrafted pattern recognition (including 52 segmentation and grouping) popular in the 70's and 80's, 53 deep learning algorithms are generic, with little domain-54 specificity.<sup>1</sup> They replace hand-engineered feature 55 detectors with filters that can be learned from the data. 56 Advances in the mid 90's in machine learning made it 57 useful for practical classification, e.g. handwriting 58 recognition (LeCun et al., 1989; Vapnik, 1999). 59 Machine learning allows a neurophysiologist to decode 60 neural activity without knowing the receptive fields
- 61 (Seung & Sompolinsky,1993; Hung et al., 2005).
- 62 Machine learning is a big step in the shifting emphasis in
- 63 neuroscience from *how* the cells encode to *what* they

**Neural nets** are computing systems inspired by biological neural networks that consist of individual neurons learning their connections with other neurons in order to solve tasks by considering examples.

**Supervised learning** refers to any algorithm that accepts a set of labeled stimuli — a training set and returns a classifier that can label stimuli similar to those in the training set.

**Unsupervised learning** discovers structure and redundancy in data without labels. It is less widely used than supervised learning, but of great interest because labeled data are scarce while unlabeled data are plentiful.

**Cost function.** A function that assigns a real number representing cost to a candidate solution by measuring the difference between the solution and the desired output. Solving by optimization means minimizing cost.

*Gradient descent:* An algorithm that minimizes cost by incrementally changing the parameters in the direction of steepest descent of the cost function.

- 64 encode, i.e. what that code tells us about the stimulus (Barlow, 1953; Geisler, 1989). Mapping a
- 65 receptive field is the foundation of neuroscience (beginning with Weber's 1834/1996 mapping of

<sup>&</sup>lt;sup>1</sup> Admittedly, these networks still demand tweaking of a few parameters, including number of layers and number of units per layer.

- 66 tactile "sensory circles"). This once required single-cell recording, looking for minutes or hours at
- 67 how one cell responds to each of perhaps a hundred different stimuli. Today it is clear that
- 68 characterization of a single neuron's receptive

69 field, which was invaluable in the retina and V1, fails to 70 characterize how higher visual areas encode the 71 stimulus. Machine learning techniques reveal "how 72 neuronal responses can best be used (combined) to 73 inform perceptual decision-making" (Graf, Kohn, 74 Jazaveri, & Movshon, 2010). The simplicity of the 75 machine decoding can be a virtue as it allows us to 76 discover what can be easily read-out (e.g. by a single 77 downstream neuron) (Hung et al. 2005). Achieving 78 psychophysical levels of performance in decoding a 79 stimulus object's identity and location from the neural 80 response shows that the measured neural performance 81 has all the information needed for the subject to do the 82 task (Majaj et al. 2015; Hong et al. 2016).

For psychophysics, Signal Detection Theory (SDT)
proved that the optimal classifier for a known signal in
white noise is a template matcher (Peterson, Birdsall, &
Fox, 1954; Tanner & Birdsall, 1958). Of course, SDT
solves only a simple version of the general problem of
object recognition. The simple version is for known
signals, whereas the general problem includes variation

**Convexity:** A real-valued function is called "convex" if the line segment between any two points on the graph of the function lies on or above the graph (Boyd & Vandenberghe, 2004). A problem is convex if its cost function is convex. Convexity guarantees that gradient descent will always find the global minimum.

*Generalization* is how well a classifier performs on new, unseen examples that it did not see during training.

**Cross validation** assesses the ability of the network to generalize, from the data that it trained on, to new data.

**Backprop,** short for "backward propagation of errors", is widely used to apply gradient-descent learning to multi-layer networks. It uses the chain rule from calculus to iteratively compute the gradient of the cost function for each layer.

#### Hebbian learning and

spike-timing-dependent plasticity (**STDP**). According to Hebb's rule, the efficiency of a synapse increases after correlated pre- and post-synaptic activity. In other words, neurons that fire together, wire together (Löwel & Singer, 1992).

