

1 **Beyond Active Learning: Using 3-Dimensional Learning to Create Scientifically**
2 **Authentic, Student-Centered Classrooms**

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39 **Abstract**

40 In recent years, much of the emphasis for transformation of introductory STEM courses has
41 focused on “active learning”, and while this approach has been shown to produce more
42 equitable outcomes for students, the construct of “active learning” is somewhat ill-defined, and
43 can encompass a wide range of pedagogical techniques. Here we present an alternative
44 approach for how to think about the transformation of STEM courses that focuses instead on
45 what students should know and what they can do with that knowledge. This approach, known as
46 three-dimensional learning (3DL), emerged from the National Academy’s “A Framework for K-12
47 Science Education”, which describes a vision for science education that centers the role of
48 constructing productive causal accounts for phenomena. Over the past 10 years, we have
49 collected data from introductory biology, chemistry, and physics courses to assess the impact of
50 such a transformation on higher education courses. Here we report on an analysis of video data
51 of class sessions that allows us to characterize these sessions as *active*, *3D*, *neither*, or *both 3D*
52 *and active*. We find that 3D classes are likely to also involve student engagement (i.e. be
53 active), but the reverse is not necessarily true. That is, focusing on transformations involving
54 3DL also tends to increase student engagement, whereas focusing solely on student
55 engagement might result in courses where students are engaged in activities that do not involve
56 meaningful engagement with core ideas of the discipline.

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59 Introduction

60 Over the past twenty years, the wide array of pedagogical techniques that have come to
61 be collectively known as active learning (1), or evidence-based instructional practices (EBIPs)
62 (2), have been the predominant focus for transformation efforts in higher education STEM
63 teaching and learning. A meta-analysis across a wide range of STEM disciplines found that the
64 use of active learning techniques tends to increase average course grades, particularly by
65 decreasing the DFW (D's, F's and withdrawals) rate (3). In addition, active learning appears
66 particularly helpful in promoting more equitable outcomes for students (4,5). Thus, many faculty
67 development efforts focus on evidence-based instructional practices (EBIPs) that increase
68 student engagement in the classroom (6–8), although studies have also shown that their uptake
69 is somewhat disappointing (9).

70
71 However, as discussed in “The Curious Construct of Active Learning”, an extensive
72 overview and synthesis of the literature on active learning across STEM disciplines (1), the
73 construct of active learning is amorphous; it can refer to minor adaptations to lecture-based
74 courses, such as the use of student response systems, or to flipped classrooms, or to
75 completely re-envisioned curricula taught in studio classrooms. Freeman et al., in their highly
76 cited meta-analysis on the impact of active learning (3) do not disaggregate findings by
77 instructional strategy, and use the following definition to determine which courses engaged
78 students in active learning: “*Active learning engages students in the process of learning through*
79 *activities and/or discussion in class, as opposed to passively listening to an expert. It*
80 *emphasizes higher-order thinking and often involves group work.*” It is notable that these
81 authors do not say more about *what* students are learning, other than a reference to higher-
82 order thinking; a construct that is subject to multiple definitions (10). Thus, the often ill-defined
83 construct of active-learning is characterized by another ill-defined construct.

84

85 Certainly, the evidence is clear that student engagement is a necessary, but perhaps not
86 sufficient, component of learning for most students (11). However, evidence for the use of
87 EBIPs or active learning strategies typically does not include discussions of *what is being*
88 *learned* during the instruction, or how students are able to use that knowledge. Most studies on
89 the impact of active learning on student outcomes rely either on either scores on conceptual
90 multiple-choice exams or course grades (3), but typically little information is provided about what
91 those grades are measuring, and whether they emphasize factual recall or use of knowledge.
92 We have previously argued that it is not sufficient to know facts (or even concepts) or to solve
93 rote mathematical exercises; students should be able to use their knowledge to explain, model,
94 and predict phenomena by engaging in Three-Dimensional Learning (3DL) (12).

95

96 3DL was originally developed in the National Academies consensus report “A
97 Framework for K-12 Science Education” which lays out a vision for science education that
98 centers the role of constructing productive causal accounts for phenomena (13). The
99 “Framework” proposes that three interconnected dimensions of science learning are central to
100 the vision: Scientific and Engineering Practices, Disciplinary Core Ideas, and Crosscutting
101 Concepts, as shown in Figure 1.