- 90 in viewing conditions and diverse objects within a category (e.g. a chair can be any object that
- 91 affords sitting). SDT introduces the very useful idea of a mathematically defined ideal observer,
- 92 providing a reference for human performance (e.g. Geisler, 1989; Pelli et al., 2006). However,
- 93 one drawback is that it
- 94 doesn't incorporate learning. Deep learning, on the other95 hand, provides a pretty good observer that learns, which
- 96 may inform studies of human learning.<sup>2</sup>
- 97 These networks might reveal the constraints imposed by
- 98 the training set on learning. Further, unlike SDT, deep
- 99 neural networks cope with the complexity of real tasks. It
- 100 can be hard to tell whether behavioral performance is
- 101 limited by the set of stimuli, their neural representation,
- 102 or the observer's decision process (Majaj et al. 2015).
- 103 Implications for classification performance are not readily
- 104 apparent from direct inspection of families of stimuli and
- 105 their neural responses. SDT specifies optimal
- 106 performance for classification of known signals but does
- 107 not tell us how to generalize beyond a training set.
- 108 Machine learning does.

# 109 MINUSES: COMMON COMPLAINTS

# Support Vector Machine (SVM) is a type of machine learning algorithm for classification. SVMs generalize well. An SVM uses the "kernel trick" to quickly learn to perform a poplinger classification

perform a nonlinear classification by finding a boundary in multidimensional space that separates different classes and maximizes the distance of class exemplars to the boundary (Cortes & Vapnik, 1999).

# Convolutional neural networks

(ConvNets) have their roots in the Neocognitron (Fukushima 1980) and are inspired by the simple and complex cells described by Hubel and Wiesel (1962). ConvNets apply backprop learning to multilayer neural networks based on convolution and pooling (LeCun et al., 1989; LeCun et al., 1990; LeCun et al., 1998).

<sup>&</sup>lt;sup>2</sup> In the same spirit, "sequential ideal observer" and "accuracy maximization" modeling generalized ideal observer calculations to include a shallow form of supervised learning (Geisler, 1989; Burge & Jaini, 2017).

Some biologists point out that neural nets do not match what we know about neurons (e.g., Crick, 1989; Rubinov, 2015). Biological brains learn on the job, while neural networks need to converge before they can be used. Furthermore, once trained, deep networks generally compute in a feed-forward manner while there are major recurrent circuits in the cortex. But this may simply reflect the different ways that we use artificial and real neurons. The artificial networks are trained for a fixed task, whereas our visual brain must cope with a changing environment and task demands, so it never outgrows the need for the capacity to learn.

117 It is not clear, given what we know about neurons and neural plasticity, whether a backprop

network can be implemented using biologically plausible circuits (but see Mazzoni et al., 1991,

and Bengio et al., 2015). However, there are several promising efforts to implement more

120 biological plausible learning rules, e.g. spike-timing-dependent plasticity (Mazzoni et al., 1991;

121 Bengio et al., 2015; Sacramento, Costa, Bengio, & Senn, 2017).

Engineers and computer scientists, while inspired by biology, focus on developing machine learning tools that solve practical problems. Thus, models based on these tools often do not incorporate known constraints imposed by biological measurements. To this, one might counter that every biological model is an abstraction and can be useful even while failing to capture all the details of the living organism.

Some biological modelers complain that neural nets have alarmingly many parameters. Deep neural networks continue to be opaque. Before neural-network modeling, a model was simpler than the data it explained. Deep neural nets are typically as complex as the data, and the solutions are hard to visualize (but see Zeiler & Fergus, 2013). However, while the training sets and learned weights are long lists, the generative rules for the network (the computer programs) are short. Traditionally, having very many parameters has often led to overfitting, i.e. good performance on the training set and poor performance beyond it, but the breakthrough is that deep-learning networks with a huge number of parameters nevertheless generalize well.

135 Furthermore, Bayesian nonparametric models offer a disciplined approach to modeling with an

136 unlimited number of parameters (Gershman & Blei, 2011).

137 Some statisticians worry that rigorous statistical tools are being displaced by deep learning,

138 which lacks rigor (Friedman, 1998; Matloff, 2014, but see Breiman, 2001; Efron & Hastie, 2016).

139 Assumptions are rarely stated. There are no confidence intervals on the solution. However,

140 performance is typically cross-validated, showing generalization. Deep learning is not convex,

141 but it has been proven that convex networks can compute posterior probability (e.g. Rojas,

142 1996). Furthermore, machine learning, and statistics seem to be converging to provide a more

143 general perspective on probabilistic inference that combines complexity and rigor.

144 Some physiologists note that decoding neural activity to recover the stimulus is interesting and 145 useful but falls short of explaining what the neurons do. Some visual psychophysicists note 146 some salient differences between performance of human observers and deep networks on 147 tasks like object recognition and image distortion (Ullman et al. 2016; Berardino et al. 2017). 148 Some cognitive psychologists dismiss deep neural networks as unable to "master some of the 149 basic things that children do, like learning the past tense of a regular verb" (Marcus et al., 1992). 150 Deep learning is slow. To recognize objects in natural images with the recognition accuracy of 151 an adult, a state-of-the-art deep neural network needs five thousand labelled examples per 152 category (Goodfellow et al., 2016). But children and adults need only a hundred labelled letters 153 of an unfamiliar alphabet to reach the same accuracy as fluent native readers (Pelli et al. 2006). 154 Overcoming these challenges may require more than deep learning.

155 These current limitations drive practitioners to enhance the scope and rigor of deep learning.

156 But bear in mind that some of the best classifiers in computer science were inspired by

157 biological principles (Rosenblatt, 1957; 1958; Rumelhart et al., 1986; LeCun, 1985; LeCun et al.

158	1989; LeCun et al.	1990; Riesenhuber &	Poggio, 1999; and

1 - 0		
159	see LeCun, Bengio, Hinton 2015). Some of those	Deep learning – 2012
160	classifiers are now so good that they occasionally exceed	Backprop revived _ 2006
161	human performance and might serve as rough models for	Support Vector Machine (SVM) _ 1995
162	how biological systems classify (e.g. Yamins, et al. 2014;	ConvNets – 1989 NETtalk – 1987
102		Neocognitron – 1980
163	Khaligh-Razavi & Kriegeskorte, 2014; Ziskind, Hénaff,	Backprop – 1974
		Death of the perceptron _ 1969
164	LeCun, & Pelli, 2014; Testolin, Stoianov, & Zorzi, 2017).	
		Perceptron _ 1956
165	MILESTONES IN CLASSIFICATION	Machine learning _ 1953
166	Mathematics vs. engineering. The history of machine	Linear discriminant analysis - 1936

- 167 learning has two threads: mathematics and engineering. In
- 168 the *mathematical* thread, two statisticians, Fisher and later Vapnik, developed mathematical
- 169 transformations to uncover categories in data, and proved that they give unique answers. They
- 170 assumed distributions and proved convergence.
- 171 In the *engineering* thread, a loose coalition of psychologists, neuroscientists, and computer
- scientists (e.g. Turing, Rosenblatt, Minsky, Fukushima, Hinton, Sejnowski, LeCun, Poggio,
- 173 Bengio) sought to reverse-engineer the brain to build a machine that learns. Their algorithms
- are typically applied to stimuli with unknown distributions and lack proofs of convergence.

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### 175 **1936: Linear discriminant analysis**. Fisher (1936)

Figure 2. Milestones in classification.

176 introduced linear discriminant analysis to classify two

177 species of iris flower based on four measurements per flower. When the distribution of the 178 measurements is normal and the covariance matrix between the measurements is known, linear 179 discriminant analysis answers the question: Supposing we use a single-valued function to 180 classify, what linear function  $y = w_1x_1 + w_2x_2 + w_3x_3 + w_4x_4$ , of four measurements  $x_1, x_2, x_3, x_4$ 181 made on flowers, with free weights  $w_1$ ,  $w_2$ ,  $w_3$ ,  $w_4$ , will maximize discrimination of species?<sup>3</sup> 182 Linear classifiers are great for simple problems for which the category boundary is a hyperplane 183 in a small number of dimensions. However, complex problems like object recognition typically 184 require more complex category boundaries in a large number of dimensions. Furthermore, the 185 distributions of the features are typically unknown and may not be normal. 186 Cortes & Vapnik (1995) note that the first algorithm for pattern recognition was Fisher's optimal 187 decision function for classifying vectors from two known distributions. Fisher solved for the 188 optimal classifier in the presence of gaussian noise and known covariance between elements of 189 the vector. When the covariances are equal, this reduces to a linear classifier. The ideal 190 template matcher of signal detection theory is an example of such a linear classifier (Peterson et 191 al., 1954). This fully specified simple problem can be solved analytically. Of course, many 192 important problems are not fully specified. In everyday perceptual tasks, we typically know only 193 a "training" set of samples and labels.