102 Figure 1: The three dimensions, Scientific and Engineering Practices, Disciplinary Core Ideas,
103 and Crosscutting Concepts, are intertwined to form 3D-Learning.

104

105 The Scientific and Engineering Practices are the ways that scientists use and engage
106 with their knowledge – for example, asking questions or defining problems, developing and
107 using models, and evaluating and communicating information. The Core Ideas of a discipline
108 are the underlying ideas that have broad applicability and can be used to predict and explain
109 phenomena at different levels of depth and complexity. For example, in biology the core idea of
110 evolution underlies a vast range of phenomena; atomic and molecular interactions and bonding
111 play a similar role in chemistry, as do fields as the mediators of interactions in physics. The
112 Crosscutting Concepts can be thought of as lenses that transcend disciplines and allow
113 scientists to focus their investigation of a phenomenon on specific aspects – for example, cause
114 and effect, structure and function, and stability and change. The Framework emphasizes that,
115 for meaningful learning, the three dimensions must be inextricably intertwined. For example, if
116 we want our students to be able to model (a Scientific Practice) how energy (a Core Idea) is
117 transferred within or between systems (a Crosscutting Concept), all three dimensions must be
118 combined during instructional and assessment activities. A focus on content without considering
119 how students should use that knowledge can lead to fragmented, disconnected understanding
120 and inert knowledge that is not useful in new contexts (13). Consequently, it is important to

121 intentionally build all three dimensions into learning objectives, assessments, and classroom
122 activities.

123
124 This approach has been the focus of a multidisciplinary project to transform the STEM
125 gateway (introductory biology, chemistry and physics) courses at Michigan State University
126 (MSU) by adapting the Framework for use in higher education (12). In this approach, we
127 adopted the Scientific Practices and Crosscutting Concepts as presented in the Framework with
128 minor modifications. However, the Core Ideas presented in the Framework were originally
129 developed for a more interdisciplinary approach to K-12 science teaching and learning (for
130 example, Core Ideas are presented for physical science), which does not align with how
131 introductory science courses are organized at the college level. Furthermore, the Core Ideas
132 were not intended for the depth of college science courses. We worked collaboratively with
133 disciplinary experts and introductory course instructors from chemistry, biology, and physics to
134 define more appropriate Core Ideas for those disciplines (14,15).

135
136 To evaluate the extent of the transformation, we developed two protocols, the Three-
137 Dimensional Learning Assessment Protocol (3D-LAP) (15) and the Three-Dimensional Learning
138 Observation Protocol (3D-LOP) (16), that are intended to characterize the extent to which
139 assessments and instruction incorporate 3D learning. The 3D-LAP allows us to determine
140 whether an assessment task has the potential to elicit a 3D response from students. We have
141 previously reported on the use of the 3D-LAP as a tool to evaluate the change in 3D
142 assessment over time (17) by coding over 4,000 examination questions from midterm and final
143 exams that were used in the introductory courses. These earlier findings show that the 3D-LAP
144 is a useful tool for characterizing the extent of transformation which focuses on student use of
145 knowledge in the context of Core Ideas. Our findings showed that for a large general chemistry
146 course that was completely transformed using the 3DL approach (18), with around 50% of exam

147 points associated with 3D tasks on course exams, the average grades in the course increased,
148 and the percentage of students who received a D, F or W in the course decreased (17). That is,
149 we saw similar overall outcomes to those reported for courses that employ active learning.
150 Another study showed that 3DL assessment tasks focusing on mechanistic reasoning about a
151 chemical phenomenon are more equitable than typical general chemistry tasks involving
152 calculations that are more traditionally featured on such exams (19).

153 We note that the 3D-LAP can only give us a measure of *the potential* of an assessment
154 item to elicit 3DL. To determine whether students are actually engaging in 3DL requires that we
155 analyze student responses to such assessment tasks, and study how students construct these
156 responses. There are now a number of studies in which responses from matched cohorts of
157 students, from both traditional and transformed sections of a course, were analyzed (20–25). In
158 general, these studies show that students in 3DL courses are significantly more likely to engage
159 in construction of mechanistic explanations and construction and use of models in the context of
160 3D tasks. Another study asked students “what kind of thinking” they were expected to engage
161 in for a given course, and what kinds of thinking course assessments tested (26). Students in a
162 3DL-transformed organic chemistry course were most likely to respond that they were expected
163 to use their knowledge (apply and reason), whereas students in a traditional section were more
164 likely to perceive that they were expected to memorize information.