194 **1953: Machine learning.** The first developments in machine learning were to play chess and
195 checkers. "Could one make a machine to play chess, and to improve its play, game by game,
196 profiting from its experience?" (Turing, 1953). Arthur Samuel (1959) defined *machine learning*

<sup>&</sup>lt;sup>3</sup> Linear discriminant analysis is an outgrowth of regression which has a much longer history. Regression is the optimal least-squares linear combination of given functions to fit given data and was applied by Legendre (1805) and Gauss (1809) to astronomical data to determine the orbits of the comets and planets around the sun. The estimates come with confidence intervals and the fraction of variance accounted for, which rates the goodness of the explanation.

197 as the "Field of study that gives computers the ability to learn without being explicitly

198 programmed."

199 **1958:** Perceptron. Inspired by physiologically measured receptive fields, Rosenblatt (1958) 200 showed that a very simple neural network, the perceptron, could learn to classify from training 201 samples. Perceptrons combined several linear classifiers to implement piecewise-linear 202 separating surfaces. The perceptron learns the weights to use in a linear combination of feature-203 detector outputs. The perceptron transforms the stimulus into a binary feature vector and then 204 applies a linear classifier to the feature vector. The perceptron is piecewise linear and has the 205 ability to learn from training examples without knowing the full distribution of the stimuli. Only the 206 final layer in the perceptron learns.

1969: Death of the perceptron. However, it quickly became apparent that the perceptron and
other single-layer neural networks cannot learn tasks that are not linearly separable, i.e. cannot
solve problems like connectivity (Are all elements connected?) and parity (Is the number of
elements odd or even?); people solve these readily (Minsky & Papert, 1969). On this basis,
Minsky and Papert announced the death of artificial neural networks.

212 **1974: Backprop.** The death of the perceptron showed that learning in a one-layer network was 213 too limited. This impasse was broken by the introduction of the backprop algorithm, which 214 allowed learning to propagate through multiple-layer neural networks. The history of backprop is 215 complicated (see Schmidhuber, 2015). The idea of minimization of error through a differentiable 216 multi-stage network was discussed as early as the 1960s (e.g. Bryson, Denham, & Dreyfus, 217 1963). It was applied to artificial neural networks in the 1970s (e.g. Werbos, 1974). In the 1980s, 218 efficient backprop first gained recognition, and led to a renaissance in the field of artificial neural 219 network research (LeCun, 1985; Rumelhart, Hinton, & Williams, 1986). During the 2000s 220 backprop neural networks fell out of favor, due to four limitations (Vapnik, 1999): 1. No proof of

221 convergence. Backprop uses gradient descent. Gradient descent with a nonconvex cost 222 function with multiple minima is only guaranteed to find a local, not the global minimum of the 223 cost function. This has long been considered a major limitation, but LeCun et al. (2015) claim 224 that it hardly matters in practice in current implementations of deep learning. 2. Slow. 225 Convergence to a local minimum can be slow due to the high dimensionality of the weight 226 space. 3. Poorly specified. Backprop neural networks had a reputation for being ill-specified, 227 an unconstrained number of units and training examples, and a step size that varied by 228 problem. "Neural networks came to be painted as slow and fussy to train [.] beset by voodoo 229 parameters and simply inferior to other approaches." (Cox & Dean, 2014). 4. Not biological. 230 Lastly, backprop learning may not to be physiological: While there is ample evidence for 231 Hebbian learning (increase of a synapse's gain in response to correlated activity of the two cells 232 that it connects), such changes are never propagated backwards, beyond the one synapse, to a 233 previous layer. **5. Inadequate resources.** With hindsight it is clear that backprop in the 80's was 234 crippled by limited computing power and lack of large labeled datasets.

1980: Neocognitron, the first convolutional neural network. Fukushima (1980) proposed and
implemented the Neocognitron, a hierarchical, multilayer artificial neural network. It recognized
stimulus patterns (deformed numbers) despite small changes in position and shape.

238 1987: NETtalk, the first impressive backprop neural network. Sejnowski et al. (1987) reported 239 the exciting success of NETtalk, a neural network that learned to convert English text to speech: 240 "The performance of NETtalk has some similarities with observed human performance. (i) The 241 learning follows a power law. (ii) The more words the network learns, the better it is at 242 generalizing and correctly pronouncing new words, (iii) The performance of the networks 243 degrades very slowly as connections in the network are damaged: no single link or processing 244 unit is essential. (iv) Relearning after damage is much faster than learning during the original 245 training..."

246 1989: ConvNets. Yann LeCun and his colleagues combined convolutional neural networks with backprop to recognize handwritten characters (LeCun et al., 1989; LeCun et al., 1990). This 247 248 network was commercially deployed by AT&T, and today reads millions of checks a day 249 (LeCun, 1998). Later, adding half-wave rectification and max pooling greatly improved its 250 accuracy in recognizing objects (Jarrett et al., 2009). 251 1995: Support Vector Machine (SVM). Cortes & Vapnik (1995) proposed the support vector 252 network, a learning machine for binary classification problems. SVMs generalize well and are 253 free of mysterious training parameters. Many versions of the SVM are convex (e.g. Lin, 2001). 254 **2006: Backprop revived.** Hinton & Salakhutdinov (2006) sped up backprop learning by 255 unsupervised pre-training. This helped to revive interest in backprop. In the same year, a 256 supervised backprop-trained convolutional neural network set a new record on the famous 257 MNIST handwritten-digit recognition benchmark (Ranzato et al., 2006). 258 2012: Deep learning. Geoff Hinton says, "It took 17 years to get deep learning right; one year 259 thinking and 16 years of progress in computing, praise be to Intel." (Cox & Dean, 2014; LeCun, Bengio, & Hinton, 2015). It is not clear who coined the term "deep learning".<sup>4</sup> In their book, *Deep* 260 261 Learning Methods and Applications, Deng & Yu (2014) cite Hinton et al. (2006) and Bengio 262 (2009) as the first to use the term. However, the big debut for deep learning was an influential 263 paper by Krizhevsky et al. (2012) describing AlexNet, a deep convolutional neural network that 264 classified 1.2 million high-resolution images into 1000 different classes, greatly outperforming 265 previous state-of-the-art machine learning and classification algorithms.

<sup>&</sup>lt;sup>4</sup> The idea of "deep learning" is not exclusive to machine learning and neural networks (e.g. Dechter, 1986)

#### 266 **CONTROVERSIES**

267 The field is growing quickly, yet certain topics remain hot. For proponents of deep learning, the 268 ideal network is composed of simple elements and learns everything from the training data. At 269 the other extreme, computer vision scientists argue that we know a lot about how the brain 270 recognizes objects, which we can engineer into the networks before learning (e.g. gain control 271 and normalization). Some engineers look to the brain only to copy strengths of the biological 272 solution, others think there are useful clues in its limitations as well (e.g. crowding). 273 Is deep learning the best solution for all visual tasks? Deep learning is not the only thing in 274 the vision scientist's toolbox. The complexity of deep learning may be unwarranted for simple 275 problems that are well handled by, e.g. SVM. Try shallow networks first, and, if they fail, go 276 deep.

277 Why object recognition? The visual task of object recognition as has been very useful in 278 vision research because it is an objective task that is easily scored as right or wrong, is 279 essential in daily life, and captures some of the magic of seeing. It is a classic problem with a 280 rich literature. Deep neural nets solve it, albeit with a million parameters. Recognizing objects is 281 a basic life skill, including recognition of words, people, things, and emotions. The concern that 282 the research focus on object recognition might be merely an obsession of the scientists rather 283 than a central task of biological vision is countered by hints that visual perception is biased to 284 interpret the world as consisting of discrete objects even when it isn't, e.g. when we see animals 285 in the clouds.