165 One intriguing study compared three student cohorts from different institutions on a task
166 that asked students to explain how and why a substance dissolved in water (27). All instructors
167 agreed that students would have learned about this phenomenon and should be able to
168 complete the task. The three different institutions employed three different instructional
169 approaches: 1) a traditional lecture (didactic) course with a traditional curriculum, 2) an active
170 learning approach with a traditional curriculum, and 3) a 3DL approach with transformed
171 curriculum and assessments that included 3D tasks. Students in the didactic and active learning
172 courses provided similar responses, while the 3DL students were far more likely to construct a

173 full explanation for the phenomenon, invoking ideas about both interactions and bonding, and
174 energy changes. Although we should not be surprised that students who were enrolled in
175 traditional courses were less able to provide appropriate responses to a 3DL task (students tend
176 to learn what is emphasized in a course), we also note that the active learning students
177 performed similarly to those who listened to lectures. This indicates that active learning alone
178 does not support students' understanding of mechanistic reasoning and chemical phenomena if
179 the curriculum does not intentionally include activities that require students to use knowledge in
180 this way.

181 3DL can also support the integration of important and overlooked scientific practices.
182 Using a computer to model scientific phenomenon and data is central to the enterprise of
183 science, and yet, computational modeling is absent from most introductory science instruction.
184 Some introductory physics courses use computational modeling activities to support students as
185 they make predictions or construct explanations (28). Instructional strategies used in computer
186 science education, such as pair programming (29,30) and live-coding (31), have been used in
187 disciplinary courses to ensure students are active in their learning of computational modeling.
188 However, research conducted in our introductory physics courses has demonstrated that such
189 active learning strategies alone are not sufficient to promote 3DL. However, newer
190 computationally enabled physics courses at MSU that characterized as 3D and are designed
191 around a communities of practice framework (32,33) have been shown to support the ways in
192 which students work in their groups as they develop computational models for real-world
193 phenomena (34,35).

194 While analyzing the types of assessment tasks and concomitant student responses has
195 provided us with compelling evidence for the efficacy of 3DL, it does not provide information
196 about the instructional practices employed during instructional activities. To address this need,
197 we developed the 3D-LOP, which can be used to characterize instruction by coding classroom
198 video recordings. The 3D-LOP is, in some ways, similar to other classroom observation

199 protocols, such as the COPUS or RTOP (36,37), because it provides a way to characterize the
200 instructional methods used in a classroom. What sets the 3D-LOP apart from other such
201 observation protocols is that it also allows the user to characterize *what is being taught* as well
202 as *the way that it is taught*. Thus, the 3D-LOP provides a way to characterize a class period by
203 the topic covered, instructional activities, whether the instruction is aligned with 3DL, and
204 whether that instruction is student- or instructor-centered. Full details of the development and
205 coding protocols for the 3D-LOP have been published previously (16).

206

207 Using class video recordings, we are now able to investigate the enactment of 3DL in these
208 introductory biology, chemistry, and physics classes and to determine whether there is a
209 connection between 3DL and the more common approach to reform that typically focuses on
210 instructional practices and student engagement (i.e., active learning). We have evidence that
211 transformation efforts using different approaches (e.g., 3DL or active learning) do tend to result
212 in some of the same outcomes (for example, improved average grades, retention rates, and
213 more equitable outcomes). However, as noted earlier, there are aspects of 3DL that go beyond
214 active learning. For example, students who are enrolled in courses where active engagement,
215 but not where 3DL is prevalent, are unlikely to provide causal explanations for phenomena (27).
216 Additionally, 3DL supports inclusion of scientific practices that are often neglected, such as
217 computational modelling (28). It also explicitly defines what is expected as outcomes for a
218 course; rather than “knowing” or “understanding,” students perceive that they are going to apply
219 and reason with their knowledge (26).

220 Now that we have the methodology to characterize whether 3DL and/or active learning take
221 place during class instruction, the current study uses evidence from class session video
222 recordings to address the following research questions:

223

224 1. In what ways can 3DL and/or active learning occur within a STEM course?

225 2. How are 3DL and active learning related?

226

227 **Experimental Methods**

228 The data corpus explored in this report is composed of video recordings of in-class
229 instruction collected over 4 years from introductory biology, chemistry, and physics courses at
230 Michigan State University. As discussed in our earlier paper (16), the camera was mounted at
231 the back of the classroom to record the instructor activities and interactions between instructor
232 and students. All the instructors in our data set gave permission for their classes to be video
233 recorded and for their course exams to be analyzed. All identifying information, such as
234 instructor name, course ID, semester, etc. were removed before coding, and randomly
235 generated identifiers were applied to the video files, in accordance with our IRB-approved
236 protocol.