Of course, there are many other important visual tasks, including interpolation (e.g. filling in) and extrapolation (e.g. estimating heading). The inverse of categorization is synthesis. Human estimation of one feature, e.g. of brightness or speed, is imprecise and adequately represented by roughly 7 categories (Miller, 1956). For detection of image distortion, a simple model with

290 gain-control normalization is better than current deep networks (Berardino et al. 2017).

291 Scientists, like the brain, use whatever tool works best.

292 Deep learning is not convex. A problem is convex if the cost function is convex, i.e. if the line 293 between any two points on the function lies on or above the function. This guarantees that 294 gradient descent will find the global minimum. For some combinations of stimuli, categories, and 295 classifiers, convexity can be proved. In machine learning, kernel methods, including learning by 296 SVMs, have the advantage of easy-to-prove convexity, at the cost of limited generalization. In 297 the 1990s, SVMs were popular because they guaranteed fast convergence even with a large 298 number of training samples (Cortes & Vapnik, 1995). Thus, when the problem is convex, the 299 quality of solution is assured, and one can rate implementations by their demands for size of 300 network and training sample. However, cost functions for deep neural networks are not convex. 301 Unlike convex functions, nonconvex functions can have multiple minima and saddle points. The 302 challenge in high dimensional cost functions is the saddle points, which greatly outnumber the 303 local minima, but there are tricks for not getting stuck at saddle points (Dauphin et al. 2014). 304 Although deep neural networks are not convex, they do fit the training data, and generalize well 305 (LeCun, Bengio, & Hinton, 2015).

306 Shallow vs. deep networks. The field's imagination has focused alternately on shallow and 307 deep networks, beginning with the Perceptron in which only one layer learned, followed by 308 backprop, which allowed multiple layers and cleared the hurdles that doomed the Perceptron. 309 Then SVM, with its single layer, sidelined the multilayer backprop. Today multilayer deep 310 learning reigns; Krizhevsky et al. (2012) attributed the success of AlexNet to its 8-layer depth; it 311 performed worse with fewer layers. Some people claim that deep learning is essential to 312 recognize objects in real world scenes. For example, the "Inception" 22-layer deep learning 313 network won the Image Net Real World Challenge in 2014 (Szegedy et al. 2015).

314 The need for depth is hard to prove, but, in considering the depth vs. width of a feed-forward 315 neural network, Eldan and Shamir (2016) show that a radial function can be approximated by a 316 3-layer network with far fewer neurons than the best 2-layer network (also see Telgasky, 2015). 317 Object recognition implies a classification function that assigns one of several discrete values to 318 each image. Mhaskr et al. (2017) suggest that for real-world recognition the classification 319 function is typically compositional, i.e. a hierarchy of functions, one per node, in feed-forward 320 layers, in which the receptive fields of higher layers are ever larger. They argue that scalability 321 and shift invariance in natural images require compositional algorithms. They prove that deep 322 hierarchical networks can approximate compositional functions with the same accuracy as 323 shallow networks but with exponentially fewer training parameters. 324 Supervised vs. unsupervised. Learning algorithms for a classifier can be supervised or not. 325 i.e. need labels for training, or don't. Today most machine learning is supervised (LeCun, 326 Bengio, & Hinton, 2015). The images are labeled (e.g. "car" or "face"), or the network receives 327 feedback on each trial from a cost function that assesses how well its answer matches the 328 image's category. In unsupervised learning, no labels are given. The algorithm processes 329 images, typically to minimize error in reconstruction, with no extra information about what is in 330 the (unlabeled) image. A cost function can also reward decorrelation and sparseness (e.g. 331 Olshausen and Field, 1996). This allows learning of image statistics and has been used to train 332 early layers in deep neural networks. Human learning of categorization is sometimes done with 333 explicitly named objects — "Look at the tree!" — but more commonly the feedback is implicit. 334 Consider reaching your hand to raise a glass of water. Contact informs vision. On specific 335 benchmarks, where the task is well-defined and labeled examples are available, supervised 336 learning can excel (e.g. AlexNet), but unsupervised learning may be more useful when few 337 labels are available.