237

238 **3D-LOP Analysis of Classroom Videos**

239

240 The 3D-LOP was used to code video recordings, as discussed in our prior work (16). For
241 the present study, videos of 82 class meeting sessions across the gateway courses in biology,
242 chemistry, and physics were recorded. Each video was segmented into blocks of contiguous
243 time devoted to a particular topic of instruction. These segments (N=417) were then coded by
244 two researchers from the team for alignment with the three dimensions and whether instruction
245 was instructor- or student-centered. By instructor-centered, we mean the instructional activities
246 were lecture-based, perhaps with call-and-response questioning. By student-centered, we mean
247 more extensive instructor-student interactions, group or individual tasks, clicker questions and
248 so on. This process allowed us to create parallel sets of timelines that provide information about

249 the topic being taught, the classroom activities, whether the instruction was 3D, and whether the
250 topic segment was “student-centered” or “instructor-centered”. Here we define “active”
251 segments as those with more than 50% of the time dedicated to teaching activities that directly
252 engaged students (interactions, tasks, clicker questions), and 3D segments as those that spend
253 more than 50% of the time on 3DL. We chose these criteria for simplicity and because other
254 researchers have also used this cut off to determine whether active learning is present (5).
255 Thus, a segment may be characterized as 3D and active, active only, 3D only, or neither 3D nor
256 active. The data reported here do not include those used in our development of the 3D-LOP and
257 were recorded over several years before the COVID pandemic.

258

259 **Results and Discussion**

260

261 **RQ 1: In what ways can 3DL and/or active learning occur within a STEM course?**

262

263 As discussed above, the data from coding class videos with the 3D-LOP allow us to
264 develop visualizations of class timelines as shown in Figure 2. Each timeline shows the types of
265 instructional activities (teaching activities) and the segments of class (or topics) that are three
266 dimensional. Each 3DL segment is coded as instructor-centered (I) or student-centered (S),
267 which allows us to determine: 1) the class time that is devoted to student engagement (active
268 learning), 2) the class time that is devoted to 3DL, and 3) the class time that is devoted to both
269 3DL and active learning. Figure 2 provides representative examples of such timelines, which
270 can be characterized as (a) active but not 3D, (b) active and 3D, (c) 3D but not active, and (d)
271 neither active nor 3D.

272

273 **Active but not 3D:** Instructional segments that employ active learning techniques at least 50%
274 of the time but are not 3D are quite common in our data set (95 of 417 segments, see Figure 3);
275 the timeline in Figure 2a exemplifies one such class session. In this introductory biology class
276 session, students worked in groups on several activities, which we label as “Tasks”. These
277 tasks included discussions on identifying the correct number of chromosomes for a given set of
278 cells, drawing cells in different stages of cell division as the discussion of meiosis progressed,
279 and identifying the genotype and phenotype of common traits (e.g., mid-digital hair).
280 Punctuating these task segments were periods of lecturing in which the task is concluded or
281 new topics are introduced. The tasks were focused on observation of elements of biological
282 systems and building foundational skills. Although these tasks potentially build important
283 foundational knowledge for biology and prepare students for future topics in the class, they do
284 not engage students with any of the Scientific Practices. While most of the class period is
285 devoted to student activities and is engaging students in a range of tasks, it is not asking
286 students to use their knowledge in sensemaking activities, but rather the students are re-
287 representing ideas in the form of pictures and diagrams.

288

289 **Active and 3D.** The data set contains 58 segments that are both active and 3D. An example of
290 a class with 3 such segments is provided by the timeline in Figure 2b from a general chemistry
291 course, in which the topic of solutions is discussed over several segments. Here, we see
292 instructional approaches that included instructor-student interactions that go beyond lecture
293 interactions, multiple tasks that engage students, and a series of clicker questions followed by
294 group discussions. Aside from the segment designated as “Homework Review”, all topics were
295 explored by means of at least one of the Scientific Practices, and 3 out of 4 of these segments
296 in the class are three-dimensional. All the occurrences of Scientific Practices are characterized
297 as student-centered. Students constructed explanations and models for themselves, rather than
298 watching the instructor work through the reasoning. For instance, the activities focused on