### 338 CURRENT DIRECTIONS

339 What does deep learning add to the vision-science toolbox? Deep learning is more than 340 just a souped-up regression (Marblestone et al., 2016). Like Signal Detection Theory (SDT), it 341 allows us to see more in our behavioral and neural data. In the 1940's, Norbert Wiener and 342 others developed algorithms to automate and optimize signal detection and classification. A lot 343 of it was engineering. The whole picture changed with the SDT theorems, mainly the proof that 344 the maximum-likelihood receiver is optimal for a wide range of simple tasks (Peterson et al., 345 1954). In white noise a traditional receptive field computes the likelihood of the presence of a 346 signal matching the receptive field weights. It was exciting to realize that the brain contains 10<sup>11</sup> 347 likelihood computers. Later work added prior probability, for a Bayesian approach. Tanner & 348 Birdsall (1958) noted that, when figuring out how a biological system does a task, it is very 349 helpful to know the optimal algorithm and to rate observed performance by its efficiency relative 350 to the optimum. SDT solved detection and classification mathematically, as maximum likelihood. 351 It was the classification math of the sixties. Machine learning is the classification math of today. 352 Both enable deeper insight into how biological systems classify. Of course, as noted above, 353 SDT is restricted to the case of known signals in additive noise, whereas deep learning can 354 solve real world object recognition like detecting a dog in a photo after training on labeled 355 examples. In the old days we used to compare human and ideal classification performance 356 (Pelli et al. 2006). Today, we also compare human and machine learning. Deep learning is the 357 best model we have today for how complex systems of simple units can recognize objects as 358 well as the brain does. Deep learning, i.e. learning by multi-layered neural networks using 359 backprop, is not just AlexNet but also includes ConvNets and other architectures of trained 360 artificial neural networks. Several labs are currently comparing patterns of activity of particular 361 layers to neural responses in various cortical areas of the mammalian visual brain (Yamins et al. 362 2014; Khaligh-Razavi & Kriegeskorte, 2014).

363 What computer scientists can learn from psychophysics. Computer scientists build 364 classifiers to recognize objects. Vision scientists, including psychologists and neuroscientists, 365 study how people and animals classify in order to understand how the brain works. So, what do 366 computer and vision scientists have to say to each other? Machine learning accepts a set of 367 labelled stimuli to produce a classifier. Much progress has been made in physiology and 368 psychophysics by characterizing how well biological systems can classify stimuli. The 369 psychophysical tools (e.g. threshold and signal detection theory) developed to characterize 370 behavioral classification performance are immediately applicable to characterize classifiers 371 produced by machine learning (e.g. Ziskind, Hénaff, LeCun, & Pelli, 2014; Testolin, Stoianov, & 372 Zorzi, 2017).

373 Psychophysics. "Adversarial" examples have been presented as a major flaw in deep neural 374 networks (Mims, 2018; Hutson, 2018). These slightly doctored images of objects are 375 misclassified by a trained network, even though the doctoring has little effect on human 376 observers. The same doctored images are similarly misclassified by several different networks 377 trained with the same stimuli (Szegedy, et al., 2013). Humans too have adversarial examples. 378 Illusions are robust classification errors. The blindspot-filling-in illusion is a dramatic adversarial 379 example in human vision. While viewing with one eye, two finger tips touching in the blindspot 380 are perceived as one long finger. If the image is shifted a bit so that the fingertips emerge from 381 the blindspot the viewer sees two fingers. Neural networks lacking the anatomical blindspot of 382 human vision are hardly affected by the shift (but see Azulay & Weiss, 2018). The existence of 383 adversarial examples is intrinsic to classifiers trained with finite data, whether biological or not. 384 In the absence of information, neural networks interpolate and so do biological brains. 385 Psychophysics, the scientific study of perception, has achieved its greatest advances by 386 studying classification errors (Fechner, 1860). Such errors can reveal "blindspots". Stimuli that 387 are physically different yet indistinguishable are called *metamers*. The systematic understanding 388 of color metamers revealed the three dimensions of human color vision (Palmer, 1777; Young,

- 389 1802; Helmholtz, 1860). In recent work, many classifiers have been trained solely with the
- 390 objects they are meant to classify, and thus will classify everything as one of those categories,
- 391 even doctored noise that is very different from all of the images. It is important to train with
- 392 sample images that represent the entire test set.