299 students engaging with a hands-on activity (observing the dissolution of a salt and the
300 accompanying temperature change). After scaffolded group discussions facilitated by the
301 instructor, Graduate Teaching Assistants and Undergraduate Learning Assistants supported
302 groups of students working to create an explanation for their observations and answer a series
303 of clicker questions. By incorporating the Core Ideas of *Energy* and *Electrostatic and Bonding*
304 *Interactions* and the Crosscutting Concept of *Systems and System Models*, students
305 constructed a model that explained the observed temperature change, by relating it to the
306 energy changes as various interactions are either broken or formed. While not all class sessions
307 that are 3DL-aligned are as active, the co-occurrence of 3DL and active learning appears to be
308 common in 3D class sessions as will be discussed later.

309
310 **3D but not Active:** Not all classes that focus on 3DL are also student-centered (35 of 417
311 segments), as illustrated by the timeline shown in Figure 2c, which depicts a traditional lecture
312 in a large-enrollment general chemistry course. The class consisted of three different topic
313 segments. Instruction began with a lecture review of Valence-Shell Electron-Pair Repulsion
314 (VSEPR) Theory, followed by using that theory to understand how molecular dipoles can arise
315 and how they can be used to predict molecular properties. Finally, hybrid orbital formation in
316 bonding was described. After the VSEPR review, the instructor engaged students with the
317 content by combining use of VSEPR as a model that predicts molecular shape and knowledge
318 of bond polarities to predict the distribution of electron densities in a molecule. Molecular polarity
319 was then used to predict and explain molecular properties. Although some student engagement
320 occurred during this class session, it was either via call-and-response questioning or by the
321 instructor addressing student questions during the lecture; therefore, we do not characterize the
322 instruction in this class as active learning, since it did not incorporate activities usually
323 designated as active learning, such as clicker questions, peer discussion, or group activities
324 (1,3).

325 The non-active module on the use of molecular dipoles has been characterized as three-
326 dimensional. However, in this case, the instructor was doing the work of demonstrating how to
327 explore a phenomenon (why different molecular substances have different melting and boiling
328 points), while employing the Scientific Practice of *Developing and Using Models* for their
329 students (as indicated by the “(I)” on the diagram meaning an “instructor-centered segment”).
330 By discussing the molecular shape and electron distribution, the instructor showed how the
331 molecular structure can be used to predict molecular properties (a Core Idea), by using VSEPR
332 as a model and the Crosscutting Concept of cause and effect (strong attractive interactions
333 between polar molecules cause the macroscopic substance to exhibit predictable properties
334 such as a high boiling point).

335
336 **Neither Active nor 3D:** We have numerous examples of classroom recordings that are neither
337 active, nor 3D (205 of 417 segments). Figure 2d provides an example of a physics class that
338 meets this criterion. In this traditional-lecture, large-enrollment introductory electromagnetism
339 course, the lecture focused on introducing the fundamental connections between electric fields
340 and charges. These ideas are certainly important and foundational to much of the future
341 curriculum. However, the instructor did not present the phenomena and key connections in a
342 way that employed any Scientific Practices; hence, the class was not 3D. Although some
343 student engagement occurred, it was either call-and-response questioning or the instructor
344 addressed student questions during the lecture. Whereas many of the traditional-format physics
345 courses in our database employed clicker questions and peer discussion, in this case the
346 instructor did not engage students in this way. Hence, this class was not designated as
347 including any active learning (Freeman et al., 2014; Lombardi et al., 2021).

348

349

350

| Topics | Admin | Meiosis Is Necessary for Correct Chromosome Count; Chromosome Basics and Overview of Meiosis; Drawing Chromosomes for Example Traits | | | | | | Interactions Between Genotype and Phenotype with Examples Traits | | | Fertilizing Gametes and Predicting Offspring | Mistakes in Cell Division | |
|---------------------|-------|--|--|--|---------|------|------|--|-------|------|--|---------------------------|-------|
| Teaching Activities | Admin | Task | | | Lecture | Task | Task | Task | Lect. | Task | Lecture | Task | Lect. |
| SP | | None | | | | | | None | | | None | None | |
| CI | | - | | | | | | - | | | - | - | |
| CC | | - | | | | | | - | | | - | - | |