#### 393 CONCLUSION

- 394 Machine learning is here to stay. Deep learning is better than the "neural" networks of the
- 395 eighties. Machine learning is useful both as a model for perceptual processing, and as a
- 396 decoder of neural processing, to see what information the neurons are carrying. The large size
- 397 of the human cortex is a distinctive feature of our species and crucial for learning. It is
- anatomically homogenous yet solves diverse sensory, motor, and cognitive problems. Key
- 399 biological details of cortical learning remain obscure, but, even if they ultimately preclude
- 400 backprop, the performance of current machine learning algorithms is a useful benchmark.

### 401 **RESOURCES**

We recommend textbooks on deep learning by Goodfellow, Bengio, & Courville (2016) and Ng
(2017). There are many packages for optimization and machine learning in MATLAB and
Python.

405

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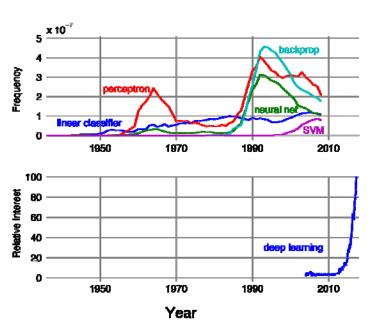




Figure 1. Top: The frequency of appearance of each of five terms — "linear classifier", "perceptron", "support vector
 machine", "neural net" and "backprop" — in books indexed by Google in each year of publication. Google counts
 instances of words and phrases of *n* words, and calls each an "ngram". Frequency is reported as a fraction of all

612 instances of ngrams of that length, normalized by the number of books published that year

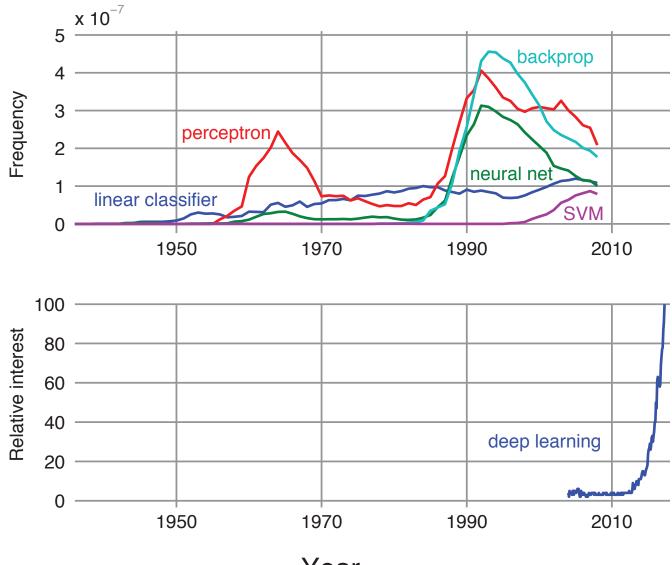
613 (ngram / year / books published). The figure was created using Google's ngram viewer

614 (https://books.google.com/ngrams), which contains a yearly count of ngrams found in sources printed between 1500

615 and 2008. **Bottom:** Numbers represent worldwide search interest relative to the highest point on the chart for the

616 given year for the term "deep learning" (as reported by <u>https://trends.google.com/trends/</u>). 617

/	Ν
Deep learning	_ 2012
Backprop revived	_ 2006
Support Vector Machine (SVM)	_ 1995
ConvNets NETtalk	_ 1989 _ 1987
Neocognitron	_ 1980
Backprop	_ 1974
Death of the perceptron	_ 1969
Perceptron	_ 1956
Machine learning	_ 1953
Linear discriminant analysis	_ 1936
Figure 2. Milestones in classification.	



Year

	Ν
Deep learning	_ 2012
Backprop revived	_ 2006
Support Vector Machine (SVM)	_ 1995
ConvNets NETtalk	- 1989 - 1987
Neocognitron	_ 1980
Backprop Death of the perceptron	_ 1974 _ 1969
Perceptron Machine learning	_ 1956 _ 1953
Linear discriminant analysis	_ 1936