Figure 2a

| Topics | Admin | HW Rev. | HW Review: Energy of Exothermic Dissolution | Dilution of Solutions | Activity #1: Exothermic Dissolution of CaCl_2 in Water | | | | | | Activity #2: Endothermic Dissolution of NH_4Cl in Water | | | |
|---------------------|-------|---------|---|-----------------------|---|------|------|--|--|----|---|--|--|------|
| Teaching Activities | Admin | CQ | Interaction | CQ | Lec. | Task | Task | | | CQ | CQ | | | Task |
| SP | | None | ✓(S) | ✓(S) | ✓(S) | | | | | | ✓(S) | | | |
| CI | | - | ✓ | None | ✓ | | | | | | ✓ | | | |
| CC | | - | ✓ | - | ✓ | | | | | | ✓ | | | |

Figure 2b

| Topics | Admin | VSEPR | Molecular Dipoles | | | Hybrid Orbitals | | |
|---------------------|-------|---------|-------------------|--|--|-----------------|---------|--|
| Teaching Activities | Admin | Lecture | | | | Task | Lecture | |
| SP | | None | ✓(I) | | | None | | |
| CI | | - | ✓ | | | - | | |
| CC | | - | ✓ | | | - | | |

Figure 2c

| | | | | |
|---------------------|-------|----------------|-----------------------------|------------------------------------|
| Topics | Admin | Review: Forces | Electric Flux & Gauss's Law | Demo: Electron Transfer by Contact |
| Teaching Activities | Admin | Lecture | | |
| SP | | None | None | None |
| CI | | - | - | - |
| CC | | - | - | - |

Figure 2d.

Figure 2. Representative timelines highlighting examples of each of the four possible combinations of active and 3D class segments. (2a) A 73-minute biology class session that is active but not 3D. (2b) A 77-min long chemistry class session that is both active and aligned with 3DL. (2c) A 47-min long chemistry class session that is not active but contains a 3DL-aligned segment. (2d) A 52-min physics class that is neither active nor 3D. The leftmost column provides a label for each row, with the beginning of class starting at the right edge of the label column and the end of the class session at the right edge of the timeline; the width of each segment (labelled here as Topic) is directly proportional to the time spent on that topic during the class session. Admin refers to announcements by instructor; Task refers to students engaging in a task as described in the 3D-LOP paper. Checkmarks and filled color denote the presence of a given dimension with “(I)” and “(S)” denoting Instructor or Student-centered engagement with a Scientific Practice, if present.

351

352 The four examples of class timelines in Figure 2 show that active learning and 3DL are in fact

353 different, and that these differences can be detected using the 3D-LOP. It is possible to have

354 one without the other; a class session may be highly active and yet not involve students' use of

355 knowledge to make sense of phenomena. For example, the class in which students draw the

356 stages of meiosis (shown in Figure 2a) has students engaged in tasks most of the time, but they

357 are not engaged in reasoning about Core Ideas. Rather, they are drawing diagrams of a

358 process, and those diagrams are not used to predict or explain anything further. On the other

359 hand, Figure 2b represents a class which is both active and involves 3DL where students are

360 engaged in sensemaking activities that are 3D. That is, students are engaged in tasks and

361 activities that require them to predict and explain phenomena. Figure 2c represents a class

362 where there is a 3DL segment in which the instructor is doing the work by modelling for the

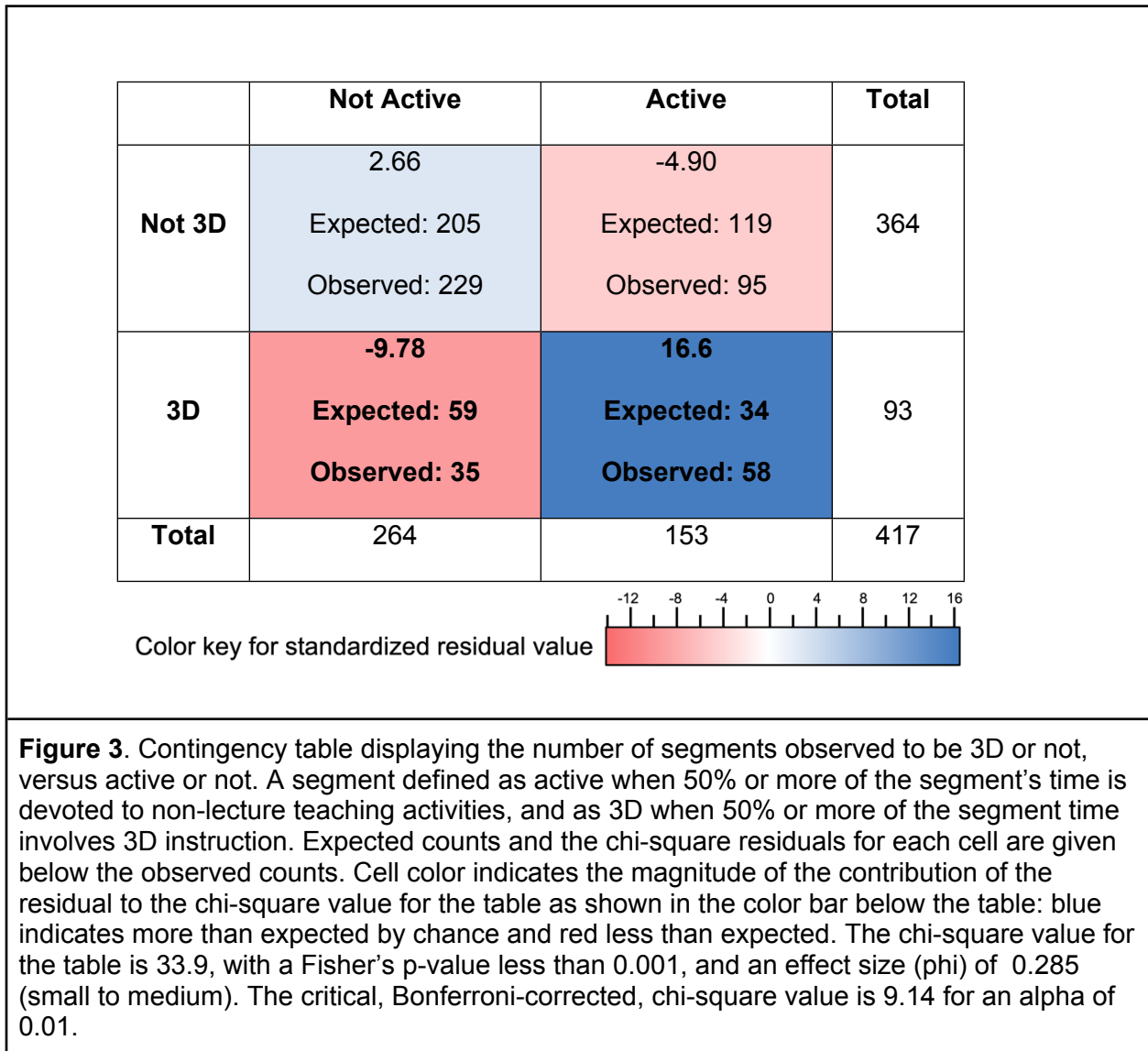
363 class how VSEPR can be used to predict and explain molecular properties, but there is little
364 meaningful input or activity from most of the students. Finally Figure 2d represents a class
365 where there are no student-instructor interactions, the material is being delivered by a lecture,
366 and does not include any 3D segments.

367 **RQ 2: How are 3DL and active learning related?**

368 As shown in the examples above, active learning and 3DL are different, and it is entirely
369 possible to have one without the other. An important next question, then, is: how are active
370 learning and 3DL related? To address whether there is a relationship between active learning
371 and 3DL in our data set, we designated the 417 video segments as active or not active, and 3D
372 or not-3D, using the criterion that 50% of the instructional time in the segment should be active
373 and/or 3D, respectively, to qualify for that designation. Using this characterization, we were able
374 to determine whether there is a relationship between active learning and 3D learning using a
375 chi-square analysis. This type of analysis can determine whether co-occurrences of the two are
376 greater than one would expect by chance. Out of the 417 video segments in our data set, more
377 than half of them are neither 3D nor active. This is not surprising, as traditional instruction is
378 common, particularly in large-enrollment introductory courses that make up the majority of our
379 data set, and studies have shown that the transformation of instruction is slow (9). 93 of the 417
380 segments were characterized as 3D, while 153 were active. 58 of these active sections were
381 also 3D and the rest (95) were not 3D. Using these data, we find a significant association
382 between 3D and active segments with a small-to-medium effect size (chi-square, 33.9, $p_{\text{fisher}} < 0.001$, $\phi = 0.285$), as shown in Figure 3.

384
385 This chi-square test tells us that 3D and active instructional segments tend to co-occur,
386 but it does not tell us what is driving this relationship. A post-hoc analysis using the contributions
387 to the chi-square allowed us to calculate the standardized residual for each of the cells in the

388 contingency table (Figure 3). This provides a measure for how different the observed count is
389 from the expected count, and thus shows which combinations are driving the associations in the
390 table. For this table, the critical value is 9.14, and therefore, as shown in Figure 3, the major
391 driver of significance is the higher-than-expected number of segments in which 3D & active
392 learning co-occur.



393
394 Our findings indicate that 3D instruction is moderately associated with active learning,
395 but that the reverse is not true. In our sample, we observed that about 1/3 of the 3D segments
396 were not active (35/93), whereas nearly 2/3 of the active learning segments were not 3D

397 (95/153). That is, a focus on 3DL is also likely to involve active learning, whereas a focus on
398 active learning practices is not as likely to incorporate 3DL.

399 **Discussion and Implications**

400 Our analysis of the video recordings from 82 classes (and 417 total instructional
401 segments), using the 3D-LOP shows that: 1) 3D instruction and active learning are different,
402 and it is possible to have one without the other, and 2) 3D instruction is likely to include active
403 student engagement, whereas active learning does not necessarily include 3DL. Although we
404 do not know how this association arises, there are several potential explanations. For example,
405 it may be that because the Scientific and Engineering Practices are inherently active, when
406 instructors focus on 3DL and incorporating these practices into their instruction and
407 assessment, they are also more likely to actively engage their students. Alternatively, perhaps
408 instructors who become aware of 3DL strategies are already cognizant of the advantages of
409 active learning and thus incorporate them along with 3DL. It is also possible that because
410 engaging students in Scientific Practices is at the heart of 3DL, instructors who understand 3DL
411 and are intentional in their instructional design also incorporate active learning to engage the
412 students. The mechanism by which an instructor comes to use 3DL almost certainly depends on
413 the instructor and the constraints and affordances of their environment, but what seems clear is
414 that while 3DL and active learning could both be considered evidence-based approaches to
415 teaching and learning, only one of them provides a mechanism to support students' use of
416 knowledge in scientifically authentic ways. Engaging in 3DL requires students to gain
417 experience with the components of the Scientific Practices. For many students, this requires a
418 shift from restating ideas that they have learned, learning skills that are never put into practice,
419 or performing calculations without understanding what the result implies to understanding and
420 articulating why a phenomenon occurs, how to use data to support a claim, or how to construct
421 and use a model to predict and explain what happens when the system is changed. 3DL

422 provides both instructors and students with explicit guidelines for what it means to engage in
423 Scientific Practices. Rather than the nebulous goals of “critical thinking” or “higher order
424 thinking”, 3DL makes it possible to construct both formative and summative assessments that
425 require engagement in 3DL in all its forms.

426 Because most faculty development is focused on incorporating student-centered
427 pedagogies, such as those discussed in Freeman et. al. (3), this presents us with a dilemma.
428 Should faculty development focus on active engagement, as is currently the case, or should it
429 focus on 3DL, assuming that student engagement will follow? We caution that characterizing
430 transformation efforts by focusing solely on active learning, without also investigating what is
431 expected of students in terms of sensemaking and reasoning may result in the *illusion of*
432 *transformation*, or what Wiggins and McTighe describe as “*hands on without being minds on*”
433 (38) while at the same time maintaining the status quo.

434

435 We propose that it is time to move beyond “active learning” and refocus attention on
436 what it is that we want students to know and do. There are many potential ways to approach
437 redesigning teaching and learning, for example: a focus on modelling phenomena (39,40), or
438 systems thinking (41–43). However, 3DL incorporates all these approaches (constructing
439 models is a Scientific Practice, systems thinking is a Crosscutting Concept) as well as
440 recognizing that none of these approaches are meaningful without connections to disciplinary
441 Core Ideas. 3DL provides an evidence-based framework for designing instructional materials
442 that center around student sensemaking of phenomena, and, as we have shown, tends to
443 include student engagement, even in large lecture sections that traditionally are far more
444 passive. We propose that focusing on creating 3DL aligned learning objectives, assessments,
445 and instructional materials is a more fruitful approach to transforming a learning environment
446 than the more common approach which focuses on instructional methods (EBIPs) alone. This
447 approach recognizes that it is **what** students are doing, across a wide range of activities both

448 inside and outside of the class, rather than the mere fact that they are doing something, that
449 ultimately can result in students having more coherent, connected, and useful disciplinary
450 knowledge.

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461

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